

## Summary of changes to the Research Degree Regulations for 2017/18

With effect from 1st August 2017, the University has made some changes to the regulations governing Research Degrees. These changes are the result of the University's continuous review of its programme, services, and facilities to ensure quality enhancement. The changes were made to simplify where possible, provide clarification, and increase transparency in some areas of the University's procedures. The recommendations were made to the University Senate via specific working groups of the Postgraduate Research Quality, Monitoring, and Enhancement Subcommittee, a subcommittee of the Academic Quality and Standards Committee, all of which have student representation. The key changes are listed below and summarised in the remainder of the document:

- Structural changes to <u>Section V</u> of the University Calendar
- Changes to the Regulations Governing Special Considerations for Research Degree Programmes
- Changes to the Code of Practice for Research Candidature and Supervision (CoP) and Procedures for circumstances that may lead to withdrawal or Termination (Termination procedures)
- Changes to the <u>Members of Staff in Candidature for the Degree of Doctor of Philosophy</u> regulations
- Alternative thesis submissions

Overview of	Details of the change	Reason for change	Document
change			
Structural	The following sets of regulations have been merged into a new 'Regulations for Research Degrees'	To remove duplication of information, and to	Section V of
changes to	document:	make the regulations easier to navigate for	the University
Section V of the	- Master of Philosophy, Doctor of Philosophy and Doctor of Business Administration	students and staff.	Calendar
University	- Master of Philosophy and Doctor of Philosophy for Mayflower Scholars		
Calendar	- Research Degrees with a Major Taught Component		
	- Integrated PhD in Named Subjects		
	- Doctor of Medicine		
	- Definitions of Terms used in the Higher Degree Regulations		
	Section V has been renamed from 'Higher Degree Regulations' to 'Regulations for Research	To better explain the contents of Section V of the	
	Degrees and Higher Doctorates'	University Calendar.	
	The 'Master of Research' and 'Postgraduate Degrees of Master by Taught Course' regulations	The information contained within these	
	have been removed from Section V.	document are repeated elsewhere.	
Special	Separation of procedure for suspension of candidature not related to Special Considerations	In recognition of the fact that these types of	<u>Regulations</u>
Considerations	Requests of this nature can now be made on a simpler version of the form, 'SC2: Suspension of	request do not require the same level of scrutiny	Quality
	Research Candidature Request Form (not related to Special Considerations)', and a	as those related to Special Considerations. It is	<u>Handbook</u>
	recommendation can now be made by an appropriate subset of the Special Considerations Board.	envisaged that this will speed up the	
	All other requests are made on the: 'SC1 – Special Considerations Request Form for Postgraduate	consideration of these more straightforward	
	Research students'.	requests.	
	The following types of request may now be <b>considered by an appropriate subset of the Board</b> :	As above	
	Suspension not related to Special Considerations		



