

What is  $\nu$ ?  
INVISIBLES 12 and Alexei Smirnov Fest  
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# Leptogenesis confronting neutrino data

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# The double side of Leptogenesis

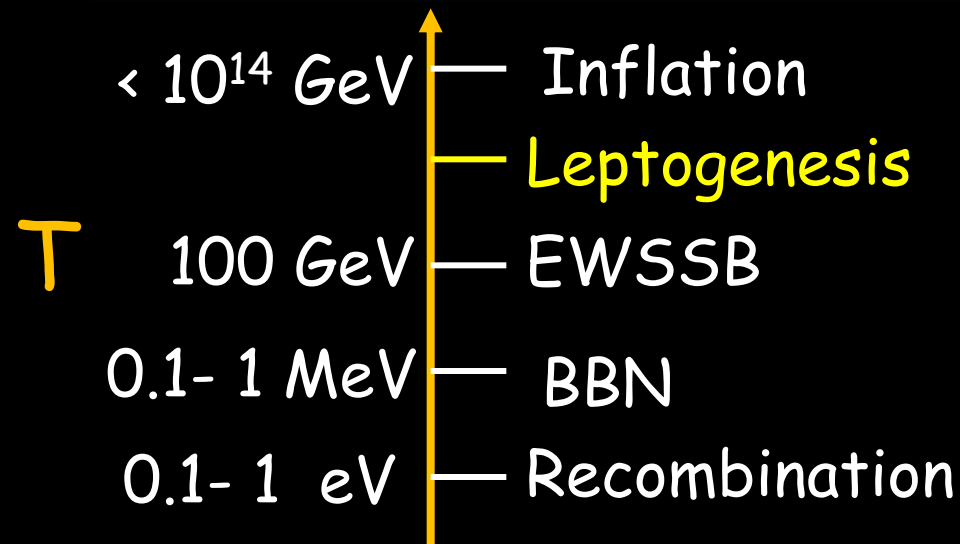
**Cosmology  
(early Universe)** ←

→ **Neutrino Physics,  
New Physics**

- Cosmological Puzzles :

1. Dark matter
2. Matter - antimatter asymmetry
3. Inflation
4. Accelerating Universe

- New stage in early Universe history :



Leptogenesis complements  
low energy neutrino  
experiments  
testing the  
seesaw mechanism  
high energy parameters

Can Leptogenesis be useful to  
overconstrain the seesaw  
parameter space providing  
a way to understand the  
measured values of the neutrino  
parameters or to make  
predictions on future  
measurements ?

# Neutrino mixing parameters

pre-T2K

(Gonzalez-Garcia,  
Maltoni 2008)

- best-fit point and  $1\sigma$  ( $3\sigma$ ) ranges:

$$\theta_{12} = 34.5 \pm 1.4 \left( \begin{smallmatrix} +4.8 \\ -4.0 \end{smallmatrix} \right), \quad \Delta m_{21}^2 = 7.67 \begin{smallmatrix} +0.22 \\ -0.21 \end{smallmatrix} \left( \begin{smallmatrix} +0.67 \\ -0.60 \end{smallmatrix} \right) \times 10^{-5} \text{ eV}^2,$$

$$\theta_{23} = 43.1 \begin{smallmatrix} +4.4 \\ -3.5 \end{smallmatrix} \left( \begin{smallmatrix} +10.1 \\ -8.0 \end{smallmatrix} \right), \quad \Delta m_{31}^2 = \begin{cases} -2.39 \pm 0.12 \left( \begin{smallmatrix} +0.37 \\ -0.40 \end{smallmatrix} \right) \times 10^{-3} \text{ eV}^2, \\ +2.49 \pm 0.12 \left( \begin{smallmatrix} +0.39 \\ -0.36 \end{smallmatrix} \right) \times 10^{-3} \text{ eV}^2, \end{cases}$$

$$\theta_{13} = 3.2 \begin{smallmatrix} +4.5 \\ -3.5 \end{smallmatrix} \left( \begin{smallmatrix} +9.6 \\ -7.6 \end{smallmatrix} \right), \quad \delta_{\text{CP}} \in [0, 360];$$

Nonvanishing  
 $\theta_{13}$

- T2K :  $\sin^2 2\theta_{13} = 0.03 - 0.28$  (90% CL NO)
- DAYA BAY:  $\sin^2 2\theta_{13} = 0.092 \pm 0.016 \pm 0.005$
- RENO:  $\sin^2 2\theta_{13} = 0.113 \pm 0.013 \pm 0.019$

recent  
global  
analyses

$$\theta_{13} = 7.7^\circ \div 10.2^\circ \text{ (95\% CL)}$$

$$\theta_{23} = 36.3^\circ \div 40.9^\circ \text{ (95\% CL)}$$

$$\delta_{\text{best fit}} \sim \pi$$

(Normal  
Ordering )

(Fogli, Lisi, Marrone,  
Montanino, Palazzo,  
Rotunno 2012)

Analogous results presented by T. Schwetz but  $\delta_{\text{best fit}} \sim -\pi/3$

# Neutrino masses: $m_1 < m_2 < m_3$

neutrino mixing data

2 possible schemes: **normal** or **inverted**

$$m_3^2 - m_2^2 = \Delta m_{\text{atm}}^2 \text{ or } \Delta m_{\text{sol}}^2$$

$$m_{\text{atm}} \equiv \sqrt{\Delta m_{\text{atm}}^2 + \Delta m_{\text{sol}}^2} \simeq 0.05 \text{ eV}$$

$$m_2^2 - m_1^2 = \Delta m_{\text{sol}}^2 \text{ or } \Delta m_{\text{atm}}^2$$

$$m_{\text{sol}} \equiv \sqrt{\Delta m_{\text{sol}}^2} \simeq 0.009 \text{ eV}$$

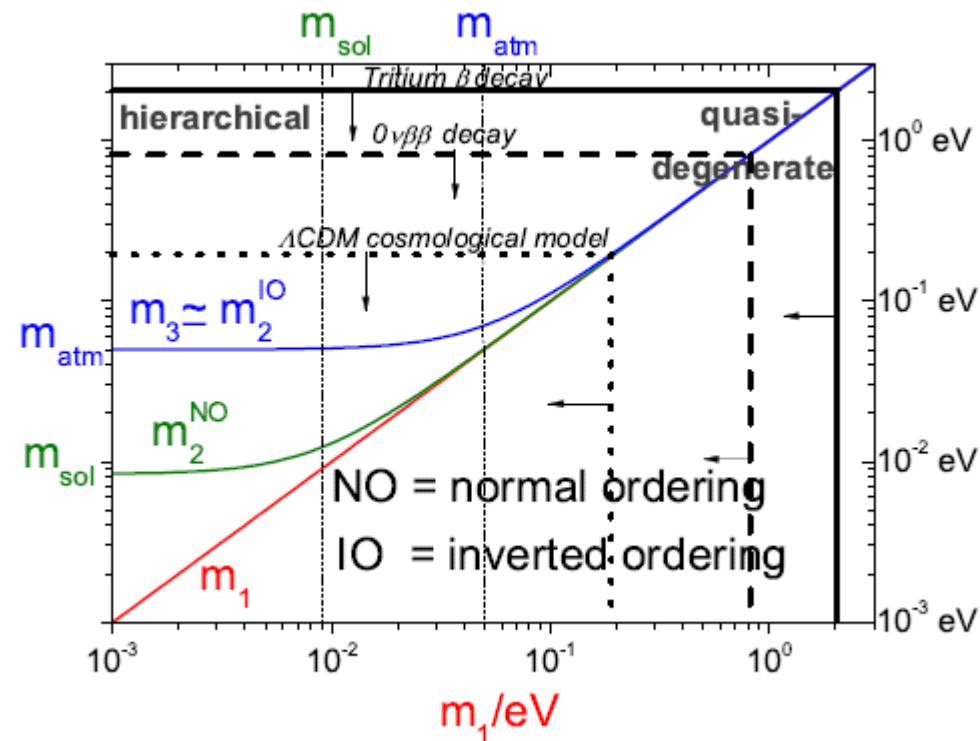
**Tritium  $\beta$  decay** :  $m_e < 2 \text{ eV}$   
(Mainz + Troitzk 95% CL)

**$\beta\beta 0\nu$**  :  $m_{\beta\beta} < 0.34 - 0.78 \text{ eV}$   
(CUORICINO 95% CL, similar bound from Heidelberg-Moscow)

**NEW!** :  $m_{\beta\beta} < 0.14 - 0.38 \text{ eV}$   
(EXO-200 90% CL)

**CMB+BAO+H0** :  $\Sigma m_i < 0.58 \text{ eV}$   
(WMAP7+2dF+SDSS+HST, 95%CL)

**CMB+LSS +  $\text{Ly}\alpha$**  :  $\Sigma m_i < 0.17 \text{ eV}$   
(Seljak et al.)





# Minimal scenario of Leptogenesis

(Fukugita, Yanagida '86)

## • Type I seesaw

$$\mathcal{L}_{\text{mass}}^{\nu} = -\frac{1}{2} \left[ (\bar{\nu}_L^c, \bar{\nu}_R) \begin{pmatrix} 0 & m_D^T \\ m_D & M \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_R^c \end{pmatrix} \right] + h.c.$$

In the **see-saw limit** ( $M \gg m_D$ ) the spectrum of mass eigenstates splits in 2 sets:

- 3 light neutrinos  $\nu_1, \nu_2, \nu_3$  with masses

$$\text{diag}(m_1, m_2, m_3) = -U^\dagger m_D \frac{1}{M} m_D^T U^*$$

- 3 new heavy RH neutrinos  $N_1, N_2, N_3$  with masses  $M_3 > M_2 > M_1 \gg m_D$

On average one  $N_i$  decay produces a B-L asymmetry given by the

**total CP  
asymmetries**

$$\varepsilon_i \equiv -\frac{\Gamma_i - \bar{\Gamma}_i}{\Gamma_i + \bar{\Gamma}_i}$$

- Thermal production of the RH neutrinos  $\Rightarrow T_{\text{RH}} \gtrsim M_i / (2 \div 10)$

# An impossible challenge?

Imposing  $\eta_B = \eta_B^{\text{CMB}}$  one would like to get information on  $U$  and  $m_i$

Problem: too many parameters

(Casas,  
Ibarra '01)

$$m_\nu = -m_D \frac{1}{M} m_D^T \Leftrightarrow \boxed{\Omega^T \Omega = I}$$

Orthogonal  
parameterisation

$$\boxed{m_D} = \boxed{U \begin{pmatrix} \sqrt{m_1} & 0 & 0 \\ 0 & \sqrt{m_2} & 0 \\ 0 & 0 & \sqrt{m_3} \end{pmatrix} \Omega \begin{pmatrix} \sqrt{M_1} & 0 & 0 \\ 0 & \sqrt{M_2} & 0 \\ 0 & 0 & \sqrt{M_3} \end{pmatrix}} \quad \left( \begin{array}{lcl} U^\dagger U & = & I \\ U^\dagger m_\nu U^* & = & -D_m \end{array} \right)$$

(in a basis where charged lepton and Majorana mass matrices are diagonal)

The **6 parameters in the orthogonal matrix  $\Omega$**  encode the **3 life times** and the **3 total CP asymmetries** of the RH neutrinos and is an invariant

Possible parameter reduction from:

(King '07)

- Cancellation in asymmetry calculation  $\eta_B = \eta_B(U, m_i; \lambda_1, \dots, \lambda_{N-9})$
- Imposing some (model dependent) conditions on  $m_D$  one can reduce the number of parameters and arrive to a new parameterisation where  $\Omega = \Omega(U, m_i; \lambda_1, \dots, \lambda_{N-9})$  and  $M_i = M_i(U, m_i; \lambda_1, \dots, \lambda_{N-9})$
- Both reductions

# Vanilla leptogenesis

## 1) Flavor composition of final leptons is neglected

$$N_i \xrightarrow{\Gamma} l_i H^\dagger$$

$$N_i \xrightarrow{\bar{\Gamma}} \bar{l}_i H$$

**Total CP  
asymmetries**

$$\varepsilon_i \equiv -\frac{\Gamma_i - \bar{\Gamma}_i}{\Gamma_i + \bar{\Gamma}_i}$$

$$N_{B-L}^{\text{fin}} = \sum_i \varepsilon_i \kappa_i^{\text{fin}} \Rightarrow \eta_B = a_{\text{sph}} \frac{N_{B-L}^{\text{fin}}}{N_{\gamma}^{\text{rec}}} \quad \text{baryon-to-photon number ratio}$$

Successful leptogenesis :  $\eta_B = \eta_B^{\text{CMB}} = (6.2 \pm 0.15) \times 10^{-10}$

## 2) Hierarchical heavy RH neutrino spectrum: $M_2 \gtrsim 3 M_1$

## 3) $N_3$ does not interfere with $N_2$ -decays: $(m_D^\dagger m_D)_{23} = 0$

From the last  
two assumptions

$$\Rightarrow N_{B-L}^{\text{fin}} = \sum_i \varepsilon_i \kappa_i^{\text{fin}} \simeq \varepsilon_1 \kappa_1^{\text{fin}}$$

#### 4) Barring fine-tuned mass cancellations in the seesaw



$$\varepsilon_1 \lesssim 10^{-6} \left( \frac{M_1}{10^{10} \text{ GeV}} \right) \frac{m_{\text{atm}}}{m_1 + m_3}$$

(Davidson,  
Ibarra '02)

#### 5) Efficiency factor from simple Boltzmann equations

decays

$$\begin{aligned} \frac{dN_{N_1}}{dz} &= -D_1 (N_{N_1} - N_{N_1}^{\text{eq}}) \\ \frac{dN_{B-L}}{dz} &= -\varepsilon_1 \frac{dN_{N_1}}{dz} - W_1 N_{B-L} \end{aligned}$$

$z \equiv \frac{M_1}{T}$

inverse decays

wash-out

decay  
parameter

$$K_1 \equiv \frac{\Gamma_{N_1}(T=0)}{H(T=M_1)}$$

$$\kappa_1(z; K_1, z_{\text{in}}) = - \int_{z_{\text{in}}}^z dz' \left[ \frac{dN_{N_1}}{dz'} \right] e^{-\int_{z'}^z dz'' W_1(z'')}$$

# Independence of the initial conditions

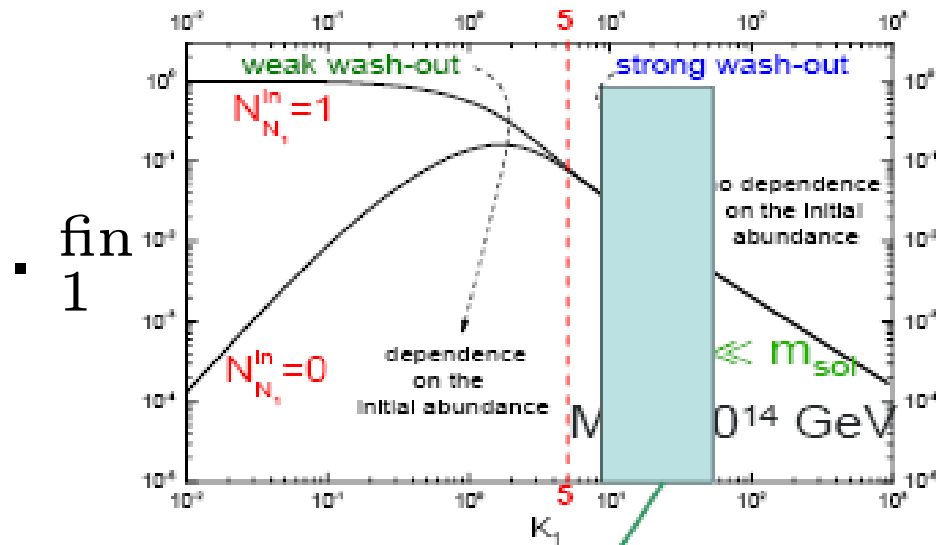
## The early Universe „knows“ neutrino masses ...

(Buchmüller, PDB, Plümacher '04)

$$\eta_B \simeq 0.01 \varepsilon_1(m_1, M_1, \Omega) \kappa_1^{\text{fin}}(K_1)$$

decay parameter

$$K_1 \equiv \frac{\Gamma_{N_1}}{H(T = M_1)} \sim \frac{m_{\text{sol, atm}}}{m_* \sim 10^{-3} \text{ eV}} \sim 10 \div 50$$



$$K_{\text{sol}} \simeq 9 \lesssim K_1 \lesssim 50 \simeq K_{\text{atm}}$$

wash-out of  
a pre-existing  
asymmetry

$$N_{B-L}^{\text{p, final}} = N_{B-L}^{\text{p, initial}} e^{-\frac{3\pi}{8} K_1} \ll N_{B-L}^{\text{f, } N_1}$$

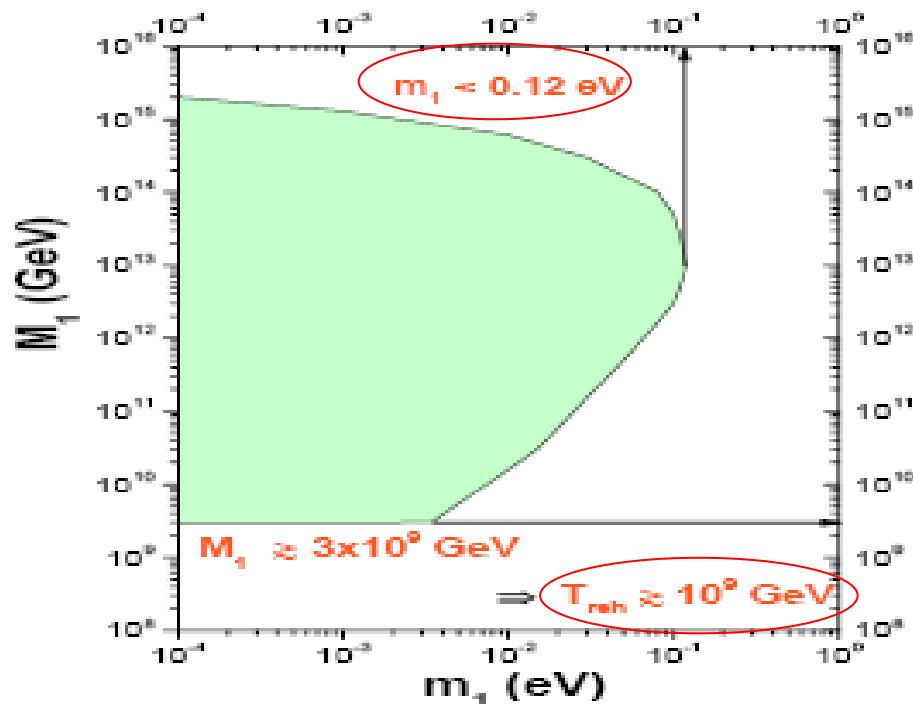
# Neutrino mass bounds in vanilla leptog.

