



Automation in Military Operations



Automation allows systems to perform tasks that normally require human input. The UK Government expects automation to be crucial to maintaining military advantage. This POSTnote discusses current and future global applications of automation, and its impact on military organisations and conflict. Technical, legal and ethical challenges are also examined.

Background

Many military systems feature automation, including robotic systems that carry out physical tasks, and entirely softwarebased systems used for tasks such as data analysis.^{1,2} Automation can increase the efficiency and effectiveness of certain existing military tasks,³⁻⁵ and can relieve personnel of 'dull, dirty, and dangerous' activities.^{6,7} Many experts view automation and autonomy on a spectrum relating to the level of human supervision a system has, although where to place some systems is debated,⁸⁻¹⁰ and there can be disagreement as to whether systems should be described as 'automated' or 'autonomous'. The MoD has outlined 5 broad levels of autonomy in its 'autonomy spectrum framework' ranging from 'human operated' to 'highly autonomous'.³ A single system may feature different operational modes for different circumstances, requiring differing levels of human input, and only certain functions may be automated.^{11,12} Box 1 outlines the definitions used in this POSTnote.

The UK Government has recognised the military advantages of autonomous systems and artificial intelligence (AI, Box 1) and the integral role they are likely to play in the future of defence. In its 2021 Integrated Review and 2020 Integrated Operating Concept, it stated its commitment to embracing new and emerging technologies, including autonomous systems and AI.¹³ In June 2022, the Ministry of Defence (MoD) published its Defence AI Strategy, which sets out its plans to adopt and exploit AI: automation will be a key application.³ Globally, the UK,¹⁴ US,¹⁵ China¹⁶ and Israel¹⁷ have some of the most advanced autonomous and AI-based military capabilities. An overview of UK and global activities is given in Box 2.

Overview heading

- Deploying automated technologies in military operations can increase effectiveness and reduce risk to personnel.
- Automation is being used in intelligence gathering, data analysis, and weapons systems, both in the UK and internationally.
- The UK Government is developing automated systems; technical challenges include data management, cyber security, and systems testing and evaluation.
- The legal and ethical implications of military automation are highly debated, especially in the case of weapons systems and targeting.

Applications

Autonomous systems can be designed with multiple capabilities, and may be used for a range of applications.^{18,19} This section gives an overview of the systems in use or development for military applications including intelligence, surveillance and reconnaissance, data analysis, and weapons systems.

Intelligence, surveillance, and reconnaissance

Automation is increasingly being applied to intelligence, surveillance, and reconnaissance (ISR), often using uncrewed vehicles (Box 1).^{20,21} Uncrewed land,²² air,²³ and sea vehicles^{24,25} fitted with sensors can obtain data such as audio, video, thermal images, and radar signals, and feed it back to human operators.²⁶ Some systems can navigate autonomously,²⁷ or autonomously identify and track targets for potential attack.^{28,29} The UK has several ISR drones in service, with others being trialled. These range from very small 'mini' drones that are similar in weight to a smartphone, up to large fixed-wing systems that can fly thousands of miles.^{30–32} One system being trialled in the UK is a mini helicopter called the 'Ghost' drone, which can fly autonomously and identify and track targets using algorithms for image analysis.^{33,34} Uncrewed underwater vehicles are used for applications including mine and submarine detection,³⁵ using on board sonar to navigate autonomously.³⁶ These vehicles may also be equipped with a technology that allows them to disarm mines.^{13,37}

Data analysis

Many military systems collect large amounts of data, which require analysis to support operations and decision making. AI can be used in the analysis of very large datasets and

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Box 1: Glossary

Terminology in this area is inconsistent, with key terms sometimes used interchangeably.

