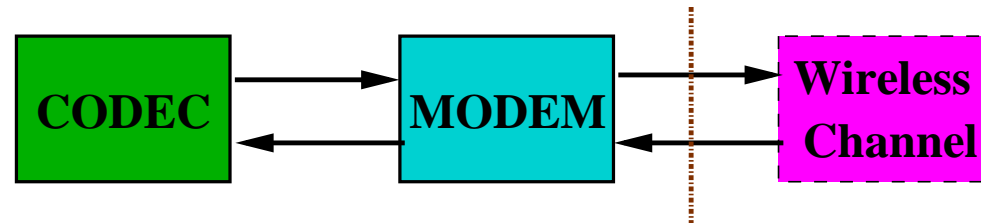


Revision of Wireless Channel

- Quick recap system block diagram

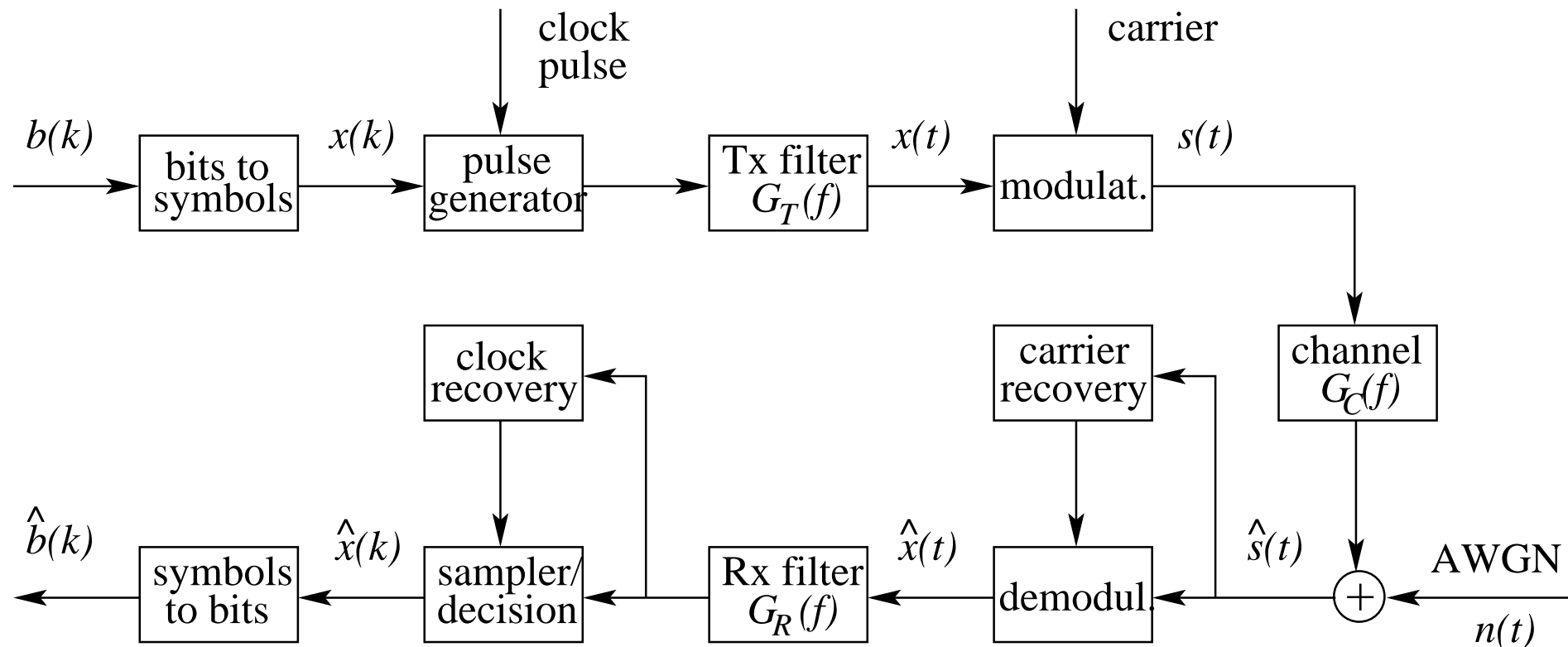


- Previous three lectures looked into **wireless mobile channels**
 - To understand mobile communication technologies, one needs a deep understand of **mobile communication media**
 - Two main sources of hostility in mobile media are **Doppler spread** and **multipath**
 - Many techniques developed are counter measures for **fading** and **frequency selective**
- Front end of transceiver is Modem, which faces hostile (fading and frequency selective) communication media

