

PPC 2010, 12-16 July, 2010

# Interconnections among Baryo/Leptogenesis models

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# Puzzles of Modern Cosmology

1. Dark matter

2. Matter - antimatter asymmetry

3. Inflation

Baryogenesis



4. Accelerating Universe

⇒ clash between the SM and  $\Lambda$ CDM !

# Primordial matter-antimatter asymmetry

- Symmetric Universe with matter- anti matter domains ?

Excluded by CMB + cosmic rays

$$\Rightarrow \eta_B^{\text{CMB}} = (6.2 \pm 0.15) \times 10^{-10} \gg \bar{\eta}_B$$

- Pre-existing ? It conflicts with inflation ! (Dolgov '97)

$\Rightarrow$  **dynamical generation (baryogenesis)**  
(Sakharov '67)

# Models of Baryogenesis

- From phase transitions:

- Electroweak Baryogenesis:

- \* in the SM

- \* in the MSSM

- \* in the nMSSM

- \* in the NMSSM

- \* in the 2 Higgs model

- \* at B-L symmetry breaking

- \* in Technicolor (poster by Jarvinen)

- \* .....

- Affleck-Dine:

- at preheating

- Q-balls

- .....

- From Black Hole evaporation

- Spontaneous Baryogenesis

- Gravitational Baryogenesis

- .....

- From heavy particle decays:

- maxions decays

- (Sakharov '67)

- GUT Baryogenesis

- **LEPTOGENESIS**



# Baryogenesis in the SM ?

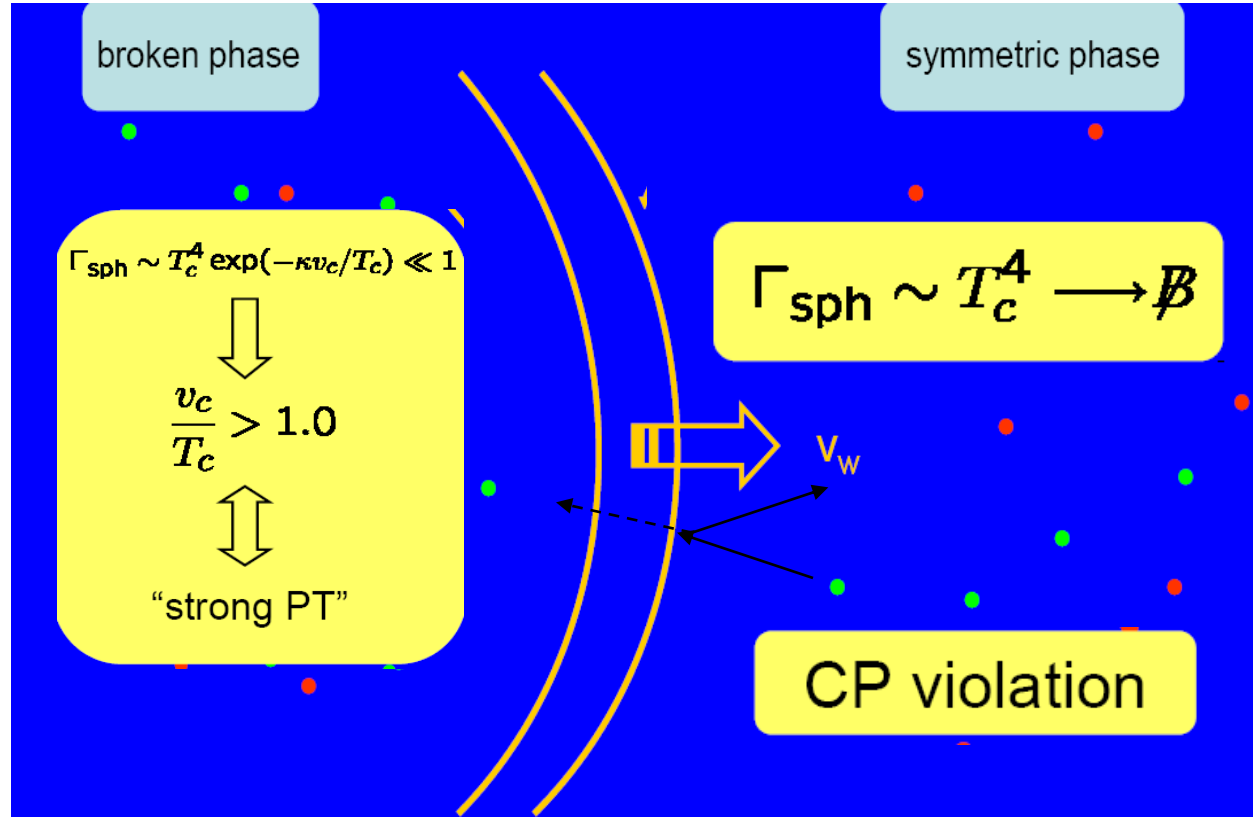
All 3 Sakharov conditions are fulfilled in the SM at some level:

- 1) Baryon number violation if  $T \gtrsim 100 \text{ GeV}$   
(sphaleron transitions),
- 2) CP violation in the quark CKM matrix,
- 3) Departure from thermal equilibrium (an arrow of time)  
from the expansion of the Universe

# EWBG in the SM

(Kuzmin, Rubakov, Shaposhnikov '85; Kajantie, Laine, Shaposhnikov '97)

If the EW phase transition (PT) is **1st order**  $\Rightarrow$  **broken phase bubbles nucleate**



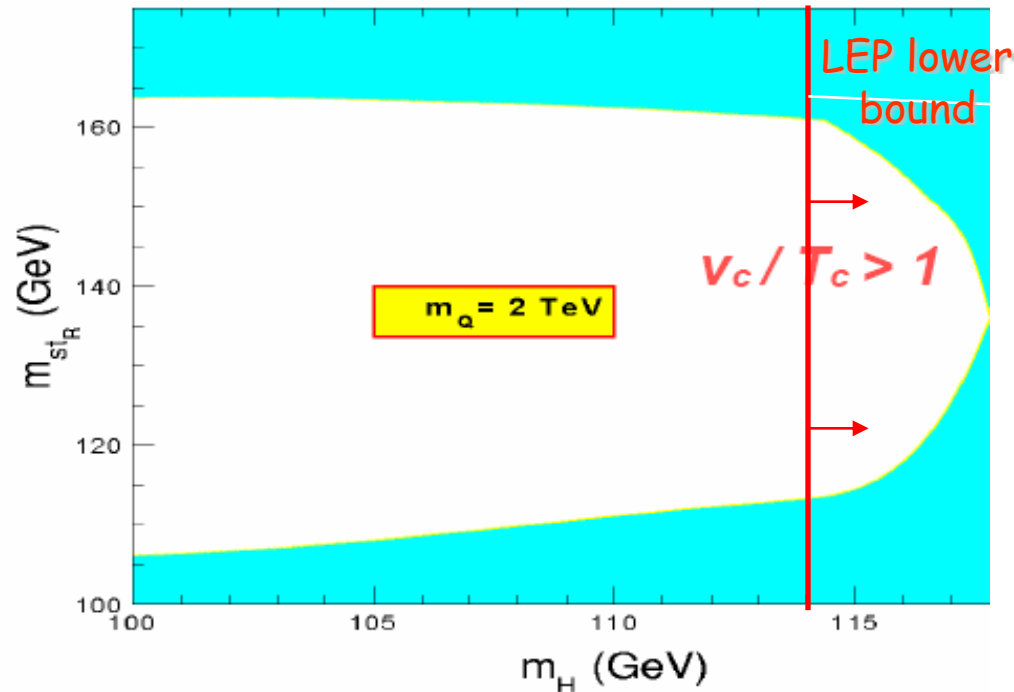
In the SM the ratio  $v_c/T_c$  is directly related to the **Higgs mass** and only for  **$M_h < 40 \text{ GeV}$**  one can have a strong PT  $\Rightarrow$  **EW baryogenesis in the SM** is ruled out by the LEP lower bound  **$M_h \gtrsim 114 \text{ GeV}$** ! (also not enough CP)

