Lattice Methods in Field Theory: Problem Sheet 1 (Tutors)

Question 1. Lattice derivatives

Use the definitions of the forward and backward lattice derivatives to verify the following relations:

$$[
abla_{\mu},
abla_{
u}]=[
abla_{\mu}^{*},
abla_{
u}^{*}]=[
abla_{\mu},
abla_{
u}^{*}]=0$$

and

$$\nabla_{\mu} \{ f(x)g(x) \} = \{ \nabla_{\mu} f(x) \} g(x) + f(x) \{ \nabla_{\mu} g(x) \} + a \{ \nabla_{\mu} f(x) \} \{ \nabla_{\mu} g(x) \}$$

(*no* summation over μ in the second result)

Assuming translational invariance in all spacetime dimensions, show that:

$$\sum_{x \in \Lambda_E} g(x) \Delta f(x) = -\sum_{x \in \Lambda_E} \sum_{\mu=0}^3 \{ \nabla_{\mu} g(x) \} \{ \nabla_{\mu} f(x) \}$$
$$= -\sum_{x \in \Lambda_E} \sum_{\mu=0}^3 \{ \nabla_{\mu}^* g(x) \} \{ \nabla_{\mu}^* f(x) \}$$

where $\Delta = \sum_{\mu=0}^{3} \nabla_{\mu} \nabla_{\mu}^{*} = \sum_{\mu=0}^{3} \nabla_{\mu}^{*} \nabla_{\mu}$ is the discretised Laplacian

Answer 1. Use the definitions

$$\nabla_{\mu} f(x) = \frac{1}{a} [f(x + a\hat{\mu}) - f(x)], \qquad \nabla_{\mu}^{*} f(x) = \frac{1}{a} [f(x) - f(x - a\hat{\mu})]$$

and check explicitly

$$\begin{split} [\nabla_{\mu}, \nabla_{\nu}^{*}] f(x) &= \nabla_{\mu} \nabla_{\nu}^{*} f(x) - \nabla_{\nu}^{*} \nabla_{\mu} f(x) \\ &= \frac{1}{a^{2}} \left\{ f(x + a\hat{\mu}) - f(x) - f(x - a\hat{\nu} + a\hat{\mu}) + f(x - a\hat{\nu}) \\ &- f(x + a\hat{\mu}) + f(x + a\hat{\mu} - a\hat{\nu}) + f(x) - f(x - a\hat{\nu}) \right\} \\ &= 0 \end{split}$$

Check similarly for $[\nabla_{\mu}, \nabla_{\nu}]$, $[\nabla^*_{\mu}, \nabla^*_{\nu}]$.

For second result:

$$\begin{aligned} \nabla_{\mu} \{ f(x)g(x) \} &= \frac{1}{a} \{ f(x+a\hat{\mu})g(x+a\hat{\mu}) - f(x)g(x) \} \\ &= \frac{1}{a} \{ [f(x)+a\nabla_{\mu}f(x)][g(x)+a\nabla_{\mu}g(x)] - f(x)g(x) \} \\ &= \{ \nabla_{\mu}f(x) \}g(x) + f(x) \{ \nabla_{\mu}g(x) \} + a \{ \nabla_{\mu}f(x) \} \{ \nabla_{\mu}g(x) \} \end{aligned}$$

For the last part, first observe that

$$\begin{split} \sum_{x \in \Lambda_E} g(x) \{ \nabla_\mu f(x) \} &= \frac{1}{a} \sum_{x \in \Lambda_E} \{ g(x) f(x + a\hat{\mu}) - g(x) f(x) \} \\ &= \frac{1}{a} \sum_{x \in \Lambda_E} \{ g(x - a\hat{\mu}) f(x) - g(x) f(x) \} \\ &= -\sum_{x \in \Lambda_E} \{ \nabla^*_\mu g(x) \} f(x) \end{split}$$

where we used translation invariance to change $g(x)f(x + a\hat{\mu})$ into $g(x - a\hat{\mu})f(x)$ inside the summation (this works with both periodic or antiperiodic boundary conditions). Now check:

$$\sum_{x\in\Lambda_E}g(x)\Delta f(x) = \sum_{x\in\Lambda_E}\sum_{\mu=0}^3 g(x)\nabla_{\mu}\nabla_{\mu}^*f(x) = -\sum_{x\in\Lambda_E}\sum_{\mu=0}^3 \{\nabla_{\mu}^*g(x)\}\{\nabla_{\mu}^*f(x)\}$$

and similarly for $\Delta = \nabla^*_{\mu} \nabla_{\mu}$.

