

Origin of Mass 2014  
CP<sup>3</sup>-Origins, Odense, 19-22 May 2014

From low energy neutrino data  
to mass models (and back)  
with leptogenesis

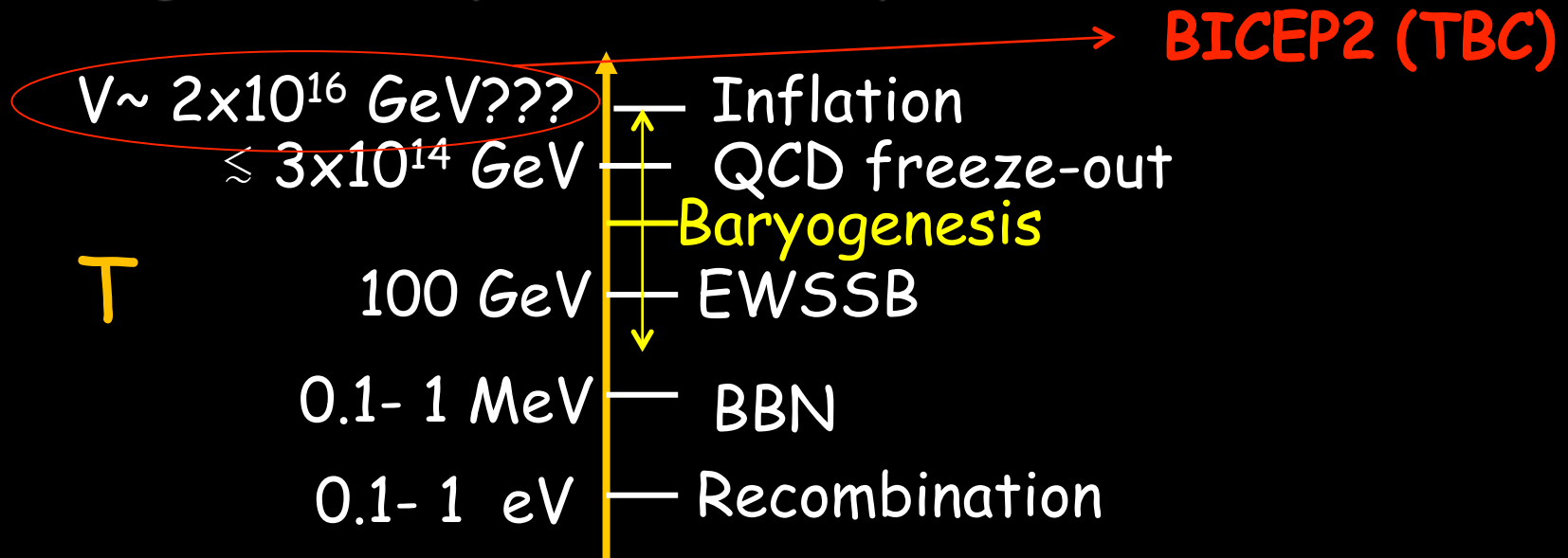
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# Cosmological puzzles

- Cosmological Puzzles :

1. Dark matter
2. Matter - antimatter asymmetry
3. Inflation
4. Accelerating Universe

- New stage in the early Universe history :





# Neutrino mixing parameters

$$U_{\alpha i} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix}$$

**Pontecorvo-Maki-Nakagawa-Sakata matrix**

$$= \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \cdot \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \cdot \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} e^{i\rho} & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & e^{i\sigma} \end{pmatrix}$$

**Atmospheric, LB**

**Reactor, Accel., LB  
CP violating phase**

**Solar, Reactor**

**bb0ν decay**

$$c_{ij} = \cos \theta_{ij}, \text{ and } s_{ij} = \sin \theta_{ij}$$

3σ ranges:

$$\theta_{23} \approx 37^\circ - 53^\circ$$

$$\theta_{12} \approx 30.5^\circ - 38^\circ$$

$$\theta_{13} \approx 7.5^\circ - 10^\circ$$

$$\delta, \rho, \sigma = [-\pi, \pi]$$

# Neutrino masses: $m_1 < m_2 < m_3$

neutrino mixing data

2 possible schemes: **normal** or **inverted**

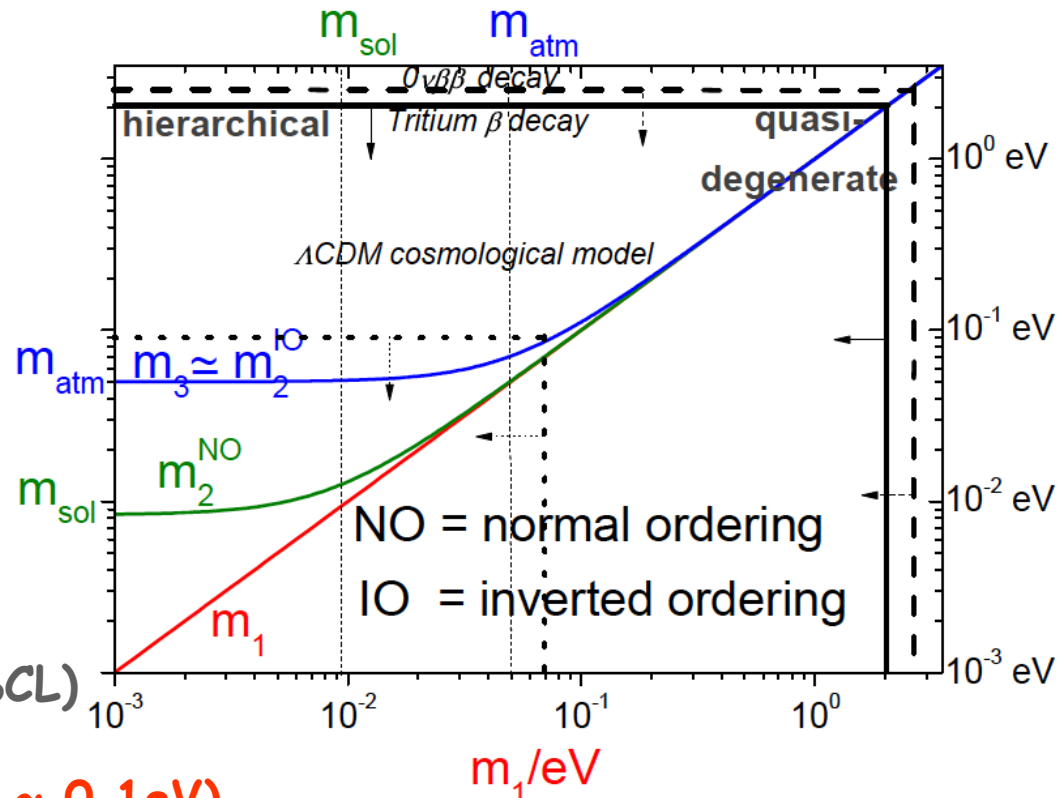
$$m_3^2 - m_2^2 = \Delta m_{\text{atm}}^2 \text{ or } \Delta m_{\text{sol}}^2 \quad m_{\text{atm}} \equiv \sqrt{\Delta m_{\text{atm}}^2 + \Delta m_{\text{sol}}^2} \simeq 0.05 \text{ eV}$$

$$m_2^2 - m_1^2 = \Delta m_{\text{sol}}^2 \text{ or } \Delta m_{\text{atm}}^2 \quad m_{\text{sol}} \equiv \sqrt{\Delta m_{\text{sol}}^2} \simeq 0.009 \text{ eV}$$

**Tritium  $\beta$  decay :  $m_e < 2 \text{ eV}$**   
(Mainz + Troitzk 95% CL)

**$\beta\beta 0\nu$ :  $m_{\beta\beta} < 0.34 - 0.78 \text{ eV}$**   
(CUORICINO 95% CL, similar bound from Heidelberg-Moscow)  
 **$m_{\beta\beta} < 0.12 - 0.25 \text{ eV}$**   
(EXO-200+Kamland-Zen 90% CL)  
 **$m_{\beta\beta} < 0.2 - 0.4 \text{ eV}$**   
(GERDA+IGEX 90% CL)

**CMB+BAO+H0 :  $\sum m_i < 0.23 \text{ eV}$**   
(Planck+high- $l$ +WMAPpol+BAO 95%CL)  
 $\Rightarrow m_1 < 0.07 \text{ eV}$   
(recent BOSS RESULTS favour  $m_1 \sim 0.1 \text{ eV}$ )



# Minimal scenario of Leptogenesis

(Fukugita, Yanagida '86)

## • Type I seesaw

$$\mathcal{L}_{\text{mass}}^{\nu} = -\frac{1}{2} \left[ (\bar{\nu}_L^c, \bar{\nu}_R) \begin{pmatrix} 0 & m_D^T \\ m_D & M \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_R^c \end{pmatrix} \right] + h.c.$$

In the **see-saw limit** ( $M \gg m_D$ ) the mass spectrum splits into 2 sets:

- 3 light neutrinos  $\nu_1, \nu_2, \nu_3$  with masses

$$\text{diag}(m_1, m_2, m_3) = -U^\dagger m_D \frac{1}{M} m_D^T U^*$$

- 3 new heavy RH neutrinos  $N_1, N_2, N_3$  with masses  $M_3 > M_2 > M_1 \gg m_D$

On average one  $N_i$  decay produces a B-L asymmetry given by its

**total CP  
asymmetry**

$$\varepsilon_i \equiv -\frac{\Gamma_i - \bar{\Gamma}_i}{\Gamma_i + \bar{\Gamma}_i}$$

## • Thermal production of RH neutrinos

$$\Rightarrow T_{\text{RH}} \gtrsim M_i / (2 \div 10) \gtrsim 100 \text{ GeV}$$

# The double side of Leptogenesis

**Cosmology  
(early Universe)**

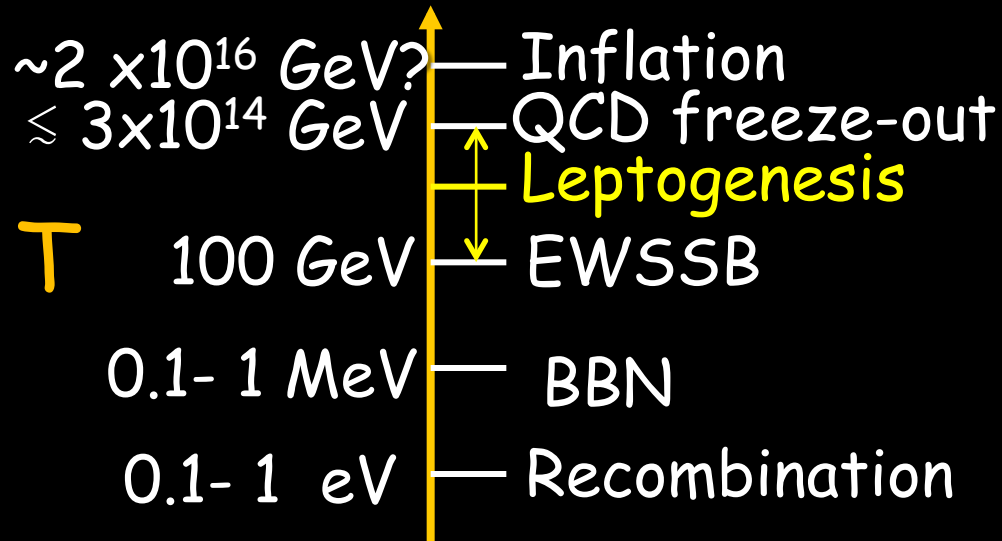


**Neutrino Physics,  
models of mass**

• Cosmological Puzzles :

1. Dark matter
2. **Matter - antimatter asymmetry**
3. Inflation
4. Accelerating Universe

• New stage in early Universe history :



Leptogenesis complements  
low energy neutrino  
experiments  
testing the

seesaw mechanism  
high energy parameters and  
providing a guidance toward  
the model behind the seesaw

In this case one would like to  
answer.....

# Two important questions:

1. Can we get an insight on neutrino parameters from leptogenesis?
2. Vice-versa: can we probe leptogenesis with low energy neutrino data?

A common approach in the LHC era  $\Rightarrow$  "TeV Leptogenesis"

Is there an alternative approach based on high energy scale leptogenesis? Also considering that:

- No new physics at LHC (so far);
- BICEP2 (seems to) support the existence of a new scale  $\sim 2 \times 10^{16}$  GeV (to be confirmed by Planck);
- The discovery of a non-vanishing reactor angle opens the door to further measurements of mixing parameters (atmospheric angle octant, neutrino mass ordering, Dirac phase)
- cosmological observations start to have the sensitivity to either rule out or discover quasi-degenerate neutrino masses

# Seesaw parameter space

Imposing  $\eta_B = \eta_B^{\text{CMB}}$  one would like to get information on  $U$  and  $m_i$

Problem: too many parameters

(Casas, Ibarra'01)  $m_\nu = -m_D \frac{1}{M} m_D^T \Leftrightarrow \boxed{\Omega^T \Omega = I}$  Orthogonal parameterisation

$$\boxed{m_D} = \boxed{U \begin{pmatrix} \sqrt{m_1} & 0 & 0 \\ 0 & \sqrt{m_2} & 0 \\ 0 & 0 & \sqrt{m_3} \end{pmatrix} \Omega \begin{pmatrix} \sqrt{M_1} & 0 & 0 \\ 0 & \sqrt{M_2} & 0 \\ 0 & 0 & \sqrt{M_3} \end{pmatrix}} \quad \left( \begin{array}{l} U^\dagger U = I \\ U^\dagger m_\nu U^* = -D_m \end{array} \right)$$

(in a basis where charged lepton and Majorana mass matrices are diagonal)

The **6 parameters in the orthogonal matrix  $\Omega$**  encode the **3 life times** and the **3 total CP asymmetries** of the RH neutrinos

A parameter reduction would help and can occur if:

- $\eta_B = \eta_B^{\text{CMB}}$  is satisfied around "peaks"
- some parameters cancel in the asymmetry calculation
- imposing **strong thermal leptogenesis** condition
- by imposing some (model dependent) conditions on  $m_D$

# Vanilla leptogenesis

## 1) Flavor composition of final leptons is neglected

$$N_i \xrightarrow{\Gamma} l_i H^\dagger$$

$$N_i \xrightarrow{\bar{\Gamma}} \bar{l}_i H$$

**Total CP  
asymmetries**

$$\varepsilon_i \equiv -\frac{\Gamma_i - \bar{\Gamma}_i}{\Gamma_i + \bar{\Gamma}_i}$$

$$N_{B-L}^{\text{fin}} = \sum_i \varepsilon_i \kappa_i^{\text{fin}} \Rightarrow \eta_B = a_{\text{sph}} \frac{N_{B-L}^{\text{fin}}}{N_\gamma^{\text{rec}}} \quad \text{baryon-to-photon number ratio}$$

Successful leptogenesis bound :  $\eta_B = \eta_B^{\text{CMB}} = (6.1 \pm 0.1) \times 10^{-10}$

2) Hierarchical heavy RH neutrino spectrum:  $M_2 \gtrsim 2 M_1$

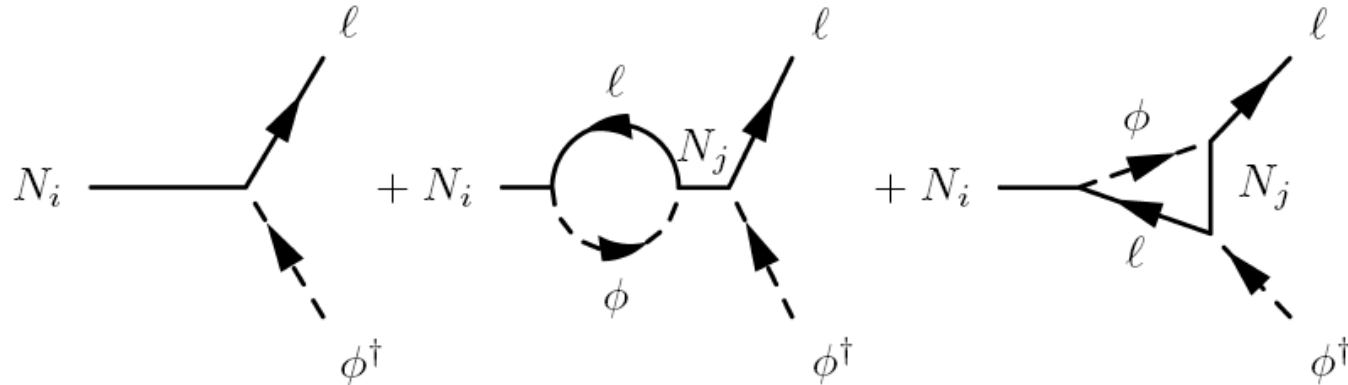
3)  $N_3$  does not interfere with  $N_2$ -decays:  $(m_D^\dagger m_D)_{23} = 0$

From the last  
two assumptions

$$\Rightarrow N_{B-L}^{\text{fin}} = \sum_i \varepsilon_i \kappa_i^{\text{fin}} \simeq \varepsilon_1 \kappa_1^{\text{fin}}$$

# Total CP asymmetries

(Flanz,Paschos,Sarkar'95; Covi,Roulet,Vissani'96; Buchmüller,Plümacher'98)



$$\varepsilon_i \simeq \frac{1}{8\pi v^2 (m_D^\dagger m_D)_{ii}} \sum_{j \neq i} \text{Im} \left[ (m_D^\dagger m_D)_{ij}^2 \right] \times \left[ f_V \left( \frac{M_j^2}{M_i^2} \right) + f_S \left( \frac{M_j^2}{M_i^2} \right) \right]$$

It does not depend on  $U$  !