	<ul> <li>Short-term requests (up to a period of 3 months)</li> <li>Time-critical requests (where the date to which the request relates to is within 25 working</li> </ul>		
	days of the date of the submission of the request)		
	Supervisory input is now required as part of the process.	To help the Board in consideration of the	
		requests by providing context.	
	Requests must now be submitted in advance of any assessment or deadline. Only in exceptional	To clarify expectations to students and staff on	
	circumstances will a request be accepted up to five working days later.	the submission of requests.	
	Research students may now request that their circumstances are logged until such a time that	To allow students to log circumstances that are	
	they may wish to make a request.	affecting their candidature, but are not yet in a	
		position to submit a request.	
	Requests for an <b>extension to a revised thesis submission deadline</b> are now included as part of this	To bring this request in line with requests prior	
	procedure rather than directly to the Faculty Graduate School Directorate.	to submission.	
	A statement regarding <b>retrospective suspension</b> has been added to the regulations, stating that	To provide clarity to students and staff on	
	retrospective suspensions are not normally permitted.	retrospective suspensions.	
	For confidentiality reasons, a research student may request that their <b>application is considered in</b>	To permit consideration of a request in another	
	another Faculty.	Faculty for confidentiality reasons.	
	<b>Recommendation codes</b> are now available for Boards, and listed in the 'Guidance for Faculties on	To increase parity of outcome across the	
	Regulations Governing Special Considerations and suspension of candidature for Postgraduate	University.	
	Research students'		
	There is increased clarity provided on the requirements for Faculties with regards to record	To increase the clarity to Faculties with regards	
	keeping and reporting of Special Considerations requests.	to expectations in record keeping and reporting	
		of requests.	
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Changes to the	There is now explicit clarification of the implications of not submitting material for a progression	To provide explicit clarification of the	<u>CoP</u> [para 64]
CoP and	review within the listed deadlines. Students who do not submit material for attempt one of a	implications of not submitting material for a	<u>Procedures</u>
CoP and Termination	review within the listed deadlines. Students who do not submit material for attempt one of a progression review will be deemed to have failed the attempt. Students who do not submit		<u>Procedures</u> <u>for</u>
CoP and	review within the listed deadlines. Students who do not submit material for attempt one of a progression review will be deemed to have failed the attempt. Students who do not submit material for attempt two of a progression review will be deemed to have failed the attempt and	implications of not submitting material for a	Procedures for termination[
CoP and Termination	review within the listed deadlines. Students who do not submit material for attempt one of a progression review will be deemed to have failed the attempt. Students who do not submit	implications of not submitting material for a	<u>Procedures</u> <u>for</u>
CoP and Termination	review within the listed deadlines. Students who do not submit material for attempt one of a progression review will be deemed to have failed the attempt. Students who do not submit material for attempt two of a progression review will be deemed to have failed the attempt and may be withdrawn.	implications of not submitting material for a progression review within the listed deadlines.	Procedures for termination[ para 4]
CoP and Termination	review within the listed deadlines. Students who do not submit material for attempt one of a progression review will be deemed to have failed the attempt. Students who do not submit material for attempt two of a progression review will be deemed to have failed the attempt and may be withdrawn.  The inclusion of expectations of student time commitment and increased clarification of holiday	implications of not submitting material for a progression review within the listed deadlines.  To provide clarification and increase awareness	Procedures for termination[ para 4]  CoP [para 48
CoP and Termination	review within the listed deadlines. Students who do not submit material for attempt one of a progression review will be deemed to have failed the attempt. Students who do not submit material for attempt two of a progression review will be deemed to have failed the attempt and may be withdrawn.  The inclusion of expectations of student time commitment and increased clarification of holiday entitlement.	implications of not submitting material for a progression review within the listed deadlines.  To provide clarification and increase awareness of expectation.	Procedures for termination[ para 4]  CoP [para 48 and 61].
CoP and Termination	review within the listed deadlines. Students who do not submit material for attempt one of a progression review will be deemed to have failed the attempt. Students who do not submit material for attempt two of a progression review will be deemed to have failed the attempt and may be withdrawn.  The inclusion of expectations of student time commitment and increased clarification of holiday entitlement.  Specific inclusion in the Ongoing Responsibilities of supervisors, that they must be aware of	implications of not submitting material for a progression review within the listed deadlines.  To provide clarification and increase awareness of expectation.  To increase supervisor and student awareness of	Procedures for termination[ para 4]  CoP [para 48 and 61]. CoP [para
CoP and Termination	review within the listed deadlines. Students who do not submit material for attempt one of a progression review will be deemed to have failed the attempt. Students who do not submit material for attempt two of a progression review will be deemed to have failed the attempt and may be withdrawn.  The inclusion of expectations of student time commitment and increased clarification of holiday entitlement.  Specific inclusion in the Ongoing Responsibilities of supervisors, that they must be aware of progression review deadlines and ensure that their research student is aware of these.	implications of not submitting material for a progression review within the listed deadlines.  To provide clarification and increase awareness of expectation.  To increase supervisor and student awareness of their responsibilities.	Procedures for termination[ para 4]  CoP [para 48 and 61]. CoP [para 40b]
CoP and Termination	review within the listed deadlines. Students who do not submit material for attempt one of a progression review will be deemed to have failed the attempt. Students who do not submit material for attempt two of a progression review will be deemed to have failed the attempt and may be withdrawn.  The inclusion of expectations of student time commitment and increased clarification of holiday entitlement.  Specific inclusion in the Ongoing Responsibilities of supervisors, that they must be aware of	implications of not submitting material for a progression review within the listed deadlines.  To provide clarification and increase awareness of expectation.  To increase supervisor and student awareness of	Procedures for termination[ para 4]  CoP [para 48 and 61]. CoP [para
CoP and Termination	review within the listed deadlines. Students who do not submit material for attempt one of a progression review will be deemed to have failed the attempt. Students who do not submit material for attempt two of a progression review will be deemed to have failed the attempt and may be withdrawn.  The inclusion of expectations of student time commitment and increased clarification of holiday entitlement.  Specific inclusion in the Ongoing Responsibilities of supervisors, that they must be aware of progression review deadlines and ensure that their research student is aware of these.  The inclusion of a procedure for research students who wish to undertake their progression review	implications of not submitting material for a progression review within the listed deadlines.  To provide clarification and increase awareness of expectation.  To increase supervisor and student awareness of their responsibilities.	Procedures for termination[ para 4]  CoP [para 48 and 61]. CoP [para 40b] CoP [para 64]
CoP and Termination	review within the listed deadlines. Students who do not submit material for attempt one of a progression review will be deemed to have failed the attempt. Students who do not submit material for attempt two of a progression review will be deemed to have failed the attempt and may be withdrawn.  The inclusion of expectations of student time commitment and increased clarification of holiday entitlement.  Specific inclusion in the Ongoing Responsibilities of supervisors, that they must be aware of progression review deadlines and ensure that their research student is aware of these.  The inclusion of a procedure for research students who wish to undertake their progression review early.	implications of not submitting material for a progression review within the listed deadlines.  To provide clarification and increase awareness of expectation.  To increase supervisor and student awareness of their responsibilities.  To provide clarification.	Procedures for termination[ para 4]  CoP [para 48 and 61]. CoP [para 40b]

## Southampton

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Staff	The regulations now provide clarification on a number of areas relating to the submission of PhD by	To strengthen the current regulations and	<u>Regulations</u>
candidature –	published work for members of staff, including:	provide clarification on the requirements and	for members
submission by	The definition of a PhD by Published Work	procedures for these awards.	<u>of staff in</u>
published work	The requirements and procedure for admission		<u>candidature</u>
	Minimum and maximum period of candidature, and type of registration permitted		for the degree
	Payment of fees		of Doctor of
	Allocation of the Academic Mentor		<u>Philosophy</u>
	Requirements for: the submitted publications; an accompanying commentary; and final		
	submission		
	The permitted recommendations of examiners		
Alternative	Rather than listing individual, discipline-specific requirements for 'alternative' thesis submissions in	To ensure consistency in expectations for	<u>Regulations</u>
thesis	the regulations, there will now be a statement of the general requirements which will apply to all	'alternative' doctoral submissions across the	for Research
submissions	'alternative' submissions. The Individual requirements, within the agreed boundaries, will instead	University.	<u>Degrees</u> [para
	be listed in the Doctoral Programme Profiles. The regulations will also list the disciplines within		45-46 and 52]
	which 'alternative' submissions are permitted.		<u>CoP</u> [para 3-4,
			8-10, and 86]
			Appendix 1:
			Research
			Degrees in
			Music
			[removed]