(Davidson, Ibarra '02; Buchmüller, PDB, Plümacher '02, '03, '04; Giudice et al. '04)

$$\eta_B \simeq 0.01 \varepsilon_1(m_1, M_1, \Omega) \kappa_1^{\text{fin}}(K_1)$$

Imposing:

$$\eta_B^{\text{max}}(m_1, M_1) \geq \eta_B^{\text{CMB}}$$



No dependence on the leptonic mixing matrix  $U$

# SO(10)-inspired leptogenesis

( Branco et al. '02; Nezri, Orloff '02; Akhmedov, Frigerio, Smirnov '03)

Expressing the **neutrino Dirac mass matrix**  $m_D$  (in the basis where the Majorana mass and charged lepton mass matrices are diagonal) as:

$$m_D = V_L^\dagger D_{m_D} U_R$$

$$D_{m_D} = \text{diag}\{\lambda_{D1}, \lambda_{D2}, \lambda_{D3}\}$$

SO(10) inspired conditions:

$$\lambda_{D1} = \alpha_1 m_u, \lambda_{D2} = \alpha_2 m_c, \lambda_{D3} = \alpha_3 m_t, \quad (\alpha_i = \mathcal{O}(1))$$

$$V_L \simeq V_{CKM} \simeq I$$

One can express:  $\Omega = D_m^{-\frac{1}{2}} U^\dagger V_L^\dagger D_{m_D} U_R D_M^{-\frac{1}{2}}$

and from the seesaw formula:  $U_R = U_R(V_L, U)$ ,  $M_i = M_i(V_L, U)$

one typically obtains (barring fine-tuned 'crossing level' solutions):

$$M_1 \sim \alpha_1^2 10^5 \text{ GeV}, \quad M_2 \sim \alpha_2^2 10^{10} \text{ GeV}, \quad M_3 \sim \alpha_3^2 10^{15} \text{ GeV}$$

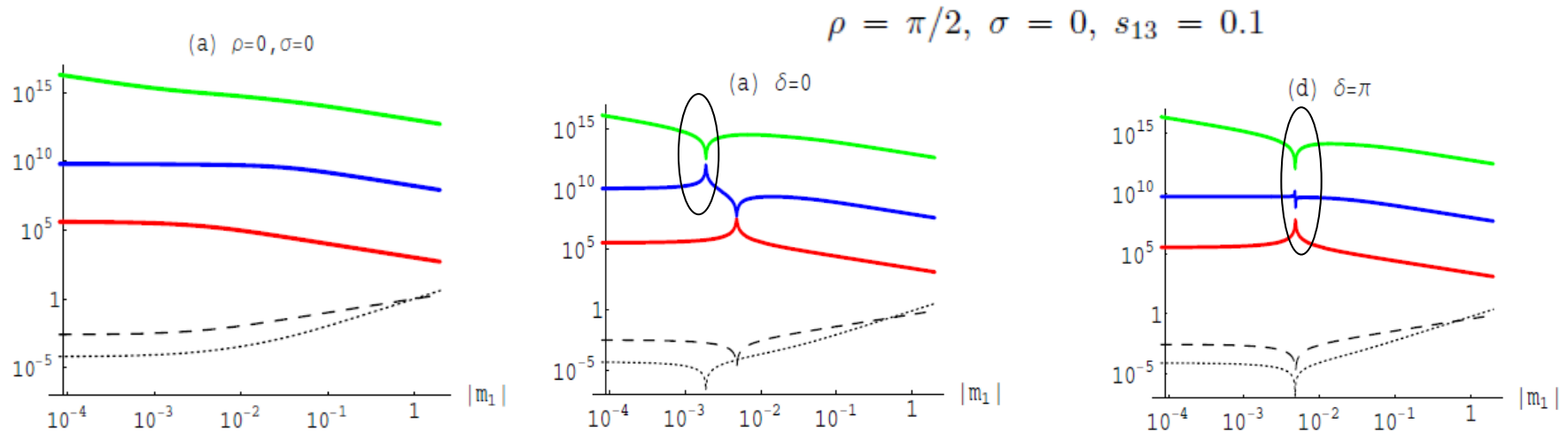
**since  $M_1 \ll 10^9 \text{ GeV} \Rightarrow \eta_B(N_1) \ll \eta_B^{\text{CMB}}$  !**

**$\Rightarrow$  failure of the  $N_1$ -dominated scenario !**



# Crossing level solutions

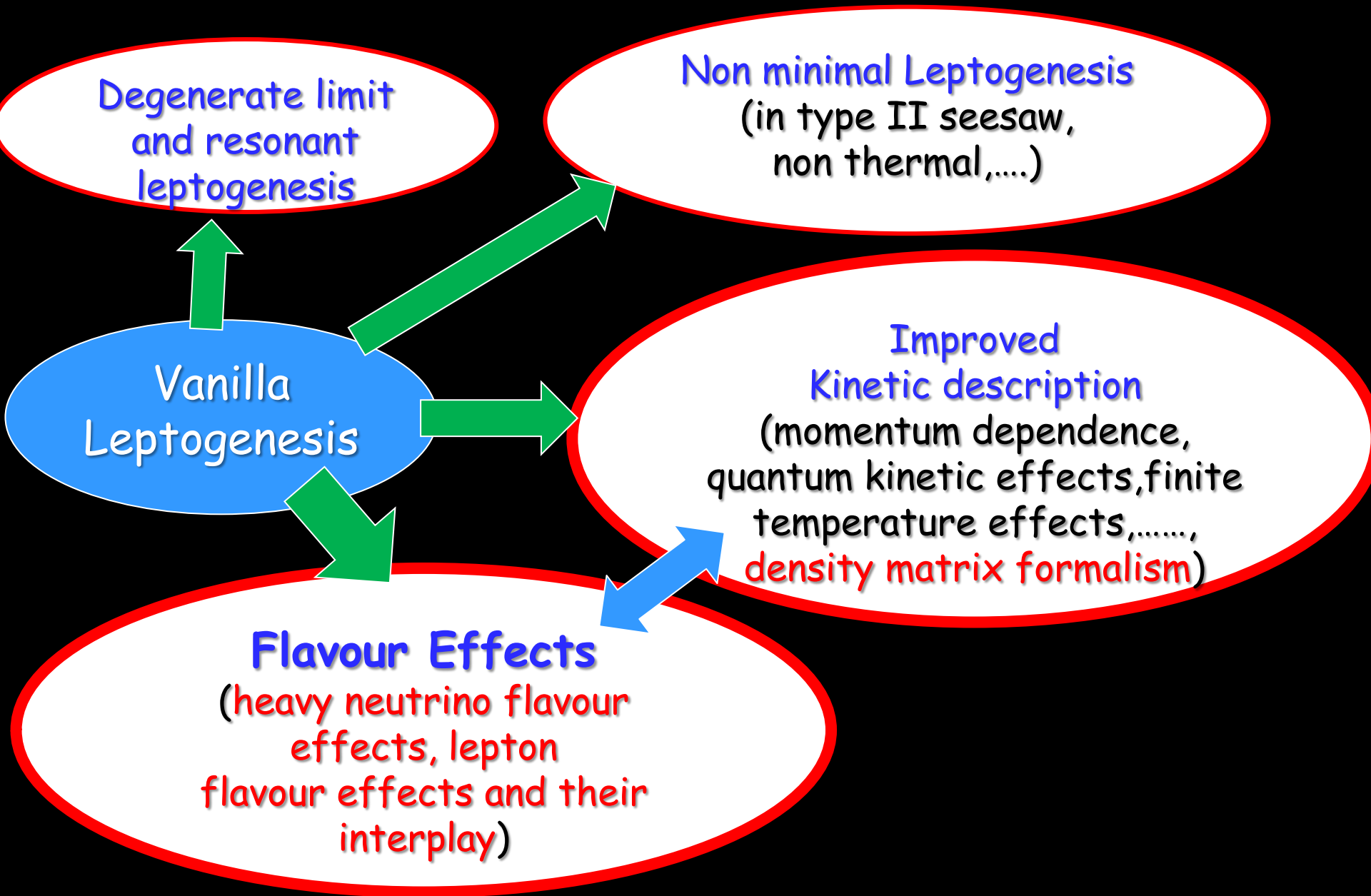
(Akhmedov, Frigerio, Smirnov '03)



At the crossing the *CP* asymmetries undergo a resonant enhancement (Covi, Roulet, Vissani '96; Pilaftsis '98; Pilaftsis, Underwood '04; ...) and fine tuning parameters the correct baryon asymmetry can be attained

Recently one of this kind of solutions has been studied including flavour effects as well (Buccella, Falcone, Nardi et al '12)

# Beyond vanilla Leptogenesis



# Lepton flavour effects

(Abada, Davidson, Losada, Josse-Michaux, Riotto '06; Nardi, Nir, Roulet, Racker '06; Blanchet, PDB, Raffelt '06; Riotto, De Simone '06)

## Flavor composition of lepton quantum states:

$$|l_1\rangle = \sum_{\alpha} \langle l_{\alpha} | l_1 \rangle |l_{\alpha}\rangle \quad (\alpha = e, \mu, \tau) \quad P_{1\alpha} \equiv |\langle l_{\alpha} | l_1 \rangle|^2$$


$$|\bar{l}'_1\rangle = \sum_{\alpha} \langle l_{\alpha} | \bar{l}'_1 \rangle |\bar{l}_{\alpha}\rangle \quad \bar{P}_{1\alpha} \equiv |\langle \bar{l}_{\alpha} | \bar{l}'_1 \rangle|^2$$

But for  $T \lesssim 10^{12} \text{ GeV} \Rightarrow \tau$ -Yukawa interactions  $(\bar{l}_{L\tau} \phi f_{\tau\tau} e_{R\tau})$  are fast enough to break the coherent evolution of  $|l_1\rangle$  and  $|\bar{l}'_1\rangle$

$\Rightarrow$  they become an incoherent mixture of a  $\tau$  and of  $\mu+e$

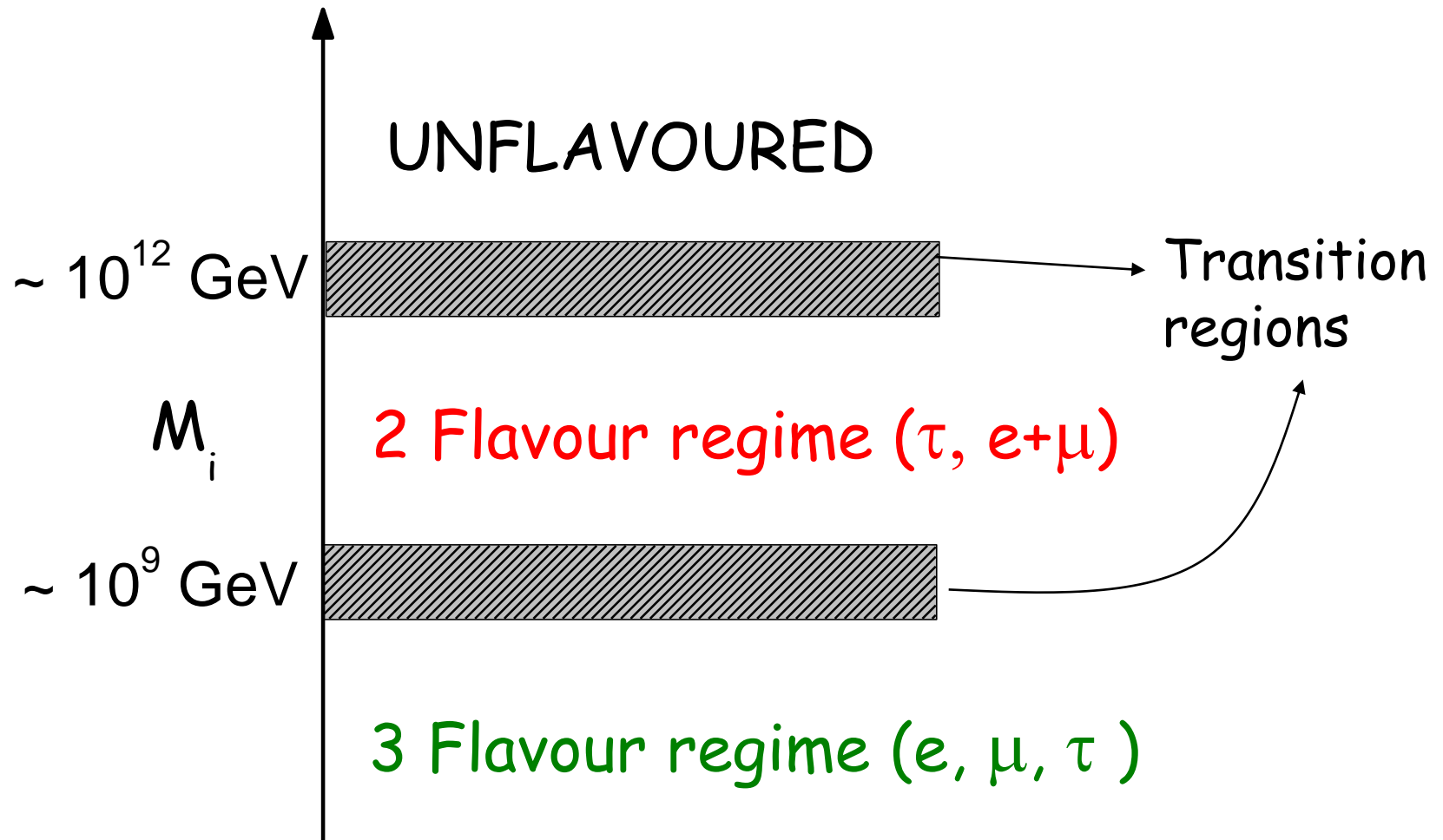
At  $T \lesssim 10^9 \text{ GeV}$  then also  $\mu$ -Yukawas in equilibrium  $\Rightarrow$  3-flavor regime

$$\Rightarrow N_{B-L}^{\text{fin}} = \sum_{i,\alpha} \varepsilon_{i\alpha} \kappa_{i\alpha}^{\text{fin}} \quad (\alpha = e, \mu, \tau)$$



heavy neutrino flavor index lepton flavor index

Since leptogenesis occurs at  $T \sim M_i$ , temperatures regimes translate into different mass ranges regimes for the calculation of the asymmetry:



# Fully two-flavored regime

•

$$(a = \tau, e+\mu) \quad \begin{aligned} P_{1\alpha} &\equiv |\langle l_\alpha | l_1 \rangle|^2 = P_{1\alpha}^0 + \Delta P_{1\alpha}/2 & (\sum_\alpha P_{1\alpha}^0 = 1) \\ \bar{P}_{1\alpha} &\equiv |\langle \bar{l}_\alpha | \bar{l}_1 \rangle|^2 = P_{1\alpha}^0 - \Delta P_{1\alpha}/2 & (\sum_\alpha \Delta P_{1\alpha} = 0) \end{aligned}$$

These 2 terms correspond respectively to 2 different flavor effects:

- 1) wash-out is in general reduced:  $K_1 \rightarrow K_{1\alpha} \equiv K_1 P_{1\alpha}^0$
- 2) additional  $CP$  violating contribution ( $|\bar{l}'_1\rangle \neq CP|l_1\rangle$ )

$$\Rightarrow \varepsilon_{1\alpha} \equiv -\frac{P_{1\alpha}\Gamma_1 - \bar{P}_{1\alpha}\bar{\Gamma}_1}{\Gamma_1 + \bar{\Gamma}_1} = P_{1\alpha}^0 \varepsilon_1 + \Delta P_{1\alpha}(\Omega, U)/2$$

- Classic Kinetic Equations (in their simplest form)

$$\frac{dN_{N_1}}{dz} = -D_1 (N_{N_1} - N_{N_1}^{\text{eq}})$$

$$\frac{dN_{\Delta_\alpha}}{dz} = -\varepsilon_{1\alpha} \frac{dN_{N_1}}{dz} - P_{1\alpha}^0 W_1 N_{\Delta_\alpha}$$

$$\Rightarrow N_{B-L} = \sum_\alpha N_{\Delta_\alpha} \quad (\Delta_\alpha \equiv B/3 - L_\alpha)$$

$$\Rightarrow N_{B-L}^{\text{fin}} = \sum_\alpha \varepsilon_{1\alpha} \kappa_{1\alpha}^{\text{fin}} \simeq N_{\text{fl}} \varepsilon_1 \kappa_1^{\text{fin}} + \frac{\Delta P_{1\alpha}}{2} [\kappa_{1\alpha}^{\text{fin}} - \kappa_{1\beta}^{\text{fin}}]$$

# Additional contribution to CP violation:

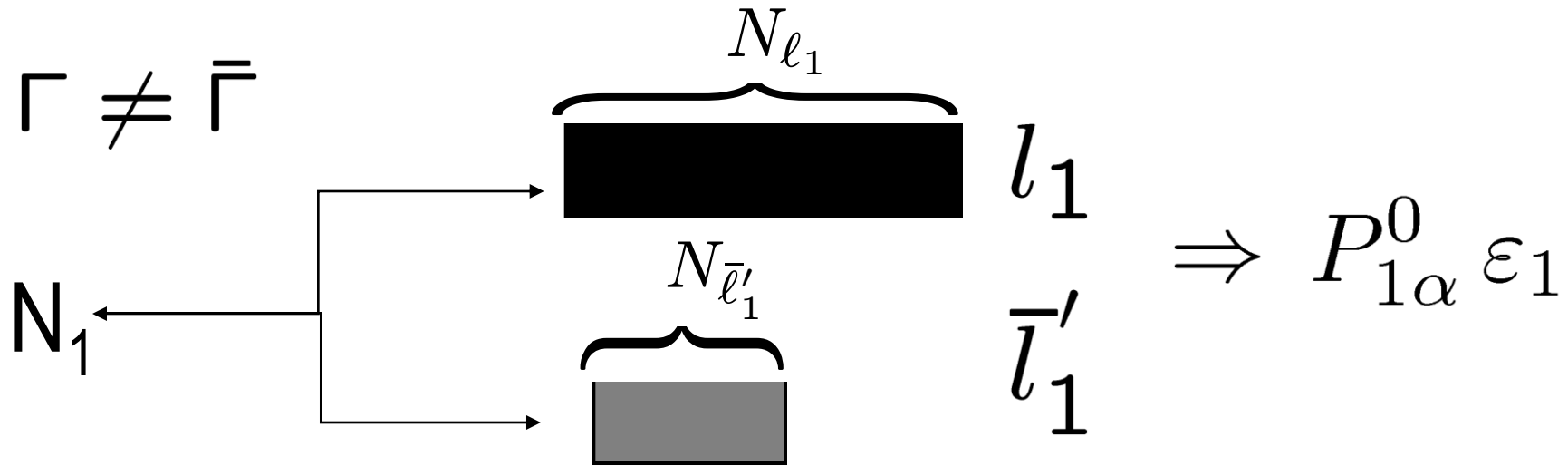
( $\alpha = \tau, e+\mu$ )

$$\varepsilon_{1\alpha} = P_{1\alpha}^0 \varepsilon_1 + \frac{\Delta P_{1\alpha}}{2}$$

depends on U!

1)

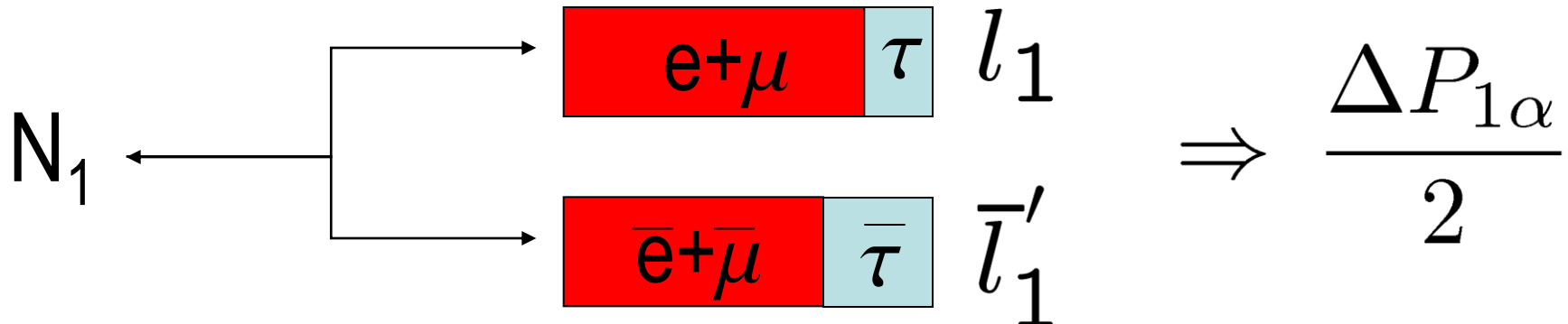
$$\Gamma \neq \bar{\Gamma}$$



2)

$$|\bar{l}'_1\rangle \neq CP|l_1\rangle$$

+



# Low energy phases can be the only source of CP violation

(Blanchet, PDB, '06; Pascoli, Petcov Riotto '06; Anisimov, Blanchet, PDB '08)

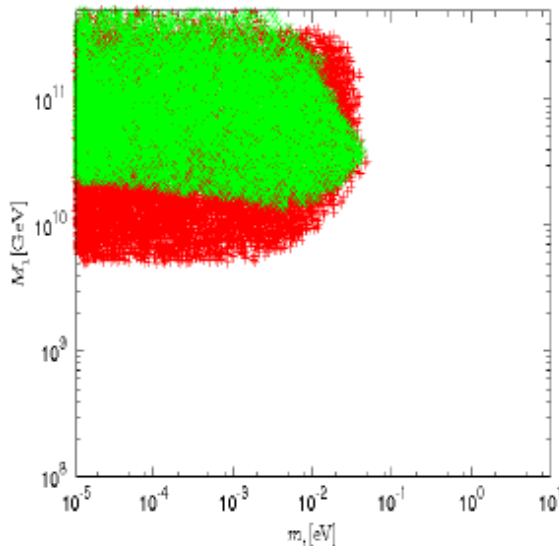
- Assume real  $\Omega \Rightarrow \varepsilon_1 = 0 \Rightarrow \varepsilon_{1\alpha} = \cancel{P_{1\alpha}^0} \varepsilon_1 + \frac{\Delta P_{1\alpha}}{2}$  (Nardi et al. '06)

$$\Rightarrow N_{B-L} \simeq \cancel{2\varepsilon_1} k_1^{\text{fin}} + \Delta P_{1\alpha} (k_{1\alpha}^{\text{fin}} - k_{1\beta}^{\text{fin}}) \quad (\alpha = \tau, e+\mu)$$