$\Rightarrow$  **New Physics is needed!**

# EWBG in the MSSM

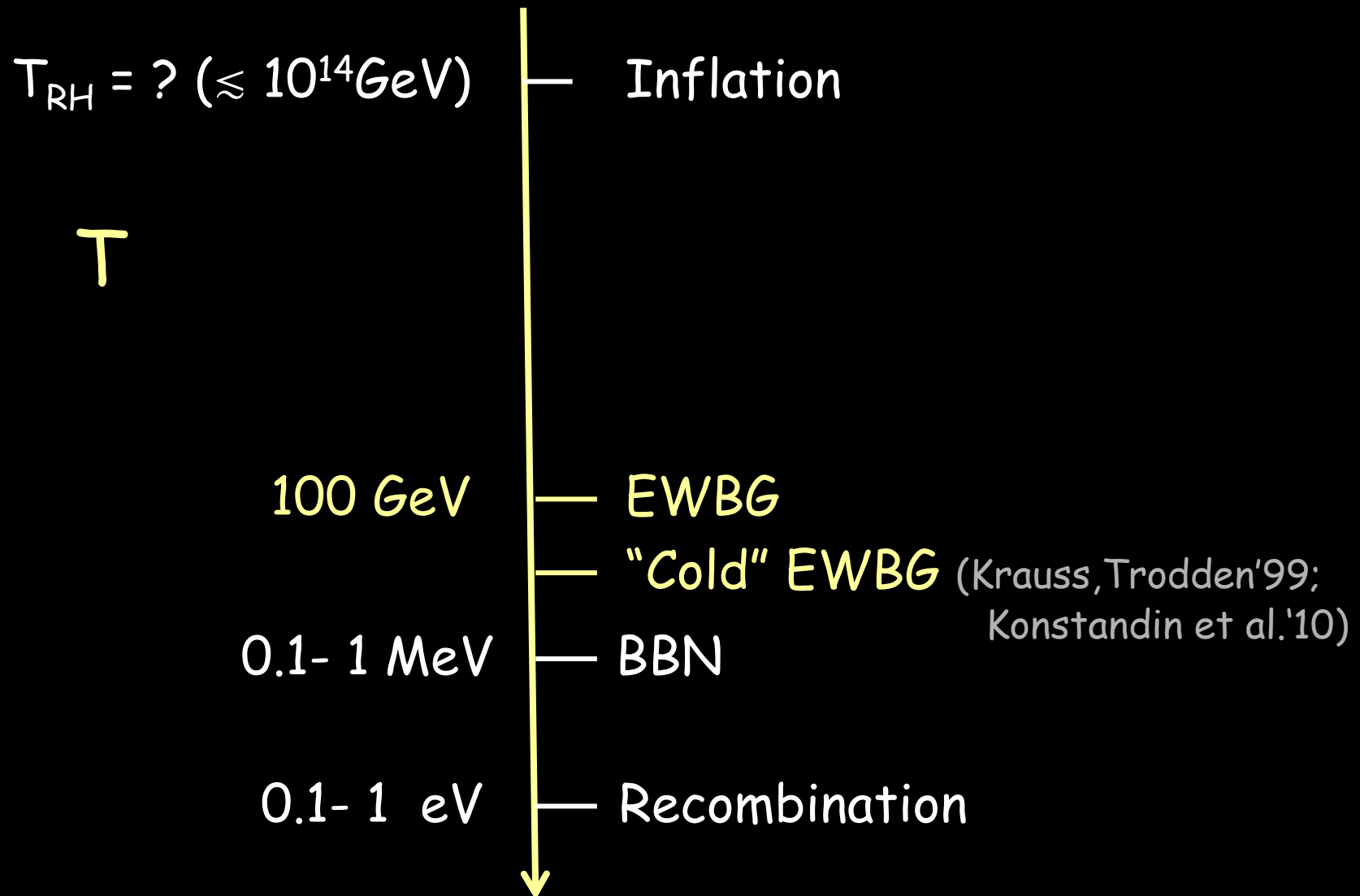
(Carena, Quiros, Wagner '98)

- Additional bosonic degrees of freedom (dominantly the light stop contribution) can make the EW phase transition more strongly first order if



