

# **Regulations for Research Degrees**

### Award of the Degree

- 1. These Regulations apply to all research students at the University of Southampton<sup>1</sup> registered for the degrees of:
  - Master of Philosophy (MPhil)
  - Doctor of Philosophy (PhD)
  - Doctor of Business Administration (DBA)
  - Doctor of Clinical Practice (DClinP)
  - Doctor of Clinical Psychology (DClinPsych)
  - Doctor of Educational Psychology (DEdPsych)
  - Doctor of Medicine (DM)
  - Professional Doctor of Education (EdD)
  - Engineering Doctorate (EngD)

These Regulations will also apply to research students funded by Mayflower Scholarships unless stated otherwise.

- 2. The Senate may award the degree to research students who have successfully pursued a programme of study as detailed within these Regulations to the satisfaction of the Faculty Education Committee. Any exemptions, variations and additional requirements to these Regulations will be stated in the Academic Regulations (Section VI of the University Calendar).
- 3. These Regulations **must** be read in conjunction with the:
  - Code of Practice for Research Degree Candidature and Supervision
  - Regulations Governing Special Considerations and Suspension of Candidature for Postgraduate Research Students
  - The relevant Doctoral or MPhil Programme Profile (or in the case of degrees with a substantial taught element, the programme specification)
  - Any relevant Academic Regulations (Section VI of the University Calendar)

#### Admission

4. These Regulations must be applied together with:

- The Regulations for Admission to Degree Programmes
- The University's <u>Admissions Policies</u>
- The Academic Regulations applicable to the degree (Section VI of the University Calendar)
- Paragraphs 13 to 19 of the University's <u>Code of Practice for Research Degree Candidature</u> and Supervision.
- 5. Applicants for a research degree shall hold an undergraduate or Master's degree in a suitable subject of any approved university or whatever award/range of experience might be deemed equivalent by the Faculty Graduate School Committee using the University's guidance on recognition of prior [experiential/certificated] learning as set out in the University's Recognition of Prior Learning Policy and in paragraph 13 of the University's Code of Practice for Research

The principal role of ERDC, which operates as a committee of Senate, is to make decisions on the admission, candidature, progress and examination of all students for research degrees in the Accredited Institution, within the academic areas approved for this purpose by the University of Southampton. ERDC may recommend the award of degrees to Senate.

<sup>&</sup>lt;sup>1</sup> "University of Southampton" and "Faculty" includes any institution accredited by the University of Southampton to supervise the degrees of Master of Philosophy and Doctor of Philosophy as awarded by the University of Southampton. In any instance where a research student is in candidature at an accredited institution, the University of Southampton External Research Degrees Committee (ERDC) will undertake the role of the "Faculty Education Committee", "Faculty Graduate School Committee", and "Faculty" as defined within these Regulations.



<u>Degree Candidature and Supervision</u>. Applications from individuals with other non-standard qualifications must be approved by the Associate Dean (Education) on a case by case basis. Applicants may be admitted on transfer from another university according to the guidelines set out in paragraphs 20 to 23 of the University's <u>Code of Practice for Research Degree Candidature</u> and <u>Supervision</u>.

- 6. Applicants satisfying the requirement for candidature may be admitted provided the Faculty Director of the Graduate School is satisfied with their chosen subject for research, that there is access to appropriate resources and facilities, and that there are satisfactory supervisory arrangements in place.
- 7. In approving candidature, the Faculty Director of the Graduate School shall state the effective starting date which, in exceptional circumstances, may be backdated by no more than three months.
- 8. These Regulations apply to any research student registered at the University of Southampton, irrespective of the location in which they undertake the work of their degree.