Question 2. Generating functional and Feynman propagator

Verify the relation

$$\exp\left(W[J]\right) = \exp\left\{\frac{1}{2}(J, K^{-1}J)\right\}$$

where (\cdot, \cdot) denotes the scalar product of lattice scalar fields

Use the following steps to derive an expression for $\exp \{\frac{1}{2}(J, K^{-1}J)\}\$ in terms of the scalar field propagator on the lattice.

(a) Recall that $K = -\nabla^*_{\mu}\nabla_{\mu} + m^2$ and compute KJ(x) using the Fourier transform for J(x). Deduce that *K* acts by multiplication with $\hat{p}^2 + m^2$, where

$$\hat{p}_{\mu} = \frac{2}{a} \sin\left(\frac{ap_{\mu}}{2}\right)$$

(b) Use the result in (a) and the Fourier transform for J(x) to show that

$$(K^{-1}J)(x) = a^4 \sum_{y \in \Lambda_E} G(x-y)J(y)$$

where

$$G(x-y) = \frac{1}{a^4 L^3 T} \sum_{p \in \Lambda_E^*} \frac{e^{ip \cdot (x-y)}}{\hat{p}^2 + m^2}$$

Write down the expression for $e^{W[J]}$ in terms of G(x-y).

Answer 2. The generating functional is

$$e^{W[J]} = \langle e^{(J,\phi)} \rangle = \frac{1}{Z_E} \int \prod_{x \in \Lambda_E} d\phi(x) e^{-S_E[\phi] + (J,\phi)}$$
$$= \frac{1}{Z_E} \int \prod_{x \in \Lambda_E} d\phi(x) \exp\left\{-\frac{1}{2}(\phi, K\phi) + (J,\phi)\right\}$$

In the exponent [using $(\theta, A\phi) = (A^{T}\theta, \phi)$]:

$$\begin{aligned} -\frac{1}{2}(\phi, K\phi) + (J, \phi) &= -\frac{1}{2} \left(\phi - (K^{-1})^{\mathrm{T}} J, K(\phi - K^{-1} J) \right) + \frac{1}{2} (J, K^{-1} J) \\ &= -\frac{1}{2} (\phi', K\phi') + \frac{1}{2} (J, K^{-1} J) \end{aligned}$$

using $\phi' = \phi - K^{-1}J$. Now shift integration variables (Jacobian is 1):

$$\mathbf{e}^{W[J]} = \frac{1}{Z_E} \int \prod_{x \in \Lambda_E} d\phi'(x) \mathbf{e}^{-S_E[\phi']} \mathbf{e}^{\frac{1}{2}(J,K^{-1}J)} = \mathbf{e}^{\frac{1}{2}(J,K^{-1}J)}$$
(1)

since the ϕ' integration produces $Z_E = (\det K)^{-1/2}$.

(a) Action of K on J(x):

$$\begin{split} KJ(x) &= \frac{1}{a^4 L^3 T} \sum_{p \in \Lambda_E^*} (-\nabla_{\mu}^* \nabla_{\mu} + m^2) \mathrm{e}^{ip \cdot x} \tilde{J}(p) \\ &= \frac{1}{a^4 L^3 T} \sum_{p \in \Lambda_E^*} \left[\sum_{\mu=0}^3 \frac{1}{a^2} (-\mathrm{e}^{ip \cdot (x+a\hat{\mu})} - \mathrm{e}^{ip \cdot (x-a\hat{\mu})} + 2\mathrm{e}^{ip \cdot x}) + m^2 \mathrm{e}^{ip \cdot x} \right] \tilde{J}(p) \\ &= \frac{1}{a^4 L^3 T} \sum_{p \in \Lambda_E^*} \left[\sum_{\mu=0}^3 (\frac{-\mathrm{e}^{ip \cdot a\hat{\mu}} - \mathrm{e}^{-ip \cdot a\hat{\mu}} + 2}{a^2}) + m^2 \right] \mathrm{e}^{ip \cdot x} \tilde{J}(p) \end{split}$$

This simplifies using $p{\cdot}a\hat{\mu}=ap_{\mu}$ and

$$\frac{-\mathrm{e}^{ip \cdot a\hat{\mu}} - \mathrm{e}^{-ip \cdot a\hat{\mu}} + 2}{a^2} = \frac{2}{a^2} \left(1 - \cos(ap_\mu) \right) = \frac{4}{a^2} \sin^2(ap_\mu/2) = \hat{p}_\mu^2$$

so that

$$KJ(x) = \frac{1}{a^4 L^3 T} \sum_{p \in \Lambda_E^*} (\hat{p}^2 + m^2) \mathrm{e}^{ip \cdot x} \tilde{J}(p)$$