## 4) Barring fine-tuned mass cancellations in the seesaw

$$\varepsilon_1 \leq \varepsilon_1^{\max} \simeq 10^{-6} \left( \frac{M_1}{10^{10} \text{ GeV}} \right) \frac{m_{\text{atm}}}{m_1 + m_3}$$

(Davidson,  
Ibarra '02)

## 5) Efficiency factor from simple Boltzmann equations

$$\begin{aligned} \frac{dN_{N_1}}{dz} &= -D_1 (N_{N_1} - N_{N_1}^{\text{eq}}) \\ \frac{dN_{B-L}}{dz} &= -\varepsilon_1 \frac{dN_{N_1}}{dz} - W_1 N_{B-L} \end{aligned}$$

$z \equiv \frac{M_1}{T}$

decays

inverse decays

wash-out

decay  
parameter

$$K_1 \equiv \frac{\Gamma_{N_1}(T=0)}{H(T=M_1)}$$

$$\kappa_1(z; K_1, z_{\text{in}}) = - \int_{z_{\text{in}}}^z dz' \left[ \frac{dN_{N_1}}{dz'} \right] e^{-\int_{z'}^z dz'' W_1(z'')}$$

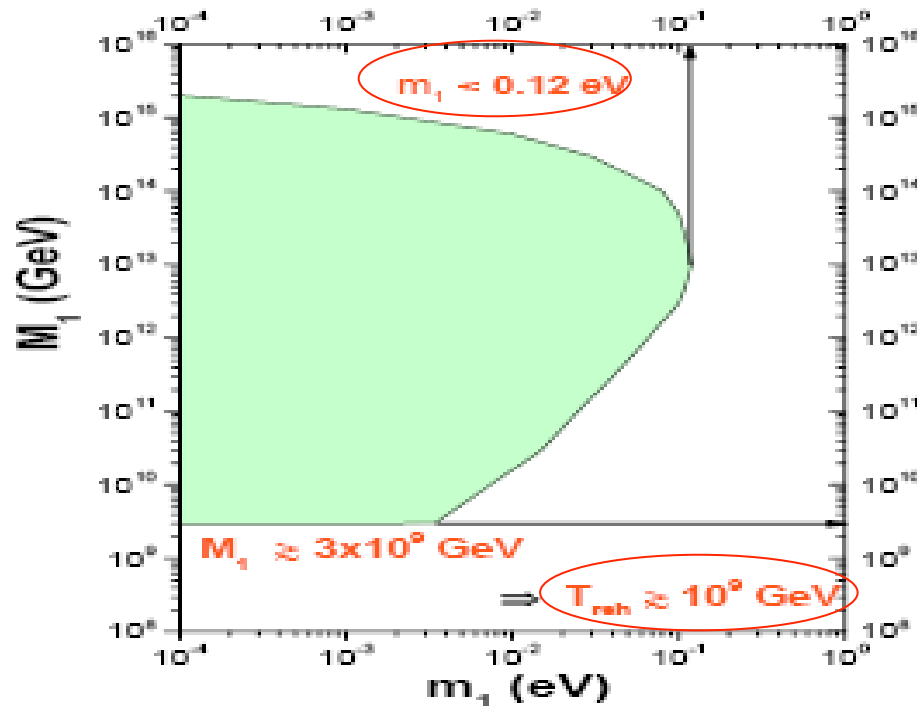
# Neutrino mass bounds in vanilla leptog.

(Davidson, Ibarra '02; Buchmüller, PDB, Plümacher '02, '03, '04; Giudice et al. '04)

$$\eta_B \simeq 0.01 \varepsilon_1(m_1, M_1, \Omega) \kappa_1^{\text{fin}}(K_1) \leq \eta_B^{\text{max}} = 0.01 \varepsilon_1^{\text{max}}(m_1, M_1) \kappa_1^{\text{fin}}(K_1^{\text{max}})$$

Imposing:

$$\eta_B^{\text{max}}(m_1, M_1) \geq \eta_B^{\text{CMB}}$$



No dependence on the leptonic mixing matrix  $U$

# A pre-existing asymmetry?

$$\rho^{1/4} \sim 2 \times 10^{16} \text{ GeV}???$$

$$T_{RH} \lesssim 3 \times 10^{14} \text{ GeV}$$

T

$$\gtrsim 10^9 \text{ GeV}$$

$$100 \text{ GeV}$$

$$0.1 - 1 \text{ MeV}$$

$$0.1 - 1 \text{ eV}$$

Inflation

QCD freeze-out

Affleck-Dine (at preheating)

Gravitational baryogenesis

GUT baryogenesis

Leptogenesis (minimal)

EWBG

BBN

Recombination



# Strong thermal leptogenesis

The early Universe „knows“ the neutrino masses ...

(Buchmüller, PDB, Plümacher '04)

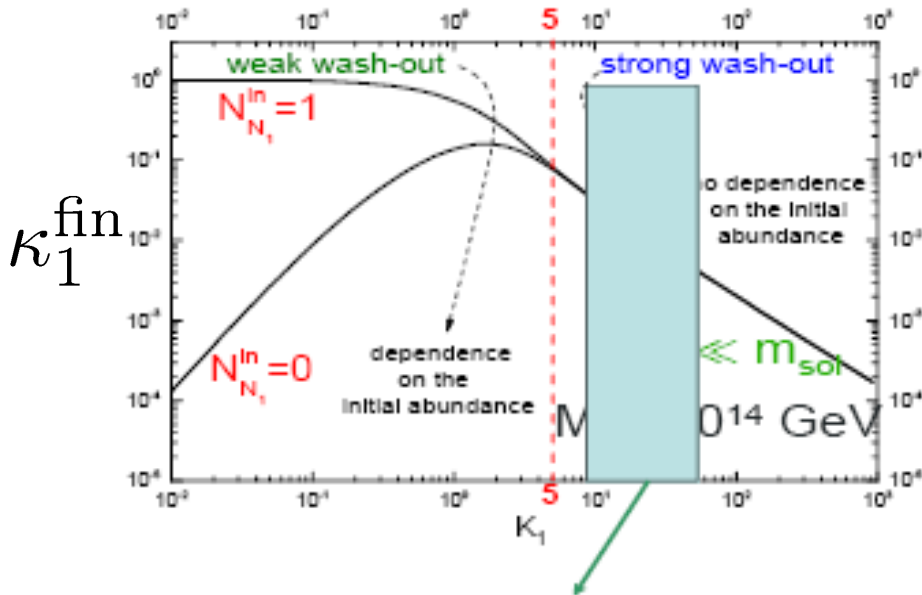
$$\eta_B \simeq 0.01 \varepsilon_1(m_1, M_1, \Omega) \kappa_1^{\text{fin}}(K_1)$$

decay parameter

$$K_1 \equiv \frac{\Gamma_{N_1}}{H(T = M_1)} \sim \frac{m_{\text{sol,atm}}}{m_* \sim 10^{-3} \text{ eV}} \sim 10 \div 50$$

Independence of the initial abundance of  $N_1$

wash-out of a pre-existing asymmetry



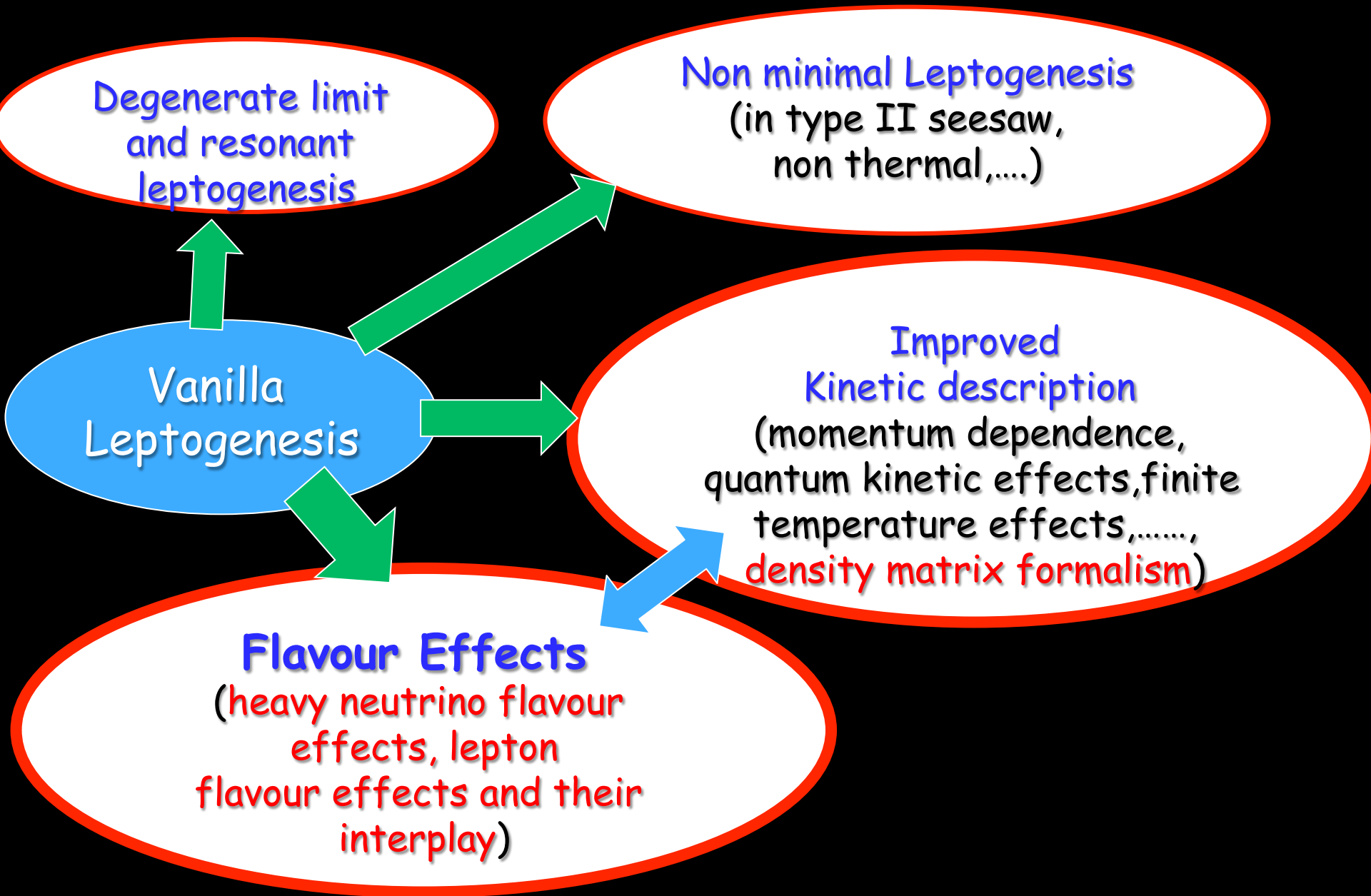
$$N_{B-L}^{\text{p,final}} = N_{B-L}^{\text{p,initial}} e^{-\frac{3\pi}{8} K_1} \ll N_{B-L}^{\text{f}, N_1}$$

$$K_1 \gtrsim K_{\text{st}}(N_{B-L}^{\text{p,i}})$$

$$K_{\text{st}}(x) \equiv \frac{8}{3\pi} \left[ \ln \left( \frac{0.1}{\eta_B^{\text{CMB}}} \right) + \ln |x| \right] \simeq 16 + 0.85 \ln |x|$$

$$K_{\text{sol}} \simeq 9 \lesssim K_1 \lesssim 50 \simeq K_{\text{atm}}$$

# Beyond vanilla Leptogenesis



# Lepton flavour effects

(Abada, Davidson, Losada, Josse-Michaux, Riotto '06; Nardi, Nir, Roulet, Racker '06; Blanchet, PDB, Raffelt '06; Riotto, De Simone '06)

## Flavor composition of lepton quantum states:

$$|l_1\rangle = \sum_{\alpha} \langle l_{\alpha} | l_1 \rangle |l_{\alpha}\rangle \quad (\alpha = e, \mu, \tau)$$

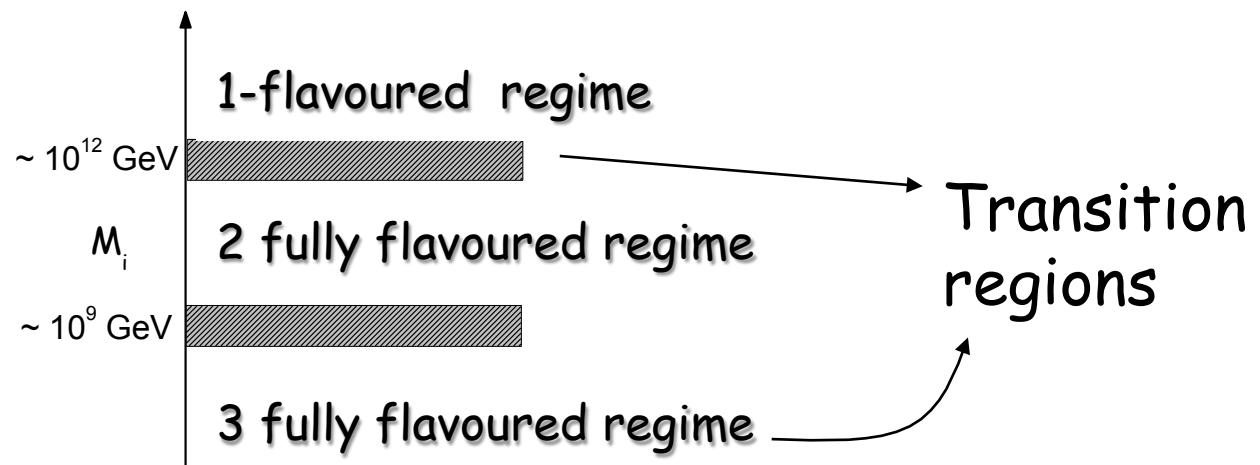
$$P_{1\alpha} \equiv |\langle l_1 | \alpha \rangle|^2$$

$$|\bar{l}'_1\rangle = \sum_{\alpha} \langle l_{\alpha} | \bar{l}'_1 \rangle |\bar{l}_{\alpha}\rangle$$

$$\bar{P}_{1\alpha} \equiv |\langle \bar{l}'_1 | \bar{\alpha} \rangle|^2$$

For  $T \gtrsim 10^{12} \text{ GeV} \Rightarrow \tau$ -Yukawa interactions  $(\bar{l}_{L\tau} \phi f_{\tau\tau} e_{R\tau})$   
 are fast enough to break the coherent evolution of  $|l_1\rangle$  and  $|\bar{l}'_1\rangle$   
 $\Rightarrow$  they become an incoherent mixture of a  $\tau$  and of a  $\mu+e$  component

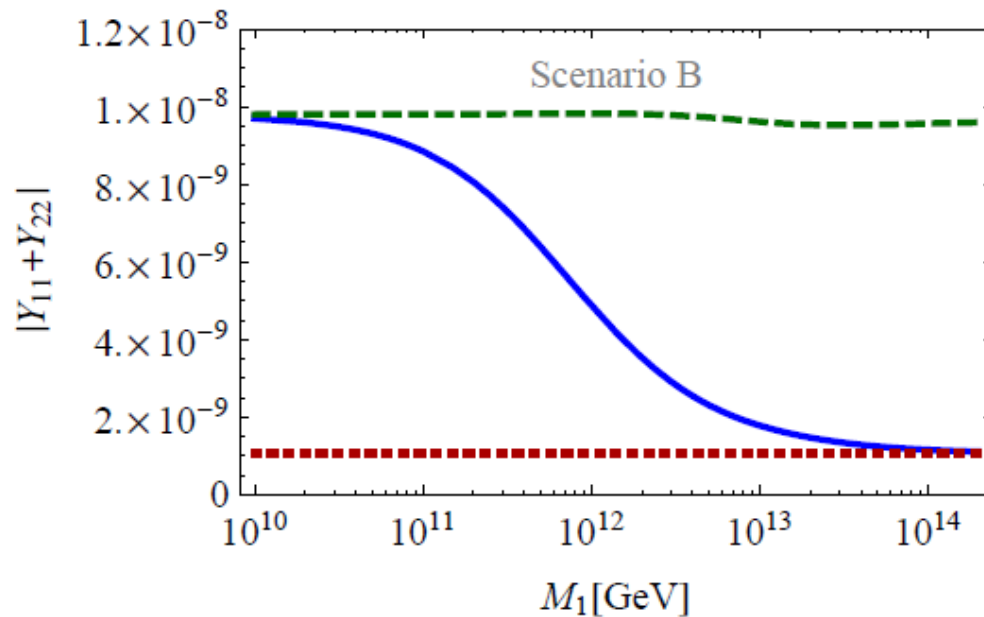
At  $T \gtrsim 10^9 \text{ GeV}$  then also  $\mu$ -Yukawas in equilibrium  $\Rightarrow$  3-flavor regime



# Density matrix and CTP formalism to describe the transition regimes

(De Simone, Riotto '06; Beneke, Gabrecht, Fidler, Herranen, Schwaller '10)

$$\frac{dY_{\alpha\beta}}{dz} = \frac{1}{szH(z)} \left[ (\gamma_D + \gamma_{\Delta L=1}) \left( \frac{Y_{N_1}}{Y_{N_1}^{\text{eq}}} - 1 \right) \epsilon_{\alpha\beta} - \frac{1}{2Y_{\ell}^{\text{eq}}} \left\{ \gamma_D + \gamma_{\Delta L=1}, Y \right\}_{\alpha\beta} \right] - \left[ \sigma_2 \text{Re}(\Lambda) + \sigma_1 |\text{Im}(\Lambda)| \right] Y_{\alpha\beta}$$