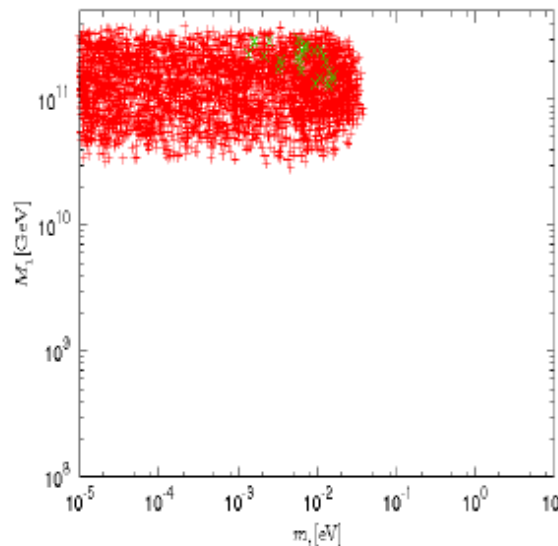
- Assume even vanishing Majorana phases

$\Rightarrow$  a Dirac phase with non-vanishing  $\theta_{13}$  ( $J_{CP} \neq 0$ ) would be the only source of CP violation (testable)

initial thermal  $N_1$  abundance



independent of initial  $N_1$  abundance



**Green points:**  
only Dirac phase  
with  $\sin \theta_{13} = 0.2$   
 $|\sin \delta| = 1$

**Red points:**  
only Majorana  
phases

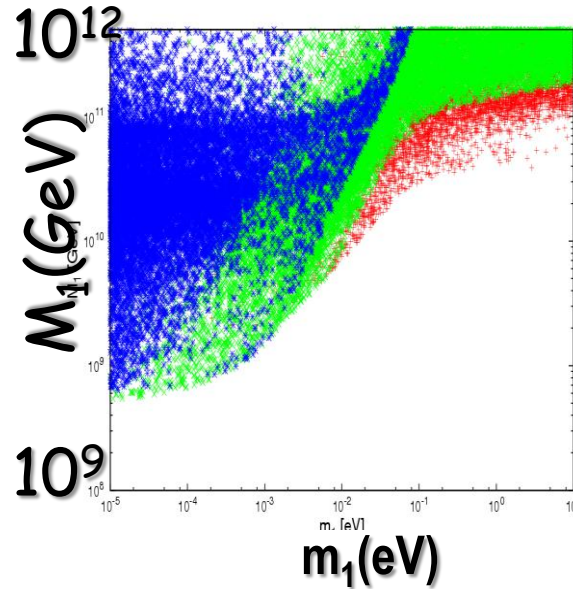
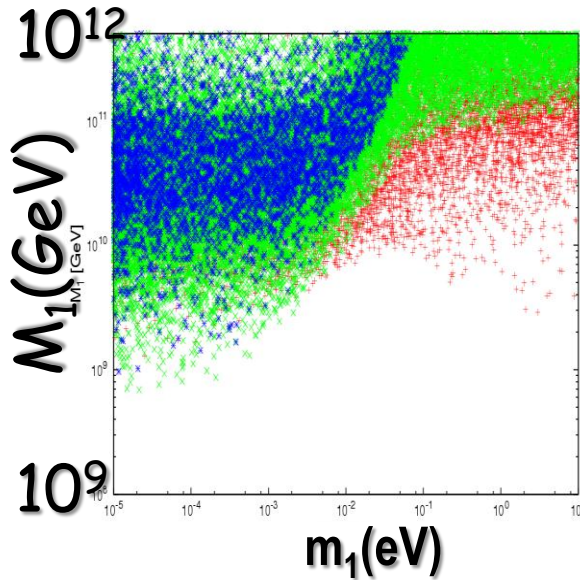
No known reasons for these assumptions to be rigorously satisfied but they are approximately satisfied within specific scenarios in some region of the parameter space: **in any case it is by itself interesting that CP violation in neutrino mixing could be sufficient to reproduce the BAU**



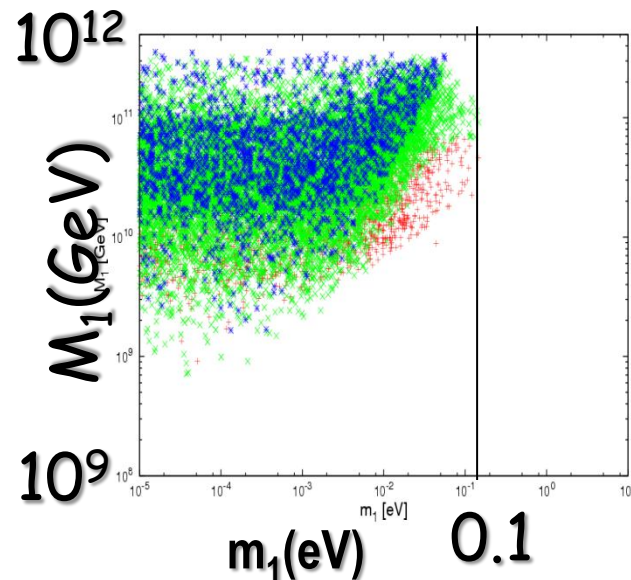
# Upper bound on $m_1$

(Abada et al.' 07 Blanchet, PDB '08)

PMNS phases off



$$M_1 \lesssim 10^{12} \text{ GeV}/W_1(T_B)$$

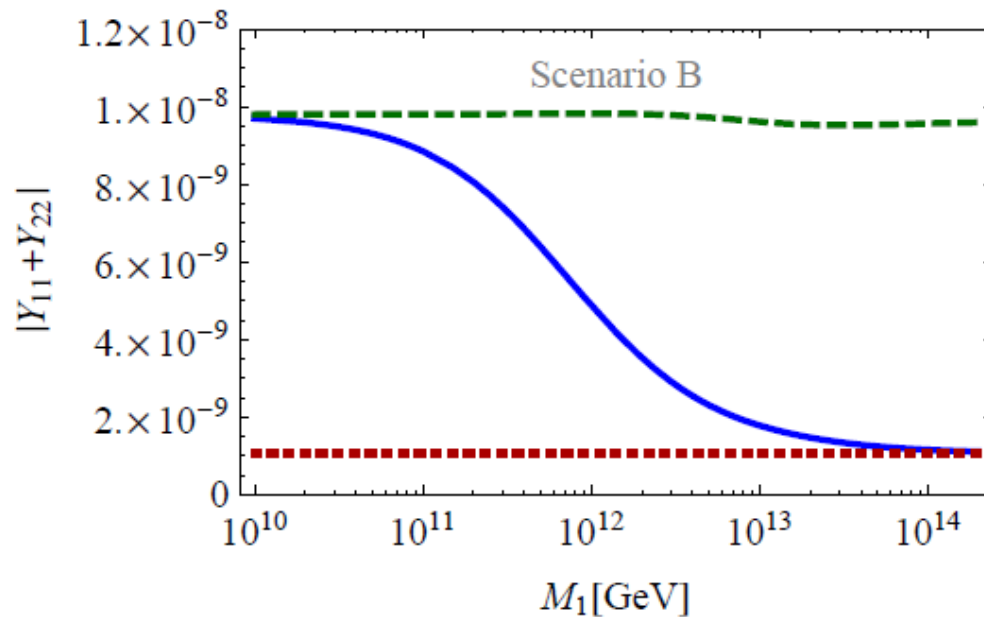


imposing a condition of validity of Boltzmann equations

# Density matrix and CTP formalism to describe the transition regimes

(De Simone, Riotto '06; Beneke, Gabrecht, Fidler, Herranen, Schwaller '10)

$$\frac{dY_{\alpha\beta}}{dz} = \frac{1}{szH(z)} \left[ (\gamma_D + \gamma_{\Delta L=1}) \left( \frac{Y_{N_1}}{Y_{N_1}^{\text{eq}}} - 1 \right) \epsilon_{\alpha\beta} - \frac{1}{2Y_{\ell}^{\text{eq}}} \{ \gamma_D + \gamma_{\Delta L=1}, Y \}_{\alpha\beta} \right] - \left[ \sigma_2 \text{Re}(\Lambda) + \sigma_1 |\text{Im}(\Lambda)| \right] Y_{\alpha\beta}$$



# Heavy neutrino flavour effects:

## $N_2$ -dominated scenario

(PDB '05)

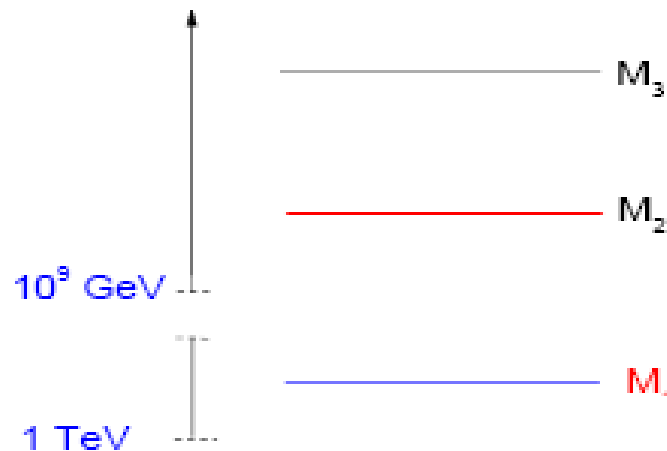
If light flavour effects are neglected the asymmetry from the next-to-lightest ( $N_2$ ) RH neutrinos is typically negligible:

$$N_{B-L}^{f, N_2} = \varepsilon_2 \cdot (K_2) e^{-\frac{3\pi}{8} K_1} \ll N_{B-L}^{f, N_1} = \varepsilon_1 \cdot (K_1)$$

...except for a special choice of  $\Omega = R_{23}$  when  $K_1 = m_1/m_* \ll 1$  and  $\varepsilon_1 = 0$ :

$$\Rightarrow N_{B-L}^{\text{fin}} = \sum_i \varepsilon_i K_i^{\text{fin}} \simeq \varepsilon_2 K_2^{\text{fin}} \quad \varepsilon_2 \lesssim 10^{-6} \left( \frac{M_2}{10^{10} \text{ GeV}} \right)$$

The lower bound on  $M_1$  disappears and is replaced by a lower bound on  $M_2$  ...  
that however still implies a lower bound on  $T_{\text{reh}}$ !



# Interplay between lepton and heavy neutrino flavour effects:

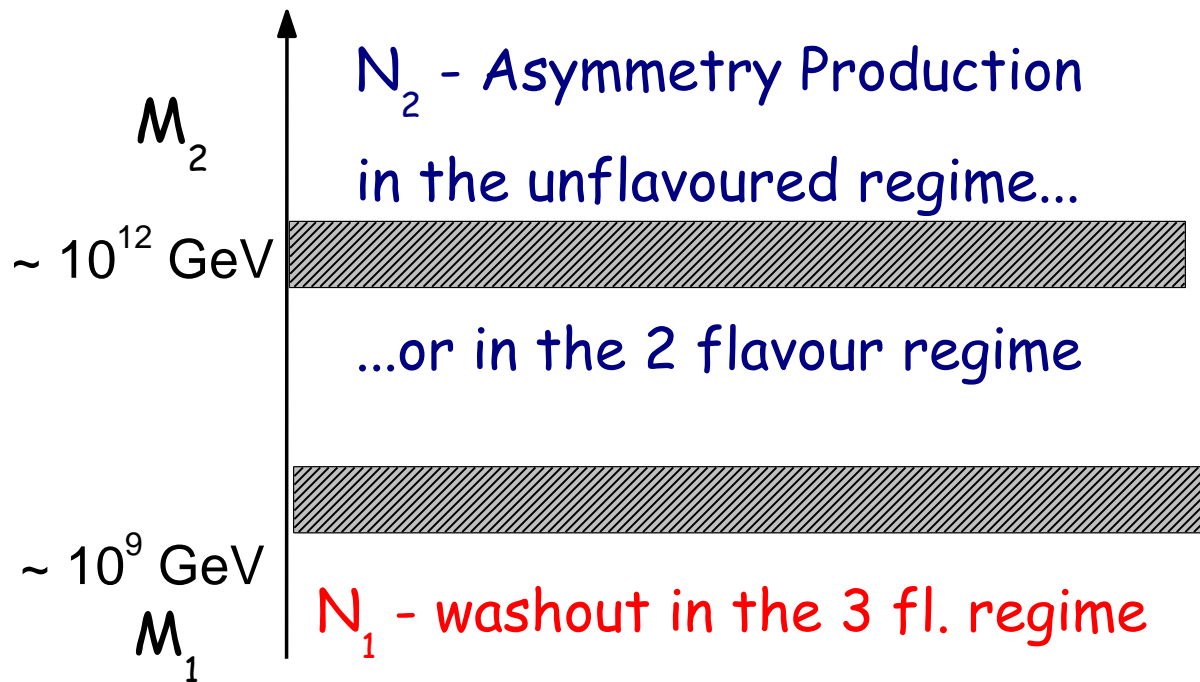
1.  $N_2$  flavoured leptogenesis
2. Flavour projection
3. Phantom leptogenesis

# $N_2$ -flavored leptogenesis

( Vives '05; Blanchet, PDB '06; Blanchet, PDB '08)

Combining together lepton and heavy neutrino flavour effects one has

A two stage process:



Notice that the presence of the heaviest RH neutrino  $N_3$  is necessary for the CP asymmetries of  $N_2$  not to be negligible !

Without lepton flavour effects we had:

$$N_{B-L}^{f,N_2} = \varepsilon_2 \cdot (K_2) e^{-\frac{3\pi}{8} K_1} \ll N_{B-L}^{f,N_1} = \varepsilon_1 \cdot (K_1)$$

Now if, for example, the  $N_2$  production is assumed in the 3 fl. regime  $\Rightarrow$

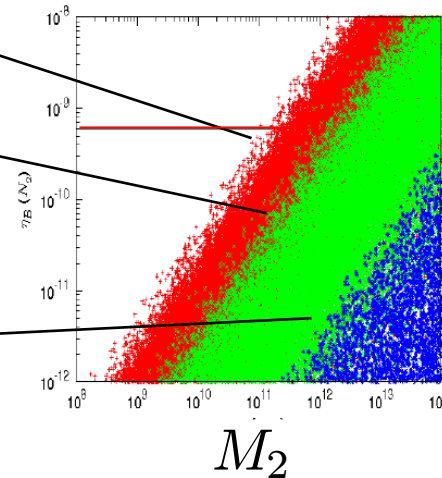
$$N_{B-L}^f(N_2) = P_{2e}^0 \varepsilon_2 \cdot (K_2) e^{-\frac{3\pi}{8} K_{1e}} + P_{2\mu}^0 \varepsilon_2 \cdot (K_2) e^{-\frac{3\pi}{8} K_{1\mu}} + P_{2\tau}^0 \varepsilon_2 \cdot (K_2) e^{-\frac{3\pi}{8} K_{1\tau}}$$

Notice that  $K_1 = K_{1e} + K_{1\mu} + K_{1\tau}$

$N_1$  wash-out is neglected

Wash-out and flavor effects  
are both taken into account

Unflavored case



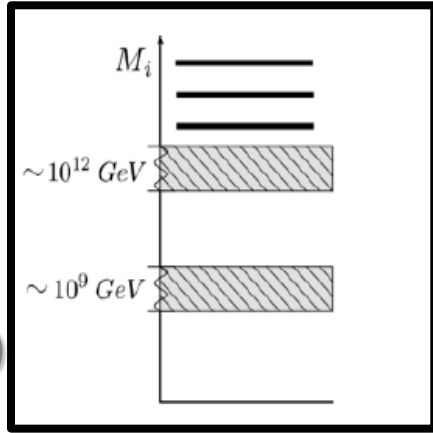
$$\Omega = R_{12}(\omega_{12}) R_{13}(\omega_{13})$$

$\eta_B^{CMB}$

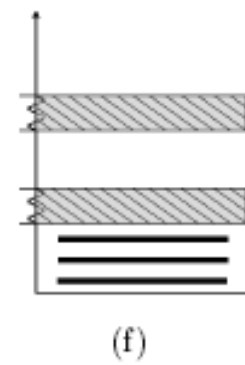
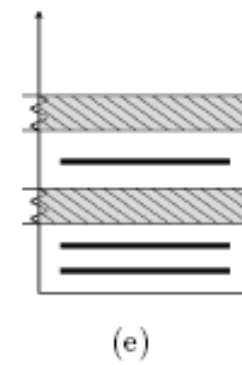
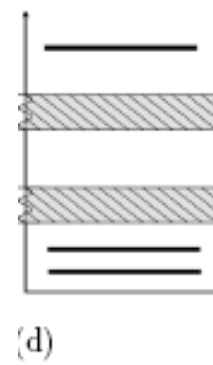
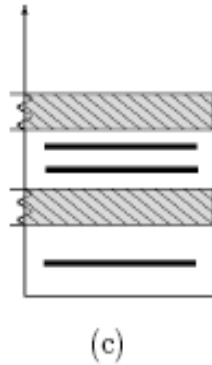
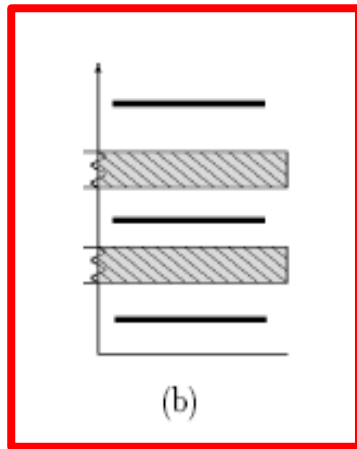
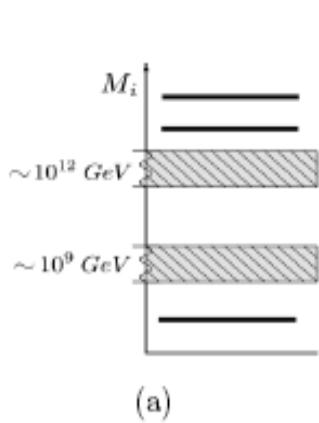
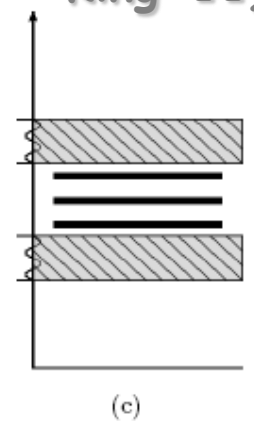
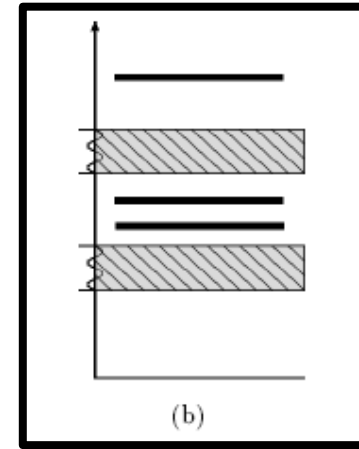
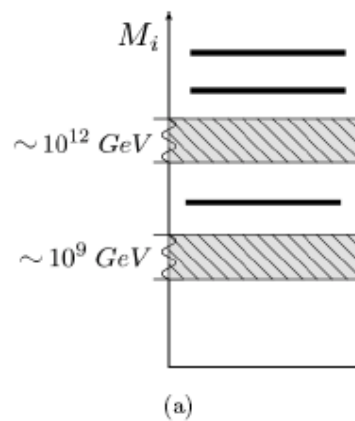
$\eta_B$

Thanks to flavor effects the domain of applicability extends much beyond the particular choice  $\Omega = R_{23}$

Heavy  
neutrino  
flavored  
scenario  
(Bertuzzo,  
PDB,  
Marzola '09)



2 RH neutrino  
scenario (Antusch,  
PDB, Jones,  
King '11)



$N_2$ -dominated  
scenario

Particularly  
attractive  
for two reasons

- 1) It is just that one realised in SO(10) inspired models!  
Can they be reconciled with leptogenesis?



# The $N_2$ -dominated scenario rescues $SO(10)$ inspired models

(PDB, Riotto '08)

$$N_{B-L}^f \simeq \varepsilon_{2e} \kappa(K_{2e+\mu}) e^{-\frac{3\pi}{8} K_{1e}} + \varepsilon_{2\mu} \kappa(K_{2e+\mu}) e^{-\frac{3\pi}{8} K_{1\mu}} + \varepsilon_{2\tau} \kappa(K_{2\tau}) e^{-\frac{3\pi}{8} K_{1\tau}}.$$

Independent of  $\alpha_1$  and  $\alpha_3$  !