(b) Now easy to invert:

$$K^{-1}J(x) = \frac{1}{a^4 L^3 T} \sum_{p \in \Lambda_E^*} \frac{e^{ip \cdot x}}{\hat{p}^2 + m^2} \tilde{J}(p) = a^4 \sum_{y \in \Lambda_E} \left(\frac{1}{a^4 L^3 T} \sum_{p \in \Lambda_E^*} \frac{e^{ip \cdot (x-y)}}{\hat{p}^2 + m^2} \right) J(y)$$

so that

$$G(x-y) = \frac{1}{a^4 L^3 T} \sum_{p \in \Lambda_E^*} \frac{e^{ip \cdot (x-y)}}{\hat{p}^2 + m^2}$$
(2)

Finally subsitute for K^{-1} in equation 1 using the result in 2:

$$e^{W[J]} = \exp\left\{\frac{a^8}{2}\sum_{x,y\in\Lambda_E}J(x)G(x-y)J(y)\right\}$$

Question 3. Zero 3-momentum propagator of a free scalar field in configuration space

Let

$$C(x_0) = a^3 \sum_{\mathbf{x}} \langle \phi(x_0, \mathbf{x}) \phi(0) \rangle = a^3 \sum_{\mathbf{x}} G(x_0, \mathbf{x})$$

This is a 2-point correlator where a particle is created at the origin and propagates to any point on the timeslice labelled by *t* where $x_0 = ta$. The sum on **x** projects onto zero 3-momentum. Show that

$$C(x_0) = \frac{1}{aT} \sum_{p_0} \frac{e^{ip_0 x_0}}{\hat{p}_0^2 + m^2}$$

Now for simplicity let $T \to \infty$ so that

$$\frac{1}{aT}\sum_{p_0} \to \int_{-\pi/a}^{\pi/a} \frac{dp_0}{2\pi}$$

leaving us to evaluate:

$$C(x_0) = \frac{1}{2\pi} \int_{-\pi/a}^{\pi/a} \frac{dp_0 \mathrm{e}^{ip_0 x_0}}{\hat{p}_0^2 + m^2}$$

We can do this by contour integration.

(a) Show that the integrand has poles at $p_0 = \pm i \overline{m} + 2n\pi/a$, for $n \in \mathbb{Z}$, where

$$\sinh\left(\frac{a\overline{m}}{2}\right) = \frac{am}{2}$$

(b) For $x_0 > 0$ close the contour in the upper half plane with lines C_1 from $\pi/a + i0$ to $\pi/a + i\infty$ and C_2 from $-\pi/a + i\infty$ to $-\pi/a + i0$. The contributions from C_1 and C_2 cancel because of the periodicity of the integrand. For $x_0 < 0$ close the contour in the lower half plane in a similar way. Hence show that

$$C(x_0) = \frac{\mathrm{e}^{-m|x_0|}}{2m(1+m^2a^2/4)^{1/2}}$$

This result shows that (at least on a Euclidean lattice with infinite time extent) the free scalar twopoint function decays exponentially with time. Viewed as a function of the timeslice label t, the exponent fixes \overline{ma} (lattice calculations produce dimensionless numbers). Compare this to the general discussion of two-point functions later in the lectures.

Answer 3. Using $\sum_{\mathbf{x}} e^{i\mathbf{p}\cdot\mathbf{x}} = L^3 \delta_{\mathbf{p},\mathbf{0}}$,

$$C(x_0) = a^3 \sum_{\mathbf{x}} \frac{1}{a^4 L^3 T} \sum_{p \in \Lambda_E^*} \frac{e^{ip_0 x_0 + i\mathbf{p} \cdot \mathbf{x}}}{\hat{p}_0^2 + \hat{\mathbf{p}}^2 + m^2} = \frac{1}{aT} \sum_{p_0} \frac{e^{ip_0 x_0}}{\hat{p}_0^2 + m^2}$$

(a) Look for zeros of $\hat{p}_0^2 + m^2 = 4\sin^2(ap_0/2)/a^2 + m^2$. If $p_0 = \pm i\overline{m}$, then we want

$$-4\sinh^2(a\overline{m}/2)/a^2 + m^2 = 0$$
 or $\sinh\left(\frac{a\overline{m}}{2}\right) = \frac{am}{2}$

