

By email only to: request-672267-f48b17cb@whatdotheyknow.com

9 July 2020

Dear W Tanner,

Freedom of Information Act 2000

Request for information: response

Date of request: 24 June 2020

Due date: 22 July 2020

Ref: RITM0299669

We refer to your request for information under the Freedom of Information Act 2000 ("the Act.")

Below is your question with the University's corresponding response.

Question:

Dear University of Southampton,

Please could you provide full details of:

1. Your institution's policy of transparency reporting for research grants and other financial support received by academics and scientists, including the source of such funds in the course of their academic work.
2. Your institution's policy regarding academics' or scientists' acceptance of research grants or other financial support from foreign governments or state-sponsored organisations.
3. The number of instances where an academic or scientist has failed to report the receipt of research grants or other financial support for their work in the last five years, including the number of times a foreign government or state-sponsored organisation was involved.

Answer:

In accordance with [Section 1\(a\)](#) of the Act, we confirm that the University holds information of the description

Question 1:

There is no specific policy covering transparency reporting for research grants and other financial support received by academics and scientists. The University's Financial returns are filed and published annually and there is a full programme of internal and external audit.

Question 2:

This is covered by our 'Statement of Responsible Collaboration' https://www.southampton.ac.uk/~assets/doc/responsible_coll_guidance_tobacco.pdf

Question 3:

There are no known instances where an academic or scientist has failed to report the receipt of research grants or other financial support for their work in the last five years, including the number of times a foreign Government or state- sponsored organisation was involved.

If you do not feel that we have dealt with your request in accordance with the requirements of [Part I](#) of the Act, you may request a review. Your request for a review should specify in what respect you consider that the requirements of [Part I](#) have not been met. Please address your request to the Chief Operating Officer at the following address: foi@soton.ac.uk

In accordance with section 5.3 of the [Code of Practice](#), a request for a review must be sent within 40 working days of the date of this email. The University is not obliged to accept any requests for a review beyond 40 working days.

We will endeavour to respond to your request for a review within 20 working days of receipt.

The Information Commissioner is responsible for enforcing rights of access to information and the operation of the publication scheme. You may apply to the Information Commissioner in writing (FOI/EIR Complaints Resolution, Information Commissioner's Office, Wycliffe House, Water Lane, Wilmslow, Cheshire SK9 5AF) or [electronically](#) for a decision whether, in any specified respect, your request for information has been dealt with by the University in accordance with the requirements of [Part I](#) of the Act. The Information Commissioner will not normally take action unless they are satisfied that the University's review procedure has been exhausted.

Yours sincerely,



Freedom of Information Office

email: foi@soton.ac.uk