# Two fully flavoured regime

$$\begin{aligned}
 (\alpha = \tau, e+\mu) \quad P_{1\alpha} &\equiv |\langle l_\alpha | l_1 \rangle|^2 = P_{1\alpha}^0 + \Delta P_{1\alpha}/2 & (\sum_\alpha P_{1\alpha}^0 = 1) \\
 \bar{P}_{1\alpha} &\equiv |\langle \bar{l}_\alpha | \bar{l}'_1 \rangle|^2 = P_{1\alpha}^0 - \Delta P_{1\alpha}/2 & (\sum_\alpha \Delta P_{1\alpha} = 0)
 \end{aligned}$$

$$\Rightarrow \varepsilon_{1\alpha} \equiv -\frac{P_{1\alpha} \Gamma_1 - \bar{P}_{1\alpha} \bar{\Gamma}_1}{\Gamma_1 + \bar{\Gamma}_1} = P_{1\alpha}^0 \varepsilon_1 + \Delta P_{1\alpha}(\Omega, U)/2$$

- Classic Kinetic Equations (in their simplest form)

$$\frac{dN_{N_1}}{dz} = -D_1 (N_{N_1} - N_{N_1}^{\text{eq}})$$

$$\frac{dN_{\Delta_\alpha}}{dz} = -\varepsilon_{1\alpha} \frac{dN_{N_1}}{dz} - P_{1\alpha}^0 W_1 N_{\Delta_\alpha}$$

$$\Rightarrow N_{B-L} = \sum_\alpha N_{\Delta_\alpha} \quad (\Delta_\alpha \equiv B/3 - L_\alpha)$$

$$\Rightarrow N_{B-L}^{\text{fin}} = \sum_\alpha \varepsilon_{1\alpha} \kappa_{1\alpha}^{\text{fin}} \simeq 2 \varepsilon_1 \kappa_1^{\text{fin}} + \frac{\Delta P_{1\alpha}}{2} [\kappa^{\text{f}}(K_{1\alpha}) - \kappa^{\text{fin}}(K_{1\beta})]$$

Flavoured decay parameters:  $K_{i\alpha} \equiv p_{i\alpha}^0 K_i = \left| \sum_k \sqrt{\frac{m_k}{m_\star}} U_{\alpha k} \Omega_{ki} \right|^2$



# Additional contribution to CP violation:

(Nardi, Racker, Roulet '06)

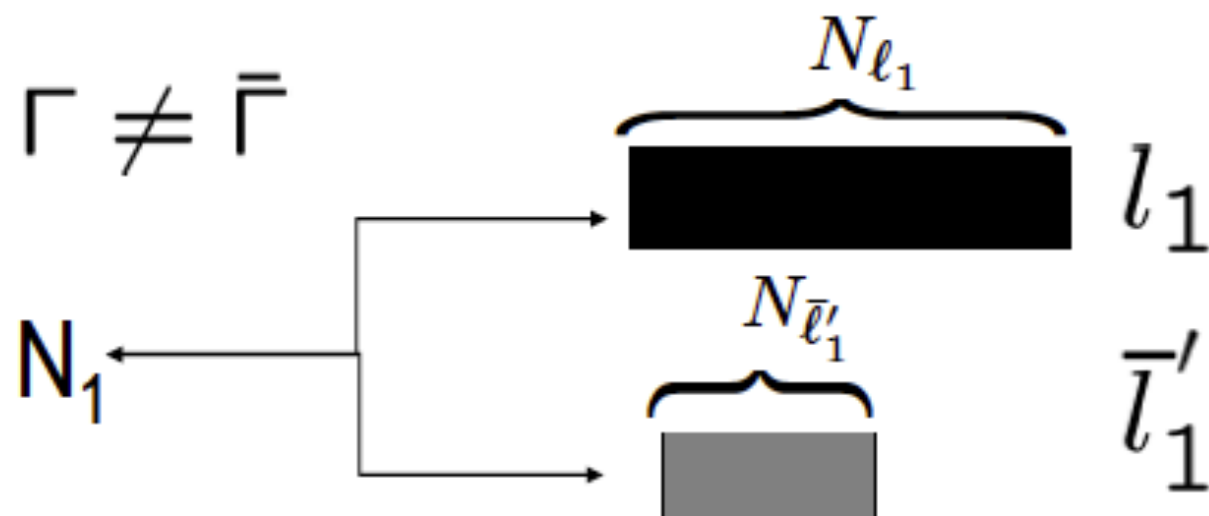
( $a = \tau, e+\mu$ )

$$\varepsilon_{1\alpha} = P_{1\alpha}^0 \varepsilon_1 + \frac{\Delta P_{1\alpha}}{2}$$

depends on U!

1)

$$\Gamma \neq \bar{\Gamma}$$

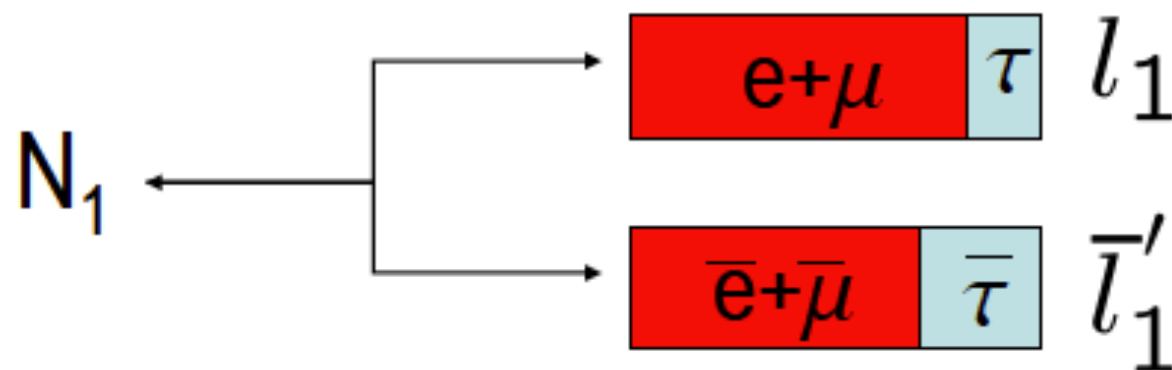


$$\Rightarrow P_{1\alpha}^0 \varepsilon_1$$

2)

$$|\bar{l}'_1\rangle \neq CP|l_1\rangle$$

+

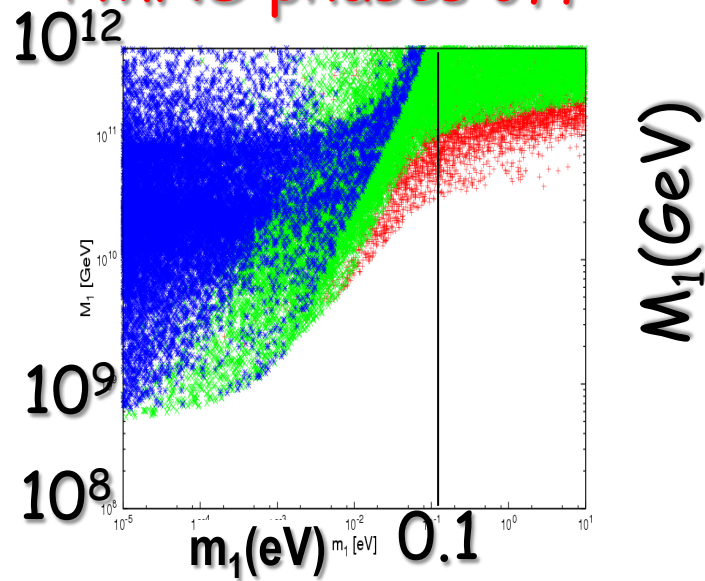
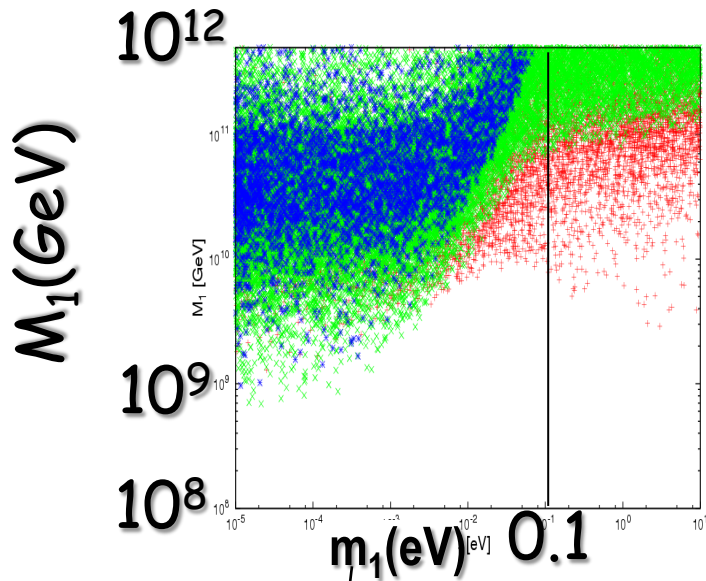


$$\Rightarrow \frac{\Delta P_{1\alpha}}{2}$$

# Upper bound on $m_1$

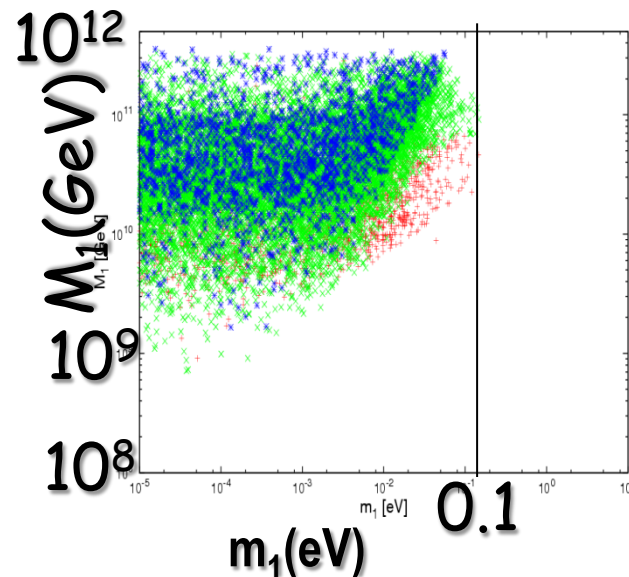
(Abada et al. '07; Blanchet,PDB,Raffelt;Blanchet,PDB '08)

PMNS phases off



$$M_1 \lesssim 10^{12} \text{ GeV}/W_1(T_B)$$

imposing a condition of validity of Boltzmann equations



# Heavy neutrino flavours: the $N_2$ -dominated scenario

(PDB '05)

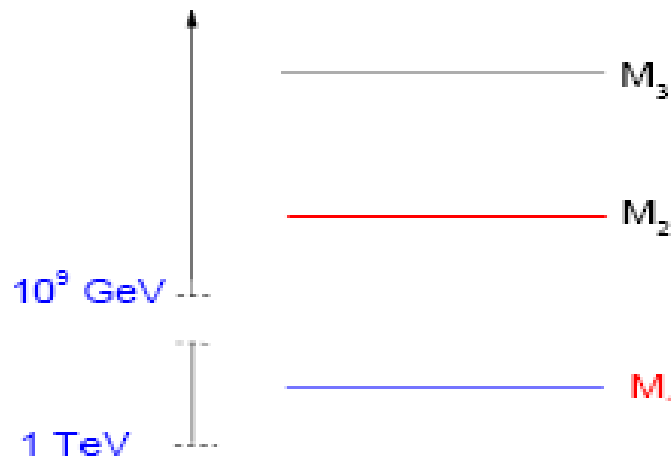
If light flavour effects are neglected the asymmetry from the next-to-lightest ( $N_2$ ) RH neutrinos is typically negligible:

$$N_{B-L}^{f, N_2} = \varepsilon_2 \kappa(K_2) e^{-\frac{3\pi}{8} K_1} \ll N_{B-L}^{f, N_1} = \varepsilon_1 \kappa(K_1)$$

...except for a special choice of  $\Omega = R_{23}$  when  $K_1 = m_1/m_* \ll 1$  and  $\varepsilon_1 = 0$ :

$$\Rightarrow N_{B-L}^{\text{fin}} = \sum_i \varepsilon_i \kappa_i^{\text{fin}} \simeq \varepsilon_2 \kappa_2^{\text{fin}} \quad \varepsilon_2 \lesssim 10^{-6} \left( \frac{M_2}{10^{10} \text{ GeV}} \right)$$

The lower bound on  $M_1$  disappears and is replaced by a lower bound on  $M_2$  ...  
that however still implies a lower bound on  $T_{\text{reh}}$ !

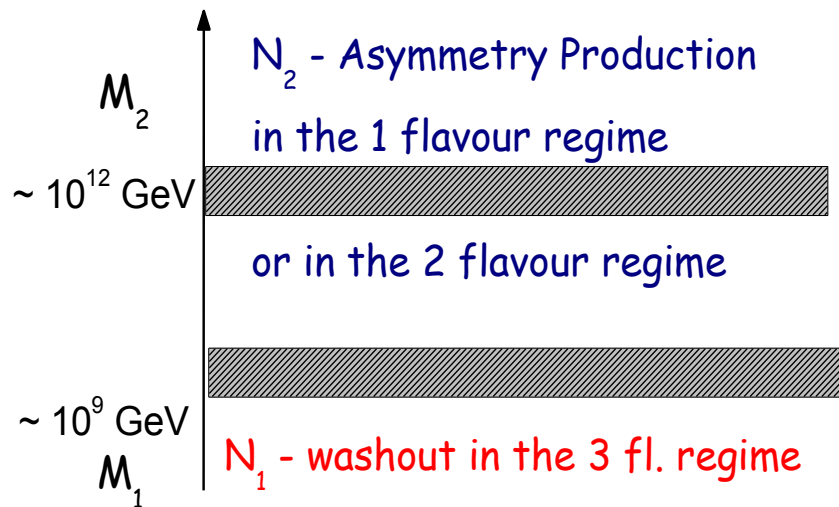


# $N_2$ -flavored leptogenesis

( Vives '05; Blanchet, PDB '06; Blanchet, PDB '08)

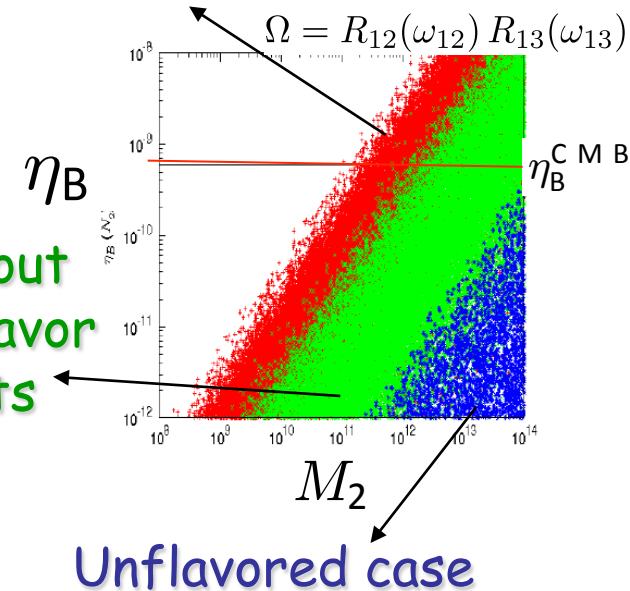
Combining together lepton and heavy neutrino flavour effects one has

A two stage process:



Wash-out is neglected

Both wash-out and flavor effects



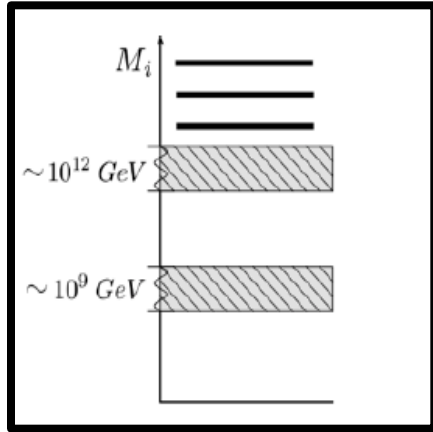
$$N_{B-L}^f(N_2) = P_{2e}^0 \varepsilon_2 \kappa(K_2) e^{-\frac{3\pi}{8} K_{1e}} + P_{2\mu}^0 \varepsilon_2 \kappa(K_2) e^{-\frac{3\pi}{8} K_{1\mu}} + P_{2\tau}^0 \varepsilon_2 \kappa(K_2) e^{-\frac{3\pi}{8} K_{1\tau}}$$

Notice that  $K_1 = K_{1e} + K_{1\mu} + K_{1\tau}$

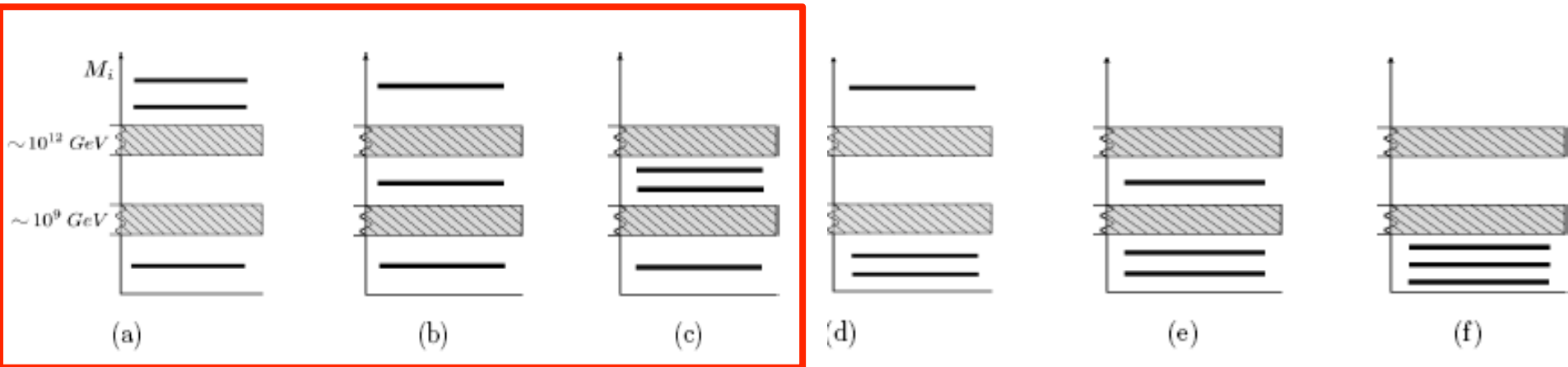
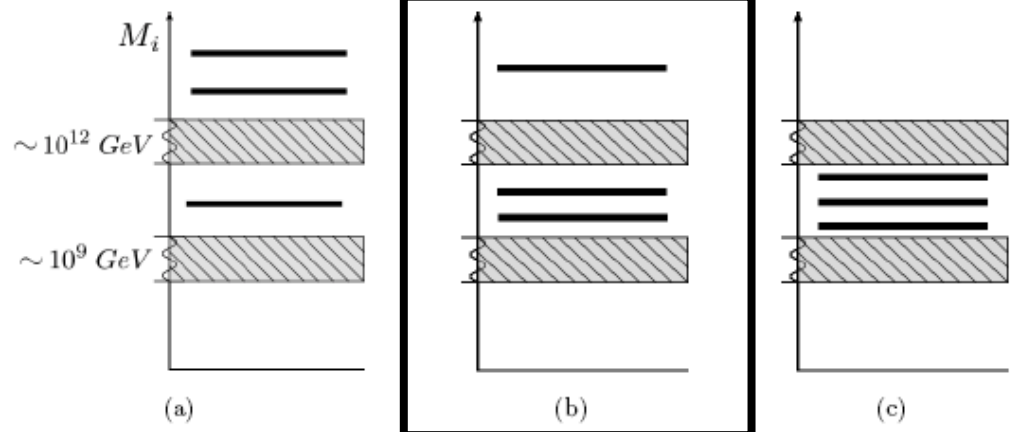
With flavor effects the domain of applicability goes much beyond the choice  $\Omega = R_{23}$

The existence of the heaviest RH neutrino  $N_3$  is necessary for the  $\varepsilon_{2\alpha}$  not to be negligible!