Note: $\overline{m} = E(\mathbf{p} = \mathbf{0})$ is called the *physical mass*, where the dispersion relation is

$$\sinh^2\left(\frac{aE(\mathbf{p})}{2}\right) = \frac{a^2m^2}{4} + \sum_{i=1}^3 \sin^2\left(\frac{ap_i}{2}\right)$$

Accounting for periodicity we find poles for

$$p_0 = \pm i \overline{m} + \frac{2n\pi}{a}, \qquad n \in \mathbb{Z}$$

(b) For $x_0 > 0$ close the contour as shown and use $\int_{C_1} = -\int_{C_2} dt$. The residue at $i\overline{m}$ is found by,

$$C_{2} = \begin{bmatrix} |p_{0}| & f(p_{0}) & = -\frac{4}{a^{2}}\sin^{2}\left(\frac{ap_{0}}{2}\right) + m^{2} \\ \frac{\partial f}{\partial p_{0}} & = -\frac{4}{a^{2}}2\sin\left(\frac{ap_{0}}{2}\right)\cos\left(\frac{ap_{0}}{2}\right)\frac{a}{2} \\ \frac{\partial f}{\partial p_{0}}\Big|_{p_{0}=i\overline{m}} & = -\frac{4i}{a}\sinh\left(\frac{a\overline{m}}{2}\right)\sqrt{1+\sinh^{2}(a\overline{m}/2)} \\ = -\pi/a & \pi/a & = 2im\sqrt{1+m^{2}a^{2}/4} \end{bmatrix}$$

From the residue theorem

$$C(x_0) = \frac{1}{2\pi} 2\pi i \frac{e^{-\overline{m}x_0}}{2im\sqrt{1+m^2a^2/4}}$$

Including the case $x_0 < 0$ gives

$$C(x_0) = \frac{e^{-\overline{m}|x_0|}}{2m\sqrt{1+m^2a^2/4}}$$

The exponential falloff is governed by the physical mass. The same is true more generally by the spectral decomposition of two-point functions: two-point functions are used to extract particle masses.

For finite *T* you would get the modification $e^{-\overline{m}x_0} \rightarrow e^{-\overline{m}x_0} + e^{-\overline{m}(Ta-x_0)}$.

Lattice Methods in Field Theory: Problem Sheet 2 (Tutors)

Question 1. Naive discretisation of the Yang-Mills action

Consider the transformation law of the nonabelian gauge potential in the continuum

$$A_\mu(x) o g(x) A_\mu(x) g^{-1}(x) + g(x) \partial_\mu g^{-1}(x), \qquad g(x) \in SU(N), \qquad x \in \mathbb{R}^4$$

and its naive transcription to the lattice:

$$A_{\mu}(x) \rightarrow g(x)A_{\mu}(x)g^{-1}(x) + g(x)
abla_{\mu}g^{-1}(x), \qquad g(x) \in SU(N), \qquad x \in \Lambda_{E}$$

Show that the continuum transformation law is *not* reproduced using the naive transcription. Hint: apply a gauge transformation $g(x) = g_1(x) \cdot g_2(x)$ in the continuum and on the lattice and compare the results.

Answer 1. Continuum: let $A^g_\mu = gA_\mu g^{-1} + g\partial_\mu g^{-1}$ and let $g = g_1 \cdot g_2$ (where g_2 acts first) and compare

$$\begin{array}{lll} A^{g_1g_2}_{\mu} &=& g_1g_2A_{\mu}g_2^{-1}g_1^{-1} + g_1g_2(\partial_{\mu}g_2^{-1} \cdot g_1^{-1} + g_2^{-1}\partial_{\mu}g_1^{-1}) \\ (A^{g_2}_{\mu})^{g_1} &=& g_1(g_2A_{\mu}g_2^{-1} + g_2\partial_{\mu}g_2^{-1})g_1^{-1} + g_1\partial_{\mu}g_1^{-1} = A^{g_1g_2}_{\mu} \end{array}$$

 \longrightarrow compatible in the continuum.

Lattice: let $A^g_\mu = g A_\mu g^{-1} + g \nabla_\mu g^{-1}$ and use a result from Problem Sheet 1, Q1

 \longrightarrow not compatible: gauge invariance is broken by lattice artifacts.