#### **Candidature**

- 9. Research students shall undertake supervised study, including research and generic skills training on a full-time or part-time basis as specified in paragraph 17 of these Regulations. The degree shall be pursued in the University except in the case of research students for whom joint supervisory arrangements have been approved (in accordance with paragraph 11 of these Regulations), or in other special cases where the Faculty Graduate School Committee permits a period to be spent elsewhere.
- 10. Mayflower Scholars will also spend 25% of their time undertaking a teaching apprenticeship consisting of appropriate professional development and educational experience such as acting as a teaching assistant.
- 11. The Faculty Graduate School Committee may approve arrangements for an individual research student to receive supervision provided jointly by the University and another institution in accordance with the policy on Research Degrees that include Periods of Off-Campus Study. In such cases, the Faculty Graduate School Committee may permit a period or periods of study to be undertaken at the other institution. The minimum period of full-time study at the University shall be twelve months for research students of jointly-supervised research degrees with approved institutions.
- 12. The University may, from time to time, formally admit an appropriate institution to a special relationship for the purpose of furthering co-operation in teaching and research. An individual holding an academic or research appointment in such a link institution may be registered in part-time candidature for a research degree. Such individuals will normally be expected to meet the terms and conditions as specified within the Regulations for Members of Staff in Candidature for the Degree of Doctor of Philosophy.
- 13. A member of staff of the University of Southampton may be admitted to candidature for the degree of Doctor of Philosophy (PhD) in accordance with the Regulations for Members of Staff in Candidature for the Degree of Doctor of Philosophy on the recommendation of the Faculty Graduate School directorate, and with the approval of the Associate Dean (Education) in their capacity as Chair of the Faculty Education Committee following consultation with the relevant Head of School or Professional Service.

# **Additional Requirements for Professional Doctorates**

14. Professional doctorates including the Doctor of Education [EdD], Doctor of Clinical Psychology [DClinPsych], Doctor of Educational Psychology [DEdPsych], Doctor of Clinical Practice [DClinP], and the Engineering Doctorate [EngD], shall additionally contain a combination of taught compulsory and/or elective modules and individual and/or group projects. The combination of these elements, including specific pass marks, are detailed in the Academic Regulations (Section VI of the University Calendar). Research students who fail to complete the requirements as set out in the Academic Regulations (Section VI of the University Calendar) will be deemed by the relevant Faculty Education Committee to have failed the degree.



15. Paragraph 12 of the University's <u>Code of Practice for Research Degree Candidature and Supervision</u> refers to the structure and levels for professional doctorates, together with the QAA's document <u>The Frameworks for Higher Education Qualifications of UK Degree-Awarding Bodies</u>, October 2014.

### Additional requirements for Integrated PhDs

- 16. Integrated PhDs are typically structured as follows, with any specific additional requirements detailed in the Academic Regulations (Section VI of the University Calendar):
  - In the early stages of their programme, research students will be required to follow a prescribed programme of modules. Research students who complete these modules and pass the required assessments at the specified standard will be permitted to proceed to the research phase of the programme. This may also lead to the award of MA, MSc or MRes as defined in the relevant Academic Regulations (Section VI of the University Calendar). The right of referral or repeat will be defined in the programme specification. In the event of failure to achieve the required standard following referral or repeat, such research students may be eligible for an exit award of a Diploma or Master's Degree, subject to further academic work and dependent upon the requirements of the relevant programme specification.
  - The remainder of the research student's programme will be devoted to supervised research and preparation of a thesis and may include the completion of further modules, training and development.

# **Duration of Research Degrees**

17. The duration of each research degree is set out in the following table.

Degree	Minimum Length of Candidature		Maximum Length of Candidature			
	Full-time	Part-time	Full-time	Part-time		
Doctor of Philosophy (PhD)						
PhD - Standard Route	24 months	36 months	48 months	84 months		
PhD - Standard Route (registered concurrently on PGCAP)*	36 months	-	48 months	-		
PhD - integrated Route	36 months	48 months	60 months	96 months		
PhD - Mayflower Scholar	24 months	-	60 months	-		
PhD - Mayflower Scholar (registered concurrently on PGCAP) <sup>2</sup>	36 months	-	60 months	-		
PhD - Staff Candidature (PhD by Published Work)	-	3 months	-	12 months		
Professional Doctorates						
Doctor of Business Administration (DBA)	-	36 months	-	84 months		
Doctor of Clinical Practice (DClinP)	36 months	48 months	48 months	84 months		
Doctor of Clinical Psychology (DClinPsych)	36 months	-	60 months	-		
Doctor of Educational Psychology (DEdPsych)	36 months	-	60 months	-		
Professional Doctor of Education (EdD)	36 months	48 months	60 months	84 months		