- Automated system: An automated system is one that has been instructed to automatically perform a set of specific tasks or series of tasks within human-set parameters.³⁸ This may include basic or repetitive tasks.
- Autonomous system: The Defence Science and Technology Laboratory (Dstl) defines an autonomous system as one that can exhibit autonomy. There is no agreed definition of autonomy,^{8,39} but Dstl defines it as 'the characteristic of a system using AI to determine its own course of action by making its own decisions'.⁴⁰ Autonomous systems can respond to situations that were not pre-programmed.⁴⁰
- Uncrewed vehicles: The move towards greater levels of autonomy has allowed 'uncrewed' vehicles to be developed that do not have a pilot or driver on board.^{41–44} Some are operated via remote control, and others include varying levels of autonomy.^{45,46} The most established type of uncrewed military systems are uncrewed aerial vehicles, or 'drones', which have versatile uses.^{30,47,48}
- Artificial intelligence: There is no universally agreed definition of AI, but it usually refers to a broad set of computational techniques that can perform tasks normally requiring human intelligence (POSTnote <u>637</u>).^{3,49} AI is an enabling technology for higher levels of autonomy.
- Machine learning: (ML, POSTnote <u>633</u>) is a branch of AI that has underpinned the most recent advances in technologies with autonomous capabilities.

discern patterns that might not be observed by a human analyst. This is likely to be applied increasingly in the field to inform tactical decisions, for example by providing information about the surroundings, identifying targets, or predicting enemy actions. The British army deployed AI for situational awareness during <u>Exercise Spring Storm</u> in Estonia in 2021.⁵⁰ US Project Maven aims to improve analysis of images and video footage using AI,^{51–53} and the UK has a similar project using AI to support satellite image analysis.³

Weapons systems

Weapons systems featuring automation have been developed for defensive and offensive applications. These include systems ranging from those that respond automatically to external inputs to more sophisticated AI-based systems:

- Defensive systems: Automatic air defence systems can identify and respond to incoming airborne threats with faster reaction times than a human operator. Such systems have been in use for over 20 years;⁵⁴ one report estimated that they are in use by 89 countries.⁵⁵ Systems currently in use can launch munitions from the sea or land and are used in response to incoming missiles or aircraft. The UK operates the Phalanx CIWS air defence system. Although not widely adopted globally, fixed uncrewed gun systems are used for border defence by Israel⁵⁶ and have been trialled in South Korea.⁵⁷ These systems are capable of automatically aiming and firing at approaching humans or vehicles.
- Guided missiles: Offensive missiles are in use that can alter their path in-flight to reach a target without human input.^{58–62} The British Dual Mode Brimstone (DMB) missile,⁶³ first used in combat in Afghanistan in 2009,⁶⁴ can be preprogrammed to search a specific area to identify, track, and strike vehicles using sensor data.⁶⁵

Box 2: UK and global activity

UK

The UK Government has stated its ambition to invest in, develop and deploy autonomous and AI-capable systems for military applications across the land, air, sea, and cyber domains. Recent investment programmes include project NELSON, which aims to integrate data science into naval operations; and the Future Combat Air System, which will deliver a mix of crewed, uncrewed and autonomous systems for the RAF.^{6,66–68} Following the publication of the 2021 Integrated Review, ¹³ the Government established a Defence AI Centre (DAIC) to coordinate the UK's development of AI-enabled technologies for defence.⁶⁹ This includes facilitating collaborations with academia and industry, with research hubs established at the Universities of Newcastle and Exeter, and the Alan Turing Institute.^{70–72}

Global context

There is a global trend of increasing investment in autonomous military technology: 25 NATO countries already use some AI-enabled and autonomous systems in their militaries.⁵⁴ Limited publicly available information creates difficulties in evaluating militaries' autonomous capabilities, but countries known to have advanced systems include:

- US: The US Department of Defense 2021 budget allocated \$1.7bn for autonomy research and development,⁷³ as well as \$2bn in an AI programme.⁷⁴
- Israel: State-owned Israel Aerospace Industries produces advanced autonomous systems, including uncrewed air and land vehicles^{75,76} and air defence systems.⁷⁷
- China: It is estimated that China's spending on defence AI is similar to that of the US. ^{78,79} Analysts suggest that this includes investment in AI for intelligence analysis and autonomous vehicles.⁸⁰