Question 2. Wilson plaquette action

For gauge group SU(N), let $A_{\mu}(x)$ be a given gauge potential in the continuum which defines a link variable $U_{\mu}(x)$ through

$$U_{\mu}(x) = \mathrm{e}^{a A_{\mu}(x)}, \qquad A^{\dagger}_{\mu}(x) = -A_{\mu}(x)$$

Using this definition, derive the following result for the trace of the plaquette:

$$\operatorname{Tr} P_{\mu\nu}(x) = \operatorname{Tr} \left\{ U_{\mu}(x)U_{\nu}(x+a\hat{\mu})U_{\mu}^{\dagger}(x+a\hat{\nu})U_{\nu}^{\dagger}(x) \right\}$$
$$\stackrel{a \to 0}{=} N + \frac{a^{4}}{2}\operatorname{Tr} \left(F_{\mu\nu}(x)F_{\mu\nu}(x)\right) + O(a^{5})$$

where

$$F_{\mu\nu}(x) = \partial_{\mu}A_{\nu}(x) - \partial_{\nu}A_{\mu}(x) + [A_{\mu}(x), A_{\nu}(x)]$$

is the field tensor in the continuum.

Hint: write $\operatorname{Tr} P_{\mu\nu}$ as $\operatorname{Tr}(S \cdot T)$ where

$$S = U_{\nu}^{\dagger}(x)U_{\mu}(x), \qquad T = U_{\nu}(x+a\hat{\mu})U_{\mu}^{\dagger}(x+a\hat{\nu})$$

and apply the Baker-Campbell-Hausdorff formula

$$e^{A}e^{B} = e^{A+B+\frac{1}{2}[A,B]+\frac{1}{12}[A,[A,B]]+\frac{1}{12}[B,[B,A]]+\cdots}$$

separately to S and T. Use relations of the kind

$$A_{\nu}(x+a\hat{\mu}) = A_{\nu}(x) + a\partial_{\mu}A_{\nu}(x) + O(a^2)$$

and expand the product $S \cdot T$ in powers of *a* before taking the trace.

Answer 2. Write $\operatorname{Tr} P_{\mu\nu}(x) = \operatorname{Tr}(S \cdot T)$ as in the question and use:

$$S = U_{\nu}^{\dagger}(x)U_{\mu}(x) = e^{-aA_{\nu}(x)}e^{aA_{\mu}(x)}$$

$$\stackrel{\text{BCH}}{=} \exp\left\{a(A_{\mu} - A_{\nu}) + \frac{1}{2}a^{2}[A_{\mu}, A_{\nu}] + O(a^{3})\right\}$$

$$T = U_{\nu}(x + a\hat{\mu})U_{\mu}^{\dagger}(x + a\hat{\nu}) = e^{aA_{\nu}(x + a\hat{\mu})}e^{-aA_{\mu}(x + a\hat{\nu})}$$

$$\stackrel{\text{BCH}}{=} \exp\left\{-a(A_{\mu} - A_{\nu}) + a^{2}(\partial_{\mu}A_{\nu} - \partial_{\nu}A_{\mu}) + \frac{1}{2}a^{2}[A_{\mu}, A_{\nu}] + O(a^{3})\right\}$$

$$S \cdot T \stackrel{\text{BCH}}{=} \exp\left\{a^{2}F_{\mu\nu} + O(a^{3})\right\}$$

Now expand the exponential and take the trace:

- use $\operatorname{Tr} F_{\mu\nu} = 0$
- traces over 'nested' commutators vanish: Lie algebra reduces these to (vanishing) traces over generators
- $O(a^3)$ term comprises such nested commutators

Finally:

$$\operatorname{Tr}(S \cdot T) = \operatorname{Tr}\left(1 + a^2 F_{\mu\nu} + O(a^3) + \frac{a^4}{2} F_{\mu\nu} F_{\mu\nu} + \cdots\right) = N + \frac{a^4}{2} \operatorname{Tr}(F_{\mu\nu} F_{\mu\nu}) + O(a^5)$$

Lattice Methods in Field Theory: Problem Sheet 3 (Tutors)

Question 1. Strong coupling expansion at order β^2

Consider the strong coupling expansion of a Wilson loop W(R, T) of size $R \times T$ for gauge group SU(3). Compute the contribution at order β^2 by evaluating a graph like:



Combine the result with that obtained at order β and derive the expression for the string tension σ by observing that

$$\langle W(R,T)\rangle = e^{-V(R)T}, \qquad V(R) \approx \sigma R$$

Hint: use the expressions for group integrals in SU(3), in particular:

$$\int dU \, U_{ij} \, U_{kl} \, U_{mn} = \frac{1}{3!} \varepsilon_{ikm} \varepsilon_{jlm}$$

Answer 1. Consider a tiling like the one shown in the question.