Next six lectures we will have a look into Modem

Digital Modulation Overview

- Schematic of MODEM (modulation and demodulation) with its basic components:



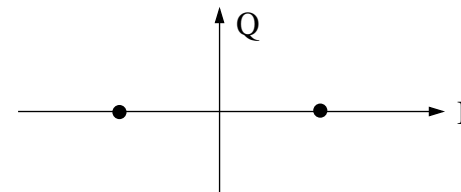
- The purpose of MODEM: transfer the bit stream at certain **rate** over the communication **medium** **reliably**

Constellation Diagram

- Digital **modulation signal** has finite states. This manifests in **symbol** (message) set: $\mathcal{M} = \{m_1, m_2, \dots, m_M\}$, where each symbol contains $\log_2 M$ bits
- Or in modulation signal set: $\mathcal{S} = \{s_1(t), s_2(t), \dots, s_M(t)\}$. There is one-to-one relationship between two sets:

\mathcal{M} modulation scheme \mathcal{S}
 \longleftrightarrow

- Example: BPSK, $M = 2$. Constellation diagram:



Modulation signal set:

$$s_1(t) = \sqrt{\frac{2E_b}{T_b}} \cos(2\pi f_c t) \quad s_2(t) = -\sqrt{\frac{2E_b}{T_b}} \cos(2\pi f_c t)$$

- **Methods of modulation:** utilise amplitude, phase or frequency of carrier

Performance Measures

- Two key performance measures of a modulation scheme are power efficiency and bandwidth efficiency
- **Power efficiency** is expressed as the ratio of the signal energy per bit (E_b) to the noise PSD (N_0) required to achieve a given probability of error (say 10^{-4}):

$$\eta_P = \frac{E_b}{N_0} \quad \text{Small } \eta_P \text{ is preferred}$$

- **Bandwidth efficiency** is defined as the ratio of the data bit rate R to the required RF bandwidth B_p :

$$\eta_B = \frac{R}{B_p} \text{ (bps/Hz)} \quad \text{Large } \eta_B \text{ is preferred}$$

- **Channel capacity** gives an upper bound of achievable bandwidth efficiency:

$$\eta_{B_{\max}} = \frac{C}{B_p} = \log_2(1 + \text{SNR})$$

Channel capacity of ideal channel with Gaussian signal used as upper limit for digital modulated signal



Modulation Schemes Classification

- According to pulse shaping techniques adopted
 - **Nyquist** pulse shaping: absolute bandwidth is finite, does not induce ISI
These lead to bandwidth efficient modulation schemes but are power inefficient, requiring expensive linear RF amplifier
 - **Non-Nyquist** pulse shaping: absolute bandwidth is infinite and can only be defined by e.g. 3 dB bandwidth, will induce certain level of ISI
These lead to bandwidth inefficient modulation schemes but are power efficient, only requiring inexpensive nonlinear RF amplifier
- Modulation schemes can be classified as linear or nonlinear

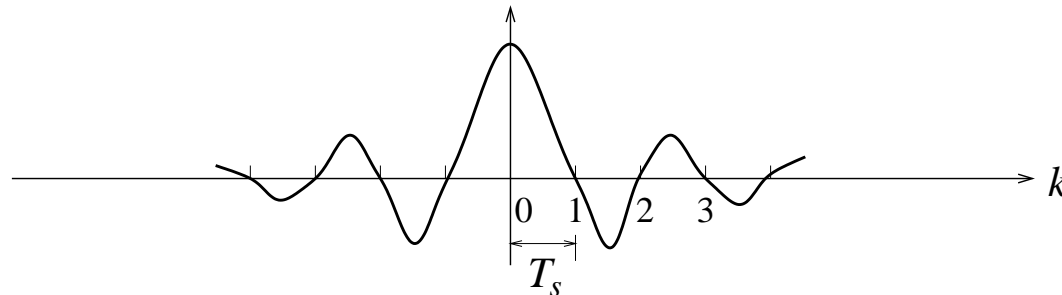
Linear modulation is bandwidth efficient but power inefficient, nonlinear modulation is reverse