<sup>&</sup>lt;sup>2</sup> With the permission of the Faculty Director of the Graduate School, a research student registered for a research degree may be permitted to register concurrently for an additional teaching qualification as offered by the Centre for Higher Education Practice, in either full- or part-time candidature, but the period of supervised study/minimum candidature will not be increased

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Engineering Doctorate (EngD)	36 months	48 months	60 months	96 months		
Doctor of Medicine (DM)	-	24 months	-	48 months		
Master of Philosophy (MPhil)						
MPhil - Standard Route	12 moths	24 months	48 months	84 months		
MPhil - Standard Route (registered concurrently on PGCAP) <sup>2</sup>	24 months	-	48 months	-		
MPhil - Mayflower Scholar	12 months	-	60 months	-		
MPhil - Mayflower Scholar (registered concurrently on PGCAP) <sup>2</sup>	24 months	-	60 months	-		

- 18. The period of candidature for a research degree ends when the thesis is submitted. The table above states the minimum and maximum period of candidature.
- 19. In practice, the period of candidature will usually be longer than the minimum period. In exceptional circumstances, when the research student has successfully completed their Second Progression Review (Confirmation) and where the research student is able to submit a thesis of sufficient quality, they may be permitted to submit a thesis earlier than the specified minimum period of candidature. In such a case, the request must be made by the main supervisor to the Faculty Director of the Graduate School for recommendation to the Associate Dean (Education), in their capacity as Chair of Faculty Education Committee, for approval.
- 20. A research student who fails to submit a thesis by the end of the maximum period of candidature shall be deemed to have withdrawn from the degree.

#### **Confirmation of Doctoral Candidature**

- 21. A research student registered at doctoral level must successfully complete the required confirmation process to the satisfaction of the Faculty Graduate School directorate according to the procedures specified in the Code of Practice for Research Degree Candidature and Supervision. A research student who does not successfully complete the required confirmation process may be offered the opportunity to transfer onto the degree of MPhil, or an alternative as detailed in the Academic Regulations (Section VI of the University Calendar).
- 22. In the case of a research student transferring from another institution into the Faculty, submission of the thesis is not permitted earlier than 12 months from the date of transfer, even if the research student has already had their doctoral status confirmed at their previous institution.
- 23. A research student for the degree of PhD may be permitted, at any time prior to submission of the thesis, to transfer to a course leading to the degree of MPhil. The period spent in candidature up to the point of transfer will be counted towards the period of candidature required for the degree to which the transfer is made. Details of permission to transfer for other programmes will be detailed in the Academic Regulations (Section VI of the University Calendar).
- 24. If the performance of a research student funded by a Mayflower Scholarship in their teaching apprenticeship does not meet the agreed expectations or they do not wish to continue, they may transfer to a standard MPhil/PhD programme subject to adequate funding being available.
- 25. With regards to transfer of programme, the University will comply with its obligations under the relevant immigration legislation which may be updated from time to time. A research student who is concerned about their entitlement to remain in the UK following a failure to progress or prior to a decision regarding transfer to MPhil, should seek urgent advice from the Visa and Immigration Student Advice Service.

# **Supervision**

26. Every research student shall be allocated to a supervisory team by the Faculty Graduate School directorate on the recommendation of the research student's Faculty Director of the Graduate School. The supervisory team shall consist of at least two supervisors from the University of



Southampton. At least one of these (the co-ordinating supervisor should be a permanent academic member of University of Southampton staff (see paragraph 29 of these Regulations). Paragraph 41 of the <u>Code of Practice for Research Degree Candidature and Supervision</u> sets out the responsibilities of the supervisory team.