- Each plaquette contributes $\beta/2N = \beta/6$ (set N = 3 from now on)
- *RT* possibilities to place on surface
- Can swap 'inner' and 'outer' plaquettes in , giving symmetry factor of 1/2 (quadratic term in exponential series gives 1/2!)

$$\longrightarrow \frac{1}{2}RT\left(\frac{\beta}{6}\right)^{RT+1}$$

• Integration over each pair of links 📥 gives 1/3

$$\longrightarrow \left(\frac{1}{3}\right)^{(R+1)T+R(T+1)-4}$$

• Integration over 3 links gives 1/3!

$$\longrightarrow \left(\frac{1}{3!}\right)^4$$

• Every site where 8 links meet contributes a factor 3 (just as in lowest order contribution; also works at edges, corners)

$$\rightarrow$$
 3^{(R+1)(T+1)-4}

• Every site where 10 links meet contributes a factor of 3!. Group integrals give

$$\begin{split} \delta_{ea} \delta_{ab} & \delta_{cd} \delta_{dh} \delta_{qu} \delta_{ut} \delta_{sr} \delta_{rm} \\ \times & \varepsilon_{ifb} \varepsilon_{jgc} \varepsilon_{jgh} \varepsilon_{lpq} \varepsilon_{lpt} \varepsilon_{kns} \varepsilon_{knm} \varepsilon_{ife} \\ &= \varepsilon_{ifb} \varepsilon_{ifb} \varepsilon_{jgc} \varepsilon_{jgc} \varepsilon_{lpq} \varepsilon_{lpq} \varepsilon_{kns} \varepsilon_{kns} \\ &= 3! \ 3! \ 3! \ 3! \\ &\longrightarrow (3!)^4 \end{split}$$



• Recall 1/N = 1/3 in definition of Wilson loop.

Putting everything together:

$$\frac{1}{2}RT\left(\frac{\beta}{6}\right)^{RT+1}\left(\frac{1}{3}\right)^{(R+1)T+R(T+1)-4}\left(\frac{1}{3!}\right)^4 3^{(R+1)(T+1)-4} (3!)^4 \frac{1}{3} = RT\left(\frac{\beta}{18}\right)^{RT}\left(\frac{\beta}{12}\right)^{RT$$

and combining with the lowest order result:

$$\begin{aligned} \langle W(R,T) \rangle &= \left(\frac{\beta}{18}\right)^{RT} \left(1 + RT\frac{\beta}{12} + O(\beta^2)\right) \\ &= e^{RT\ln(\beta/18)} \left(e^{RT\beta/12} + O(\beta^2)\right) \\ &= \exp\left\{RT\left[\ln(\beta/18) + \beta/12\right] + O(\beta^2)\right\} \approx e^{-\sigma RT} \end{aligned}$$

so that

$$\sigma = -\ln\left(rac{eta}{18}
ight) - rac{eta}{12} + O(eta^2)$$

Question 2. Ginsparg-Wilson relation and exact chiral symmetry

Let *Q* be an arbitrary lattice transcription of the free Dirac operator in Euclidean spacetime. Assume that *Q* satisfies the Ginsparg-Wilson relation,

$$\{Q,\gamma_5\}=aQ\gamma_5Q$$

Show that the fermion lattice action

$$S[\overline{\psi},\psi] = a^4 \sum_{x \in \Lambda_E} \overline{\psi}(x) (Q\psi)(x)$$

is invariant under the global infinitesimal transformation

$$\psi \to \psi + \varepsilon \gamma_5 (1 - \frac{1}{2}aQ)\psi, \qquad \overline{\psi} \to \overline{\psi} + \varepsilon \overline{\psi} (1 - \frac{1}{2}aQ)\gamma_5$$

Compare to the usual chiral transformation in the continuum.

Answer 2. Using

$$\delta \psi = \varepsilon \gamma_5 (1 - \frac{1}{2}aQ)\psi$$
 and $\delta \overline{\psi} = \varepsilon \overline{\psi} (1 - \frac{1}{2}aQ)\gamma_5$

you find

$$\begin{split} \delta S &= a^4 \sum_{x \in \Lambda_E} \{ \delta \overline{\psi} Q \psi + \overline{\psi} Q \delta \psi \} \\ &= \varepsilon a^4 \sum_{x \in \Lambda_E} \overline{\psi} \Big\{ (1 - \frac{1}{2} a Q) \gamma_5 Q + Q \gamma_5 (1 - \frac{1}{2} a Q) \Big\} \psi \\ &= \varepsilon a^4 \sum_{x \in \Lambda_E} \overline{\psi} (\gamma_5 Q + Q \gamma_5 - a Q \gamma_5 Q) \psi \\ &= 0 \quad \text{(by the G-W relation } \gamma_5 Q + Q \gamma_5 = a Q \gamma_5 Q) \end{split}$$

Question 3. Neuberger's operator

Follow the steps outlined below to show that Neuberger's operator satisfies the Ginsparg-Wilson relation.