 - **Linear** modulation: RF signal amplitude varies linearly with modulating digital signal, e.g. QAM
 - **Nonlinear** modulation: RF signal amplitude does not vary linearly with modulating digital signal, e.g. constant envelope modulation

Nyquist Pulse Shaping

- **Nyquist criterion for zero ISI:** The impulse response of the baseband system $h_{eff}(t)$ must satisfy

$$h_{eff}(kT_s) = \begin{cases} 1 & k = 0 \\ 0 & k \neq 0 \end{cases}$$



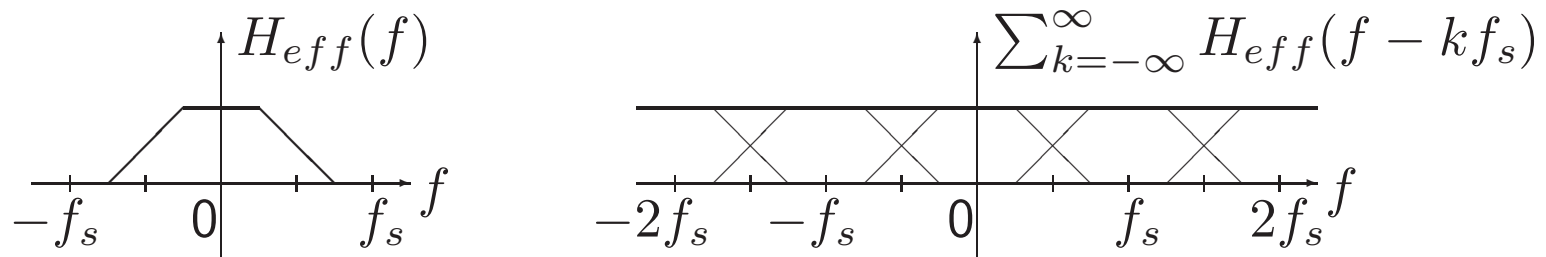
- Equivalently, the transfer function $H_{eff}(f)$ must satisfy

$$\sum_{k=-\infty}^{\infty} H_{eff}(f - kf_s) = \text{constant}, \text{ for } |f| < \frac{f_s}{2}$$

where T_s is the symbol period and $f_s = \frac{1}{T_s}$ the symbol rate

Nyquist Pulse Shaping (continue)

- Illustration of condition for zero ISI, seeing from frequency domain:



- Note that $H_{eff}(f) = H_T(f)H_{Ch}(f)H_R(f)$. Assuming $H_{Ch}(f) = 1$, the transmit and receive filter pair provides the desired spectrum shape:

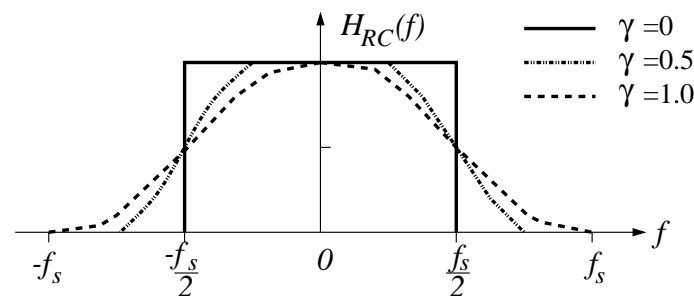
$$H_T(f)H_R(f) = H_{eff}(f)$$

- The **minimum** required baseband **bandwidth** for zero ISI is $B_{\min} = \frac{f_s}{2}$, and this corresponds to the sinc pulse shaping
- Recall that given the baseband signal bandwidth B , the required RF bandwidth is $B_p = 2B$