- 27. Every research student holding a Mayflower Scholarship will be allocated a team responsible for providing mentoring, delivering the appropriate professional development, and managing and setting expectations in relation to the teaching undertaken by the Mayflower Scholar.
- 28. The person responsible for managing the research student's teaching will not normally be part of the supervisory team responsible for their research.
- 29. The main supervisor will be an academic member of University of Southampton staff, or a senior member of honorary clinical staff in the Faculty of Medicine and the Faculty of Environmental and Life Sciences. A member of staff employed by the Natural Environment Research Council at the National Oceanography Centre, or a visiting academic may be appointed to the supervisory team as a main supervisor (but may not take the role of co-ordinating supervisor). The main supervisor should have an employment contract valid for at least the typical period of doctoral candidature. An academic member of staff on probation or a professor emeritus may not be appointed as main or co-ordinating supervisor. The main supervisor has responsibility for the supervision of the design and progress of the student's research project and for providing academic advice to the research student. The main supervisor should be available to provide guidance and direction on a regular basis.
- 30. The **co-ordinating supervisor** must be a permanent academic member of University of Southampton staff. The main supervisor will normally also undertake the role of co-ordinating supervisor. The co-ordinating supervisor is responsible for ensuring that the administrative processes are completed in a timely manner throughout a research student's candidature.
- 31. Second or co-supervisors may either be academic members of University of Southampton staff or be affiliated to the University through working in organisations such as the NHS or NERC. Supervisors affiliated with the University should have a visitor contract or honorary contract, and should be approved by the Faculty Graduate School Committee as having close links with the University and the research student's programme of study.
- 32. Supervisors working for other organisations may be appointed as external supervisors. External supervisors can be added to the supervisory team in order to provide specialist expertise or if a funder requires it.
- 33. Research students undertaking research degrees which include a substantial taught element will be assigned tutor(s) relevant to that phase of the degree. These tutors are not excluded from forming part of the research supervisory team.
- 34. Every research student will take part in an Academic Needs Analysis with their supervisory team within the first three months of their candidature or the research phase of their studies, as appropriate. Research students are required to take part in a training programme which addresses research/generic/transferable skills and may include a both compulsory and optional elements, the former as determined by the Faculty and Doctoral College.
- 35. Every research student funded by a Mayflower Scholarship will take part in an individualised assessment of their training needs with regard to teaching at the commencement of their studies.

### **Progression**

36. Every research student will be required to undertake Progression Reviews at fixed points during the course of their candidature as specified in the <u>Code of Practice for Research Degree</u> <u>Candidature and Supervision</u>. If, following a second attempt at a Progression Review, progress is deemed unsatisfactory, the Faculty Education Committee may recommend termination of candidature to Senate. The Faculty Graduate School Committee may also at any other time review the progress of a research student. If this is unsatisfactory and if, after due warning, there is insufficient improvement, the Faculty Education Committee may recommend termination of candidature to Senate in line with the <u>Procedures for Circumstances that may</u>



<u>lead to Withdrawal or Termination</u>. A research student may appeal against the decision by using the procedure set out in the <u>Regulations Governing Academic Appeals by Students</u>.

# **Nominal Registration**

- 37. Nominal registration is a process that must been requested by the research student and supported by the main supervisor. The Faculty Director of the Graduate School may permit a full-time or part-time research student to transfer to nominal registration for a period not exceeding twelve months prior to submission of the thesis. In supporting the application, the research student's main supervisor must be able to confirm that:
  - the minimum period of candidature, as detailed in paragraph 17 of these Regulations, has been completed;
  - confirmation/upgrade has taken place (in the case of a doctoral level student);
  - all research (including experimental/laboratory work) and data collection has been completed;
  - no further supervision of the research is required;
  - the structure of the thesis has been agreed and that the supervisory team has seen a significant part of the thesis in draft form and has provided feedback;
  - a likely completion date has been discussed with the research student;
  - the prescribed programme of taught modules has been successfully completed as required in the Academic Regulations (Section VI of the University Calendar);

A research student who has been transferred to nominal registration cannot expect further close supervision of the preparation of the thesis.