# Heavy neutrino flavored scenario



# 2 RH neutrino scenario



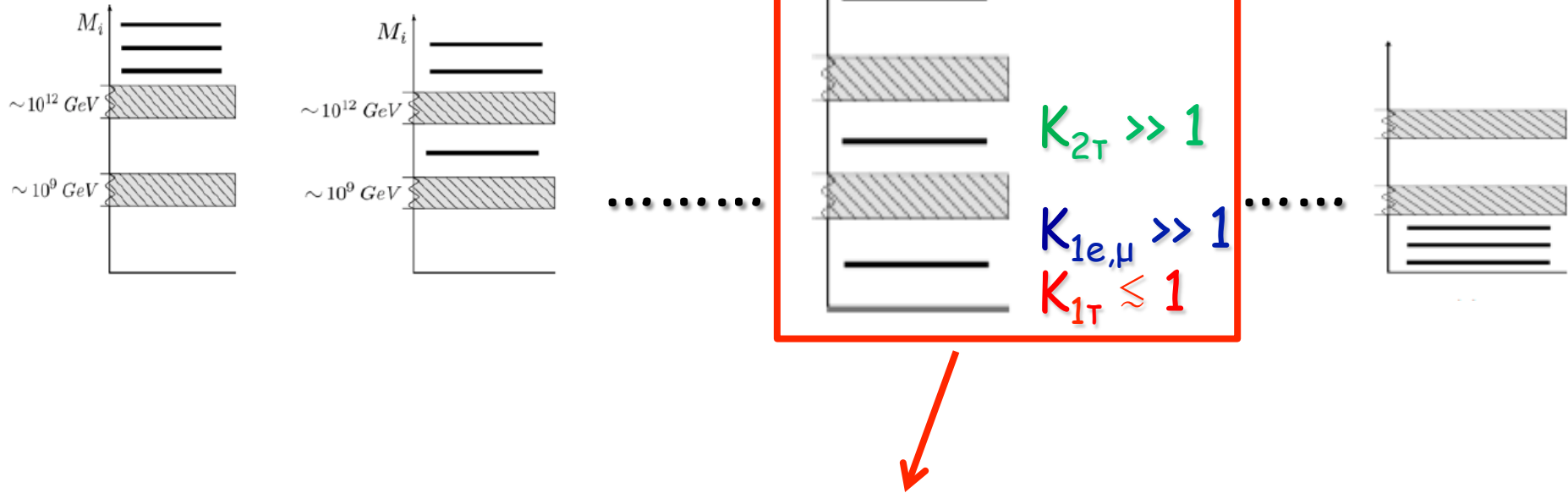
$N_2$ -dominated  
scenario

(Bertuzzo, PDB, Marzola '10)

Residual "pre-existing" asymmetry possibly generated by some external mechanism

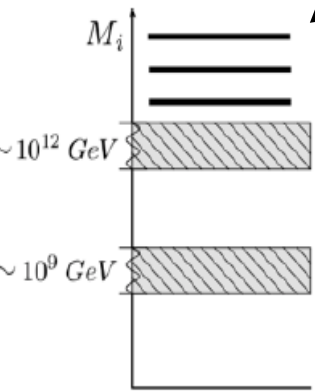
$$N_{B-L}^f = N_{B-L}^{p,f} + N_{B-L}^{\text{lep},f}$$

Asymmetry generated from leptogenesis

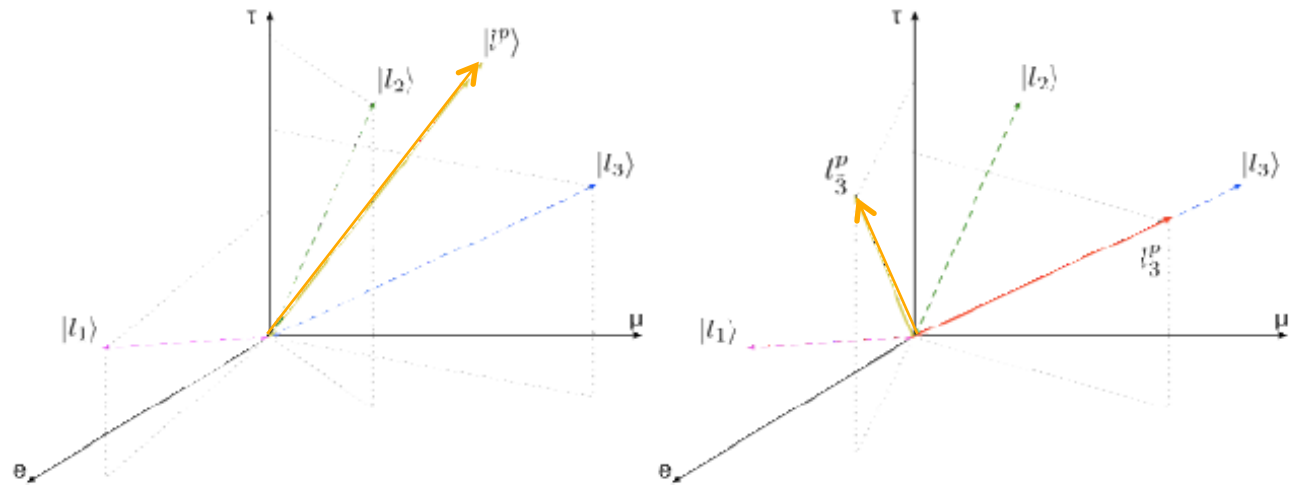


The conditions for the wash-out of a pre-existing asymmetry ('**strong thermal leptogenesis**') can be realised only within a  $N_2$ -dominated scenario where the final asymmetry is dominantly produced in the **tauon flavour**

Example: The heavy neutrino flavored scenario cannot satisfy the strong thermal leptogenesis condition

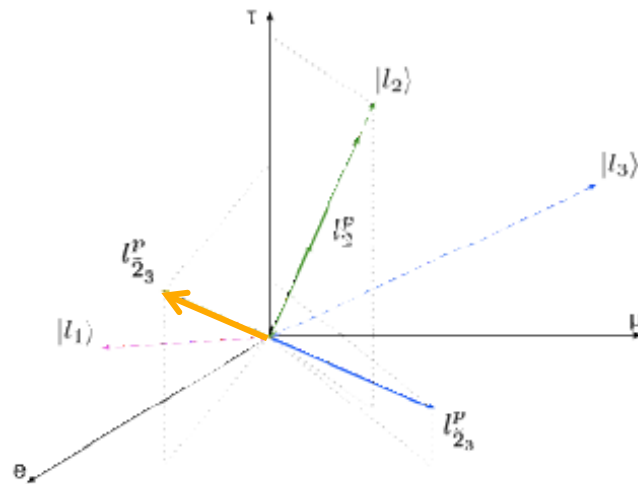


The pre-existing asymmetry (yellow) undergoes a 3 step flavour projection

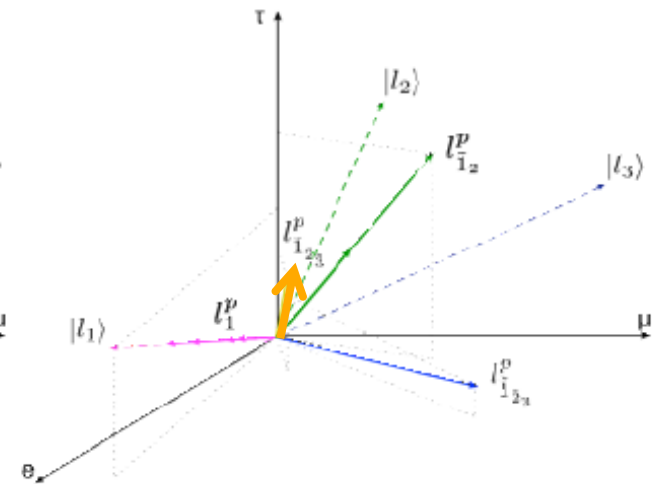


(a)  $T \gg M_3$

(b)  $T \sim M_3$



(c)  $T \sim M_2$



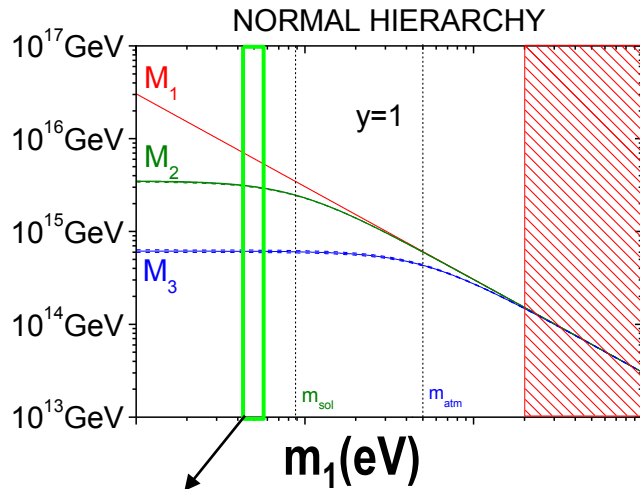
(d)  $T \sim M_1$



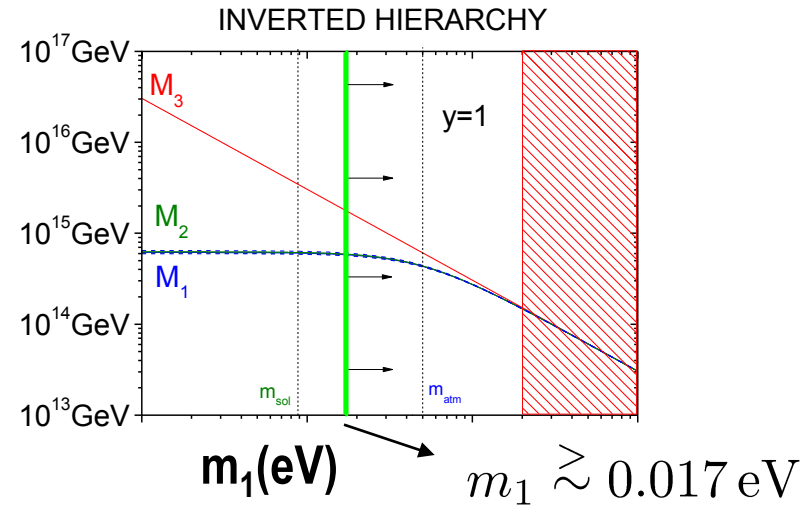
# Heavy flavoured scenario in models with A4 discrete flavour symmetry

(Manohar, Jenkins '08; Bertuzzo, PDB, Feruglio, Nardi '09; Hagedorn, Molinaro, Petcov '09)

$$m_i = \frac{y^2 v_u^2}{M_j}$$

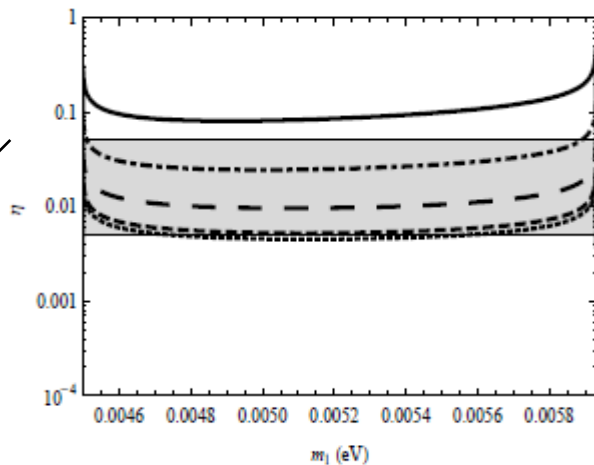


$$m_1 \simeq 5 \times 10^{-3} \text{ eV}$$

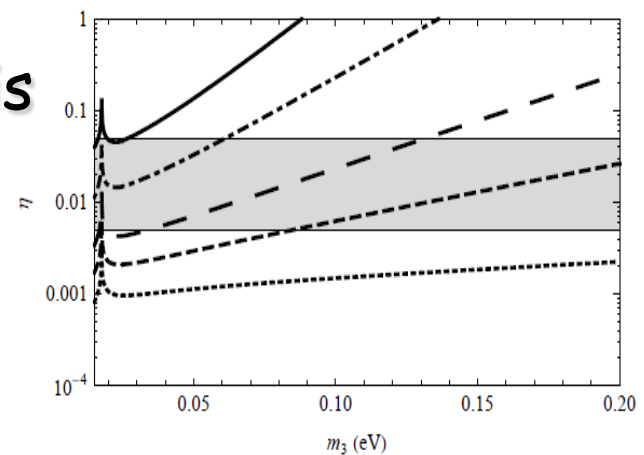


$$m_1 \gtrsim 0.017 \text{ eV}$$

imposing  
successful  
leptogenesis



$\eta$  → Symmetry  
Breaking  
parameter



The different lines correspond to values of  $y$  between 0.3 and 3

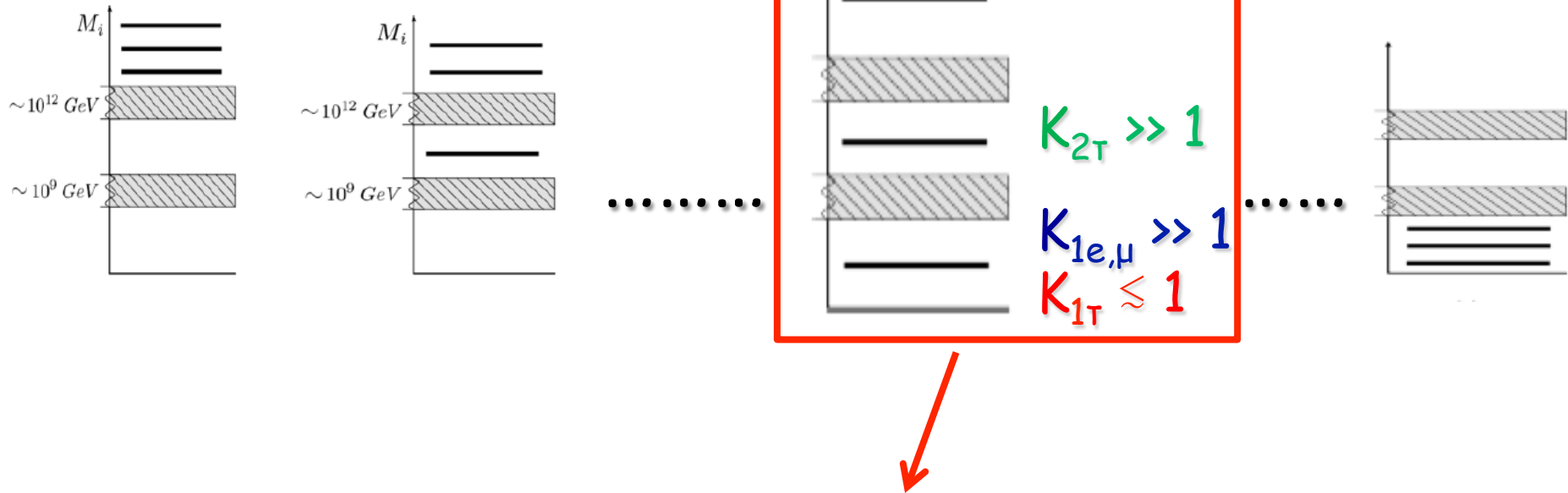


(Bertuzzo, PDB, Marzola '10)