Raised Cosine Pulse Shaping Filter

- The required baseband bandwidth $f_s/2 \leq B \leq f_s$, and the spectrum:

$$H_{RC}(f) = \begin{cases} 1 & |f| \leq \frac{f_s}{2} - \beta \\ \cos^2 \left(\frac{\pi}{4\beta} |f| - \frac{f_s}{2} + \beta \right) & \frac{f_s}{2} - \beta < |f| \leq \frac{f_s}{2} + \beta \\ 0 & |f| > \frac{f_s}{2} + \beta \end{cases}$$



- where β is the extra bandwidth over the minimum $f_s/2$, and roll-off factor γ :

$$\gamma = \frac{\beta}{f_s/2} = \frac{B - f_s/2}{f_s/2} \quad \text{or} \quad B = \frac{f_s}{2}(1 + \gamma)$$

- Raised cosine filter achieves zero ISI, but requires **power inefficient** linear amplifier

Example

- In GSM, the RF channel bandwidth is 200 kHz and the data rate is 270.833 kbps. The bandwidth efficiency is:

$$\eta_B = \frac{270.833}{200} \approx 1.4 \text{ bits}$$

For SNR=10 dB=10, such a channel has

$$\eta_{B_{\max}} = \log_2(1 + 10) \approx 3.5 \text{ bits}$$

The bandwidth efficiency of GSM under the SNR=10 dB is only 40% of the limit:

$$\frac{\eta_B}{\eta_{B_{\max}}} \approx 40\%$$

(Note: GSM uses 2 bits per symbol digital modulation scheme, so its channel capacity is smaller than ideal Gaussian signal. Thus actual GSM bandwidth efficiency is more than 40%)

- The symbol period is $T_s = 41.06 \mu\text{s}$ and the raised cosine filter has a roll-off factor $\gamma = 0.35$. The filter (absolute) baseband bandwidth is

$$B = \frac{(1 + \gamma)}{2T_s} = 16.44 \text{ kHz}$$

The require RF channel bandwidth is $B_p = 2B = 32.44 \text{ kHz}$



Gaussian Pulse-Shaping Filter

- This is a non-Nyquist pulse shaping filter with the transfer function:

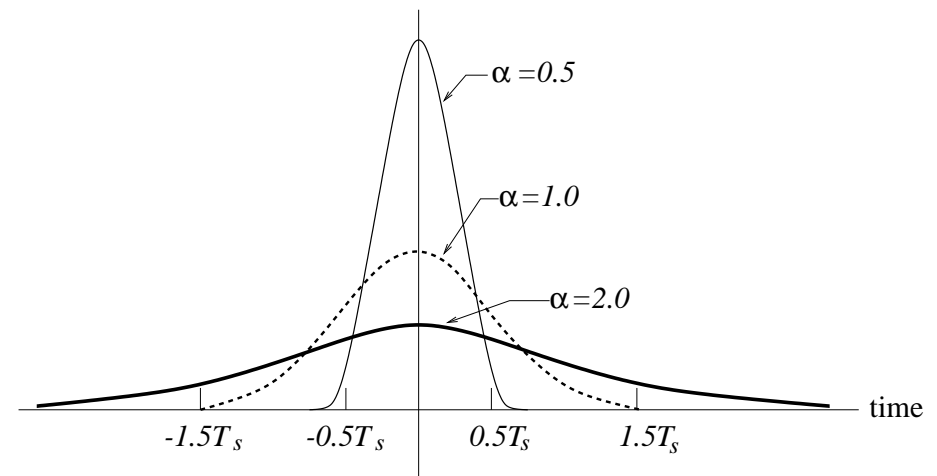
$$H_G(f) = \exp(-\alpha^2 f^2)$$

absolute bandwidth is infinity, α and 3-dB baseband bandwidth B satisfy

$$\alpha B = \frac{\sqrt{\ln 2}}{\sqrt{2}} = 0.5887$$

- Impulse response is:

$$h_G(t) = \frac{\sqrt{\pi}}{\alpha} \exp\left(-\frac{\pi^2}{\alpha^2} t^2\right)$$