- Notice that there is a tension between the strong PT requirement and the LEP lower bound on  $M_h$  and in particular one has to impose  $5 \lesssim \tan \beta \lesssim 10$
- In addition there are severe constraints from the simultaneous requirement of CP violation in the bubble walls (mainly from charginos) without generating too large electric dipole moment of the electron:

# Baryogenesis and the early Universe history



# Affleck-Dine Baryogenesis

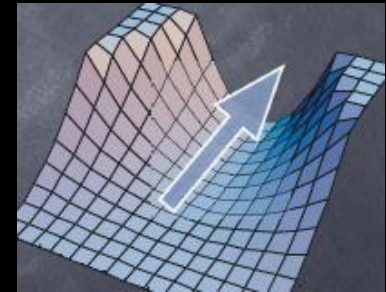
(Affleck, Dine '85)

In the Supersymmetric SM there are many "flat directions" in the space of a field composed of squarks and/or sleptons

$$V(\phi) = \sum_i \left| \frac{\partial W}{\partial \phi_i} \right|^2 + \frac{1}{2} \sum_A \left( \sum_{ij} \phi_i^* (t_A)_{ij} \phi_j \right)^2$$

F term

D term

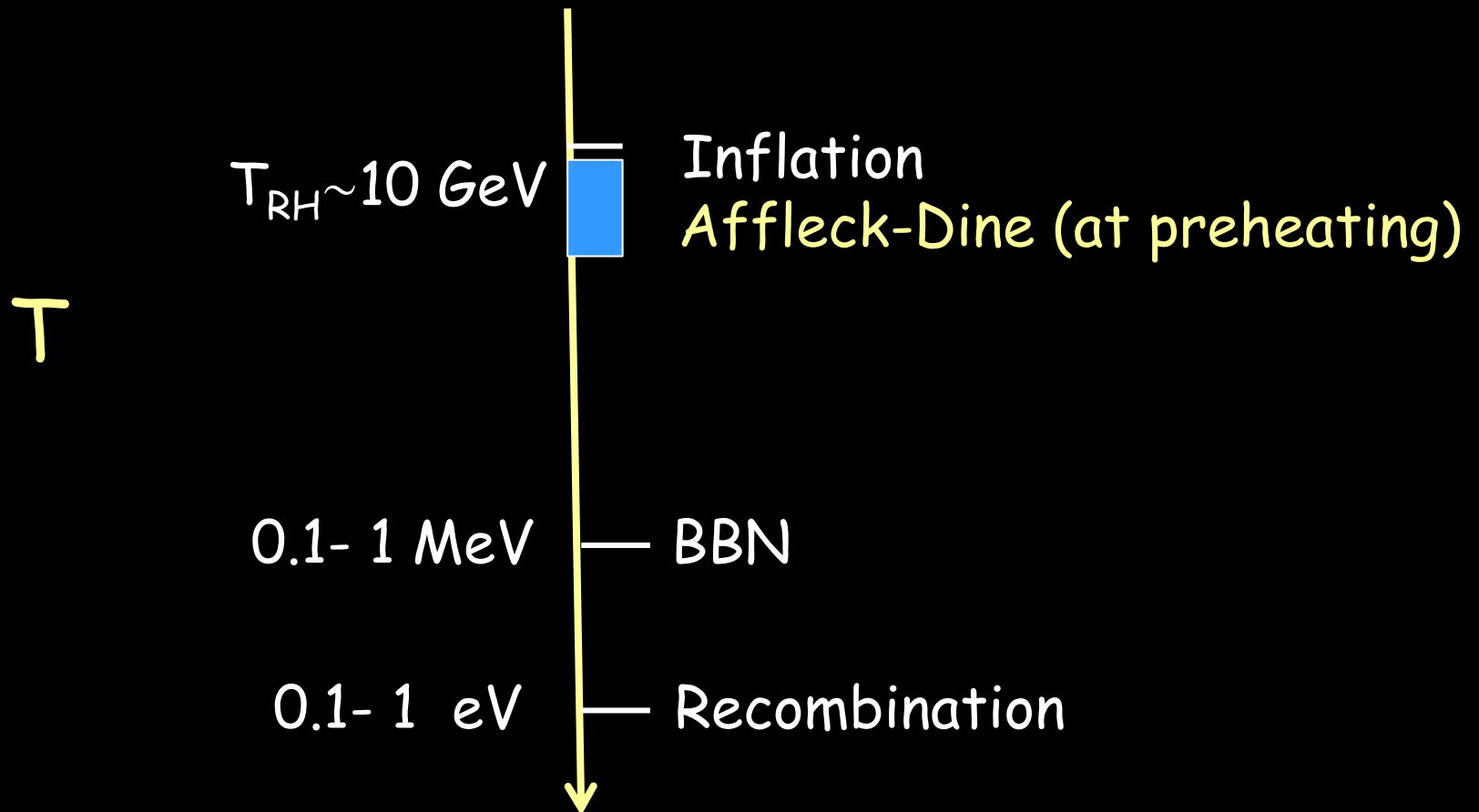