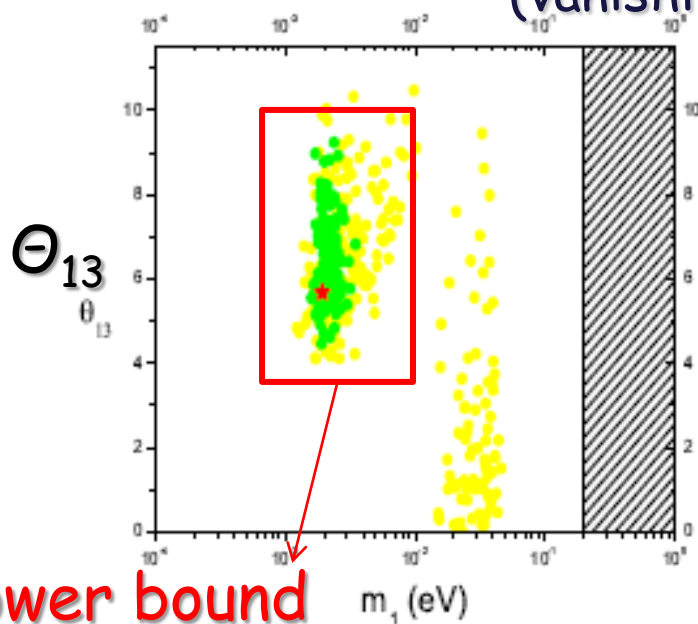
$\alpha_2=5$

$\alpha_2=4$

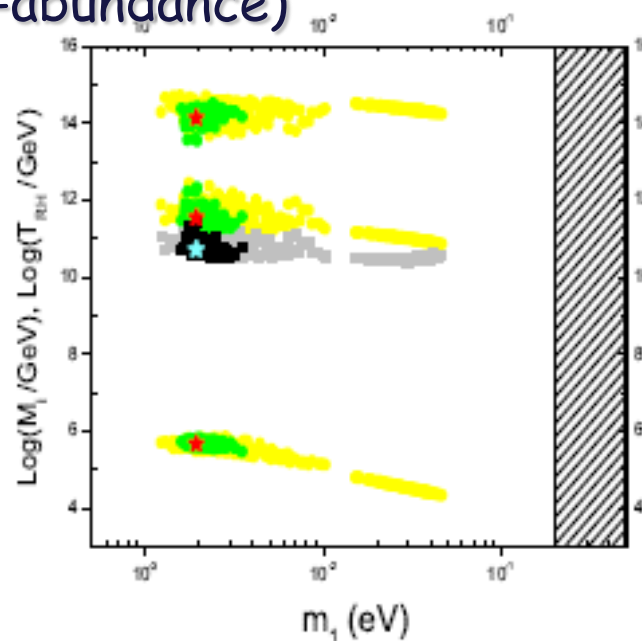
$\alpha_2=3$

$V_L = \mathbf{I}$  Normal ordering

(vanishing initial  $N_2$ -abundance)



lower bound  
on  $\Theta_{13}$  ?



Another way to rescue  $SO(10)$  inspired models is by considering a left-right symmetric seesaw (Abada, Hosteins, Josse-Michaux, Lavignac '08)

The model yields constraints on all low energy neutrino observables !

$M_i$

$\Theta_{13}$

$\Theta_{23}$

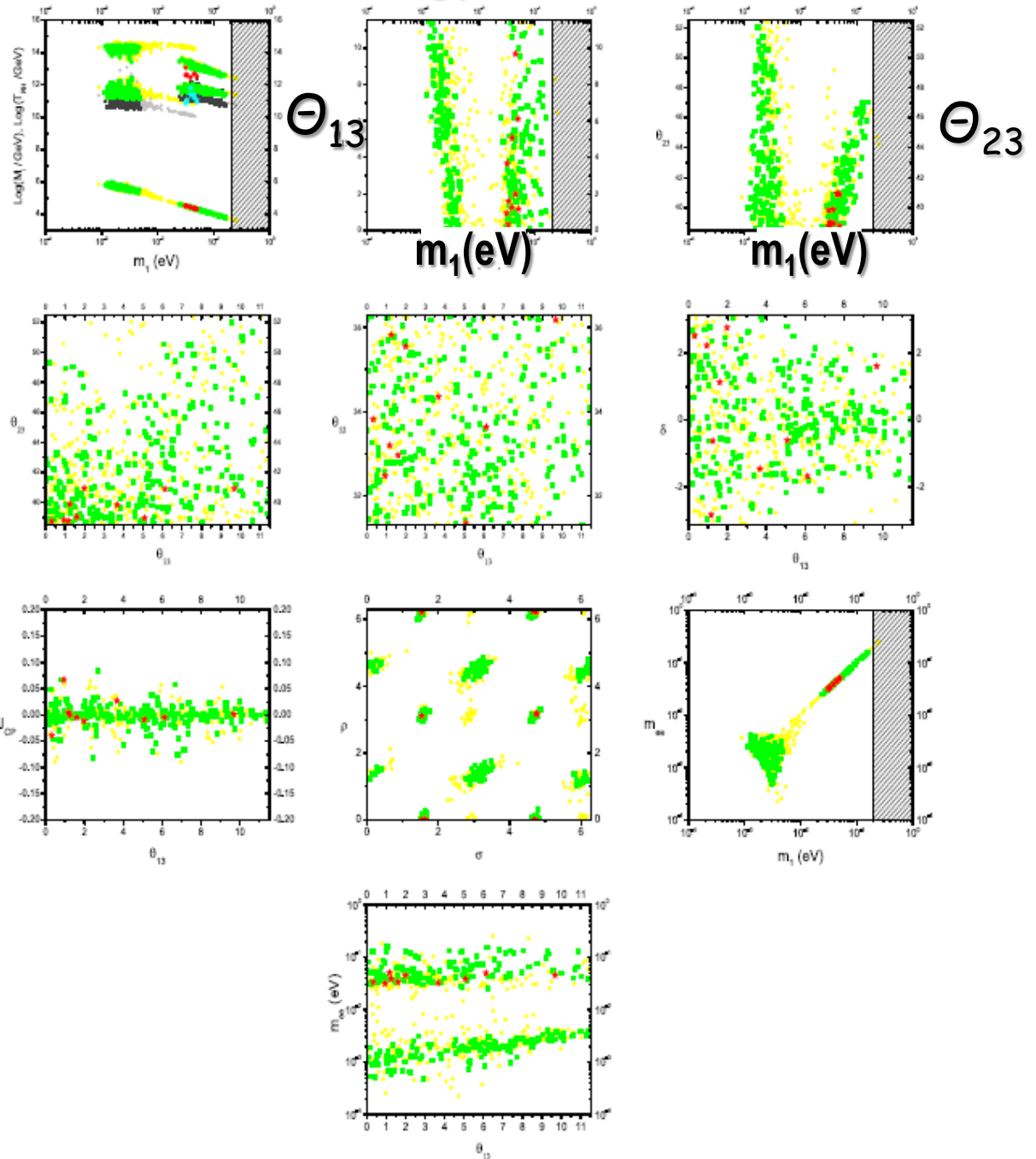
$$I < V_L < V_{CKM}$$

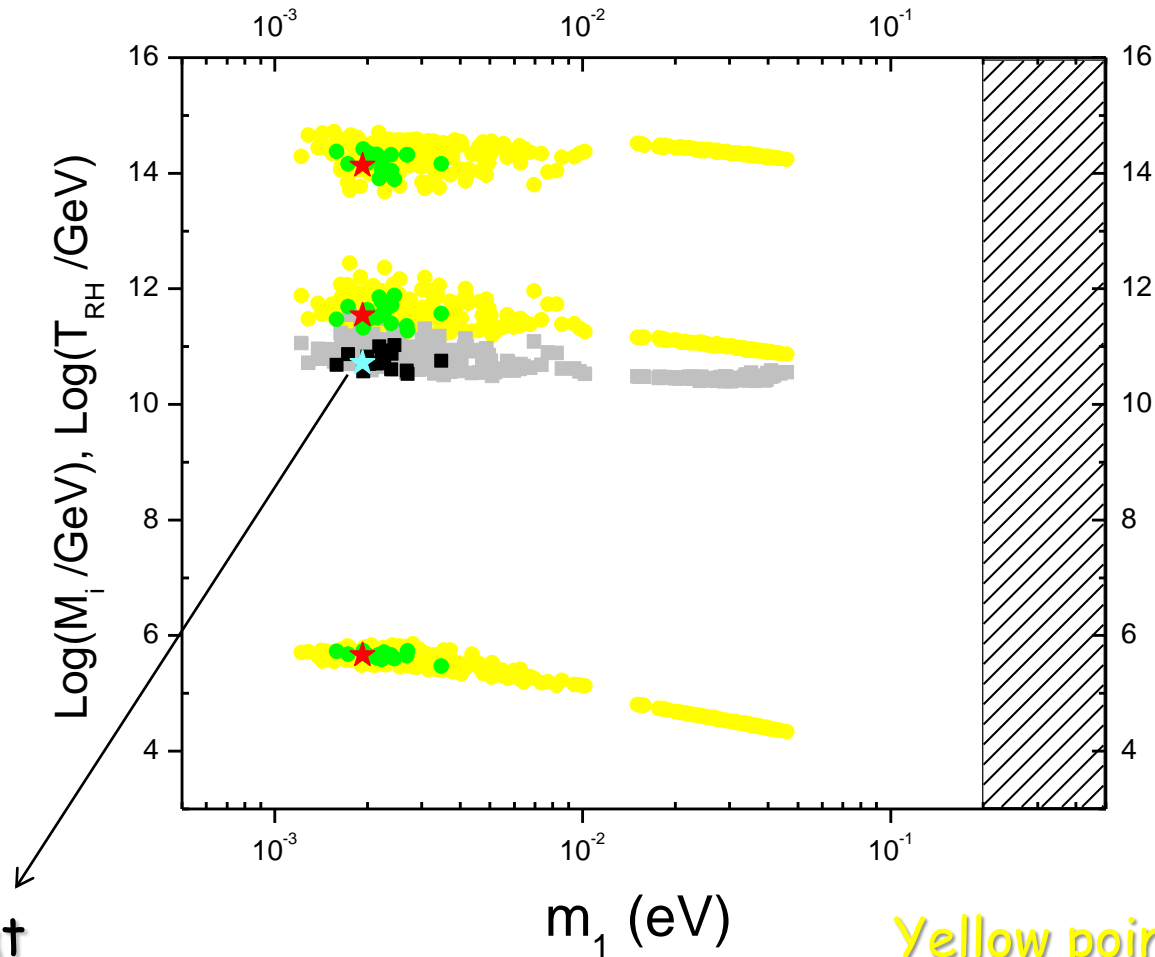
NORMAL  
ORDERING

$$\alpha_2=5$$

$$\alpha_2=4$$

$$\alpha_2=1$$





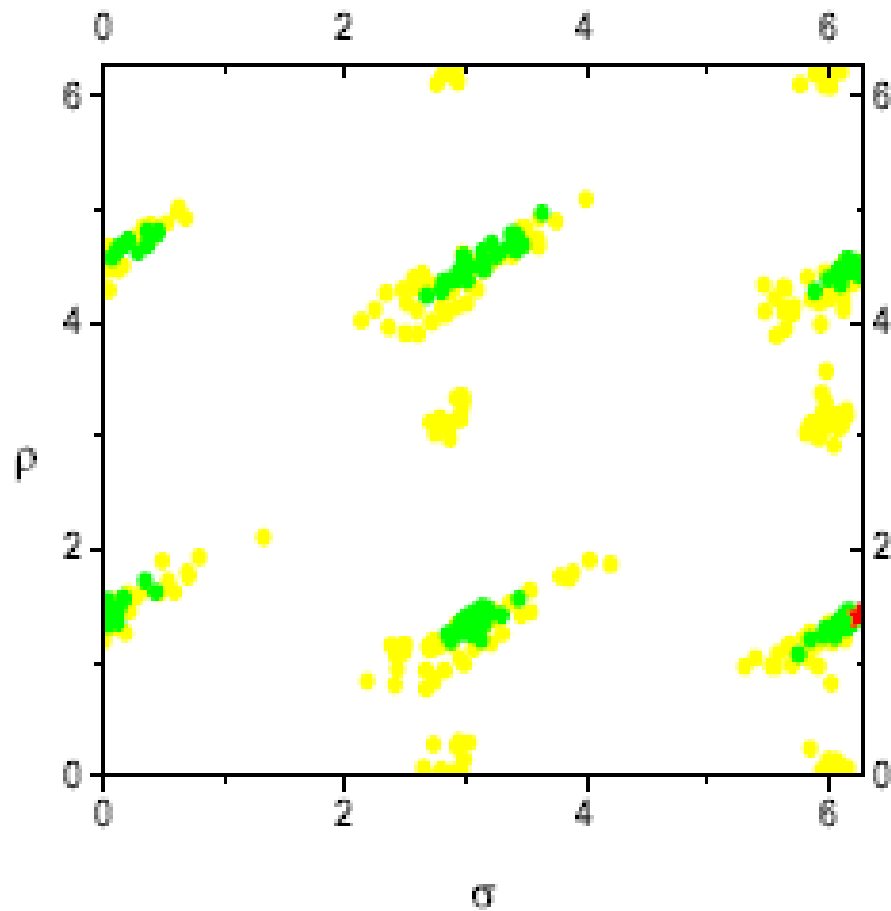
The reheat  
temperature  
lower bound  
is  $\sim 4 \times 10^{10}$  GeV

Yellow points:  $\alpha_2=5$

Green points:  $\alpha_2=4$

Red star:  $\alpha_2=3$

The Majorana phases need to be around very specific values



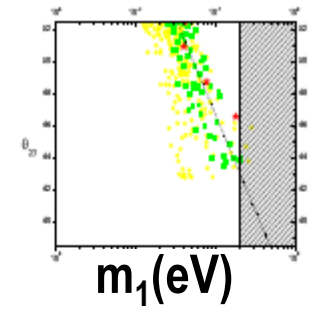
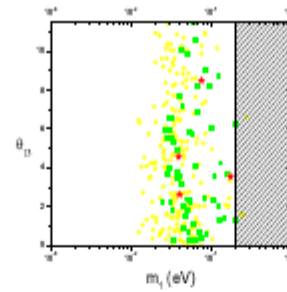
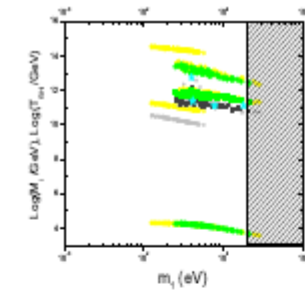
$$I < V_L < V_{CKM}$$

INVERTED  
ORDERING

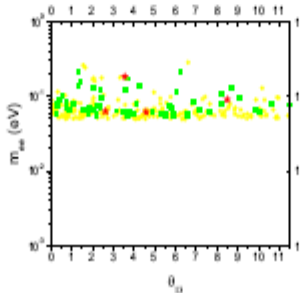
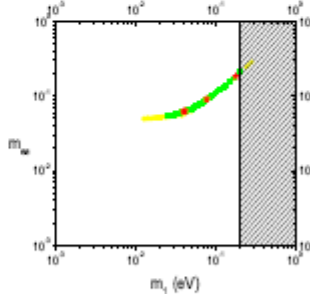
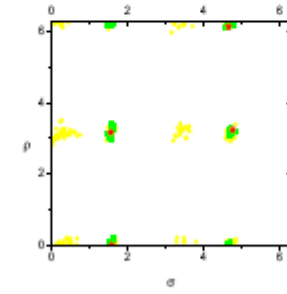
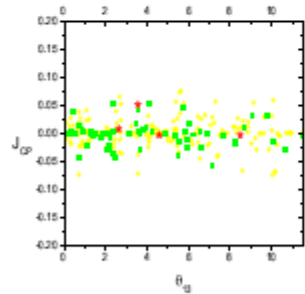
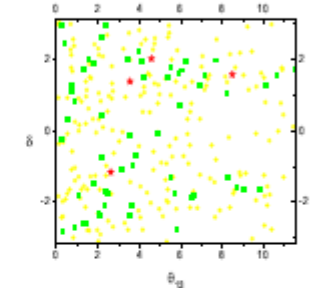
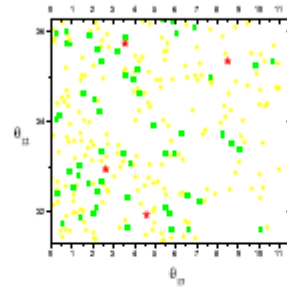
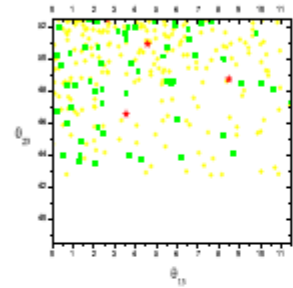
$$\alpha_2=5$$

$$\alpha_2=4$$

$$\alpha_2=1.5$$



$$\Theta_{23}$$



# An improved analysis

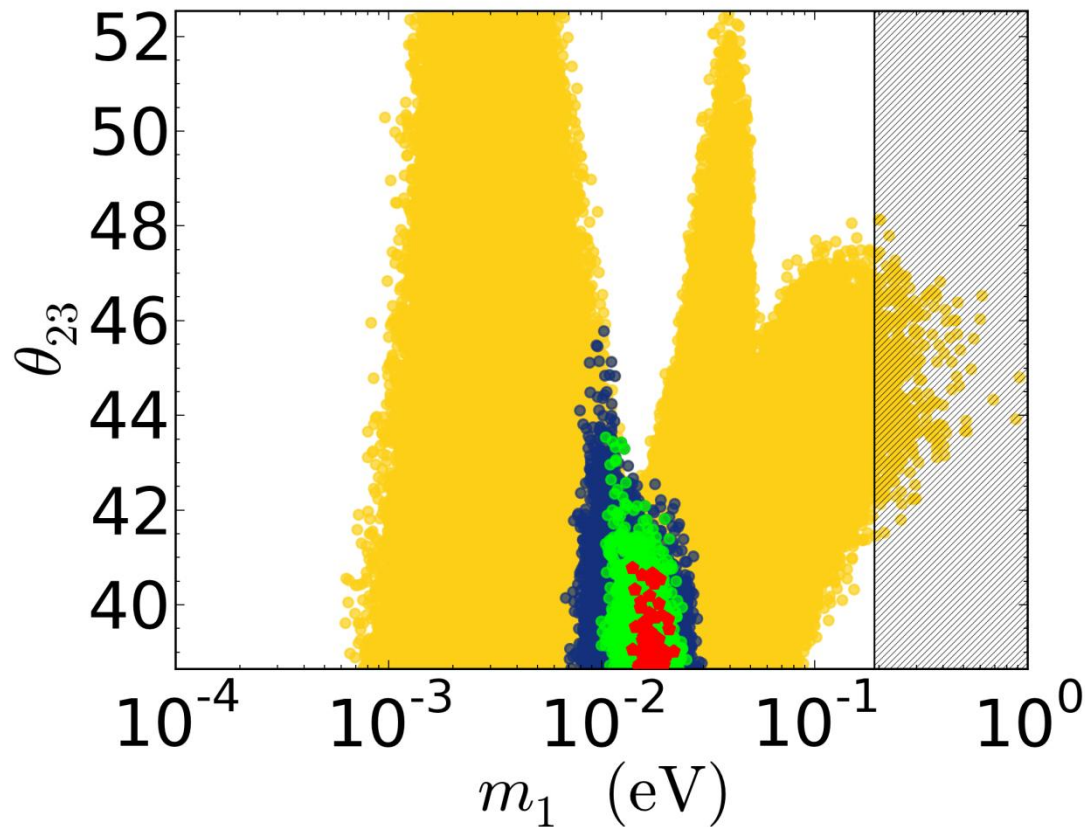
(PDB, Marzola '11-'12)

We optimised the procedure increasing of two orders of magnitudes the number of solutions (focus on yellow points for the time being):

$$\alpha_2=5$$

NORMAL  
ORDERING

$$I < V_L < V_{CKM}$$



Why? Just to have sharper borders ? NO.... i) statistical analysis  
ii) ....