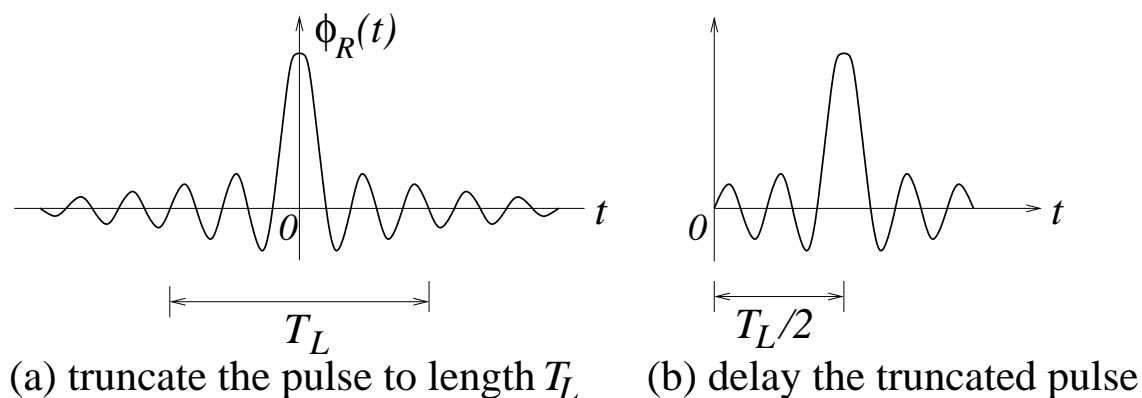
- This pulse shaping filter introduces ISI but only requires **power efficient** nonlinear amplifier: trade off is required between reducing RF bandwidth and increasing ISI

As α increases, required (3-dB) bandwidth decreases (Gaussian spectrum narrower) but ISI level increases (Gaussian time pulse wider)

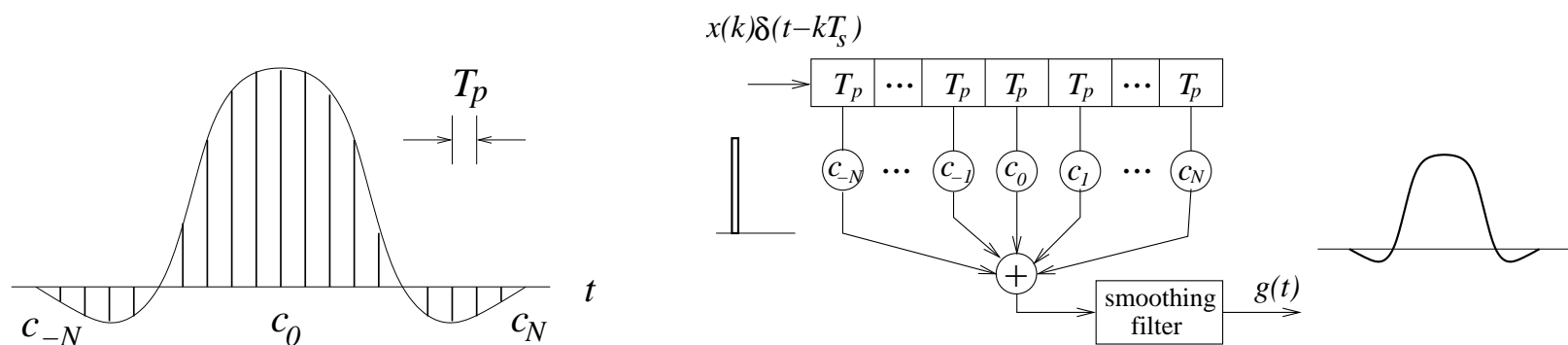
Practical Implementation

- Consider raised cosine pulse shaping filter

Truncate the infinite pulse to a finite but sufficient length and delay it



- Sampled values are obtained from the waveform of the truncated pulse and an FIR or transversal filter is used to realize the required Tx / Rx filters:



Summary

- Modulation overview: basic components of MODEM

Symbol set (constellation diagram) /one-to-one/ modulation signal set

Modulation methods: use amplitude, phase or frequency of carrier

Performance measures: power efficiency and bandwidth efficiency, upper bound limit of bandwidth efficiency

- Pulse shaping:

Nyquist pulse shaping: Nyquist criterion for zero ISI, minimum bandwidth for zero ISI, raised cosine (roll off factor) pulse shaping

Non-Nyquist pulse shaping: Gaussian (α parameter) pulse shaping

Practical implementation