Residual "pre-existing" asymmetry possibly generated by some external mechanism

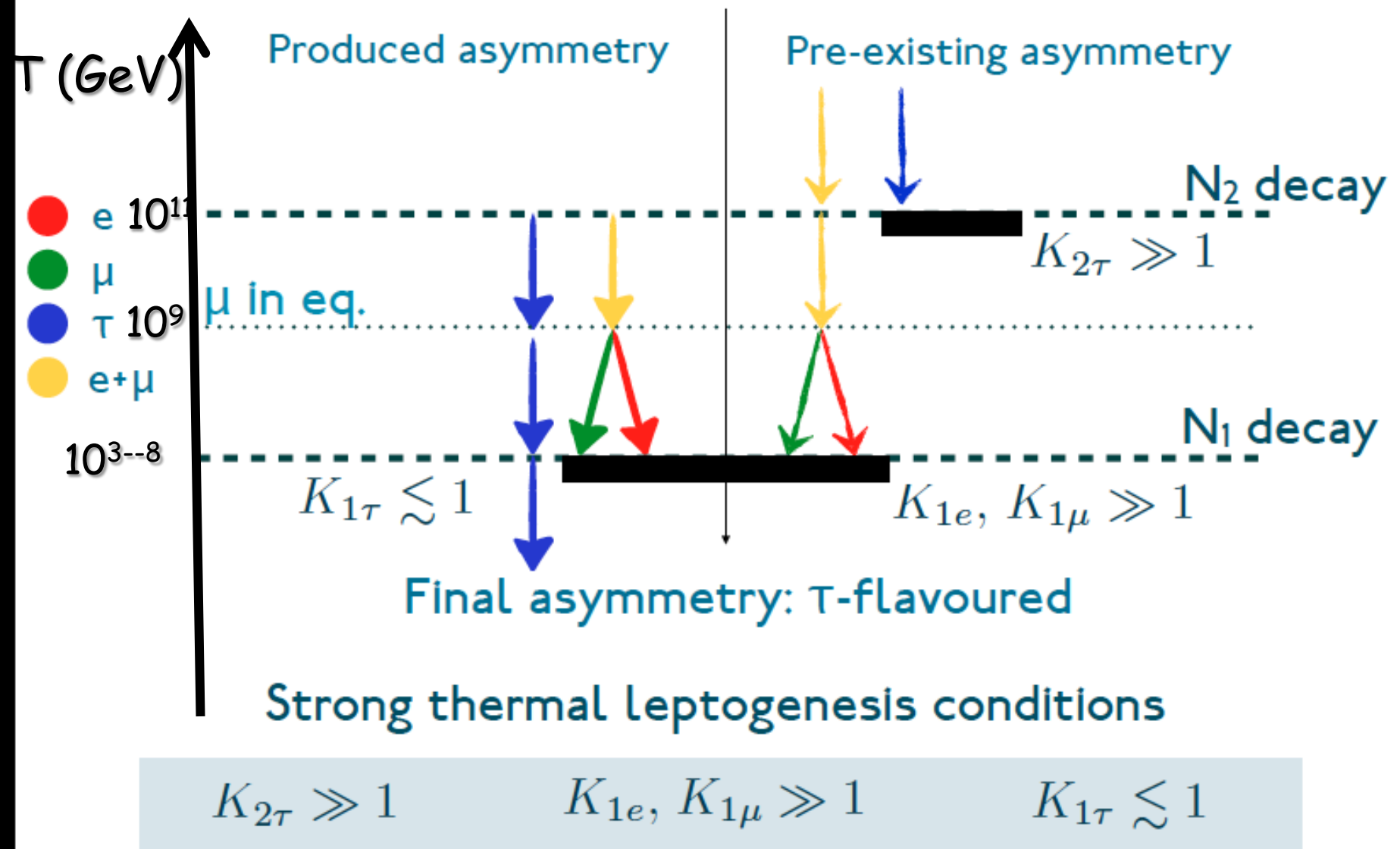
$$N_{B-L}^f = N_{B-L}^{p,f} + N_{B-L}^{\text{lep},f}$$

Asymmetry generated from leptogenesis



The conditions for the wash-out of a pre-existing asymmetry ('**strong thermal leptogenesis**') can be realised only within a  $N_2$ -dominated scenario where the final asymmetry is dominantly produced in the **tauon flavour**

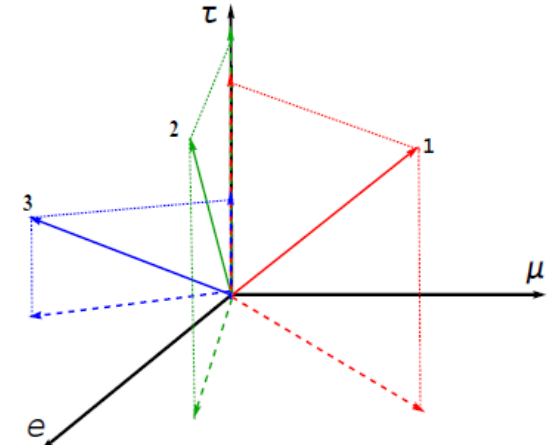
# How is STL realised? - A cartoon



# Density matrix formalism with heavy neutrino flavours

(Blanchet, PDB, Jones, Marzola '11)

For a thorough description of all neutrino mass patterns including transition regions and all effects (flavour projection, phantom leptogenesis,...) one needs a description in terms of a density matrix formalism. The result is a "monster" equation:



$$\begin{aligned}
 \frac{dN_{\alpha\beta}^{B-L}}{dz} = & \varepsilon_{\alpha\beta}^{(1)} D_1 (N_{N_1} - N_{N_1}^{\text{eq}}) - \frac{1}{2} W_1 \{ \mathcal{P}^{0(1)}, N^{B-L} \}_{\alpha\beta} \\
 & + \varepsilon_{\alpha\beta}^{(2)} D_2 (N_{N_2} - N_{N_2}^{\text{eq}}) - \frac{1}{2} W_2 \{ \mathcal{P}^{0(2)}, N^{B-L} \}_{\alpha\beta} \\
 & + \varepsilon_{\alpha\beta}^{(3)} D_3 (N_{N_3} - N_{N_3}^{\text{eq}}) - \frac{1}{2} W_3 \{ \mathcal{P}^{0(3)}, N^{B-L} \}_{\alpha\beta} \\
 & + i \text{Re}(\Lambda_\tau) \left[ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, N^{\ell+\bar{\ell}} \right]_{\alpha\beta} - \text{Im}(\Lambda_\tau) \left[ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \left[ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, N^{B-L} \right] \right]_{\alpha\beta} \\
 & + i \text{Re}(\Lambda_\mu) \left[ \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, N^{\ell+\bar{\ell}} \right]_{\alpha\beta} - \text{Im}(\Lambda_\mu) \left[ \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \left[ \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, N^{B-L} \right] \right]_{\alpha\beta} .
 \end{aligned} \tag{80}$$

# Strong thermal leptogenesis and the absolute neutrino mass scale

(PDB, Sophie King, Michele Re Fiorentin 2014)

Final asymmetry from leptogenesis

Phantom terms

$$\begin{aligned}
 N_{B-L}^{\text{lep,f}} \simeq & \left[ \frac{K_{2e}}{K_{2\tau_2^\perp}} \varepsilon_{2\tau_2^\perp} \kappa(K_{2\tau_2^\perp}) + \left( \varepsilon_{2e} - \frac{K_{2e}}{K_{2\tau_2^\perp}} \varepsilon_{2\tau_2^\perp} \right) \kappa(K_{2\tau_2^\perp}/2) \right] e^{-\frac{3\pi}{8} K_{1e}} + \\
 & + \left[ \frac{K_{2\mu}}{K_{2\tau_2^\perp}} \varepsilon_{2\tau_2^\perp} \kappa(K_{2\tau_2^\perp}) + \left( \varepsilon_{2\mu} - \frac{K_{2\mu}}{K_{2\tau_2^\perp}} \varepsilon_{2\tau_2^\perp} \right) \kappa(K_{2\tau_2^\perp}/2) \right] e^{-\frac{3\pi}{8} K_{1\mu}} + \\
 & + \varepsilon_{2\tau} \kappa(K_{2\tau}) e^{-\frac{3\pi}{8} K_{1\tau}},
 \end{aligned}$$

Relic value of the pre-existing asymmetry:

$$\begin{aligned}
 N_{\Delta\tau}^{\text{p,f}} &= (p_{\text{p}\tau}^0 + \Delta p_{\text{p}\tau}) e^{-\frac{3\pi}{8} (K_{1\tau} + K_{2\tau})} N_{B-L}^{\text{p,i}}, \\
 N_{\Delta\mu}^{\text{p,f}} &= \left\{ (1 - p_{\text{p}\tau}^0) \left[ p_{\mu\tau_2^\perp}^0 p_{\text{p}\tau_2^\perp}^0 e^{-\frac{3\pi}{8} (K_{2e} + K_{2\mu})} + (1 - p_{\mu\tau_2^\perp}^0) (1 - p_{\text{p}\tau_2^\perp}^0) \right] + \Delta p_{\text{p}\mu} \right\} e^{-\frac{3\pi}{8} K_{1\mu}} N_{B-L}^{\text{p,i}}, \\
 N_{\Delta e}^{\text{p,f}} &= \left\{ (1 - p_{\text{p}\tau}^0) \left[ p_{e\tau_2^\perp}^0 p_{\text{p}\tau_2^\perp}^0 e^{-\frac{3\pi}{8} (K_{2e} + K_{2\mu})} + (1 - p_{e\tau_2^\perp}^0) (1 - p_{\text{p}\tau_2^\perp}^0) \right] + \Delta p_{\text{p}e} \right\} e^{-\frac{3\pi}{8} K_{1e}} N_{B-L}^{\text{p,i}}.
 \end{aligned} \tag{18}$$

Successful strong thermal leptogenesis then requires:

$$K_{1e}, K_{1\mu} \gtrsim K_{\text{st}}(N_{\Delta_{e,\mu}}^{\text{p,i}}), \quad K_{2\tau} \gtrsim K_{\text{st}}(N_{\Delta\tau}^{\text{p,i}}), \quad K_{1\tau} \lesssim 1.$$

# A lower bound on neutrino masses

(PDB, Sophie King, Michele Re Fiorentin 2014)

Assume first NORMAL ORDERING

Flavoured decay  
parameters:

$$K_{i\beta} \equiv p_{i\beta}^0 K_i = \left| \sum_k \sqrt{\frac{m_k}{m_\star}} U_{\beta k} \Omega_{ki} \right|^2$$

$$K_{1\tau} = \left| \sqrt{\frac{m_1}{m_\star}} U_{\tau 1} \Omega_{11} + \sqrt{\frac{m_2}{m_\star}} U_{\tau 2} \Omega_{21} + \sqrt{\frac{m_3}{m_\star}} U_{\tau 3} \Omega_{31} \right|^2 \lesssim \mathbf{1}$$

$$m_1 \lesssim m_{\text{sol}} \Rightarrow \sqrt{\frac{m_{\text{atm}}}{m_\star}} U_{\tau 3} \Omega_{31} = -\sqrt{\frac{m_1}{m_\star}} U_{\tau 1} \Omega_{11} - \sqrt{\frac{m_{\text{sol}}}{m_\star}} U_{\tau 2} \Omega_{21} + \sqrt{K_{1\tau}} e^{i\varphi}$$

Defining:  $K_{1\alpha}^0 \equiv K_{1\alpha}(m_1 = 0)$  and  $\varphi_0$  such that

$$\sqrt{K_{1\alpha}^0} e^{i\varphi_0} \equiv \Omega_{21} \sqrt{\frac{m_{\text{sol}}}{m_\star}} \left( U_{\alpha 2} - \frac{U_{\tau 2}}{U_{\tau 3}} U_{\alpha 3} \right) + \frac{U_{\alpha 3}}{U_{\tau 3}} \sqrt{K_{1\tau}} e^{i\varphi}$$

For  $\alpha = e, \mu$  we obtain

$$K_{1\alpha} = \left| \Omega_{11} \sqrt{\frac{m_1}{m_\star}} \left( U_{\alpha 1} - \frac{U_{\tau 1}}{U_{\tau 3}} U_{\alpha 3} \right) + \sqrt{K_{1\alpha}^0} e^{i\varphi_0} \right|^2 > K_{\text{st}}(N_{\Delta\alpha}^{\text{p,i}})$$

# A lower bound on neutrino masses

(PDB, Sophie King, Michele Re Fiorentin 2014)

One then easily finds (NO)

$$m_1 > m_1^{\text{lb}} \equiv m_\star \max_\alpha \left[ \left( \frac{\sqrt{K_{\text{st}}} - \sqrt{K_{1\alpha}^{0,\text{max}}}}{\max[|\Omega_{11}|] \left| U_{\alpha 1} - \frac{U_{\tau 1}}{U_{\tau 3}} U_{\alpha 3} \right|} \right)^2 \right]$$

$$K_{1\alpha}^{0,\text{max}} \equiv \left( \max[|\Omega_{21}|] \sqrt{\frac{m_{\text{sol}}}{m_\star}} \left| U_{\alpha 2} - \frac{U_{\tau 2}}{U_{\tau 3}} U_{\alpha 3} \right| + \left| \frac{U_{\alpha 3}}{U_{\tau 3}} \right| \sqrt{K_{1\tau}^{\text{max}}} \right)^2$$

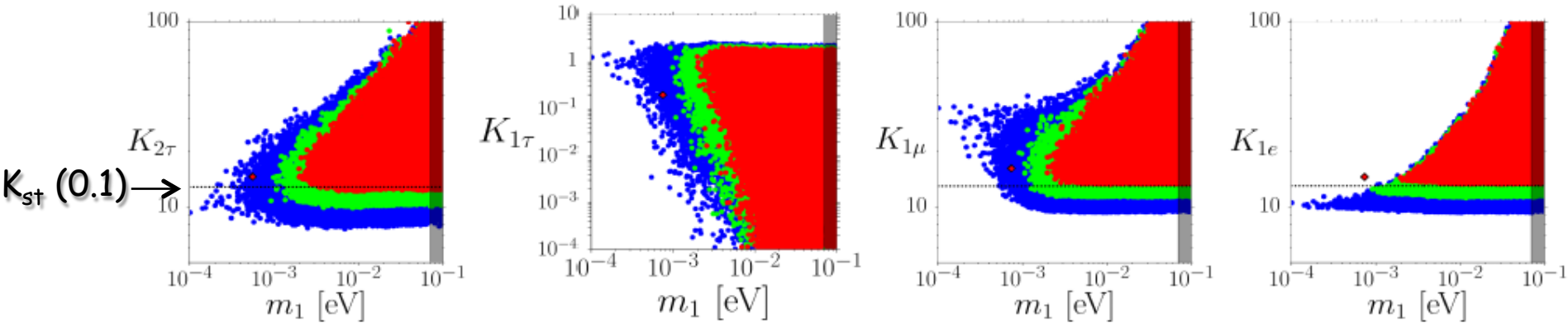
The lower bound exists only if either for the muonic flavour or for the electronic (or for both) the value of  $K_{1\alpha}^{0,\text{max}}$  is smaller than  $K_{\text{st}}$ : **this indeed happens for the electronic flavour for NO and for the muonic flavour for IO but only if  $\max[|\Omega_{21}|]$  is not too large**

# A lower bound on neutrino masses

$$N_{B-L}^{P,i} = 0.001, 0.01, 0.1$$

NORMAL ORDERING

$$\max[|\Omega_{21}^2|] = 2$$

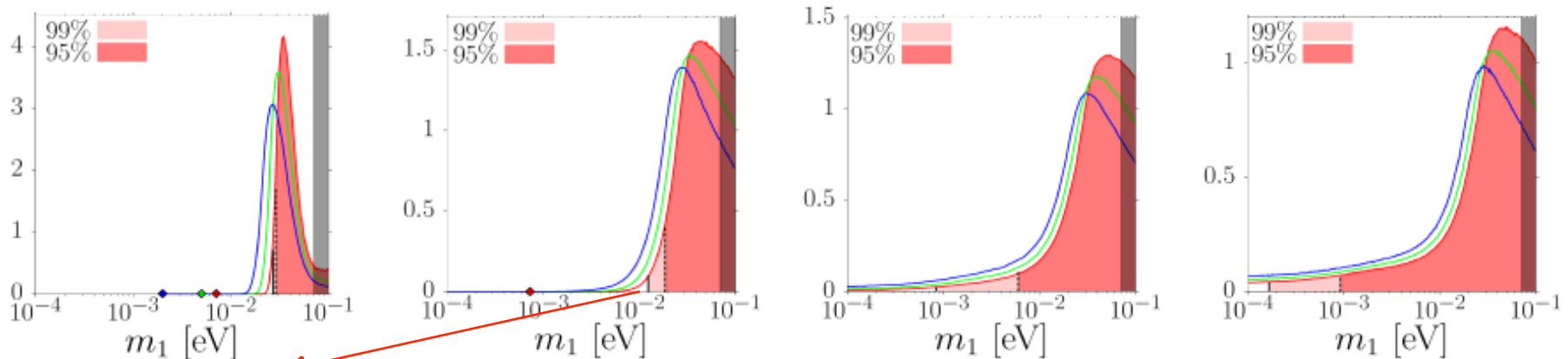


$$\max[|\Omega_{21}^2|] = 1$$

$$\max[|\Omega_{21}^2|] = 2$$

$$\max[|\Omega_{21}^2|] = 5$$

$$\max[|\Omega_{21}^2|] = 10$$



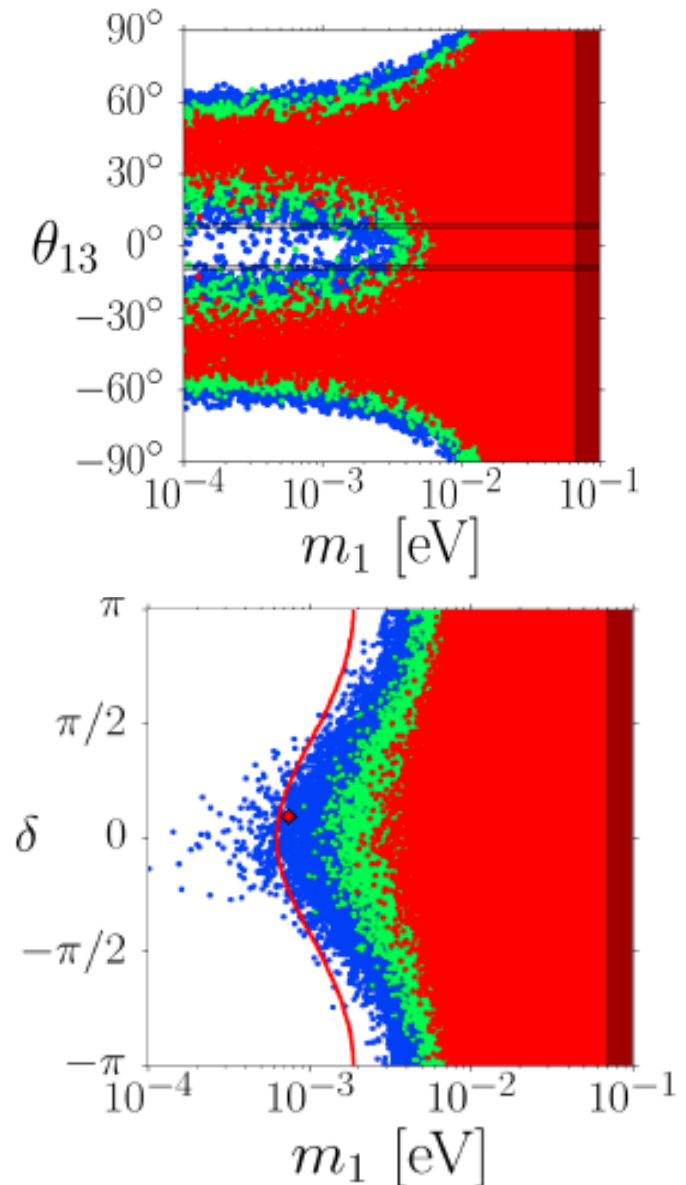
$m_1 \gtrsim 10 \text{ meV} \Rightarrow \sum_i m_i \gtrsim 75 \text{ meV}$  (to be compared with 60 meV)