# No link between the sign of the asymmetry and $J_{CP}$

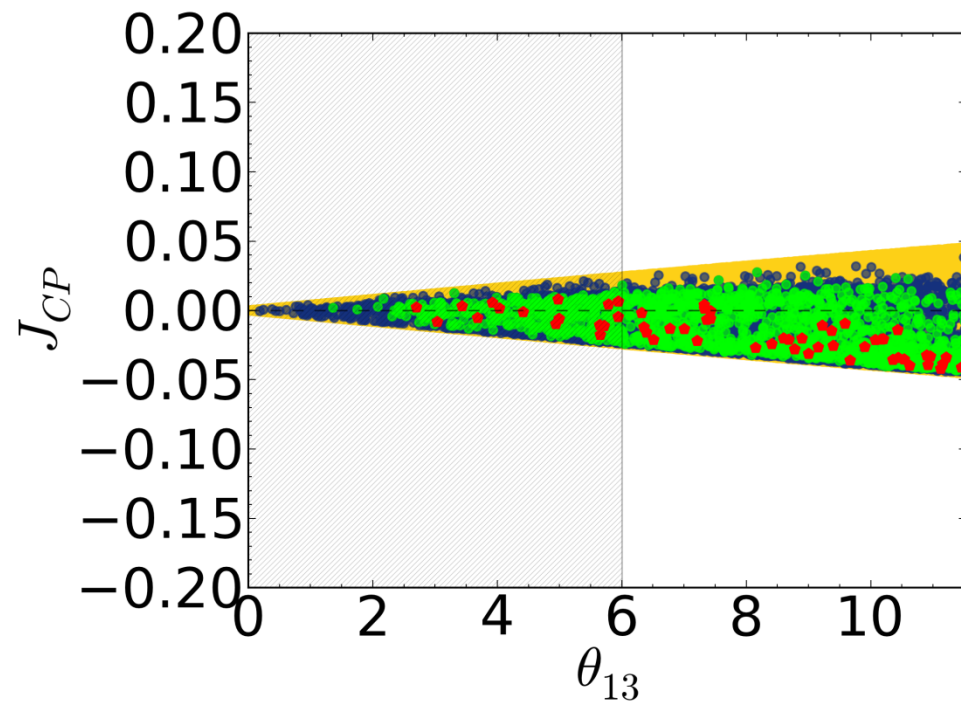
(PDB, Marzola '11-'12)

We optimised the procedure increasing of two orders of magnitudes the number of solutions:

$$\alpha_2=5$$

NORMAL  
ORDERING

$$I < V_L < V_{CKM}$$

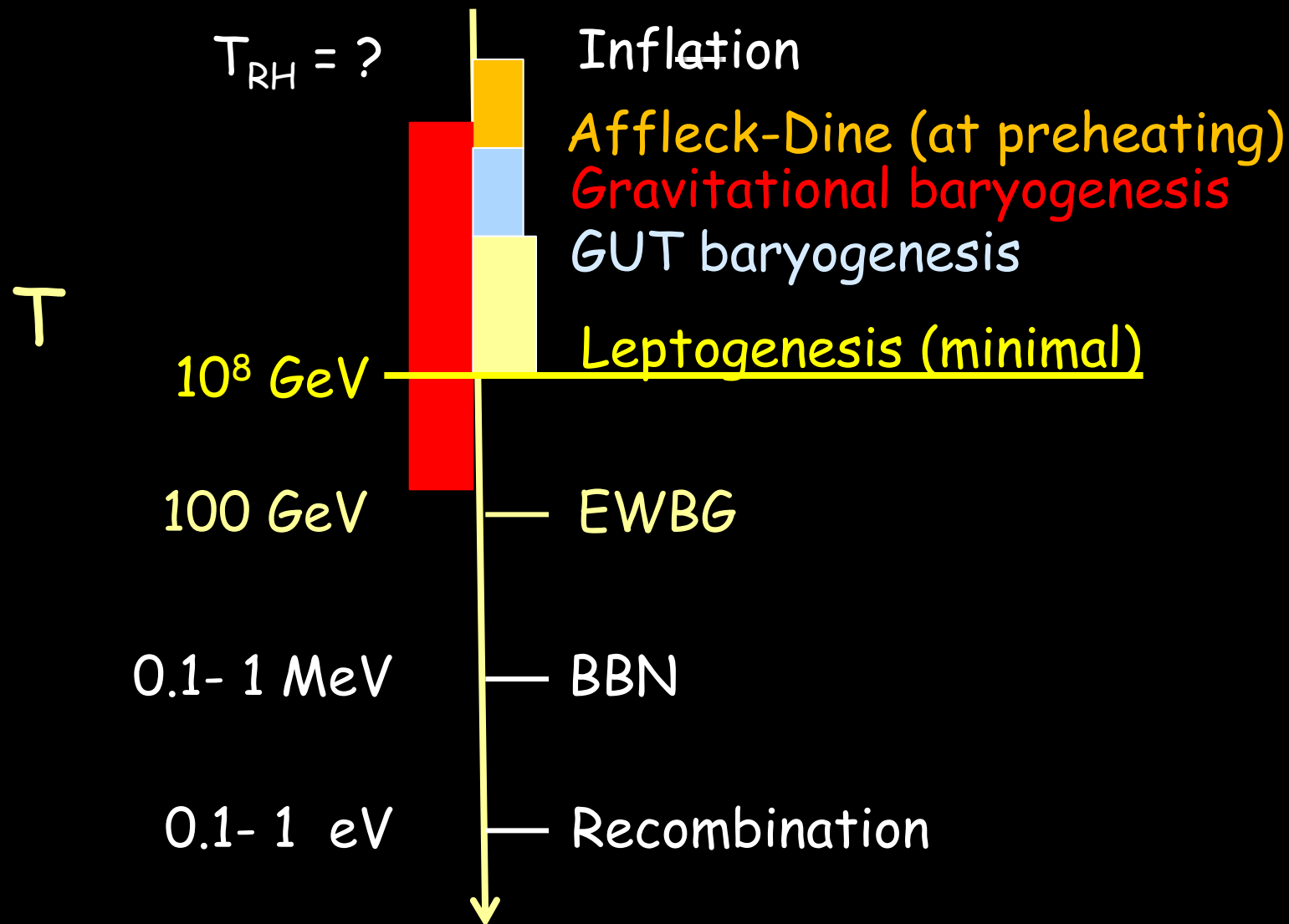


It is confirmed that there is no link between the matter-antimatter asymmetry and CP violation in neutrino mixing.....for the yellow points

WHAT ARE THE NON-YELLOW POINTS?



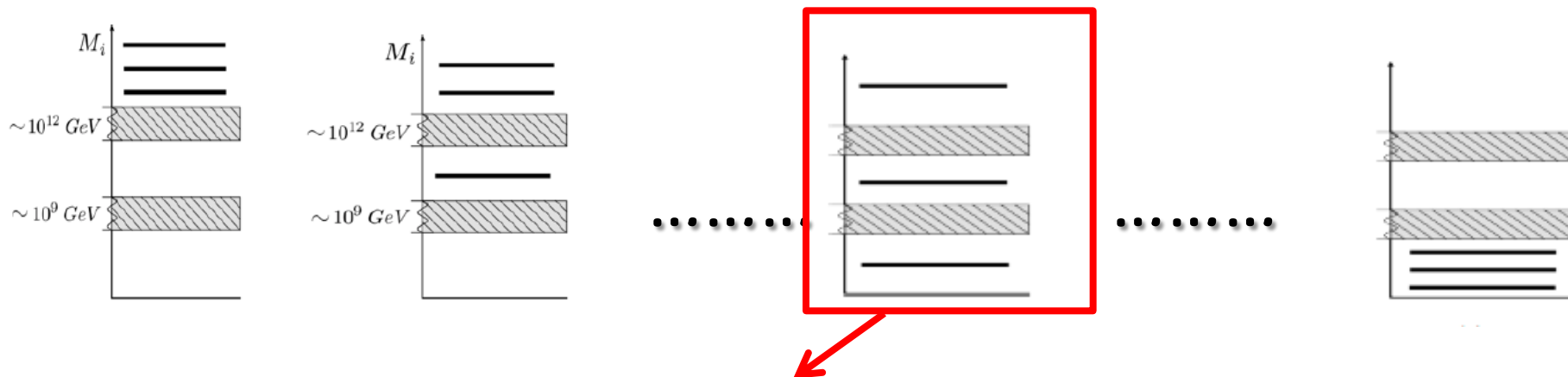
# Baryogenesis and the early Universe history



Residual "pre-existing"  
asymmetry possibly  
generated by some  
external mechanism

$$N_{B-L}^f = N_{B-L}^{P,f} + N_{B-L}^{\text{lep},f}$$

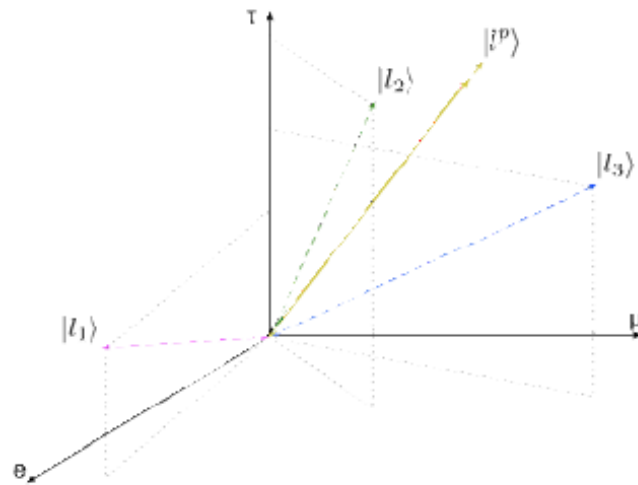
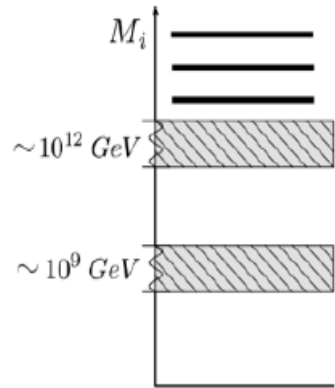
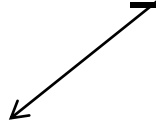
Asymmetry generated  
from leptogenesis



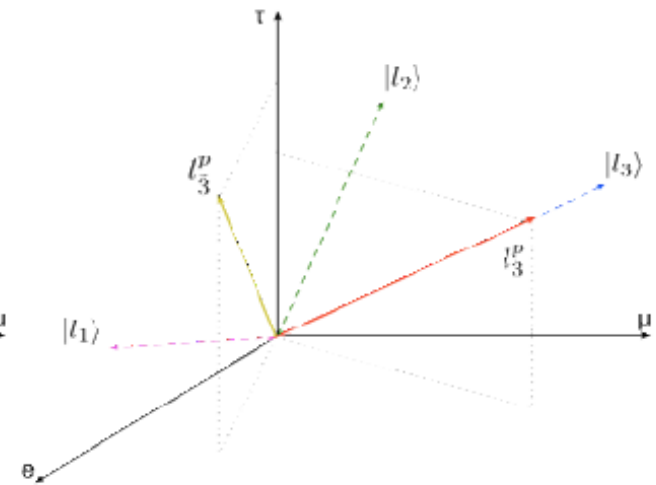
The conditions for the wash-out of a pre-existing asymmetry  
(= 'strong thermal leptogenesis') can be realised only  
within a  $N_2$ -dominated scenario where the final asymmetry  
is dominantly produced in the tauon flavour

This mass pattern is just that one realized in the  $SO(10)$   
inspired models: can they realise strong thermal leptogenesis?

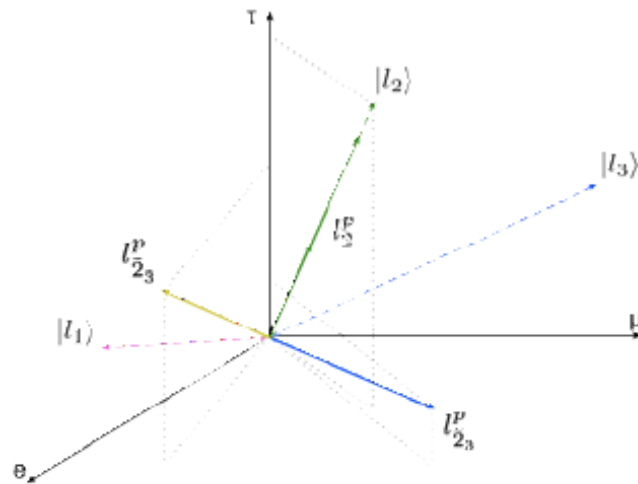
Example: The heavy neutrino flavored scenario cannot satisfy the strong thermal leptogenesis condition



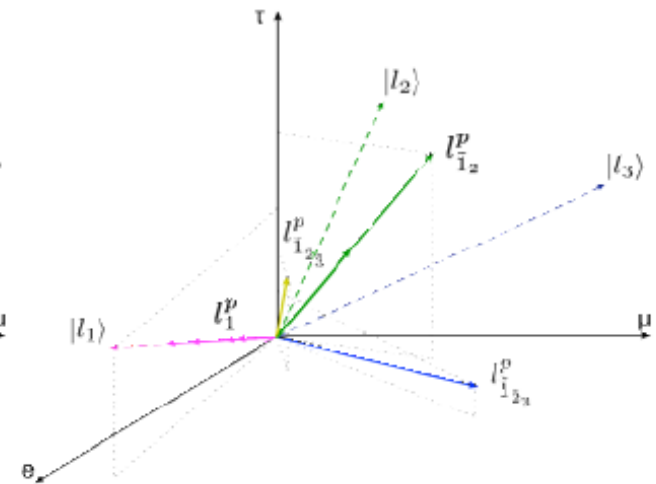
(a)  $T \gg M_3$



(b)  $T \sim M_3$



(c)  $T \sim M_2$



(d)  $T \sim M_1$

# SO(10)-inspired+strong thermal leptogenesis

(PDB, Marzola '11-'12)

$$N_{B-L}^f = N_{B-L}^{p,f} + N_{B-L}^{\text{lep},f},$$

Imposing both successful SO(10)-inspired leptogenesis  
 $\eta_B = \eta_B^{\text{CMB}} = (6.2 \pm 0.15) \times 10^{-10}$  and  $N_{B-L}^{p,f} \ll N_{B-L}^{\text{lep},f}$

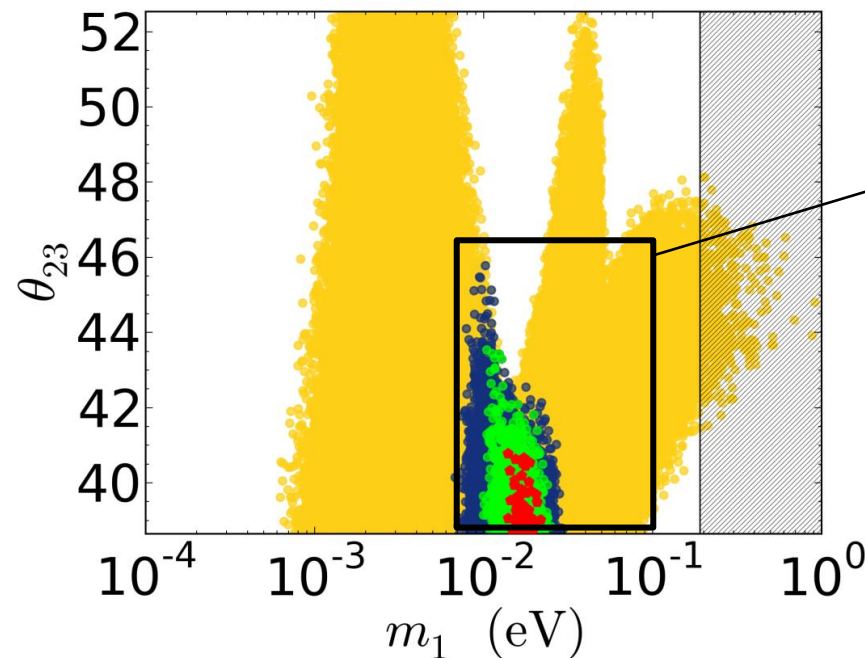
**NO Solutions for Inverted Ordering ! But...**

..for Normal Ordering there is a subset with interesting predictions

**UPPER BOUND ON THE ATMOSPHERIC MIXING ANGLE**

$N_{B-L} =$   
0  
0.001  
0.01  
0.1

$\alpha_2 = 5$



Small  
atmospheric  
mixing  
angle

# SO(10)-inspired+strong thermal leptogenesis

(PDB, Marzola '11-'12)

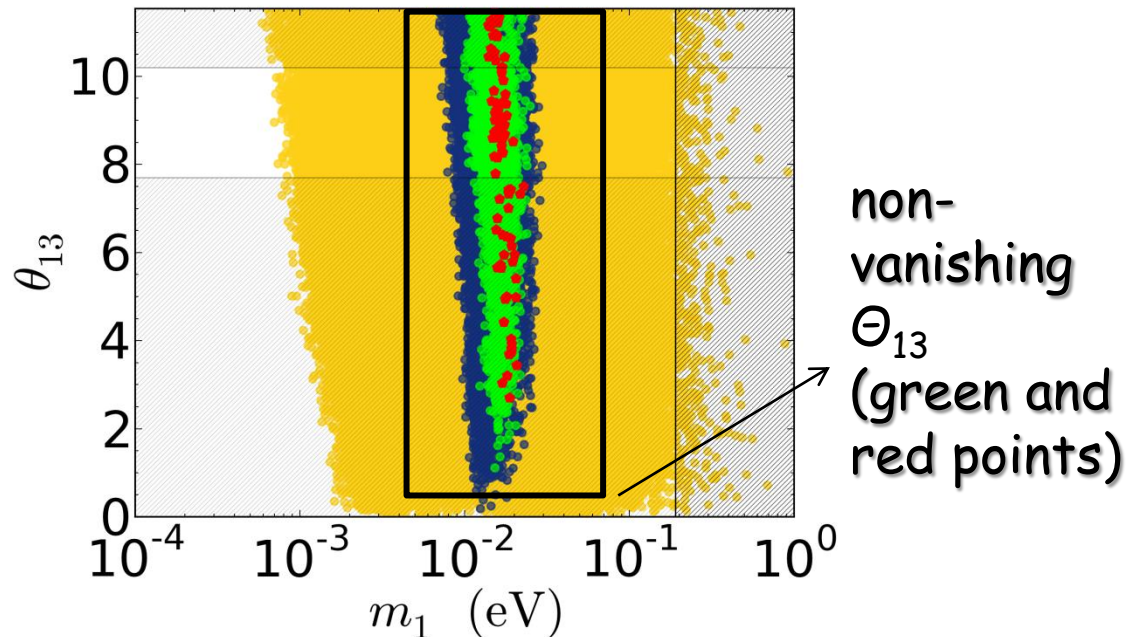
$$N_{B-L}^f = N_{B-L}^{p,f} + N_{B-L}^{\text{lep},f},$$

Imposing both successful SO(10)-inspired leptogenesis  
 $\eta_B = \eta_B^{\text{CMB}} = (6.2 \pm 0.15) \times 10^{-10}$  and  $N_{B-L}^{p,f} \ll N_{B-L}^{\text{lep},f}$

NON-VANISHING REACTOR MIXING ANGLE

$$N_{B-L} = \begin{matrix} 0 \\ 0.001 \\ 0.01 \\ 0.1 \end{matrix}$$

$$\alpha_2 = 5$$





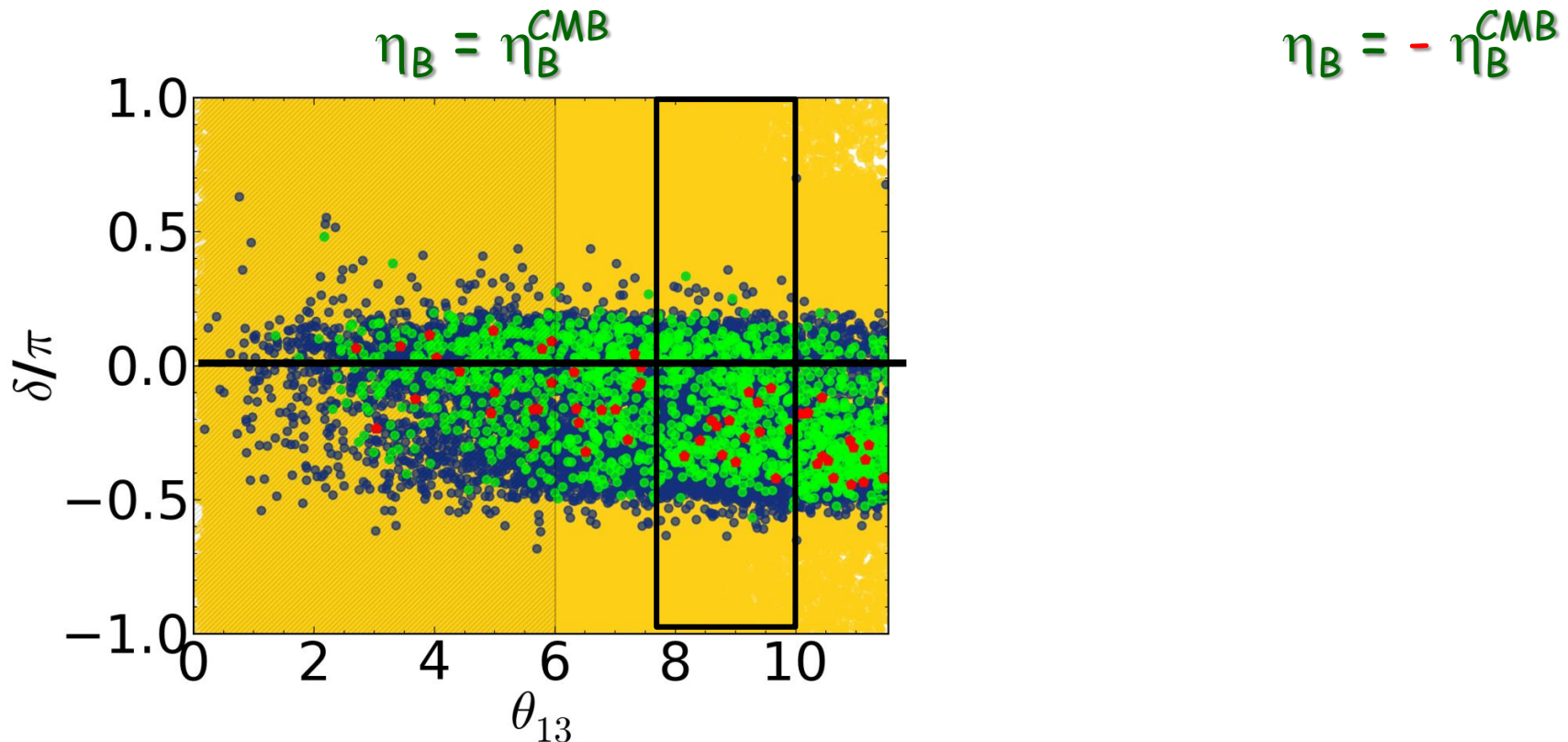
# SO(10)-inspired+strong thermal leptogenesis