A flat direction can be parametrized in terms of a complex field called AD field that carries a baryon number that is violated dynamically during inflation

$$\frac{n_B}{s} \sim 10^{-10} \left( \frac{m_{3/2}}{m_\Phi} \right) \left( \frac{m_\Phi}{\text{TeV}} \right)^{-\frac{1}{2}} \left( \frac{M}{M_P} \right)^{\frac{3}{2}} \left( \frac{T_R}{10 \text{ GeV}} \right)$$

The final asymmetry is  $\propto T_{RH}$  and the observed one can be reproduced for low values  $T_{RH} \sim 10 \text{ GeV}$  !

# Baryogenesis and the early Universe history



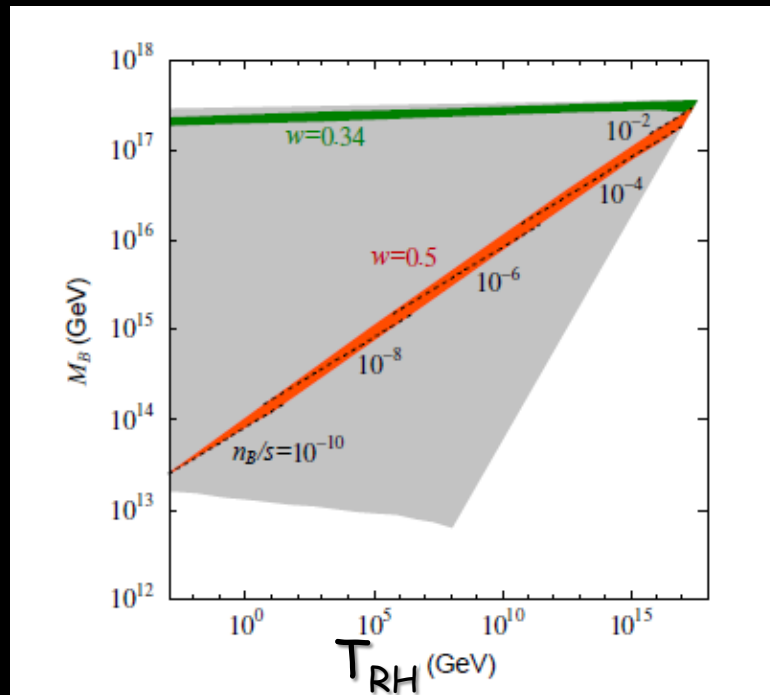
# Gravitational Baryogenesis

(Davoudiasl, Kribs, Kitano, Murayama, Steinhardt '04)

The key ingredient is a  $CP$  violating interaction between the derivative of the Ricci scalar curvature  $\mathcal{R}$  and the baryon number current  $J^\mu$ :