- 38. If a research student remains in nominal registration for longer than six months, a fee becomes payable (see Section IV of the University Calendar for fees information).
- 39. A research student may apply to the Faculty Graduate School directorate to extend the period of nominal registration beyond twelve months, taking account of paragraphs 43 and 44 of these Regulations 'Extension of Candidature'. The decision as to whether to grant a further period of nominal registration will rest with the Faculty Director of the Graduate School.
- 40. Periods of nominal registration count towards the maximum period of candidature.
- 41. A research student may be permitted to suspend their candidature when in nominal registration (see paragraph 42 of these Regulations 'Suspension of Candidature').

# Suspension of Candidature

- 42. Research students must continue in registration for the degree until such time as they submit their thesis or withdraw, except where a suspension of candidature is permitted in accordance with the Regulations Governing Special Considerations and Suspension of Candidature for Postgraduate Research Students. The maximum continuous period of suspension permitted during candidature will be in accordance with the General Regulations: Transfer, Suspension, Withdrawal and Termination (Section IV of the Calendar). In the case of funded research students, due regard should be given to funder rules governing suspension. Periods of suspension shall not count towards the maximum period of study.
- 43. Research students in suspension should not receive supervision but will typically retain access to email and library facilities.

#### **Extension of Candidature**

- 44. The minimum and maximum periods of candidature should be strictly adhered to. Extensions of candidature beyond the maximum specified in paragraph 17 of these Regulations, will be permitted only in accordance with the <u>Regulations Governing Special Considerations and Suspension of Candidature for Postgraduate Research Students</u> and the relevant fee will be charged in accordance with the research student's enrolment status.
- 45. Due regard should be given to limits or conditions placed on extensions by a research student's funding body on the expectation of submission within a specified funding period, together with



any penalties or sanctions which may apply to the University or the research student if funded students are permitted to extend their study period..

#### **Submission of Thesis**

- 46. After completion of the minimum period of candidature, a thesis may be submitted for examination in accordance with the instructions in the document <u>Submitting your thesis a guide for research students</u>.
- 47. Alternative formats of thesis submission may be permitted in certain disciplines as detailed in paragraphs 8-10 of the <u>Code of Practice for Research Degree Candidature and Supervision</u>.
- 48. A research student who is about to submit a thesis shall give at least two months' prior notice in writing (using the *Intention to Submit form*) to the Faculty Graduate School Office which shall include the full title of the thesis. The two months' notice may not include periods of suspension. Advice should be sought from the Faculty Graduate School Office regarding the date by which the research student must give notice of intention to submit should they wish to attend a graduation ceremony at the University of Southampton
- 49. The submission shall be made within the maximum length of candidature permitted for the degree, excluding periods of suspension. In cases where there have been periods of full-time and part-time candidature, the maximum permitted period of candidature shall be proposed by the Faculty Graduate School Office, and agreed by the Faculty Director of the Graduate School, as outlined in the guidance <u>Determining the maximum period of candidature when changing between full- and part-time study</u>. Where periods of extension are approved in accordance with the <u>Regulations Governing Special Considerations and Suspension of Candidature for Postgraduate Research Students</u>, these will be added to the maximum period of candidature.
- 50. With the exception of restrictions on access as defined in paragraph 51 of these Regulations, research work submitted as a thesis for a research degree shall be openly available and subject neither to security classification nor to restriction on access. Research students may publish the whole or part of their work prior to its submission as a thesis, provided that in the published work it is nowhere stated that it is in consideration for a research degree.
- 51. In exceptional circumstances access to a thesis may be restricted through embargo by the Faculty Director of the Graduate School in their capacity as Chair of Faculty Graduate School Committee, where such restriction is regarded as desirable on commercial grounds or pending patent applications, or as necessary to maintain confidentiality. The period of restriction shall be determined by the Faculty Director of the Graduate School but shall normally not exceed three years from the date of examination. After three years the period of restriction may be subject to extension in some circumstances and will require the approval of the Director of the Doctoral College. Approval of restriction of access to a thesis should be reported to the University Library, using the *Permission to Deposit thesis form*, who will maintain a master list to be presented annually to the Doctoral College Board.
- 52. On submission of a thesis for examination, a research student shall be required to sign a <u>Declaration of authorship</u>, which will be bound into the thesis. This declaration will state that the thesis is the result of work done wholly or mainly while the research student was in candidature at the University of Southampton; that where the thesis is based on work done by the research student jointly with others, a substantial part is the original work of the research student; and the extent to which the thesis incorporates material already submitted for another degree.
- 53. A thesis may not exceed 75,000 words in length in the case of a PhD, or 50,000 words in the case of an MPhil, unless prior permission to exceed this length has been given by the Faculty Director of the Graduate School on the recommendation of the supervisor. Where appropriate, the Faculty Graduate School directorate may determine a higher word limit for a specific discipline. Research students who exceed this limit without prior approval of the Faculty Director of the Graduate School, may be required by the examiners to resubmit in a form which does not exceed the stipulated length. The maximum length does not include supporting material or evidence which may be bound in as appendices. Appendices should be clearly marked as such and listed on the contents page. If appendices are submitted in separate volumes, they must be prepared and bound in the same style as the thesis. All supporting material or evidence will be available to the examiners and will form part of the record.