(PDB, Marzola '11-'12)

$$N_{B-L}^f = N_{B-L}^{p,f} + N_{B-L}^{\text{lep},f},$$

Imposing both successful SO(10)-inspired leptogenesis  
 $\eta_B = \eta_B^{\text{CMB}} = (6.2 \pm 0.15) \times 10^{-10}$  and  $N_{B-L}^{p,f} \ll N_{B-L}^{\text{lep},f}$

Link between the sign of  $J_{CP}$  and the sign of the asymmetry



A Dirac phase  $\delta \sim -60^\circ$  is favoured for large  $\theta_{13}$

# SO(10)-inspired+strong thermal leptogenesis

(PDB, Marzola '11-'12)

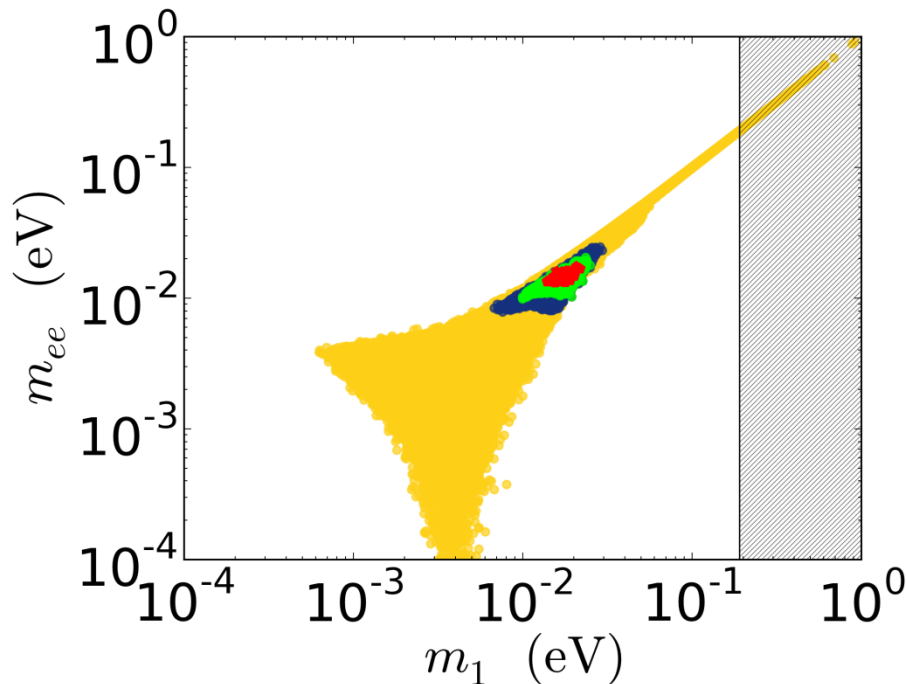
$$N_{B-L}^f = N_{B-L}^{p,f} + N_{B-L}^{\text{lep},f},$$

Imposing both successful SO(10)-inspired leptogenesis  
 $\eta_B = \eta_B^{\text{CMB}} = (6.2 \pm 0.15) \times 10^{-10}$  and  $N_{B-L}^{p,f} \ll N_{B-L}^{\text{lep},f}$

NO Solutions for Inverted Ordering, while for Normal Ordering there is a subset with interesting predictions:

$N_{B-L} =$   
0  
0.001  
0.01  
0.1

$\alpha_2 = 5$



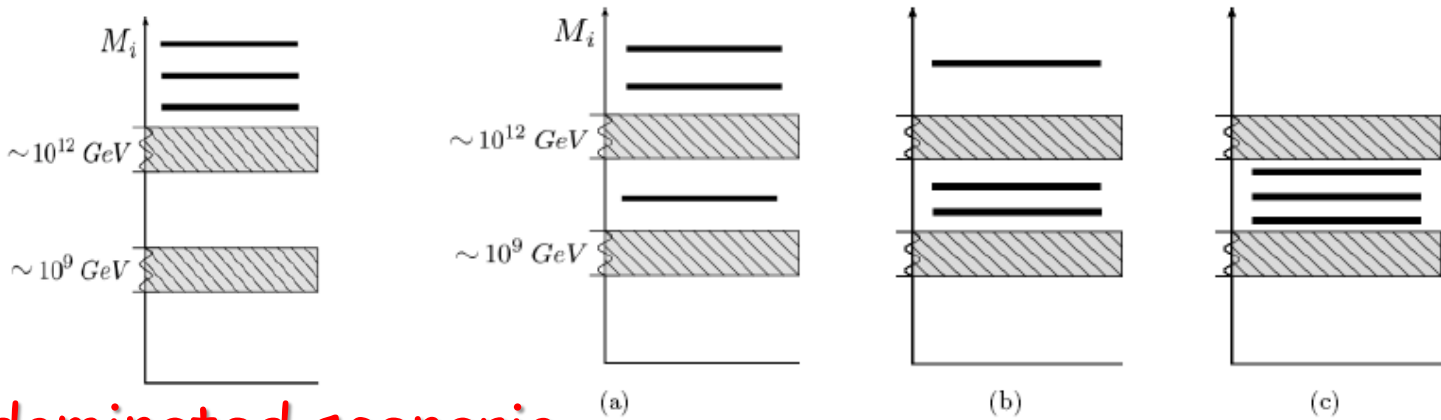
A sharp prediction on the absolute neutrino mass scales

# Concluding remarks

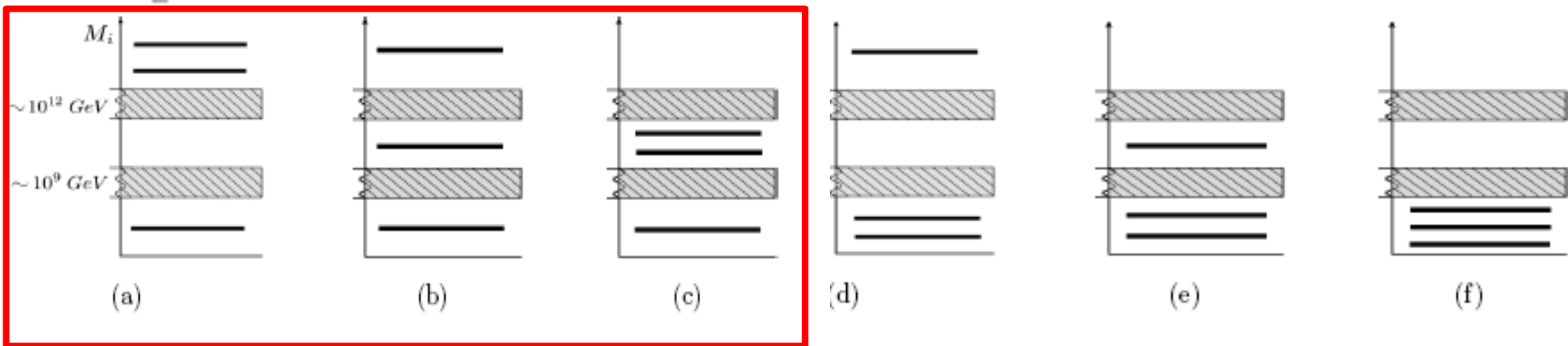
- $SO(10)$ -inspired leptogenesis is not only alive but it produces a set of solutions able to satisfy a very difficult condition when flavour effects are taken into account: *independence of the initial conditions (strong thermal leptogenesis)*
- It is not necessary to believe it or not...it is sufficient just to wait expected improvements in low energy neutrino data with already data taking (or soon starting) experiments: any step can rule them out (for example IO)
- At the moment the predictions are in quite a nice agreement with the current data but the absolute neutrino mass scale experiments would be the ultimate test, in case, since the predictions are quite sharp and, therefore, if satisfied all together with the others, they would form quite a strong case



More generally one has to distinguish 10 different RH neutrino mass patterns (Bertuzzo,PDB,Marzola '10)



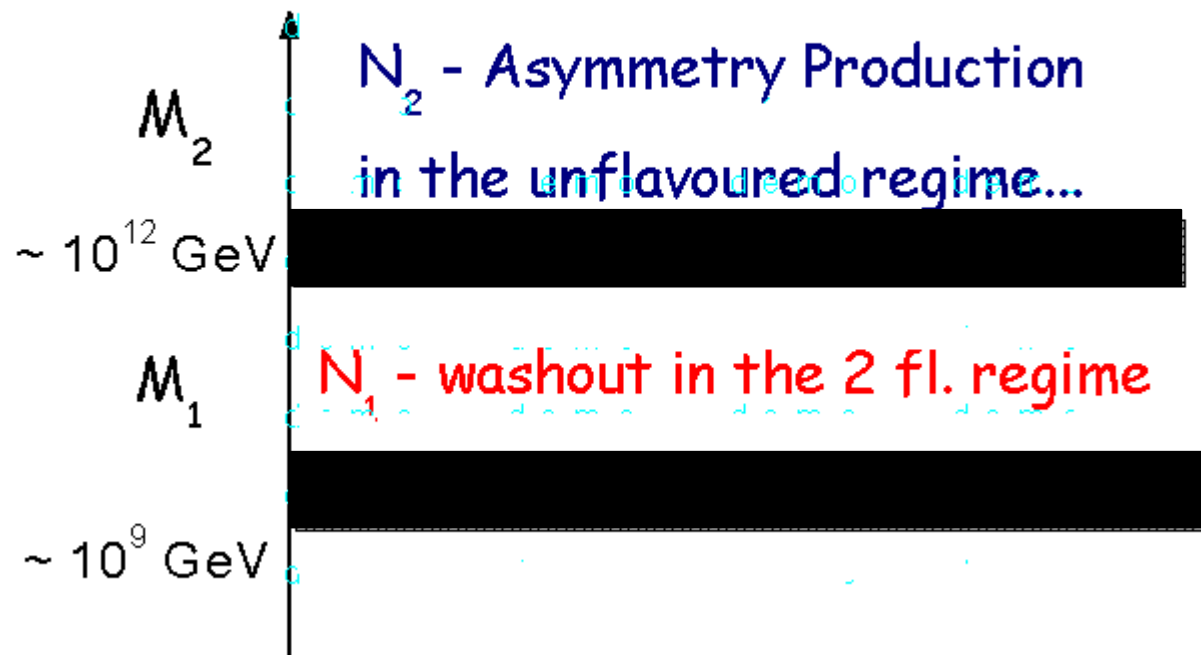
$N_2$  dominated scenario



For each pattern a specific set of Boltzmann equations has to be considered !

# Phantom Leptogenesis

Consider this situation



What happens to  $N_{B-L}$  at  $T \sim 10^{12}$  GeV?

How does it split into a  $N_{\Delta T}$  component and into a  $N_{\Delta e+\mu}$  component?

One could think:

$$N_{\Delta T} = p_{2T} N_{B-L},$$

$$N_{\Delta e+\mu} = p_{2e+\mu} N_{B-L}$$

# Phantom terms

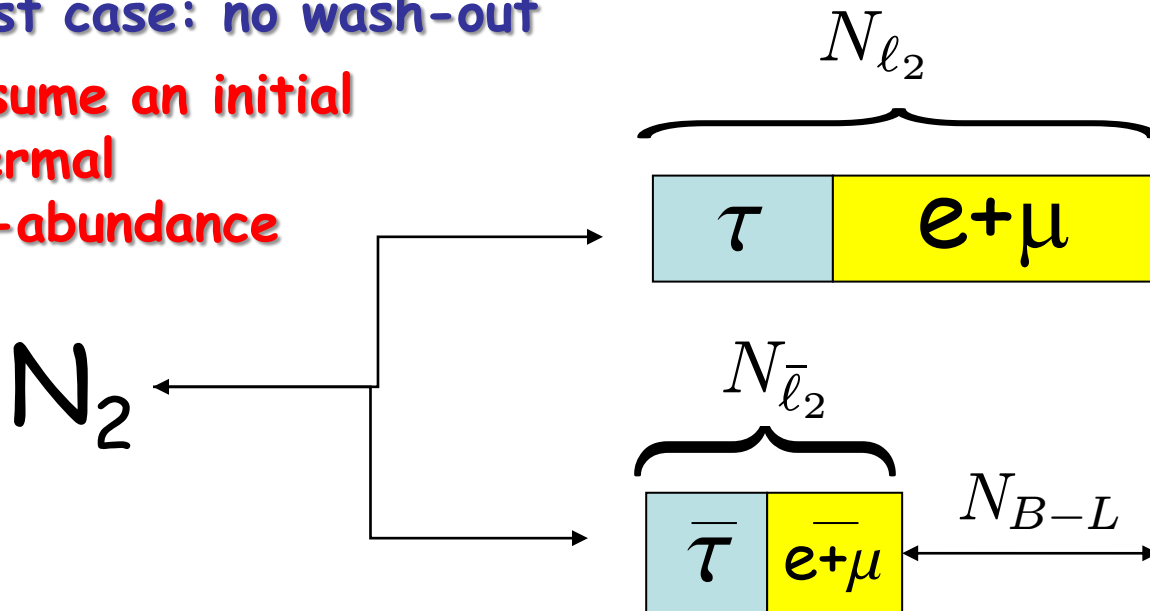
However one has to consider that in the unflavoured case there are contributions to  $N_{\Delta\tau}$  and  $N_{\Delta e+\mu}$  that are not just proportional to  $N_{B-L}$

Remember that:

$$\varepsilon_{1\alpha} = P_{1\alpha}^0 \varepsilon_1 + \frac{\Delta P_{1\alpha}}{2}$$

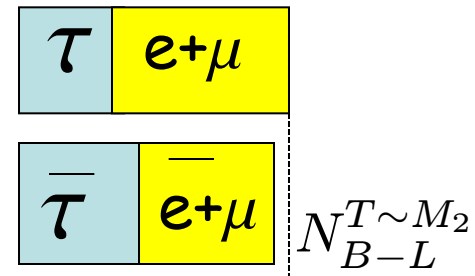
First case: no wash-out

Assume an initial thermal  $N_2$ -abundance



Second case: strong washout

The  $N_2$  wash-out can only suppress the B-L-asymmetry but it cannot change the flavour compositions of  $\ell_2$  and  $\bar{\ell}'_2$



# Phantom Leptogenesis

We can have then a situation where  $K_2 \gg 1$  so that at the end of the  $N_2$  washout the total asymmetry is negligible:

$$T \sim M_2$$

$\tau$	$e+\mu$
--------	---------

$\bar{\tau}$	$\bar{e}+\bar{\mu}$
--------------	---------------------

$$N_{B-L}^{T \sim M_2} = N_{\Delta_\tau}^{T \sim M_2} + N_{\Delta_{e+\mu}}^{T \sim M_2} \simeq 0 !$$

$$10^{12} \text{ GeV} \gtrsim T \gg M_1$$

$$N_{B-L}^{T \sim M_2} = N_{\Delta_\tau}^{T \sim M_2} + N_{\Delta_{e+\mu}}^{T \sim M_2} \simeq 0 !$$

$$T \simeq M_1$$

$$\text{Assume } K_{1\tau} \lesssim 1 \text{ and } K_{1e+\mu} \gg 1$$

$$N_{B-L}^f \simeq N_{\Delta_\tau}^{T \sim M_2} !$$

The  $N_1$  wash-out un-reveal the phantom term and effectively it creates a  $N_{B-L}$  asymmetry ! There is nothing esoteric but there is a...

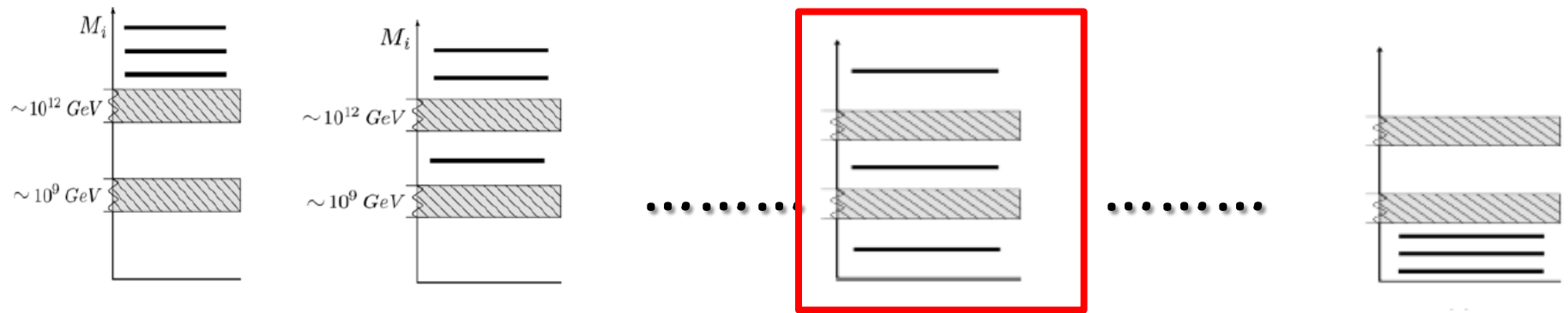
# The problem of the initial conditions in flavoured leptogenesis

(Bertuzzo, PDB, Marzola '10)

Residual "pre-existing" asymmetry possibly generated by some external mechanism

$$N_{B-L}^f = N_{B-L}^{P,f} + N_{B-L}^{\text{lep},f}$$

Asymmetry generated from leptogenesis



The wash-out of a pre-existing asymmetry is guaranteed only in a  $N_2$ -dominated scenario where the final asymmetry is dominantly in the tauon flavour

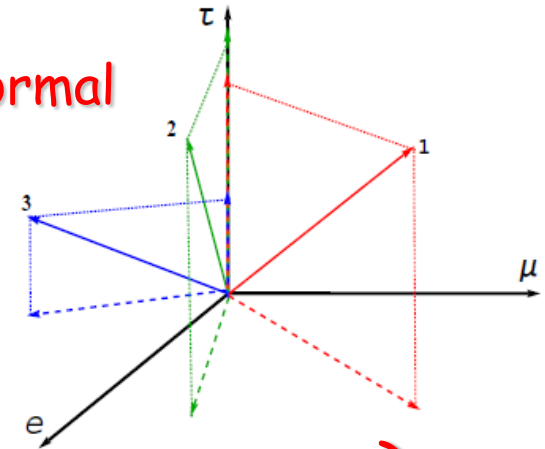
# Flavour projection

(Engelhard, Nir, Nardi '08 , Bertuzzo,PDB,Marzola '10)

Assume  $M_{i+1} \gtrsim 3M_i$  ( $i=1,2$ )

The heavy neutrino flavour basis cannot be orthonormal otherwise the CP asymmetries would vanish: this complicates the calculation of the final asymmetry

$$p_{ij} = |\langle \ell_i | \ell_j \rangle|^2 \quad p_{ij} = \frac{|(m_D^\dagger m_D)_{ij}|^2}{(m_D^\dagger m_D)_{ii} (m_D^\dagger m_D)_{jj}}$$



$$N_{B-L}^{(N_2)}(T \ll M_1) = N_{\Delta_1}^{(N_2)}(T \ll M_1) \propto p_{12} + N_{\Delta_{1\perp}}^{(N_2)}(T \ll M_1) \propto (1-p_{12})$$

Component from heavier RH neutrinos parallel to  $l_1$  and washed-out by  $N_1$  inverse decays

Contribution from heavier RH neutrinos orthogonal to  $l_1$  and escaping  $N_1$  wash-out

$$N_{\Delta_1}^{(N_2)}(T \ll M_1) = p_{12} e^{-\frac{3\pi}{8} K_1} N_{B-L}^{(N_2)}(T \sim M_2)$$

?