$$\frac{1}{M_*^2} \int d^4x \sqrt{-g} (\partial_\mu \mathcal{R}) J^\mu$$

Cutoff  
scale of  
the effective  
theory



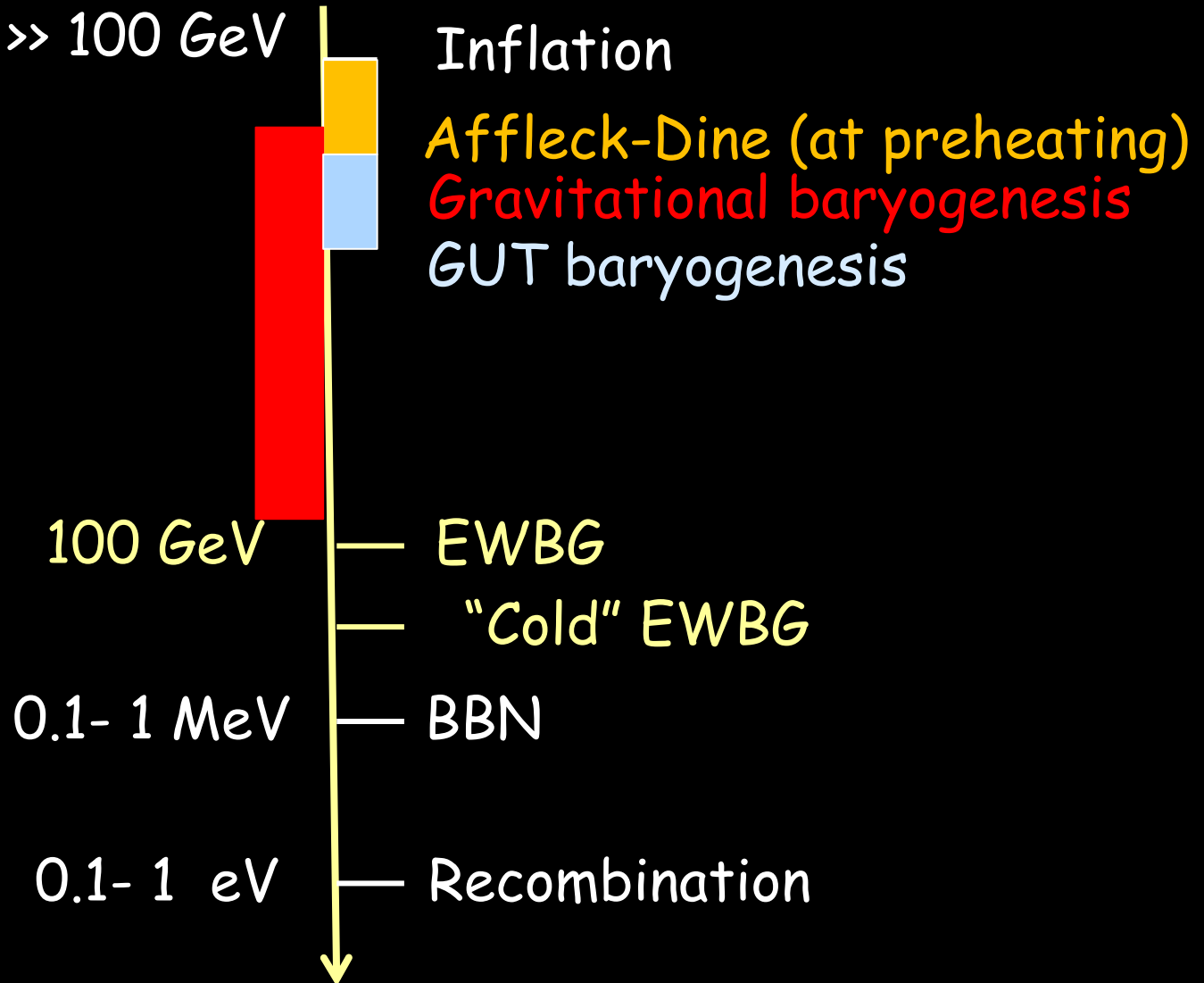
It is natural  
to have this  
operator in  
quantum gravity  
and in supergravity

It works (too ?) efficiently and asymmetries much larger than the observed one are generated for large  $T_{RH} \gg 100$  GeV

# Baryogenesis and the early Universe history

$10^{14} \text{ GeV} \gg T_{\text{RH}} \gg 100 \text{ GeV}$

T





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- **LEPTOGENESIS**

# Neutrino masses: $m_1 < m_2 < m_3$

neutrino mixing data

2 possible schemes: **normal** or **inverted**

$$m_3^2 - m_2^2 = \Delta m_{\text{atm}}^2 \text{ or } \Delta m_{\text{sol}}^2 \quad m_{\text{atm}} \equiv \sqrt{\Delta m_{\text{atm}}^2 + \Delta m_{\text{sol}}^2} \simeq 0.05 \text{ eV}$$