54. Research students should refer to the <u>Code of Practice for Research Degree Candidature and Supervision</u> and the <u>Research Data Management Policy</u>.

#### **Examination**

For each research student (with the exception of those in staff candidature for whom the Regulations for Members of Staff in Candidature for the Degree of Doctor of Philosophy apply), normally one internal and one external examiner shall be appointed to examine the thesis; in exceptional cases, one additional external examiner may be appointed. No member of the supervisory team may be appointed as an internal examiner, nor may they take part in the judgement of the thesis under consideration in any other way. In addition, other researchers who have had any co-authoring or collaborative involvement in the research student's work, or whose own work is the focus of the research project such that there would be a conflict of interest or potential lack of objectivity, may not be appointed as internal or external examiners. Members of staff who have had pastoral involvement with the research student such that objectivity would potentially be affected may also not be appointed to the examining team. One examiner, either the internal or the external, may be drawn from the confirmation panel or committee (e.g., an internal member of staff who acted in the role of independent 'assessor' or an external 'assessor' if used) provided that they have had no further material contact with the research project since the confirmation and that the other examiner is entirely new to the project.

A supervisor should be available to provide clarification at the viva voce if requested by the examiners. At the request of the research student, one member of the supervisory team may be invited to attend the *viva voce*. A supervisor who is in attendance at the *viva voce* will not play an active role in the examination and may not take part in the judgement of the thesis under consideration. Such requests should be made in writing, by the research student, to the Faculty Graduate School Office, for consideration by the Faculty Director of the Graduate School.

56. The research student will be required to attend for a *viva voce*. In conducting the examination, reasonable adjustments will be made, where necessary, to accommodate any additional needs of the research student.

#### **Outcomes of the Examination**

- 57. The examiners for the research student shall recommend one of the following courses of action. For research students studying for a research degree with a substantial taught component, recommendations (a) to (f) will also be subject to the satisfactory completion of the taught element of the programme.
  - a) That the degree for which the research student has submitted be awarded.
  - b) That the degree for which the research student has submitted be awarded subject to minor amendments to the thesis being made by a date specified. Minor amendments include: minor errors/omissions of substance, typographical errors, occasional stylistic or grammatical flaws, corrections to references, addition/modification of one or two figures, and minor changes to layout, and require no new research. These changes need only be certified by the internal examiner. The date specified for the submission of such minor amendments should normally be no later than three months after the formal notification to the research student.
  - c) That the degree for which the research student has submitted be awarded subject to the correction of modest errors/omissions of substance being made by a date specified. The amendments required and the process for documenting the changes made to the original text should be clearly specified in the report. Such amendments may require limited further analysis but will not affect the originality of the central thesis. They will be of a scale to require approval by both the internal and external examiners, though normally not so extensive that a further *viva voce* is required. The date specified for the submission of such modest amendments should normally be no later than six months after the formal notification to the research student, although the examiners may request a longer time period of nine months for which an academic rationale should be provided