# Drawback of phantom Leptogenesis

We assumed an initial  $N_2$  thermal abundance but if we were assuming An initial vanishing  $N_2$  abundance the phantom terms were just zero !

$$N_{\Delta\tau}^{\text{phantom}} = \frac{\Delta p_{2\tau}}{2} N_{N_2}^{\text{in}}$$

The reason is that if one starts from a vanishing abundance during the  $N_2$  production one creates a contribution to the phantom term by **inverse decays** with opposite sign and exactly cancelling with what is created in the decays

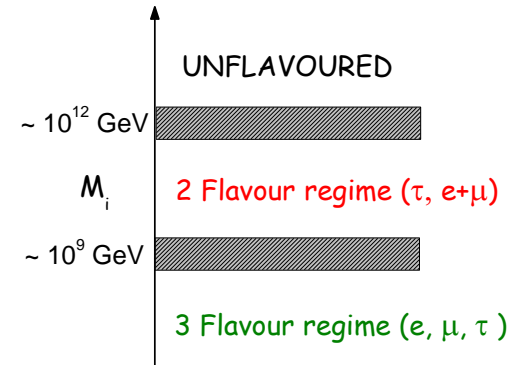
**In conclusion ....phantom leptogenesis introduces a strong dependence on the initial conditions**

# Limitations of Boltzmann equations

All results have been obtained within Boltzmann kinetic formalism assuming that leptons are either **pure states** or a full incoherent admixture of lepton flavour eigenstates (**mixed states**)

Limitations:

- **Asymmetry cannot be calculated when masses fall in transition regions**



- Even in the fully flavoured regimes, the simultaneous occurrence of many effects makes the calculation quite contrived and one should worry whether everything is consistently taken into account
- **More insight is certainly needed!**



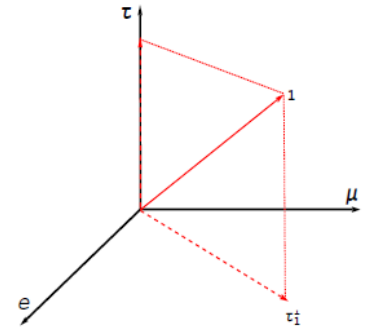
# Density matrix formalism

Within a density matrix formalism it is possible to describe consistently a system that is a statistical ensemble of several elementary quantum states that are either pure states or mixed states.

Consider our leptons  $\ell_1$  produced by the decays of  $N_1$

$$|1\rangle = C_{1\tau} |\tau\rangle + C_{1\tau_1^\perp} |\tau_1^\perp\rangle, \quad C_{1\alpha} \equiv \langle\alpha|1\rangle$$

( $\alpha = \tau, \tau_1^\perp$ )



**Density  
operator**

$$\hat{\rho}^{\ell_1} \equiv |1\rangle\langle 1| = \sum_{\alpha,\beta} \rho_{\alpha\beta} |\alpha\rangle\langle\beta|$$

For a pure state  $\hat{\rho}^2 = \hat{\rho}$  Moreover since  $\rho = \rho^\dagger$  there is always a basis where is diagonal, in this case the basis is simply  $|1\rangle, |1^\perp\rangle$

$$\rho_{ij} = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \quad (i, j = 1, 1^\perp)$$

# Density matrix formalism

When the  $\ell_1$  start to interact with the thermal bath, there will be the early Universe starts to be populated both with pure states  $|1\rangle$  and with mixed states  $|\tau\rangle, |\tau_1^\perp\rangle$

I can still find a basis  $|A\rangle, |B\rangle$  where the density matrix is diagonal:

$$\rho_{AB} = \text{diag}(p_A, p_B), \text{ where } p_A + p_B = 1 \text{ but now } \rho \neq \rho^2$$

- When all states are pure simply  $|A\rangle = |1\rangle, |B\rangle = |1^\perp\rangle$
- When all states are mixed  $|A\rangle = |\tau\rangle, |B\rangle = |\tau_1^\perp\rangle$  but this time

$$\rho_{\tau\tau_1^\perp} = \text{diag}(p_{1\tau}, 1 - p_{1\tau})$$

We can also introduce the lepton number density matrix simply as

$$N_{ij}^\ell = N_{\ell_1} \rho_{ij}^\ell$$

# Density matrix formalism

In the charged lepton flavour basis  $|\tau\rangle, |\tau_1^\perp\rangle$  one has a transition from a matrix with off-diagonal elements to a diagonal matrix. This evolution can be described with kinetic equations introducing **decoherence** due to the scatterings with the thermal bath

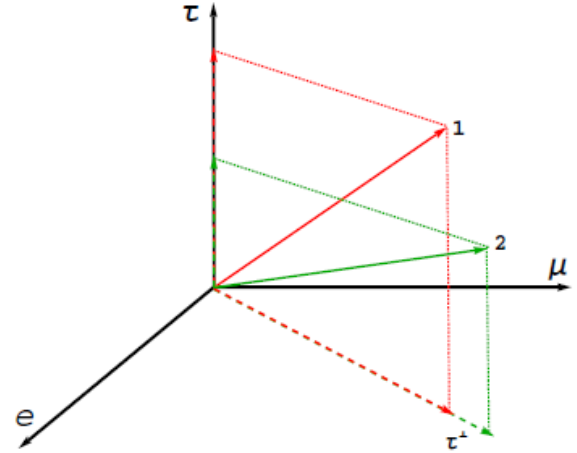
The result (subtracting density matrix for leptons and anti-leptons) for the B-L asymmetry matrix is

$$\begin{aligned} \frac{dN_{\alpha\beta}^{B-L}}{dz} = & \varepsilon_{\alpha\beta}^{(1)} D_1 (N_{N_1} - N_{N_1}^{\text{eq}}) - \frac{1}{2} W_1 \{ \mathcal{P}^{0(1)}, N^{B-L} \}_{\alpha\beta} \\ & + i \frac{\text{Re}(\Lambda_\tau)}{H z} \left[ \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, N^{\ell+\bar{\ell}} \right]_{\alpha\beta} - \frac{\text{Im}(\Lambda_\tau)}{H z} \left[ \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \left[ \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, N^{B-L} \right] \right]_{\alpha\beta}, \end{aligned} \quad (45)$$

# Density matrix formalism

When more than 1 heavy neutrino flavour is included but still one has only 2 lepton flavours  $|\tau\rangle, |\tau_1^\perp\rangle$

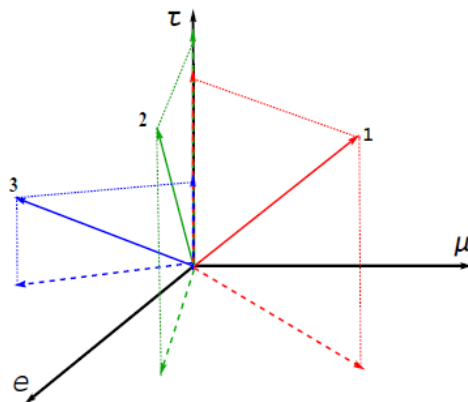
The equation includes 2 source terms for the asymmetry



$$\begin{aligned}
 \frac{dN_{\alpha\beta}^{B-L}}{dz} &= \varepsilon_{\alpha\beta}^{(1)} D_1 (N_{N_1} - N_{N_1}^{\text{eq}}) - \frac{1}{2} W_1 \{ \mathcal{P}^{0(1)}, N^{B-L} \}_{\alpha\beta} \\
 &+ \varepsilon_{\alpha\beta}^{(2)} D_2 (N_{N_2} - N_{N_2}^{\text{eq}}) - \frac{1}{2} W_2 \{ \mathcal{P}^{0(2)}, N^{B-L} \}_{\alpha\beta} \\
 &+ i \text{Re}(\Lambda_\tau) \left[ \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, N^{\ell+\bar{\ell}} \right]_{\alpha\beta} - \text{Im}(\Lambda_\tau) \left[ \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \left[ \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, N^{B-L} \right] \right]_{\alpha\beta}.
 \end{aligned} \tag{50}$$

# Density matrix formalism

When the whole 3 flavour structure is taken into account

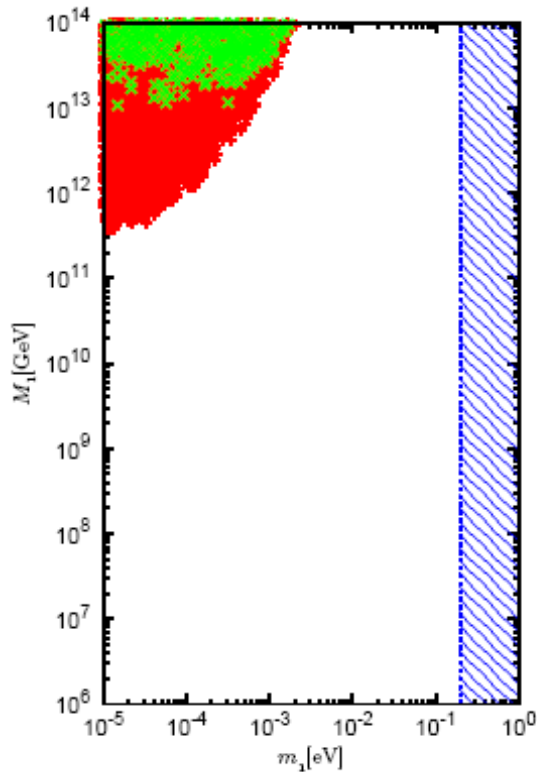


The result is a monster equation:

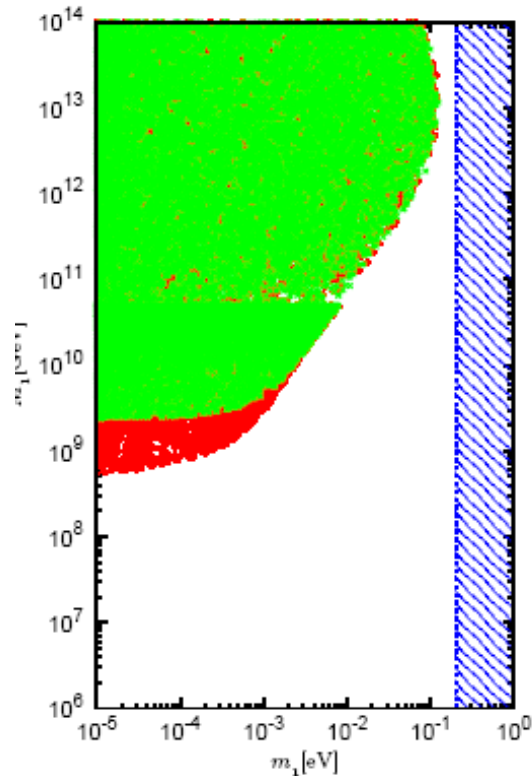
$$\begin{aligned}
 \frac{dN_{\alpha\beta}^{B-L}}{dz} = & \varepsilon_{\alpha\beta}^{(1)} D_1 (N_{N_1} - N_{N_1}^{\text{eq}}) - \frac{1}{2} W_1 \{ \mathcal{P}^{0(1)}, N^{B-L} \}_{\alpha\beta} \\
 & + \varepsilon_{\alpha\beta}^{(2)} D_2 (N_{N_2} - N_{N_2}^{\text{eq}}) - \frac{1}{2} W_2 \{ \mathcal{P}^{0(2)}, N^{B-L} \}_{\alpha\beta} \\
 & + \varepsilon_{\alpha\beta}^{(3)} D_3 (N_{N_3} - N_{N_3}^{\text{eq}}) - \frac{1}{2} W_3 \{ \mathcal{P}^{0(3)}, N^{B-L} \}_{\alpha\beta} \\
 & + i \text{Re}(\Lambda_\tau) \left[ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, N^{\ell+\bar{\ell}} \right]_{\alpha\beta} - \text{Im}(\Lambda_\tau) \left[ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, N^{B-L} \right]_{\alpha\beta} \\
 & + i \text{Re}(\Lambda_\mu) \left[ \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, N^{\ell+\bar{\ell}} \right]_{\alpha\beta} - \text{Im}(\Lambda_\mu) \left[ \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, N^{B-L} \right]_{\alpha\beta} .
 \end{aligned} \tag{80}$$

# A first encouraging coincidence

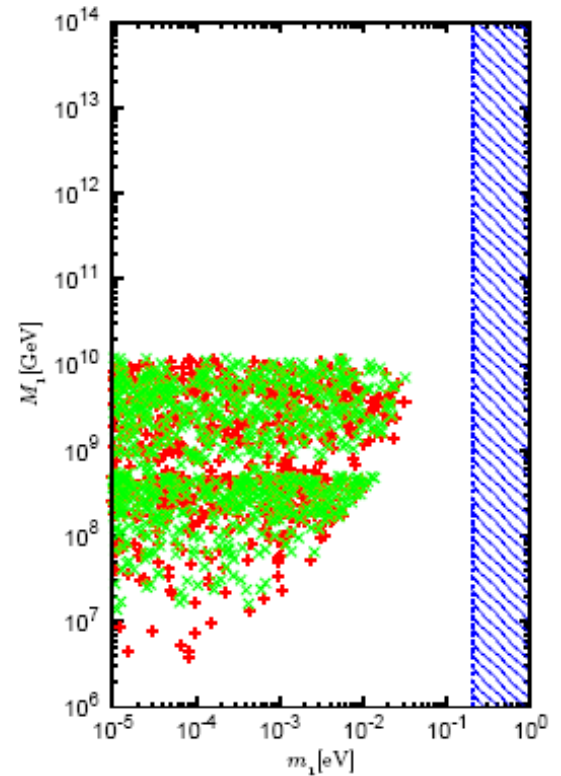
$$m_{atm} = 10^{-5} \text{ eV}$$



$$m_{atm} = 0.05 \text{ eV}$$



$$m_{atm} = 10 \text{ eV}$$



Green points: Unflavored

Red points: Flavored

# SO(10)-inspired leptogenesis

( Branco et al. '02; Nezri, Orloff '02; Akhmedov, Frigerio, Smirnov '03)

Expressing the **neutrino Dirac mass matrix**  $m_D$  (in the basis where the Majorana mass and charged lepton mass matrices are diagonal):

$$m_D = V_L^\dagger D_{m_D} U_R \quad (\text{bi-unitary parametrization})^*$$

and **where**  $D_{m_D} = \text{diag}\{\lambda_{D1}, \lambda_{D2}, \lambda_{D3}\}$

**assuming:** 1)  $\lambda_{D1} = \alpha_1 m_u, \lambda_{D2} = \alpha_2 m_c, \lambda_{D3} = \alpha_3 m_t, (\alpha_i = \mathcal{O}(1))$

2)  $V_L \simeq V_{CKM} \simeq I$

one typically obtains (barring fine-tuned exceptions):

$$M_1 \sim \alpha_1^2 10^5 \text{ GeV}, \quad M_2 \sim \alpha_2^2 10^{10} \text{ GeV}, \quad M_3 \sim \alpha_3^2 10^{15} \text{ GeV}$$

**since  $M_1 \ll 10^9 \text{ GeV} \Rightarrow \eta_B(N_1) \ll \eta_B^{\text{CMB}} !$**

**$\Rightarrow$  failure of the  $N_1$ -dominated scenario !**

---

\* Note that:  $\Omega = D_m^{-\frac{1}{2}} U^\dagger V_L^\dagger D_{m_D} U_R D_M^{-\frac{1}{2}}$

# Heavy neutrino flavored scenario

(Engelhard, Nir, Nardi '08 , Bertuzzo,PDB,Marzola '10)

Assume  $M_{i+1} \gtrsim 3M_i$  ( $i=1,2$ )

The heavy neutrino flavour basis is not orthogonal in general and this complicates the calculation of the final asymmetry

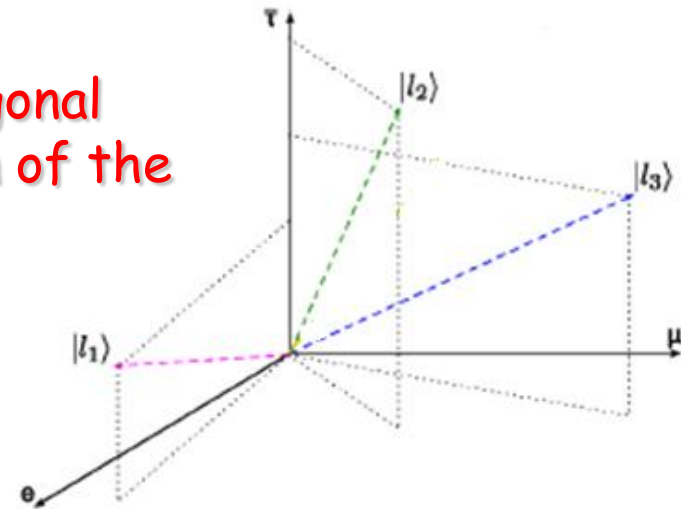
$$p_{ij} = |\langle \ell_i | \ell_j \rangle|^2 \quad p_{ij} = \frac{|(m_D^\dagger m_D)_{ij}|^2}{(m_D^\dagger m_D)_{ii} (m_D^\dagger m_D)_{jj}}$$

$$N_{B-L}^{\text{lep}}(T_{B1}) = N_{\Delta_1}^{\text{lep}}(T_{B1}) + N_{\Delta_{\bar{1}}}^{\text{lep}}(T_{B1}),$$

$$\begin{aligned} N_{\Delta_1}^{\text{lep}}(T_{B1}) = & p_{21} p_{32} \varepsilon_3 \kappa(K_3) e^{-\frac{3\pi}{8}(K_1+K_2)} \\ & + p_{21} \varepsilon_2 \kappa(K_2) e^{-\frac{3\pi}{8}K_1} \\ & + p_{\bar{2}31} (1 - p_{32}) \varepsilon_3 \kappa(K_3) e^{-\frac{3\pi}{8}K_1} \\ & + \varepsilon_1 \kappa(K_1) \end{aligned}$$

$$\begin{aligned} N_{\Delta_{\bar{1}}}^{\text{lep}}(T_{B1}) = & (1 - p_{21}) [p_{32} \varepsilon_3 \kappa(K_3) e^{-\frac{3\pi}{8}K_2} + \varepsilon_2 \kappa(K_2)] \\ & + (1 - p_{\bar{2}31}) (1 - p_{32}) \varepsilon_3 \kappa(K_3). \end{aligned}$$

Contribution from heavier RH neutrinos orthogonal to  $l_1$  and escaping  $N_1$  wash-out



Notice that some deviation from orthogonality is typically necessary since otherwise (e.g. with tri-bimaximal mixing) one would have vanishing CP asymmetries and therefore no asymmetry produced from leptogenesis (Antusch, King, Riotto '08; Aristizabal,Bazzocchi,Merlo,Morisi '09)



# A recent global analysis

Global analysis of neutrino masses, mixings and phases:  
entering the era of leptonic CP violation searches

G.L. Fogli,<sup>1,2</sup> E. Lisi,<sup>2</sup> A. Marrone,<sup>1,2</sup> D. Montanino,<sup>3,4</sup> A. Palazzo,<sup>5</sup> and A.M. Rotunno<sup>1</sup>

arXiv:1205.5254v2 [hep-ph] 25 May 2012

TABLE I: Results of the global  $3\nu$  oscillation analysis, in terms of best-fit values and allowed 1, 2 and  $3\sigma$  ranges for the  $3\nu$  mass-mixing parameters. We remind that  $\Delta m^2$  is defined herein as  $m_3^2 - (m_1^2 + m_2^2)/2$ , with  $+\Delta m^2$  for NH and  $-\Delta m^2$  for IH.