Russia and South Korea are also investing heavily in these technologies.^{81,82} In Russia, robotics is a key focus of the recently established Advanced Research Foundation, which had a budget of \$63m in 2021.^{83,84}

Uncrewed vehicles for weapons delivery: Uncrewed air, sea,^{85,86} and land-based^{87,88} vehicles designed for weapons delivery can operate with a high level of autonomy. Such systems can autonomously search for, identify, and track targets. Most developments have been in the aerial domain. The only armed drone capable of autonomous flight that the UK operates is the MQ-9 Reaper,⁸⁹ but several are under development.^{30,90} The MoD is also developing 'swarming' drones (Box 3). Although the technical capability exists, uncrewed offensive weapons are not used to make firing decisions without human authorisation; reported exceptions are rare and contested.^{91–96} The role of autonomous systems in identifying targets and taking firing decisions is the subject of extensive ethical debate (see later).

Impacts

Proliferation of automated technologies and AI will have various implications for the UK military, including those relating to costs and the roles and skills required of military personnel. There may also be implications for global peace and stability.

Financial impacts

Some experts have said that military automated systems and AI are likely to reduce costs in the long term through improved efficiencies and reduced demand for personnel.^{9,97,98} However, estimating cost implications is challenging. Development costs

Box 3: Drone swarming

Drone swarming refers to the deployment of multiple drones capable of communicating and coordinating with each other and personnel to achieve an objective. In a military setting, a swarm might be used to monitor an area, relay information, or attack a target.⁹⁹ In 2020, the RAF trialled a swarm of 20 drones controlled by one operator as part of Dstl's Many Drones Make Light Work project.^{100–102} Swarm technology is not yet widely deployed.¹⁰³ It has been reported that the first use of a drone swarm in combat was in 2021 by the Israel Defence Forces.¹⁰⁴

can be high, and returns are uncertain. Boosting expertise in automation and AI may involve recruitment from industries that offer higher pay. Militaries may have to raise salaries to compete,¹⁰⁵ which the MoD refers to as an 'AI pay premium'.³

Role and skills of military personnel

Automation is likely to reduce the number of military staff undertaking dangerous or repetitive tasks. However, some military tasks or processes, such as high-level strategy development, are less amenable to automation.^{106,107} In many areas, autonomous systems are expected to play a support function to humans, or work with them in 'human-machine teams'.⁴ Experts have highlighted that personnel must be able to trust the systems they work with.^{108–111} The nature of some roles are also likely to be affected by automation, as are the skills required. For example, there may be an increased demand for developers and operators of autonomous systems with relevant technical knowledge. The MoD has highlighted the need to improve understanding of AI across the military, 112 and has committed to developing an 'AI Skills Framework' to identify future skills requirements for defence.³ Some stakeholders have expressed concern about the impact of automation on military personnel's wellbeing, as it may limit their personal autonomy^{113,114} or undermine their sense of identity and culture.115,116

Attitudes of personnel towards automation

Research into attitudes of military personnel towards automation is limited. A 2019 study of 197 MoD personnel found that 34% had generally positive views on the armed forces using robots that can use ML to make their own decisions, and 37% had a generally negative attitude.¹¹⁷ There have been reports of a lack of trust in certain autonomous weapons systems, including in a 2020 survey of Australian military personnel.^{118,119} In this study, 30% of respondents said they would be unwilling to deploy alongside 'potentially lethal robots' which decide how to use force in a predefined area without direct human oversight. Safety and accuracy of target identification were perceived as the two greatest risks. There is evidence that trust levels depend on culture and familiarity.¹¹⁷

Escalation and proliferation

Some experts have raised concerns that increasing use of autonomy in weapons systems risks escalating conflict by removing humans from the battlefield and reducing hesitancy to use force.^{120,121} A recent wargame report by RAND (which played out a conflict scenario involving the US, China, Japan, South Korea, and North Korea) found that widespread AI and autonomous systems could lead to inadvertent escalation and crisis instability. This was in part due to the increased speed of