# A lower bound on neutrino masses

The lower bound would not have existed for large  $\theta_{13}$  values

It is modulated by the Dirac phase and it could become more stringent when  $\delta$  will be measured

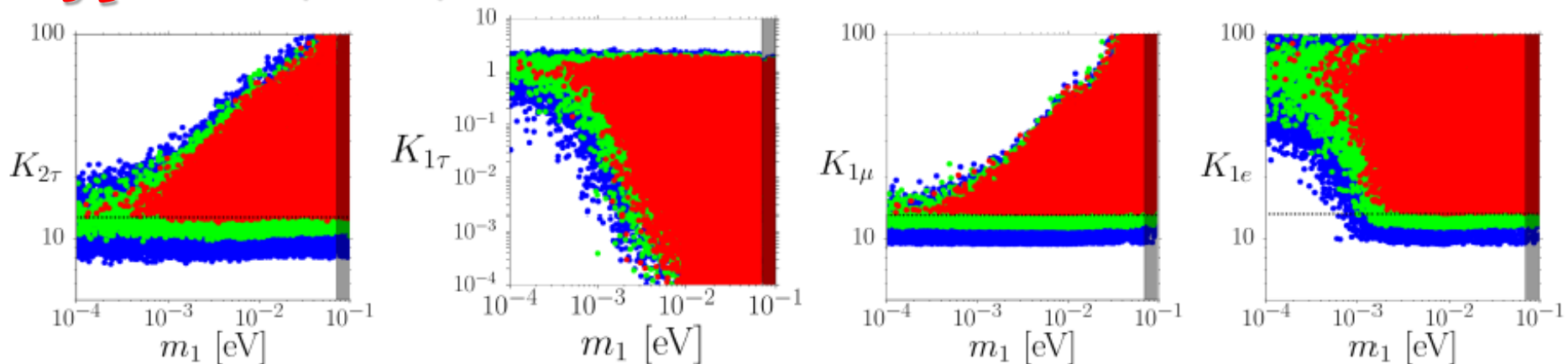




# A lower bound on neutrino masses (IO)

(NO  $\rightarrow$  IO  $\Rightarrow$  analytically:  $m_{\text{sol}} \rightarrow m_{\text{atm}}$ ,  $1 \rightarrow 2$ ,  $2 \rightarrow 3$ ,  $3 \rightarrow 1$ )

$N_{B-L}^{P,i} = 0.001, 0.01, 0.1$   $\max[|\Omega_{21}^2|] = 2$  **INVERTED ORDERING**

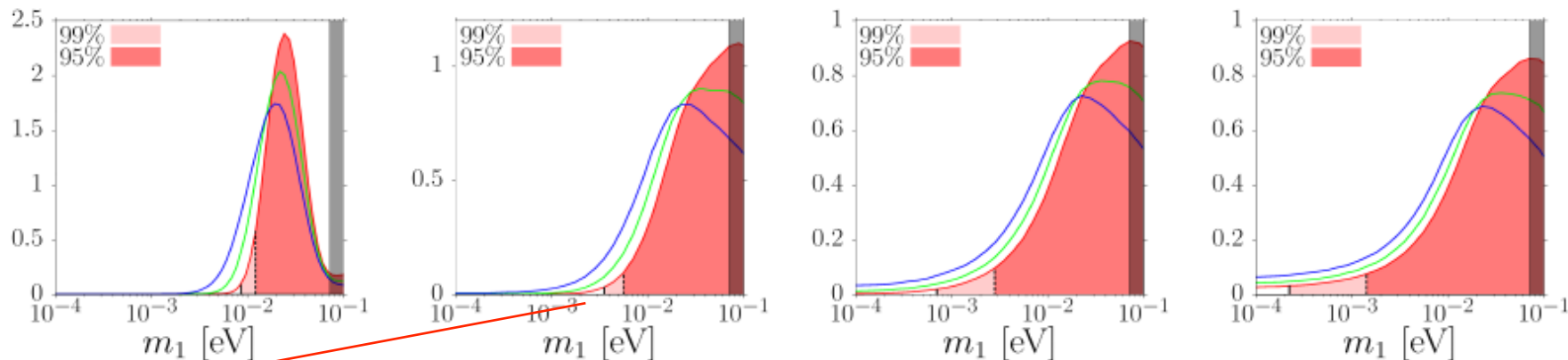


$\max[|\Omega_{21}^2|] = 1$

$\max[|\Omega_{21}^2|] = 2$

$\max[|\Omega_{21}^2|] = 5$

$\max[|\Omega_{21}^2|] = 10$



$m_1 \gtrsim 3 \text{ meV} \Rightarrow \sum_i m_i \gtrsim 100 \text{ meV}$  (not necessarily deviation from HL)

Neutrino masses:  $m_1 < m_2 < m_3$

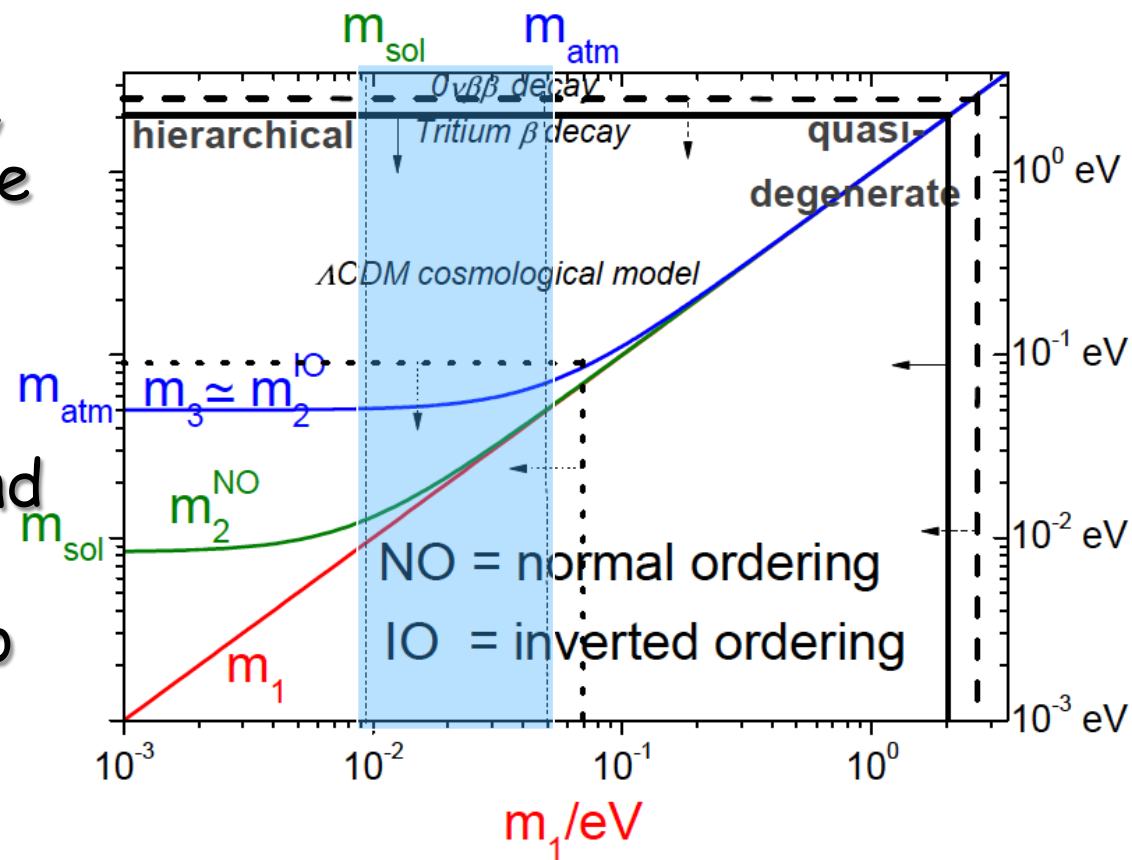
neutrino mixing data

2 possible schemes: **normal** or **inverted**

$$m_3^2 - m_2^2 = \Delta m_{\text{atm}}^2 \text{ or } \Delta m_{\text{sol}}^2 \quad m_{\text{atm}} \equiv \sqrt{\Delta m_{\text{atm}}^2 + \Delta m_{\text{sol}}^2} \simeq 0.05 \text{ eV}$$

$$m_2^2 - m_1^2 = \Delta m_{\text{sol}}^2 \quad \text{or} \quad \Delta m_{\text{atm}}^2 \quad m_{\text{sol}} \equiv \sqrt{\Delta m_{\text{sol}}^2} \simeq 0.009 \text{ eV}$$

If STL with NO and Planck bound are correct, then neutrino masses have to fall into the "partial hierarchical" window: necessary to solve the ambiguity between NO and IO with neutrino oscillation experiments to extract  $m_1$  and test STL



# SO(10)-inspired leptogenesis

( Branco et al. '02; Nezri, Orloff '02; Akhmedov, Frigerio, Smirnov '03)

Expressing the **neutrino Dirac mass matrix**  $m_D$  (in the basis where the Majorana mass and charged lepton mass matrices are diagonal) as:

$$m_D = V_L^\dagger D_{m_D} U_R$$

$$D_{m_D} = \text{diag}\{m_{D1}, m_{D2}, m_{D3}\}$$

SO(10) inspired conditions (also realized beyond SO(10) or GUT models!):

$$m_{D1} = \alpha_1 m_u, m_{D2} = \alpha_2 m_c, m_{D3} = \alpha_3 m_t, (\alpha_i = \mathcal{O}(1))$$

$$V_L \simeq V_{CKM} \simeq I$$

From the seesaw formula one can express:

$$U_R = U_R(U, m_i; \alpha_i, V_L), M_i = M_i(U, m_i; \alpha_i, V_L) \Rightarrow \eta_B = \eta_B(U, m_i; \alpha_i, V_L)$$

one typically obtains (barring fine-tuned 'crossing level' solutions):

$$M_1 \gg \alpha_1^2 10^5 \text{ GeV}, M_2 \gg \alpha_2^2 10^{10} \text{ GeV}, M_3 \gg \alpha_3^2 10^{15} \text{ GeV}$$

$$\text{since } M_1 \ll 10^9 \text{ GeV} \Rightarrow \eta_B(N_1) \ll \eta_B^{\text{CMB}} !$$

$\Rightarrow$ ...realizes the  $N_2$ -dominated scenario and also...

# Strong thermal SO(10)-inspired solution

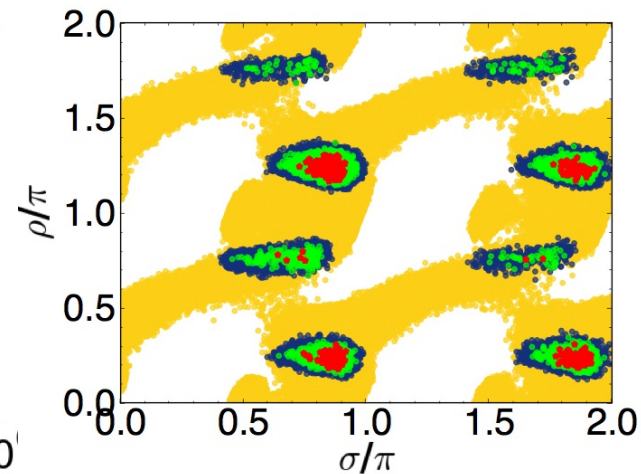
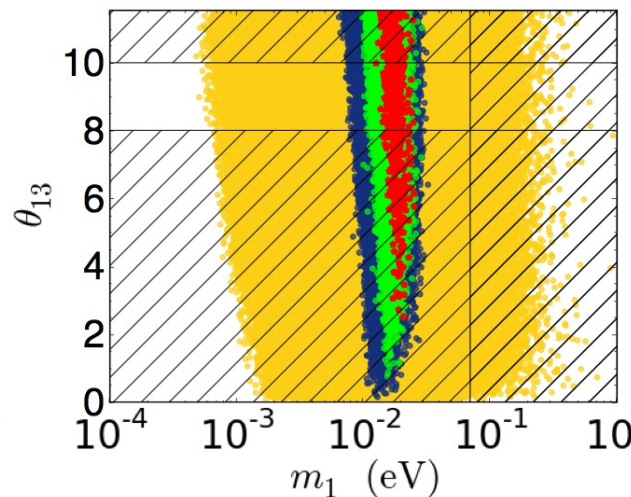
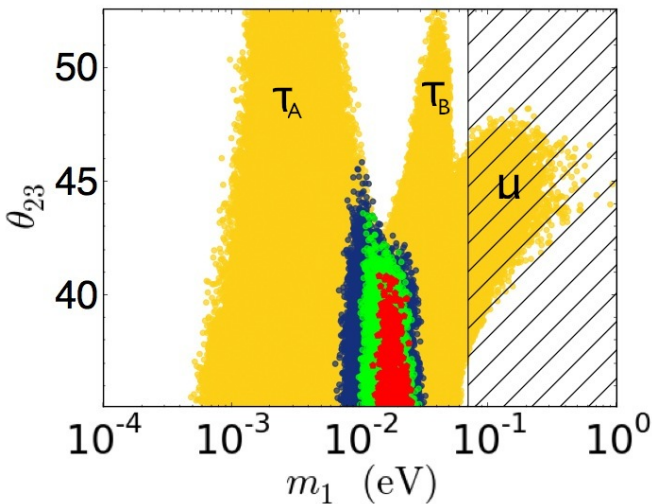
- successful leptogenesis can be attained ( $\eta_B = \eta_B^{\text{CMB}}$ ) for some allowed regions in the space of low energy neutrino parameters (see-saw is overconstrained!): **YELLOW REGIONS**. This happens because  $\alpha_1$  and  $\alpha_3$  cancel out in the calculation of the asymmetry

(PDB, Marzola '11-'12)

- the **strong thermal leptogenesis** condition can be also satisfied for a subset of the solutions (**red, green, blue** regions)

(PDB, Marzola '11-'12)

$\alpha_2=5$  NORMAL ORDERING  $N_{B-L}^{P,i} = 0.001, 0.01, 0.1$   $I \leq V_L \leq V_{\text{CKM}}$



For IO marginal allowed solutions but not satisfying strong thermal!