Recall that Neuberger's operator is defined through

$$Q_{\rm N} = \frac{1}{a} (1 - A(A^{\dagger}A)^{-1/2}), \qquad A = 1 - aQ_{\rm W}$$
(1)

where

$$Q_{\rm W} = \frac{1}{2} \gamma_{\mu} (\nabla_{\mu} + \nabla^*_{\mu}) - \frac{a}{2} \nabla^*_{\mu} \nabla_{\mu}$$

is the free massless Wilson-Dirac operator. The combination $U = A(A^{\dagger}A)^{-1/2}$ satisfies

$$U^{\dagger}U = 1, \qquad \gamma_5 U \gamma_5 = U^{\dagger} \tag{2}$$

(a) Show that any operator which satisfies the properties in equation 2 also satisfies

$$\gamma_5 (1-U)^{-1} \gamma_5 = 1 - (1-U)^{-1} \tag{3}$$

Hint: recall that $\gamma_5^{-1} = \gamma_5$.

(b) Use the result of equation 3 and the definition of $Q_{\rm N}$ in equation 1 to show that

$$Q_{\rm N}\gamma_5 + \gamma_5 Q_{\rm N} = a Q_{\rm N}\gamma_5 Q_{\rm N}$$

which is the Ginsparg-Wilson relation.

Answer 3.

(a) Rewrite in several steps ...

$$\begin{split} \gamma_5(1-U)^{-1}\gamma_5 &= \gamma_5^{-1}(1-U)^{-1}\gamma_5^{-1} = (\gamma_5(1-U)\gamma_5)^{-1} \\ &= (1-\gamma_5U\gamma_5)^{-1} = (1-U^{\dagger})^{-1} \\ &= UU^{-1}(1-U^{\dagger})^{-1} = U((1-U^{\dagger})U)^{-1} \\ &= U(U-1)^{-1} = -U(1-U)^{-1} = (1-U-1)(1-U)^{-1} \end{split}$$

so that $\gamma_5(1-U)^{-1}\gamma_5 = 1 - (1-U)^{-1}$.

(b) Use the definition $Q_{\rm N} = \frac{1}{a}(1 - A(A^{\dagger}A)^{-1/2}) = \frac{1}{a}(1 - U)$ in the result from (a):

$$\gamma_5 a^{-1} Q_{\rm N}^{-1} \gamma_5 = 1 - a^{-1} Q_{\rm N}^{-1}$$

Multiply by $a\gamma_5$ on right:

$$\gamma_5 Q_{\rm N}^{-1} = a \gamma_5 - Q_{\rm N}^{-1} \gamma_5$$

then multiply by $Q_{\rm N}$ on both sides

$$Q_{\rm N}\gamma_5 + \gamma_5 Q_{\rm N} = a Q_{\rm N}\gamma_5 Q_{\rm N}$$

which is the G-W relation.

Lattice Methods in Field Theory: Problem Sheet 4 (Tutors)

Question 1. Quark matrix

Consider the fermionic part of the QCD lattice action with Wilson fermions,

$$S_F[U,\overline{\psi},\psi] = \sum_{x \in \Lambda_E} \overline{\psi}(x)(Q\psi)(x) = \sum_{x,y \in \Lambda_E} \overline{\psi}(x)Q_{xy}\psi(y)$$

where the quark matrix is given by:

$$Q_{xy} = \delta_{xy} - \kappa \sum_{\mu=0}^{3} \left\{ \delta_{y,x+\hat{\mu}} (1 - \gamma_{\mu}) U_{\mu}(x) + \delta_{y,x-\hat{\mu}} (1 + \gamma_{\mu}) U_{\mu}^{\dagger}(y) \right\}$$

- (a) Show that $\gamma_5 Q^{\dagger} \gamma_5 = Q$ (recall that $\gamma_{\mu} = \gamma_{\mu}^{\dagger}$ and $\{\gamma_{\mu}, \gamma_5\} = 0$)
- (b) Use the result in (a) to show that $(\det Q)$ is real

Answer 1. Note that Q^{\dagger} here means transpose colour, spin *and* site indices and take complex conjugate.