AI-supported decision making.¹²² Escalation might also be caused by unintended behaviour of automated systems.¹²³

There are also concerns about automated and AI-based technology becoming more accessible to non-state actors due to it becoming cheaper and more abundant.^{124–126} Such groups might also obtain inexpensive commercial drones, and adapt them using open source AI to create 'home-made' weapons systems.^{127–129} Reports of non-state actors employing autonomous systems are limited and disputed.^{130,131} However, non-state groups do use armed drones,¹³² and there are concerns that AI could make such systems more effective.¹²⁴

Technical challenges

Ongoing research into technologies including robotics and AI, which is primarily commercially driven, is expected to increase the range of applications and extent of adoption of automated systems. Some key technical challenges in this area are outlined below.¹³³ A more general challenge is the slow pace of military technology development relative to rapid advances in digital technologies, with the risk that components become obsolete before or shortly after deployment.^{134–137}

Data transfer

Uncrewed vehicles and robotics often need to transfer data to or receive data from personnel. This can allow humans to oversee and direct their functioning or receive the data they collect. Systems may also need to communicate with one another in some situations such as in drone swarming (Box 3).¹³⁸ Militaries generally use radio waves to transmit data on land, which may have limited bandwidth (availability of frequencies).^{139,140} This can be problematic when transferring large volumes of data, such as high-resolution images. 5G technology (POSTbrief 32) is likely to facilitate more efficient wireless communication in the field.^{141,142} Radio communication between systems can be detected, alerting adversaries to covert operations. Adversaries may also seek to block or disrupt a system's communications data transfer.^{143,144} There is ongoing research into minimising the data transfer required and optimising the method of data transfer.^{145–148} More 'on-board' or 'edge' processing (POSTnote 631) can reduce the need to transmit data.¹⁴⁹ However, reduced communications require a system to behave as expected without monitoring.

Data processing

More sophisticated systems with higher levels of autonomy generally carry out more data processing and analysis on board, while in operation. This requires the system to have sufficient computing power. Generally, there is a limit to how much embedded data processing a system can do as the hardware takes up space and requires additional power to run.¹⁵⁰ This can limit the agility and range of systems that require battery power to operate.¹⁵¹ However, advances in AI may also allow systems to run more efficiently, reducing the computational requirements. The processing power of computers is also expected to increase due to future advances in software, algorithms, and computer chip technologies.¹⁵²

Training data

The creation and curation of large datasets relevant to military applications is important in producing reliable AI-enabled

autonomous systems.^{133,153} Machine learning (ML, Box 1) relies on large datasets to train its underlying algorithms, which can be gathered from the real world or, in some cases, generated using simulations.¹⁵⁴ In general, the more representative, accurate and complete the data used to train a ML system, the more likely it is to function as required. Preparing training data (categorising it and ensuring its format is consistent) often needs to be done manually, and is resource intensive.^{155,156}

Data privacy

Some AI systems might be trained on civilian data.¹⁵⁷ It is widely agreed that if data relating to individuals is used, their privacy must be protected. This may be done by anonymising personal data or only sharing the trained AI system.^{3,158,159}

Cyber security

Increasing the number of systems underpinned by computer software increases the opportunity for cyber-attacks.¹⁶⁰ Cyber attackers may seek to take control of a system, disrupt its operations,^{161,162} or gather confidential information.^{163–166} AI-based systems can also be undermined by tampering with the data used to develop them.¹⁶⁷ The MoD established the Cyber Security Operations Centre in 2016 to focus on cyber defence.¹⁶⁸ In the UK, the Defence Artificial Intelligence Centre, established in 2021, helps to facilitate access to highly confidential data by industry partners or other collaborators.³