# Wash-out of a pre-existing asymmetry in $SO(10)$ -inspired leptogenesis

(PDB, Marzola '11)

$$N_{B-L}^f = N_{B-L}^{p,f} + N_{B-L}^{\text{lep},f},$$

Imposing successful strong thermal leptogenesis condition:

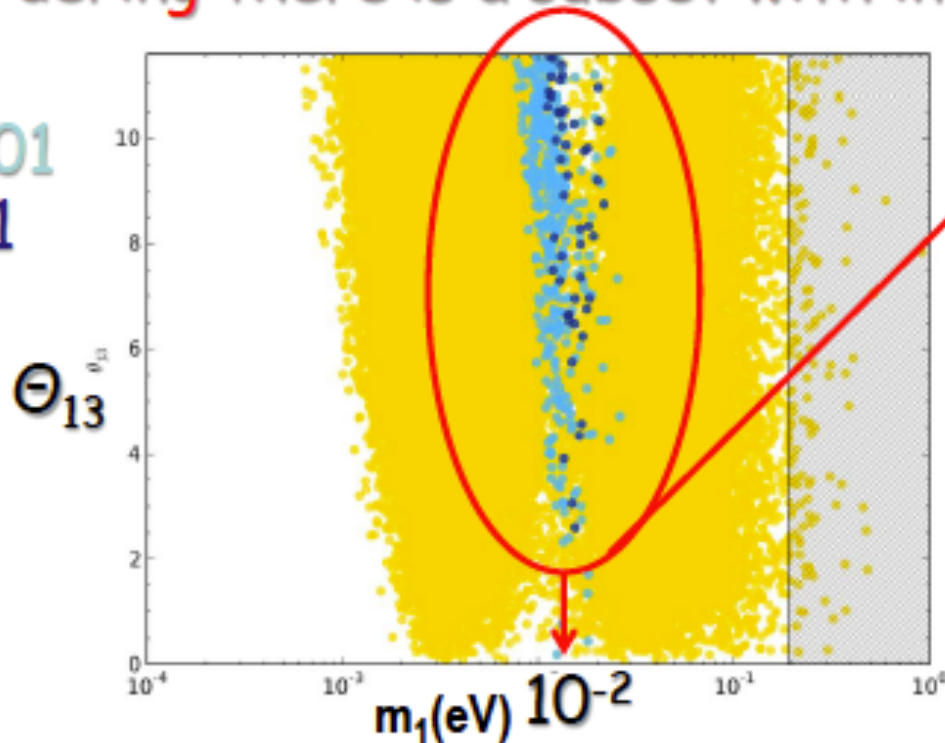
$$N_{B-L}^f = N_{B-L}^p + N_{B-L}^{\text{lep}}, \quad |N_{B-L}^p| \ll N_{B-L}^{\text{lep}} \simeq 100 \eta_B^{CMB}$$

NO Solutions for Inverted Ordering, while for Normal Ordering there is a subset with interesting predictions:

$$N_{B-L}^{p,f} = 0$$

$$0.001$$

$$0.01$$



Non-vanishing  $\theta_{13}$

Talk at the DESY  
theory workshop  
28/9/11

# SO(10)-inspired+strong thermal leptogenesis

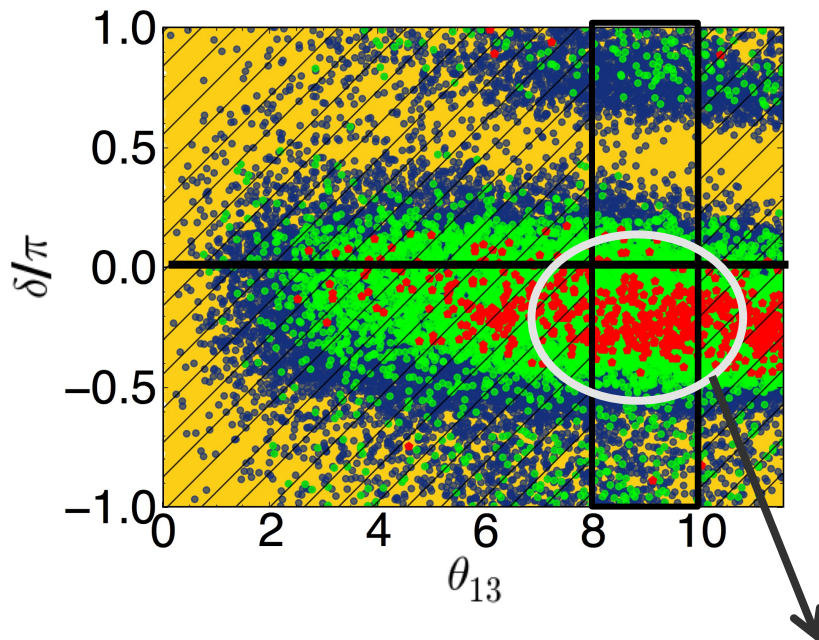
(PDB, Marzola '11-'12)

Imposing successful strong thermal leptogenesis condition:

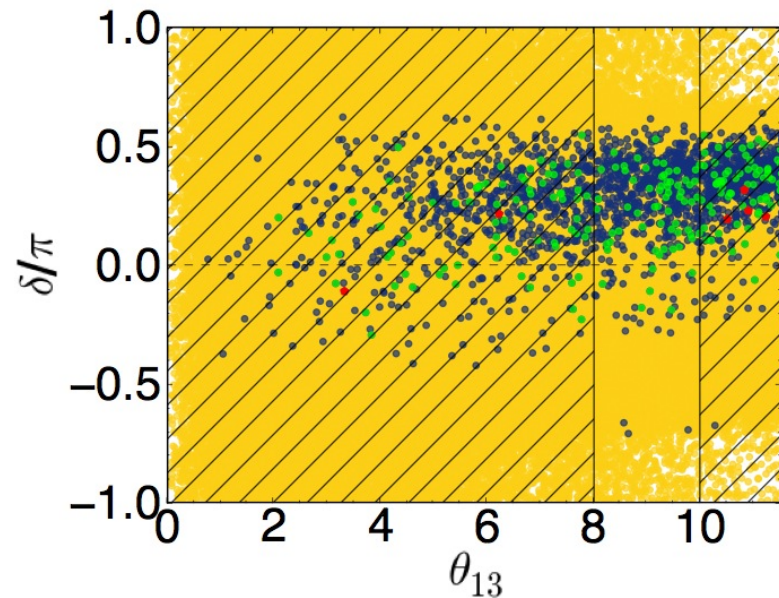
$$N_{B-L}^f = N_{B-L}^p + N_{B-L}^{\text{lep}}, \quad |N_{B-L}^p| \ll N_{B-L}^{\text{lep}} \simeq 100 \eta_B^{\text{CMB}}$$

Link between the sign of  $J_{\text{CP}}$  and the sign of the asymmetry

$$\eta_B = \eta_B^{\text{CMB}}$$



$$\eta_B = -\eta_B^{\text{CMB}}$$



A Dirac phase  $\delta \sim -45^\circ$  is favoured for large  $\theta_{13}$

# SO(10)-inspired+strong thermal leptogenesis

(PDB, Marzola '11-'12)

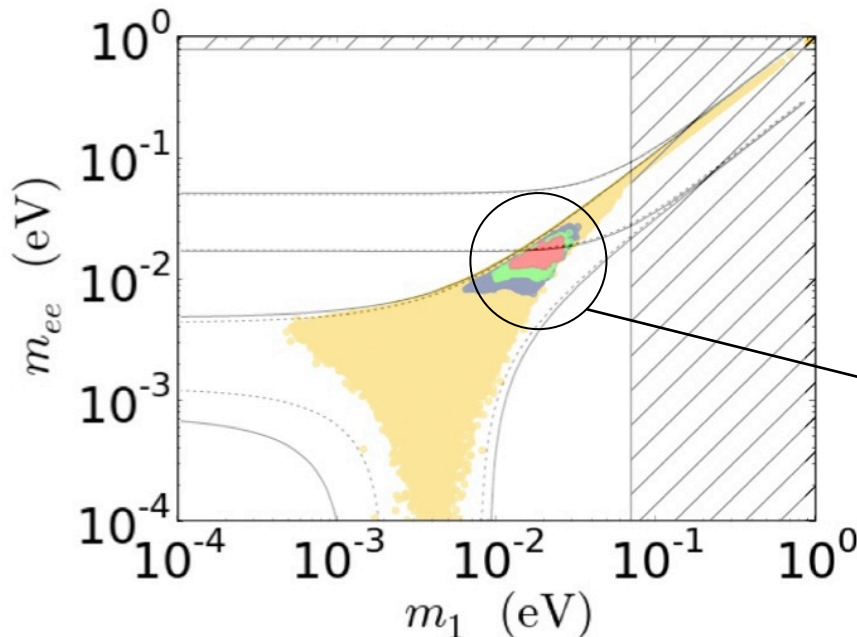
$$N_{B-L}^f = N_{B-L}^{p,f} + N_{B-L}^{\text{lep},f},$$

$$N_{B-L}^f = N_{B-L}^p + N_{B-L}^{\text{lep}}, \quad |N_{B-L}^p| \ll N_{B-L}^{\text{lep}} \simeq 100 \eta_B^{CMB}$$

Sharp predictions on the absolute neutrino mass scales

$$N_{B-L} = \begin{matrix} 0 \\ 0.001 \\ 0.01 \\ 0.1 \end{matrix}$$

$$\alpha_2 = 5$$



$$m_{ee} \simeq 0.8 m_1 \simeq 15 \text{ meV}$$

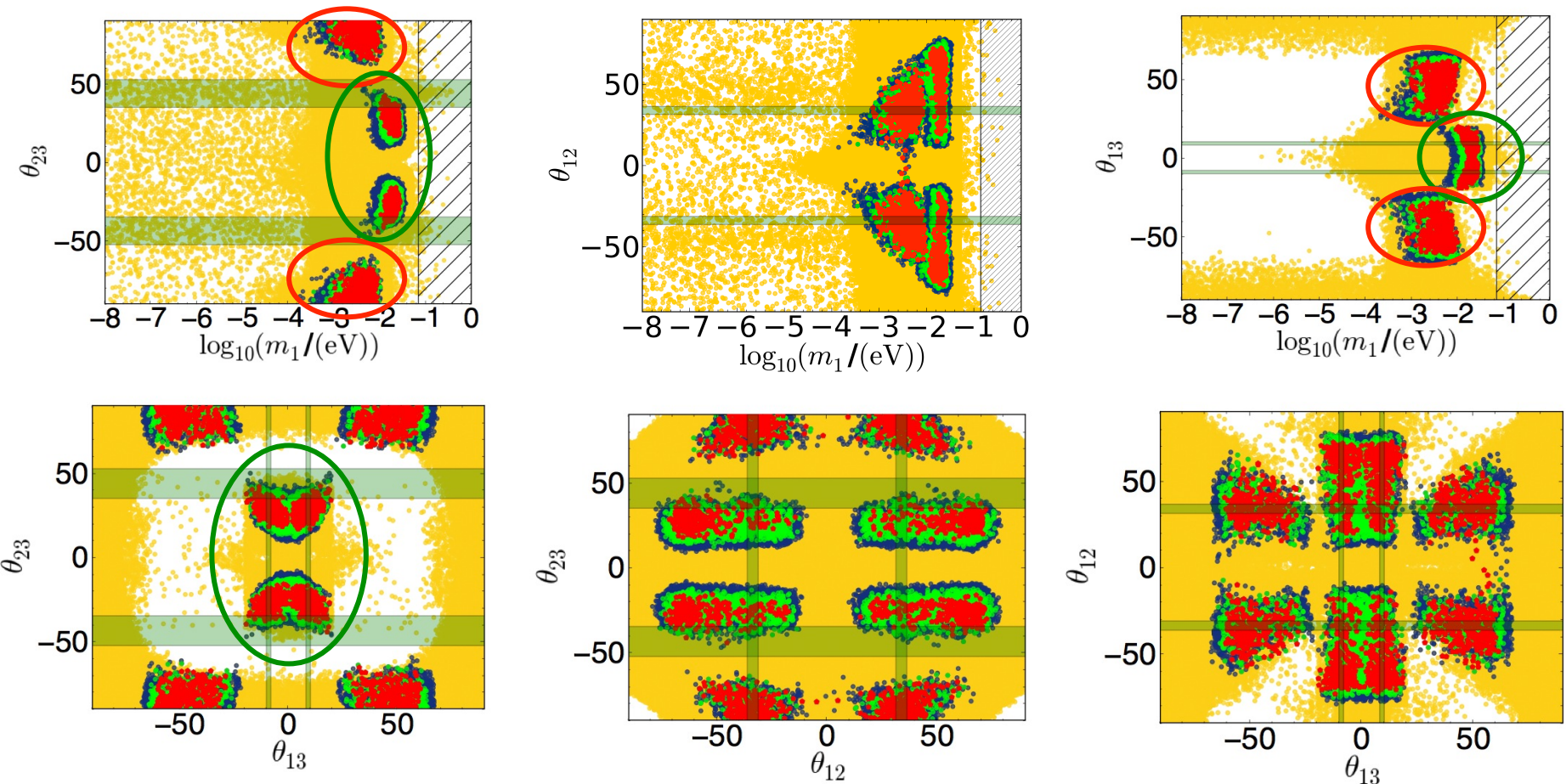
→ Testable



# Strong thermal SO(10)-inspired leptogenesis: on the right track?

(PDB, Marzola '13)

If we do not plug any experimental information (mixing angles left completely free) : 1 **excluded** + 1 **allowed** region



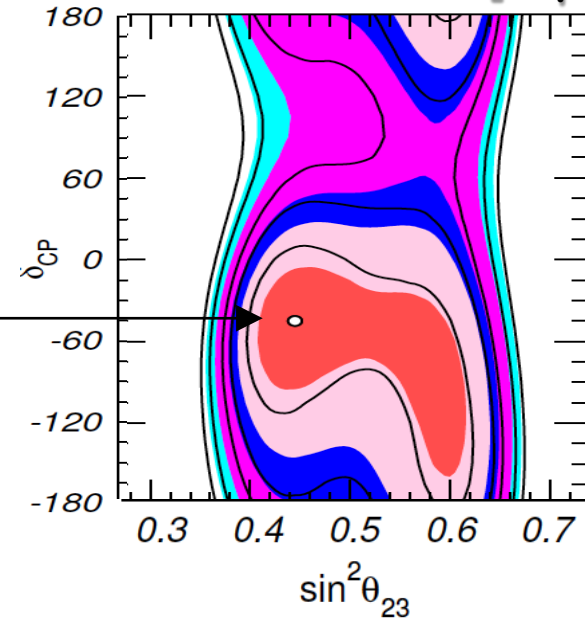
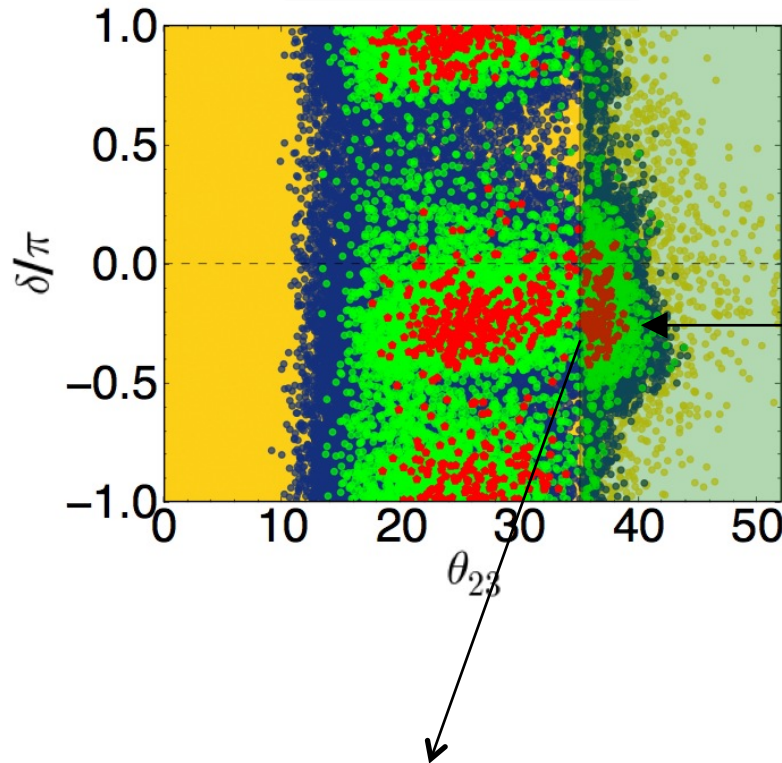


# Strong thermal SO(10)-inspired leptogenesis: the atmospheric mixing angle test

NuFIT 1.2 (2013)

v1.2: Three-neutrino results after the  
'TAUP 2013' conference [September 2013]

[arXiv:1308.1107](https://arxiv.org/abs/1308.1107)

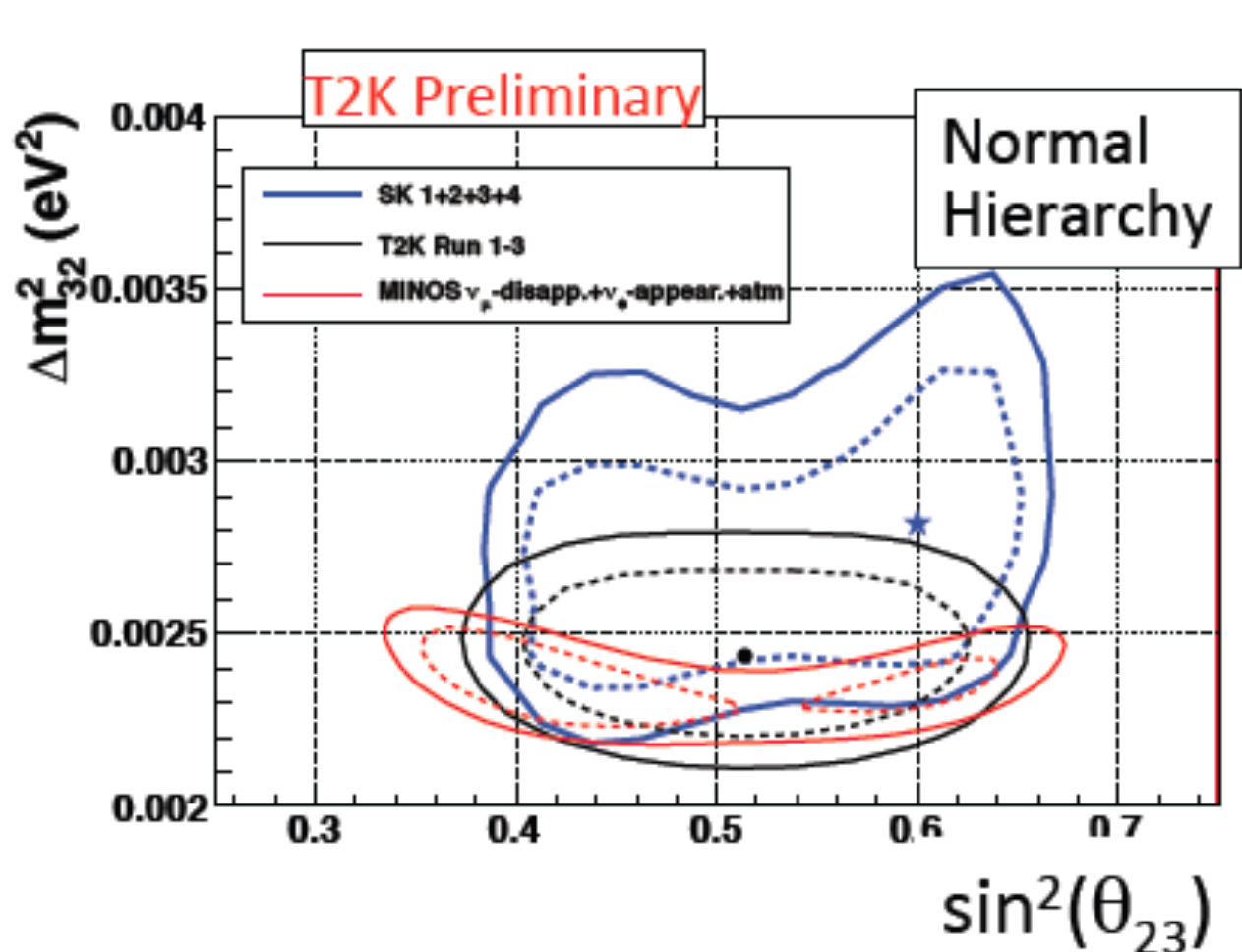


<http://www.nu-fit.org/sites/default/files/v12.fig-dlthie-glob.pdf>

For large values of  $\theta_{23} \gtrsim 35^\circ$  the Dirac phase is predicted to be  $\delta \sim -45^\circ$