Parameter	Best fit	$1\sigma$ range	$2\sigma$ range	$3\sigma$ range
$\delta m^2/10^{-5} \text{ eV}^2$ (NH or IH)	7.54	7.32 – 7.80	7.15 – 8.00	6.99 – 8.18
$\sin^2 \theta_{12}/10^{-1}$ (NH or IH)	3.07	2.91 – 3.25	2.75 – 3.42	2.59 – 3.59
$\Delta m^2/10^{-3} \text{ eV}^2$ (NH)	2.43	2.34 – 2.50	2.26 – 2.58	2.15 – 2.66
$\Delta m^2/10^{-3} \text{ eV}^2$ (IH)	2.42	2.32 – 2.49	2.25 – 2.56	2.14 – 2.65
$\sin^2 \theta_{13}/10^{-2}$ (NH)	2.45	2.14 – 2.79	1.81 – 3.11	1.49 – 3.44
$\sin^2 \theta_{13}/10^{-2}$ (IH)	2.46	2.15 – 2.80	1.83 – 3.13	1.50 – 3.47
$\sin^2 \theta_{23}/10^{-1}$ (NH)	3.98	3.72 – 4.28	3.50 – 4.75	3.30 – 6.38
$\sin^2 \theta_{23}/10^{-1}$ (IH)	4.08	3.78 – 4.43	3.55 – 6.27	3.35 – 6.58
$\delta/\pi$ (NH)	0.89	0.45 – 1.18	—	—
$\delta/\pi$ (IH)	0.90	0.47 – 1.22	—	—

## 2) The lower bounds on $M_1$ and on $T_{\text{reh}}$ get relaxed:

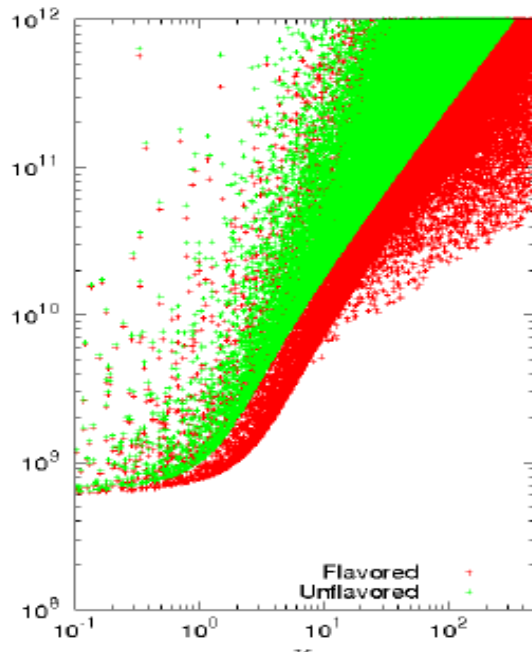
(Blanchet, PDB '08)

$$\frac{\Delta P_{i\alpha}}{2} \simeq \frac{1}{8\pi (h^\dagger h)_{ii}} \sum_{j \neq i} \left\{ \text{Im} \left[ h_{\alpha i}^* h_{\alpha j} \left( \frac{3}{2\sqrt{x_j}} (h^\dagger h)_{ij} + \left( \frac{3}{2\sqrt{x_j}} (h^\dagger h)_{ij} + \left( \frac{3}{2\sqrt{x_j}} (h^\dagger h)_{ij} + \left( \frac{3}{2\sqrt{x_j}} (h^\dagger h)_{ij} \right) \right) \right) \right] \right\} \quad \boxed{x_j = \frac{M_j^2}{M_1^2}}$$

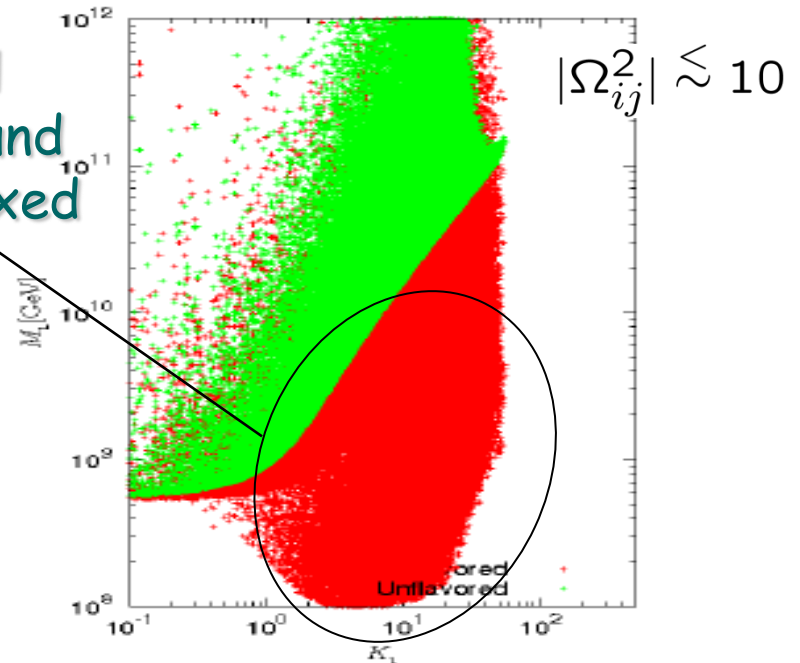
It dominates for  $|\Omega_{ij}| \lesssim 1$  but is upper bounded because of  $\Omega$  orthogonality:

$$\left| \frac{\Delta P_{1\alpha}}{2} \right| < \bar{\varepsilon}(M_1) \sqrt{P_{1\alpha}^0}$$

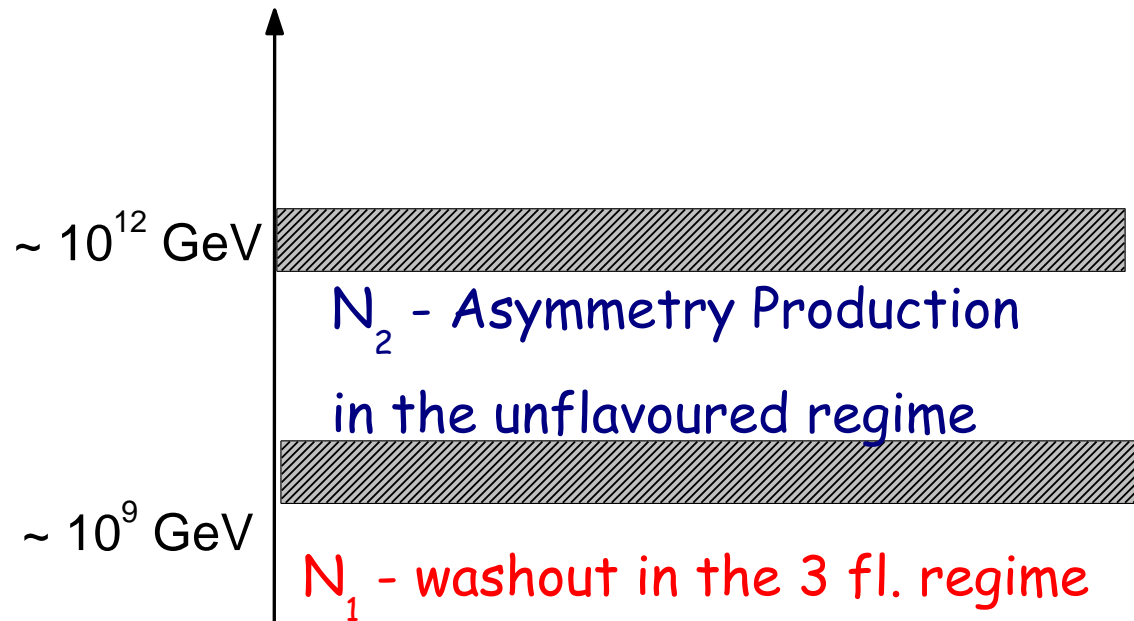
It is usually neglected but since it is not upper bounded by orthogonality, for  $|\Omega_{ij}| \gtrsim 1$  it can be important



The usual lower bound gets relaxed



Analogous results hold in the case when the production occurs in the 2 flavour regime for  $10^{12} \text{ GeV} \gtrsim M_2 \gtrsim 10^9 \text{ GeV}$ :

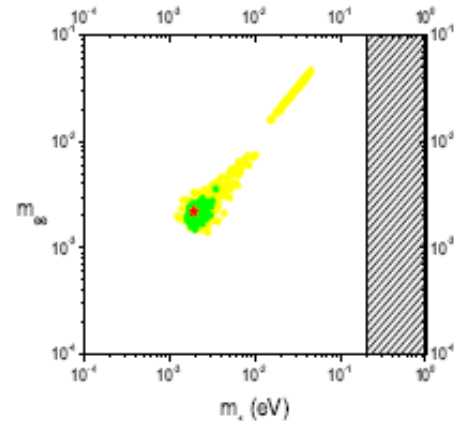
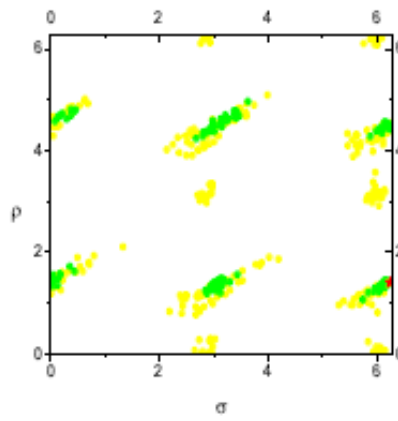
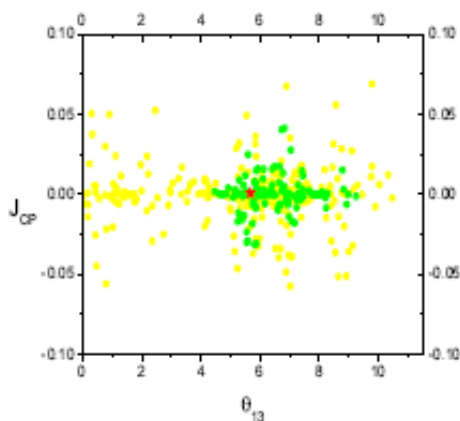
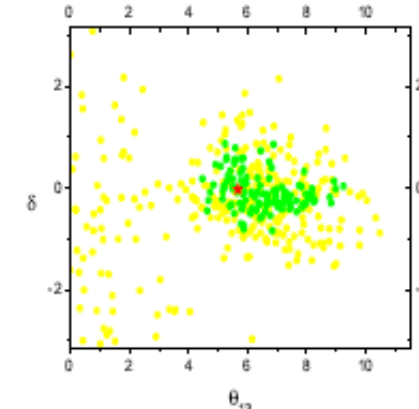
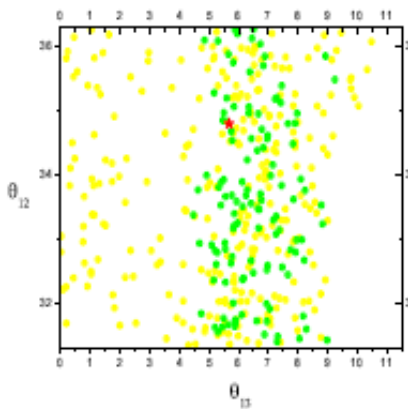
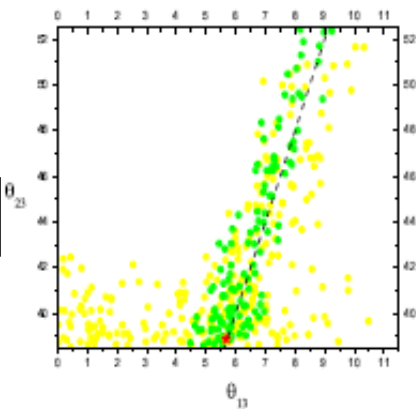
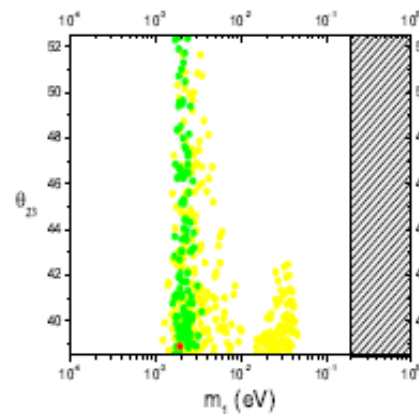
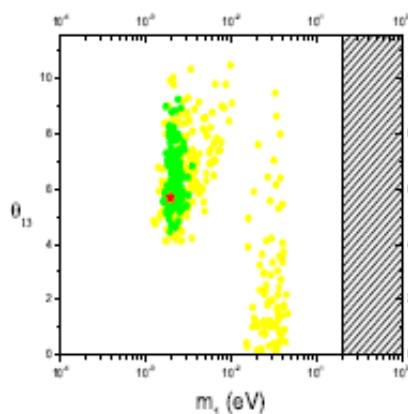
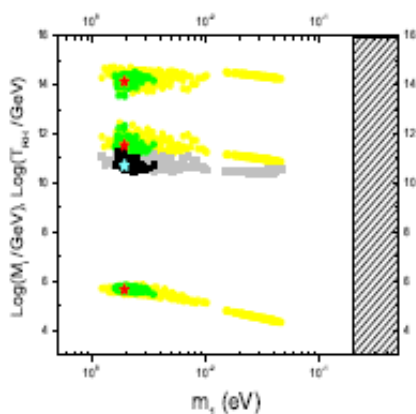


$$N_{B-L}^f \simeq \varepsilon_{2e} \kappa(K_{2e+\mu}) e^{-\frac{3\pi}{8} K_{1e}} + \varepsilon_{2\mu} \kappa(K_{2e+\mu}) e^{-\frac{3\pi}{8} K_{1\mu}} + \varepsilon_{2\tau} \kappa(K_{2\tau}) e^{-\frac{3\pi}{8} K_{1\tau}} .$$

$$V_L = I$$

NORMAL  
ORDERING

(PDB, Riotto '10)



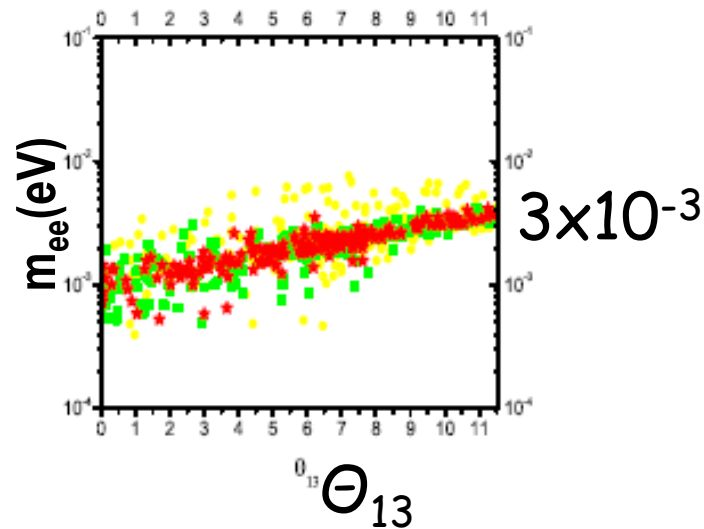
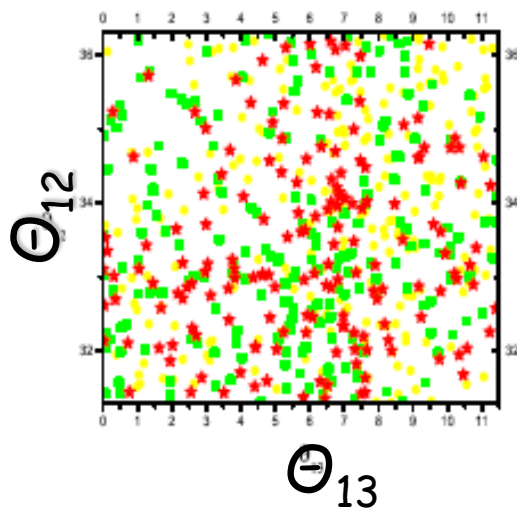
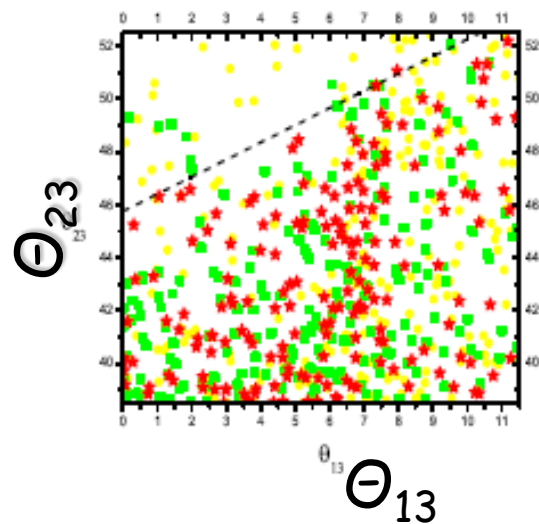
$$I < V_L < V_{CKM}$$

NORMAL ORDERING

$$\alpha_2=5 \quad \alpha_2=4$$

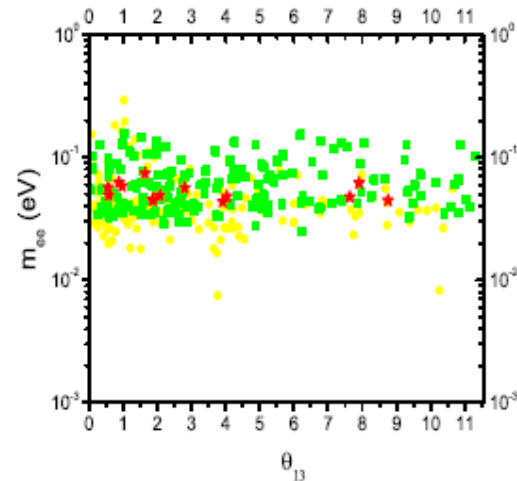
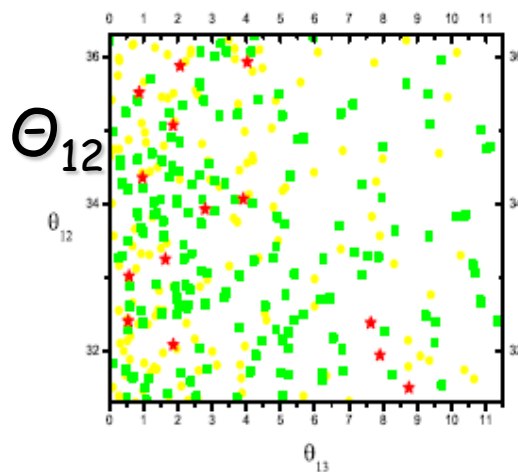
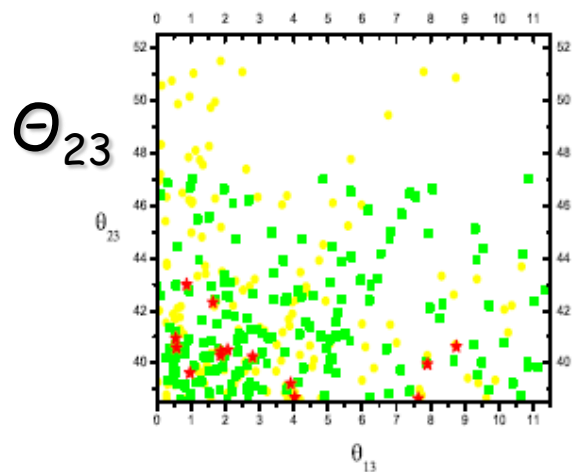
$$\alpha_2=3.7$$

$$m_1 < 0.01 \text{ eV}$$



$$\alpha_2=1$$

$$m_1 > 0.01 \text{ eV}$$

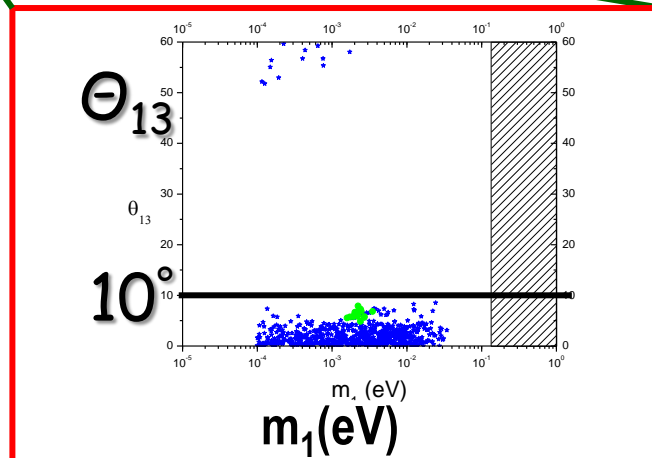
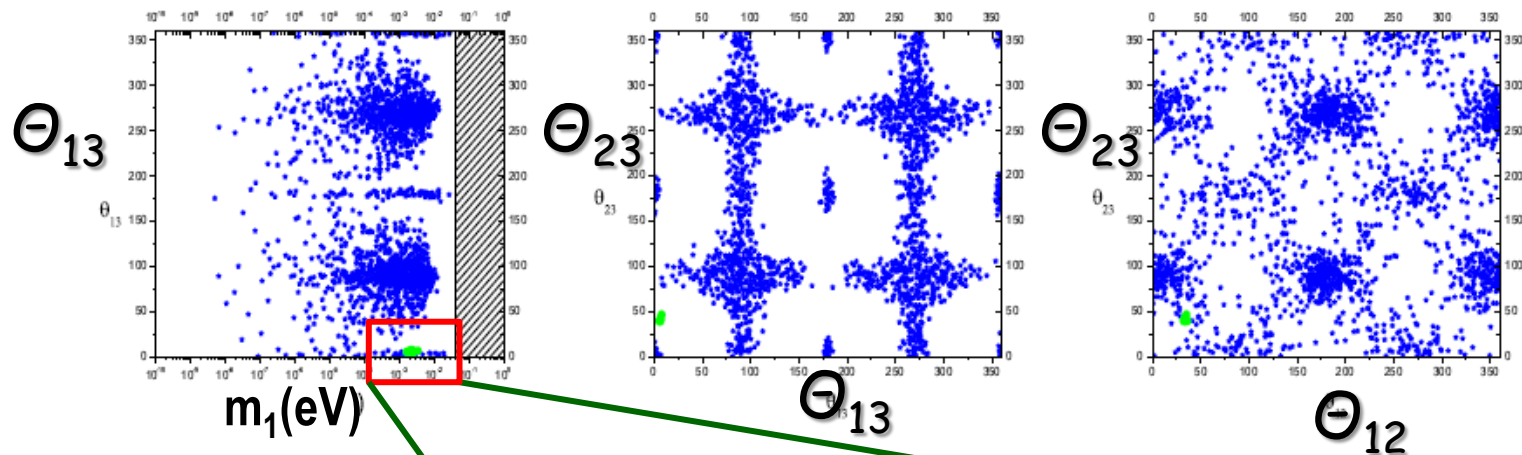


# Are the data pointing in the right direction?

(PDB, Riotto '10)

Blue points:  $\alpha_2=4$  and mixing angles let free in  $(0,180^\circ)$

Green points:  $\alpha_2=4$  and current experimental constraints imposed on mixing angles



The scenario seems to like  $\Theta_{13} \approx 10^\circ$