Testing and evaluation

It is important that military systems function reliably, safely, and in accordance with laws and regulations. AI and automation create different testing and assurance challenges to traditional software systems.¹⁶⁹ Further challenges arise from forms of ML where it may not be possible to fully understand how outputs have been produced (POSTnote <u>633</u>).¹⁷⁰ AI software may also require ongoing monitoring and maintenance.^{171–173} Stakeholders have highlighted a lack of fit-for-purpose tools and processes for testing, and are developing new tools and guidelines.^{3,169,174,175} The UK Government's Defence AI Strategy committed to establishing innovative approaches to testing, assurance, certification, and regulation.⁶⁹

Ethics, policy, and legislation Current guidelines and legislation

There is currently no legislation specific to the use of automation or AI for military applications. While their use in warfare is governed by existing International Humanitarian Law,^{176,177} how this relates to new technologies is debated.^{178–} ¹⁸⁰ There are many guidelines on more general use of AI at national and international levels, which can be applied to automated systems.^{181–184} However, the 2021 Centre for Data Ethics and Innovation (CDEI) AI Barometer study found that it is difficult for industry to adapt general regulations to specific contexts.¹⁸⁵ In 2022, the MoD published ethical principles for the use of AI in defence in partnership with the CDEI.¹⁸⁶

Accountability

Some stakeholders have highlighted the lack of clarity over responsibility for the actions of an autonomous system if it behaves unlawfully or not as intended.^{187,188} This could lead to a 'responsibility gap' between a system and its decisions and the humans who have designed or operate it,¹⁸⁹ complicating

legal and moral accountability.¹⁹⁰ The MoD's principles say there should be clear responsibility throughout the design and implementation of an AI system.¹⁸⁶ The Defence AI Strategy sets similar expectations for suppliers.³

Debate around autonomous weapons systems

Much of the legal and ethical debate in this area focuses on weapons systems. However, certain unarmed systems (for example, software-based decision support tools) may play a key role in identifying targets, and hence raise many of the same ethical issues as those that also deploy a weapon.^{8,191,192}

There is specific international debate around the use of 'lethal autonomous weapons systems' (LAWS).¹⁹³ This term has no universally agreed definition, and is used to refer to a wide range of weapons with different autonomous capabilities.8 Reports of the use of LAWS are highly contested, for example due to uncertainty around the modes in which systems were used.^{91–96} The UN Convention on Certain Conventional Weapons (CCW) has discussed possible legislation of LAWS since 2014. It published guiding principles in 2019,¹⁹⁴ but these are nonbinding, and no further consensus has been reached. While most nations represented at the CCW support new regulation of LAWS, others, including the UK, US, and Russia have argued that existing International Humanitarian Law is adequate.^{195–197} According to campaign group Stop Killer Robots (SKR), 83 states support a legally binding instrument on autonomous weapons systems and 12 states do not.198

Many stakeholders believe that some form of human control of weapons and targeting systems must be maintained to be legally and ethically acceptable.^{195,199,200} Certain organisations, such as SKR, have called for a prohibition on autonomous weapons systems that cannot be operated with 'meaningful human control', and on all systems that target humans. They have also called for regulations to ensure sufficient human control is maintained in practice.^{192,201-204} In its 2022 Defence AI Strategy, the UK Government stated that weapons which identify, select and attack targets must have 'contextappropriate human involvement'. In response, some NGOs calling for regulation have said that more clarity is needed on how 'context-appropriate human involvement' should be assessed or understood.²⁰⁵ Potential measures that stakeholders, including the UK Government, suggest to maintain human control include limiting the period and geographical range of deployment.^{206,207} Factors believed to undermine human control include limited timeframes for humans to take decisions and 'automation bias', where individuals can become over-reliant on an automated system and less likely to consider other information.^{208–210}

Public attitudes towards the technology

Most public opinion surveys of military automation focus on autonomous weapons systems. SKR commissioned a public opinion survey of 19,000 people across 28 countries. 62% of respondents were opposed to the use of LAWS; this figure was 56% in the UK.²¹¹ Studies on public attitudes to AI, data, and automation more broadly have found that key public concerns include data security, privacy, and job losses.^{53,212} However, public views can vary significantly depending on the function of a system and the context it is used in.^{213,214}

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