It is interesting that low values of the atmospheric mixing angle are also necessary to reproduce b- $\tau$  unification in SO(10) models (Bajc, Senjanovic, Vissani '06)

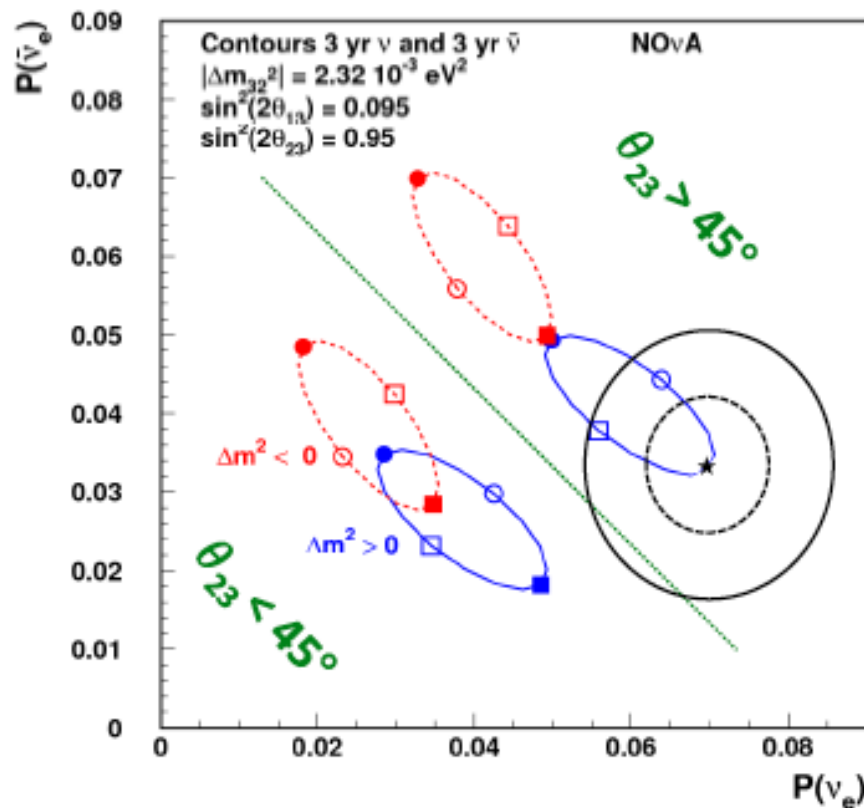
# The atmospheric mixing angle test



MINOS: taken from DPF 2013 (Alexander Radovic)

# Experimentl test on the way: NO<sub>v</sub>A

Expected NO<sub>v</sub>A contours  
for one example scenario  
at 3 yr + 3 yr



# Final Remarks

- ✓ If confirmed the BICEP2 signal would support the existence of a very high energy scale (intriguingly close to the grand-unified scale) and likely of very high values of the reheat temperature
- ✓ This would certainly be compatible with a high energy model of baryogenesis such as traditional high scale thermal leptogenesis but it also makes the problem of the initial conditions more compelling
- ✓ With flavour effects the  $N_2$ -dominated scenario is the only one able to satisfy strong thermal condition (holds for hierarchical spectrum)
- ✓ But measured values of mixing angles imply a **deviation of neutrino masses from the hierarchical limits** that might be detected and this is more compelling for NO (BOSS hint as a preliminary hint?)
- ✓ SO(10)-inspired models realise the  $N_2$ -dominated scenario and can also realise strong thermal leptogenesis

**Strong thermal  
SO(10)-inspired  
leptogenesis  
solution**

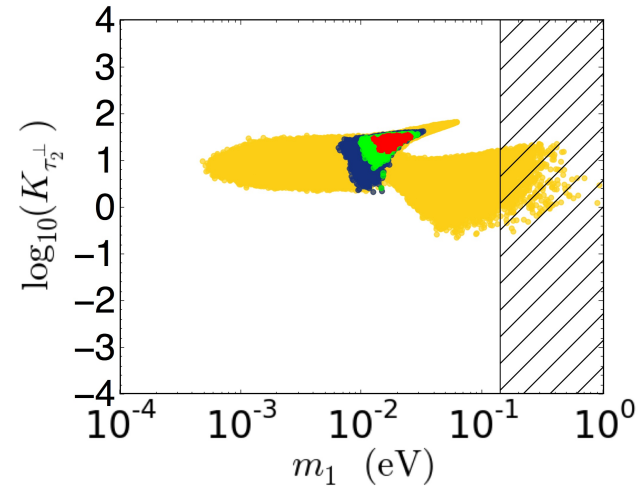
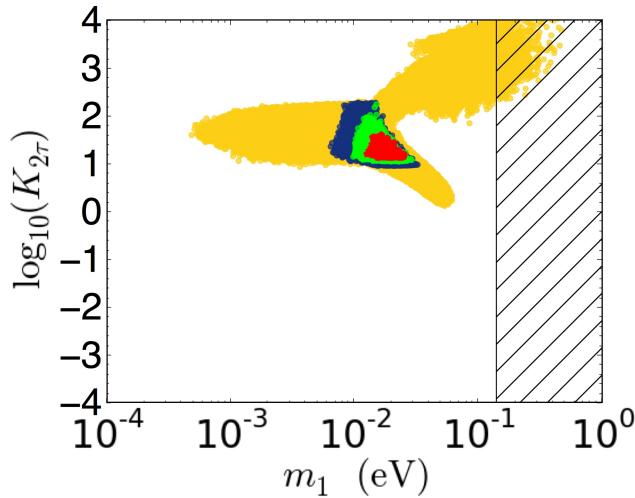
ORDERING	NORMAL
$\theta_{13}$	$\gtrsim 3^\circ$
$\theta_{23}$	$\lesssim 42^\circ$
$\delta$	$\sim -45^\circ$
$m_{ee} \approx 0.8 m_1$	$\approx 15 \text{ meV}$

# A (truly) final remark

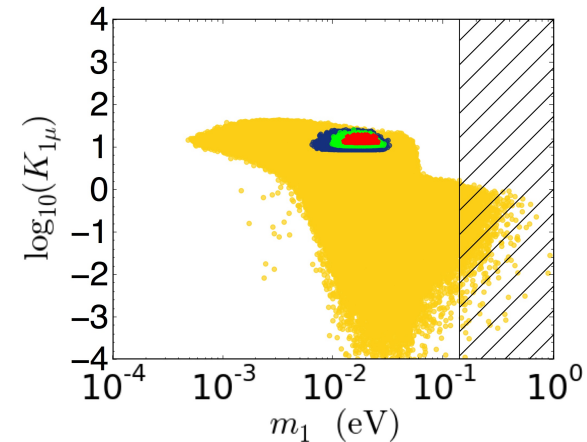
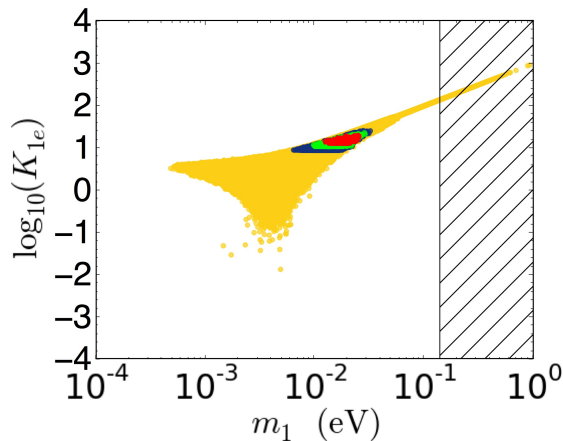
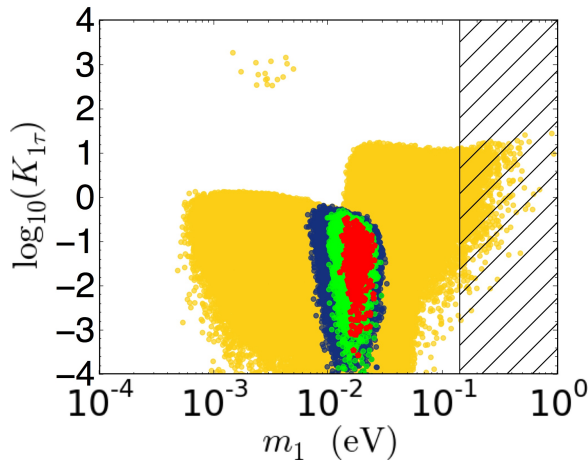
"A hypothesis has to take a risk, has to stick its neck out. If a theory does not take a risk at all because it is compatible with every possible observation that it is not scientific" (K. Popper)

# Some insight from the decay parameters

At the  
production  
( $T \sim M_2$ )



At the wash-out ( $T \sim M_1$ )



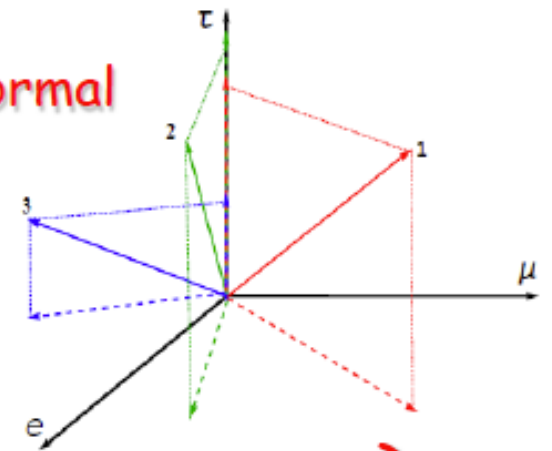
# Flavour projection

(Engelhard, Nir, Nardi '08 , Bertuzzo,PDB,Marzola '10)

Assume  $M_{i+1} \gtrsim 3M_i$  ( $i=1,2$ )

The heavy neutrino flavour basis cannot be orthonormal otherwise the CP asymmetries would vanish: this complicates the calculation of the final asymmetry

$$p_{ij} = |\langle \ell_i | \ell_j \rangle|^2 \quad p_{ij} = \frac{|(m_D^\dagger m_D)_{ij}|^2}{(m_D^\dagger m_D)_{ii} (m_D^\dagger m_D)_{jj}}$$



$$N_{B-L}^{(N_2)}(T \ll M_1) = \underbrace{N_{\Delta_1}^{(N_2)}(T \ll M_1)}_{\propto p_{12}} + \underbrace{N_{\Delta_{1\perp}}^{(N_2)}(T \ll M_1)}_{\propto (1-p_{12})}$$

Component from heavier RH neutrinos parallel to  $l_1$  and washed-out by  $N_1$  inverse decays

Contribution from heavier RH neutrinos orthogonal to  $l_1$  and escaping  $N_1$  wash-out

$$N_{\Delta_1}^{(N_2)}(T \ll M_1) = p_{12} e^{-\frac{3\pi}{8} K_1} N_{B-L}^{(N_2)}(T \sim M_2)$$



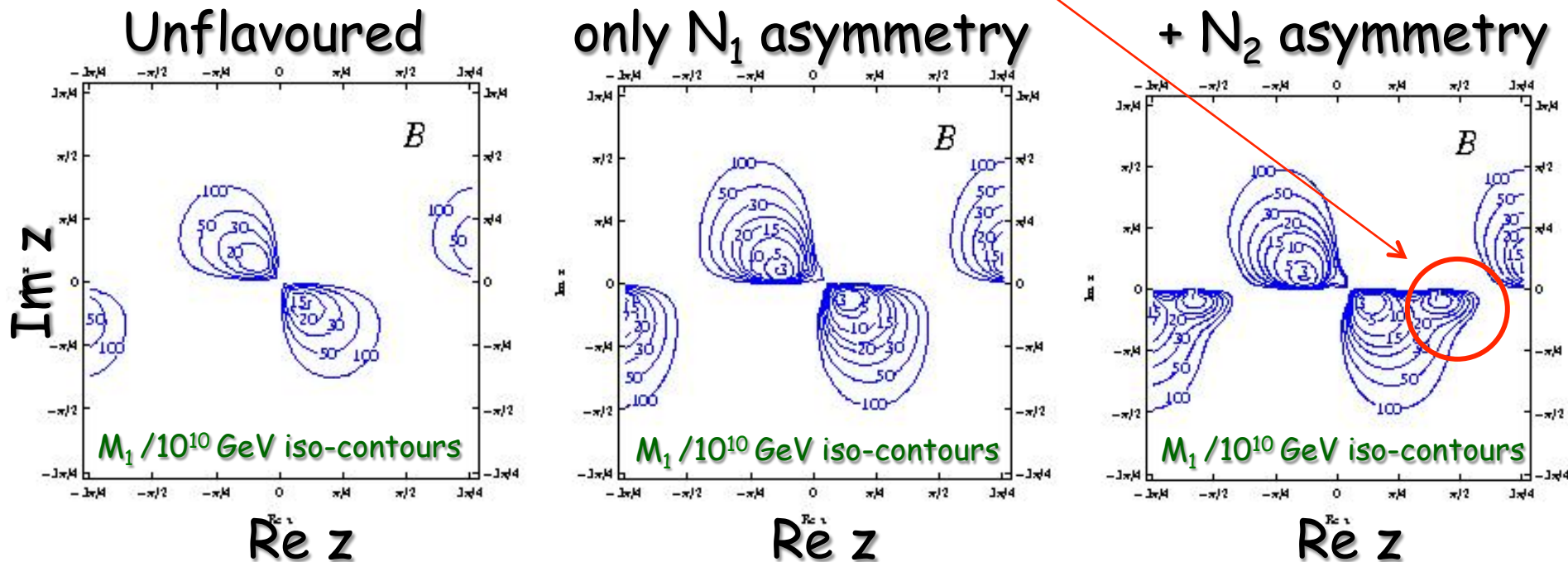
# 2 RH neutrino scenario revisited

(King 2000; Frampton, Yanagida, Glashow '01, Ibarra, Ross 2003; Antusch, PDB, Jones, King '11)

In the 2 RH neutrino scenario the  $N_2$  production has been so far considered to be safely negligible because  $\varepsilon_{2\alpha}$  were supposed to be strongly suppressed and very strong  $N_1$  wash-out. **But taking into account:**

- the  $N_2$  asymmetry  $N_1$ -orthogonal component
- an additional unsuppressed term to  $\varepsilon_{2\alpha}$

**New allowed  $N_2$  dominated regions appear**



**These regions are interesting because they correspond to light sequential dominated neutrino mass models realized in some grandunified models**



# Affleck-Dine Baryogenesis

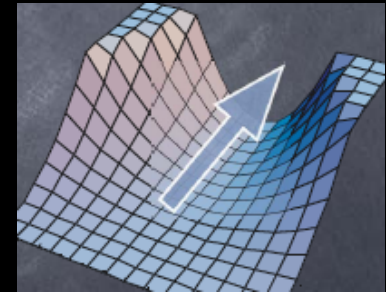
(Affleck, Dine '85)

In the Supersymmetric SM there are many "flat directions" in the space of a field composed of squarks and/or sleptons

$$V(\phi) = \sum_i \left| \frac{\partial W}{\partial \phi_i} \right|^2 + \frac{1}{2} \sum_A \left( \sum_{ij} \phi_i^* (t_A)_{ij} \phi_j \right)^2$$

F term

D term



A flat direction can be parametrized in terms of a complex field (**AD field**) that carries a baryon number that is violated dynamically during inflation

$$\frac{n_B}{s} \sim 10^{-10} \left( \frac{m_{3/2}}{m_\Phi} \right) \left( \frac{m_\Phi}{\text{TeV}} \right)^{-\frac{1}{2}} \left( \frac{M}{M_P} \right)^{\frac{3}{2}} \left( \frac{T_R}{10 \text{ GeV}} \right)$$

The final asymmetry is  $\propto T_{RH}$  and the observed one can be reproduced for low values  $T_{RH} \sim 10 \text{ GeV}$  !

# Electron appearance events for 0.5\*LBNO and LBNE