for consideration by the Faculty Director of the Graduate School.

- d) That the research student be required to attend a further *viva voce* within three months of the date of the original examination.
- e) That the research student be permitted to submit, by a date specified, a revised thesis for the same degree for re-examination, including an additional *viva voce*, on one subsequent occasion. The date specified for submission of the revised thesis should normally be no later than twelve months after the formal notification to the research student. As a fee is payable, the Fees Office should be informed when a research student has been asked to submit a revised thesis.
- f) That, in the case only of a PhD research student who has failed to satisfy the examiners, permission may be given to the research student to apply, within a specified time, for the award of Master of Philosophy. Submission may be allowed without re-examination, subject to any minor amendment of the thesis which may be required by the examiners. Alternatively, at the request of the examiners, submission of a revised thesis may be subject to re-examination, including a *viva voce*. In such circumstances, the work must meet the normal criteria for the award of the MPhil degree.
- g) That the degree is not awarded and that re-submission be not permitted.

It should be noted that where the recommendation of the examiners is for re-examination at a later date as set out in paragraph 57 e) of these Regulations, options (d) and (e) are not available as outcomes at the later re-examination.

- 58. A research student must satisfy the examiners in both the thesis and the *viva voce*. A research student may fail either the thesis or the *viva voce* or both and the examiners may recommend re-examination only in that part in which the research student failed. This may not apply if the additional work required substantially modifies the submission; on the other hand, where a thesis has demonstrated adequate practical work but insufficient theoretical knowledge, then a *viva voce* re-examination only may be required.
- 59. A research student who fails to submit a corrected or revised thesis by the date set by the examiners shall normally be regarded as having failed the examination, the recommendations of the examiners shall lapse and candidature will be terminated. In exceptional circumstances, a revised date for submitting corrections may be approved in accordance with the Regulations Governing Special Considerations and Suspension of Candidature for Postgraduate Research Students.
- 60. The <u>Regulations Governing Academic Appeals by Students</u> sets out the procedure to be followed should a research student consider they have grounds to appeal against any academic decision made by the University. A research student cannot appeal against poor teaching or supervision (see the <u>Regulations Governing Student Complaints</u>).

#### **Revision History**

Approved by AQSC on 27 April 2005 and by Senate on 22 June 2005

Approved by AQSC on 31 May 2006 and by Senate in July 2006 (Chair's Action)

Amendments approved by AQSC on 6 June/11 July 2007 and by Senate on 20 June 2007 and by Chair's Action for Senate, July 2007.

Amendments approved by AQSC on 23 April/4 June 2008 and by Senate on 18 June 2008

Amendments approved by AQSC on 22 April 2009 and by Senate on 17 June 2009

Amendments approved by UPC in July 2011

Amendments approved by UPC in July 2012

Reviewed in July 2012; no changes made

Amendments approved by UPC in April and May 2013 and by Senate in June 2013

Amendments approved by AQSC in May 2014 and by Senate in June 2014

Amendments approved by AQSC in July 2015 and by the Vice-Chancellor on behalf of Senate in July 2015

Amendments approved by AQSC in May 2016, by AQSC in June 2016 [Chair's Action], and by Senate in



July 2016

Amendments approved by AQSC in May 2017 and by Senate in June 2017 Amendments approved by AQSC in May 2018 and by Senate in June 2018 Amendments approved by AQSC in May 2019 and by Senate in June 2019