For the first part:

$$\begin{aligned} (\gamma_5 Q^{\dagger} \gamma_5)_{xy} &= \gamma_5 (Q^{\dagger})_{xy} \gamma_5 &= \gamma_5 \delta_{yx} \gamma_5 - \kappa \sum_{\mu=0}^3 \left[\delta_{x,y+\hat{\mu}} \gamma_5 (1-\gamma_{\mu}^{\dagger}) \gamma_5 U_{\mu}^{\dagger}(y) + \delta_{x,y-\hat{\mu}} \gamma_5 (1+\gamma_{\mu}^{\dagger}) \gamma_5 U_{\mu}(x) \right] \\ &= \delta_{xy} - \kappa \sum_{\mu=0}^3 \left[\delta_{y,x+\hat{\mu}} (1-\gamma_{\mu}) U_{\mu}(x) + \delta_{y,x-\hat{\mu}} (1+\gamma_{\mu}) U_{\mu}^{\dagger}(y) \right] \\ &= Q_{xy} \end{aligned}$$

We interchanged the two terms in $[\cdots]$ in going from the first to the second line. For the second part:

$$\det Q = \det(\gamma_5 Q^{\dagger} \gamma_5) = (\det \gamma_5)^2 \det(Q^{\dagger}) = \det(\gamma_5^2) (\det Q)^* = (\det Q)^*$$

Question 2. Fixed point of Neuberger's construction

Starting from a Dirac operator Q (satisfying $Q^{\dagger} = \gamma_5 Q \gamma_5$), Neuberger's operator, Q_N , is constructed according to:

$$A = 1 - aQ,$$
 $U = \frac{A}{\sqrt{A^{\dagger}A}},$ $Q_{\rm N} = \frac{1}{a}(1 - U).$

Show that if *Q* already satisfies the Ginsparg-Wilson relation, then it is reproduced by the Neuberger construction, $Q_N = Q$.

Answer 2. Note that

$$A^{\dagger}A = (1 - aQ^{\dagger})(1 - aQ) = (1 - a\gamma_5 Q\gamma_5)(1 - aQ) = 1 - a\gamma_5 Q\gamma_5 - aQ + a^2\gamma_5 Q\gamma_5 Q = 1$$

since *Q* already satisfies GW. So, U = A and $Q_N = Q$.

Question 3. Ginsparg-Wilson eigenvalues

If a Dirac operator Q, satisfying $Q^{\dagger} = \gamma_5 Q \gamma_5$, also satisfies the Ginsparg-Wilson relation, $\{Q, \gamma_5\} = aQ\gamma_5 Q$, show that Q's eigenvalues lie on the circle $(1 + e^{i\theta})/a$.

Answer 3. Consider an eigenvector *e* with eigenvalue λ , $Qe = \lambda e$. The Dirac condition on *Q* gives,

$$e^{\dagger}\gamma_5 Q = e^{\dagger}Q^{\dagger}\gamma_5 = \lambda^* e^{\dagger}\gamma_5.$$

The GW relation gives,

$$Q\gamma_5 e = (aQ\gamma_5 Q - \gamma_5 Q)e = \lambda(aQ\gamma_5 - \gamma_5)e$$

or

$$Q\gamma_5 e = \frac{-\lambda}{1-a\lambda}\gamma_5 e$$

Combining these two results,

$$e^{\dagger}\gamma_5 Q\gamma_5 e = \lambda^* e^{\dagger} e = rac{-\lambda}{1-a\lambda} e^{\dagger} e.$$

Since $e^{\dagger}e > 0$, we find,

$$\lambda^* = rac{-\lambda}{1-a\lambda},$$

which is solved (for example by letting $a\lambda = 1 + \xi$ and finding ξ) to get

$$\lambda = \frac{1}{a}(1 + \mathrm{e}^{i\theta})$$

Question 4. Step-scaling

In quenched SU(3) Yang-Mills, at large β , so that one-loop perturbation theory holds, by how much should you increase β to halve the lattice spacing *a*?

Answer 4. For large β (small g_0), use the 1-loop result,

$$-a\frac{\partial g_0}{\partial a} = -b_0 g_0^3$$

Integrate to get,

$$\ln(a_2/a_1) = \frac{1}{2b_0} \left(\frac{1}{g_1^2} - \frac{1}{g_2^2} \right).$$

In SU(3), $b_0 = 11/16\pi^2$, and $\beta = 6/g_0^2$, so

$$\ln(a_2/a_1) = \frac{1}{2} \frac{16\pi^2}{11} \frac{1}{6} (\beta_1 - \beta_2).$$

For $a_2 = a_1/2$, this gives $\Delta \beta = \beta_2 - \beta_1 = 33 \ln 2/4\pi^2 = 0.579$.