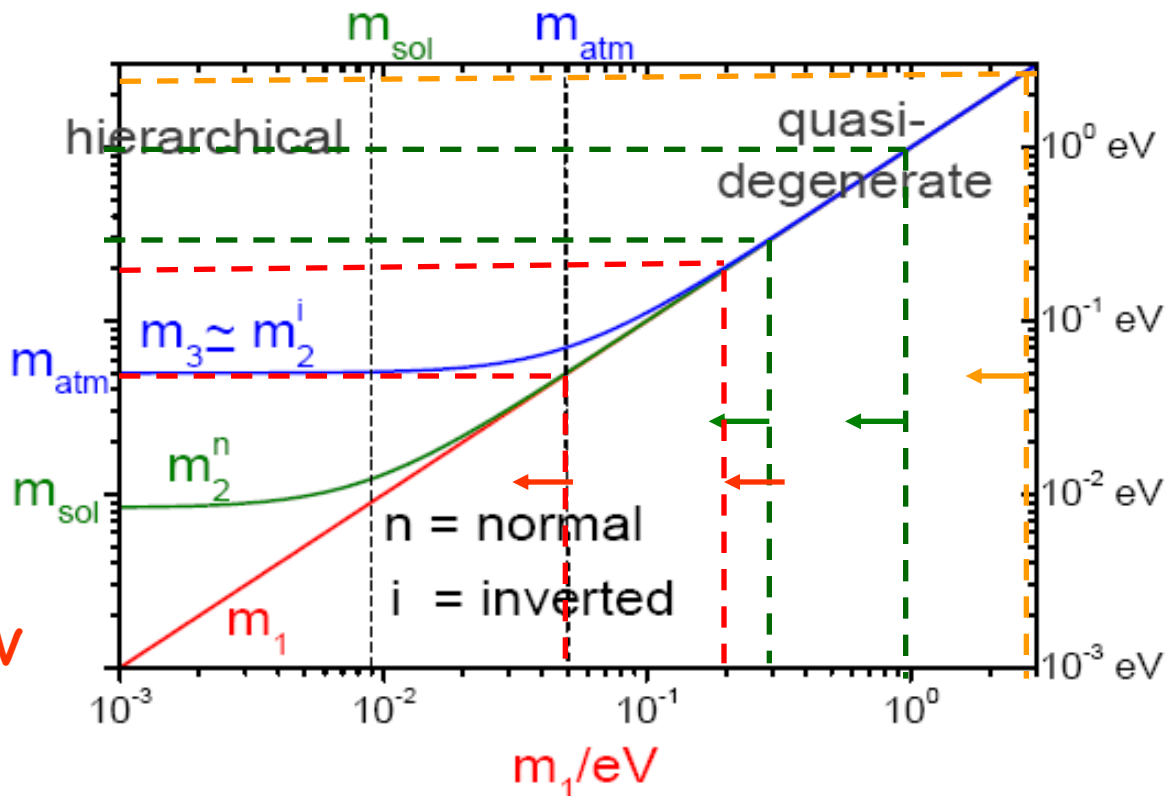
$$m_2^2 - m_1^2 = \Delta m_{\text{sol}}^2 \text{ or } \Delta m_{\text{atm}}^2 \quad m_{\text{sol}} \equiv \sqrt{\Delta m_{\text{sol}}^2} \simeq 0.009 \text{ eV}$$

**Tritium  $\beta$  decay** :  $m_e < 2.3 \text{ eV}$   
(Mainz 95% CL)

**$\beta\beta 0\nu$**  :  $m_{\beta\beta} < 0.3 - 1.0 \text{ eV}$   
(Heidelberg-Moscow 90% CL,  
similar result by CUORICINO )

**CMB+BAO** :  $\Sigma m_i < 0.61 \text{ eV}$   
(WMAP5+SDSS)

**CMB+LSS +  $\text{Ly}\alpha$**  :  $\Sigma m_i < 0.17 \text{ eV}$   
(Seljak et al.)



# Minimal scenario of Leptogenesis

(Fukugita, Yanagida '86)

## • Type I seesaw

$$\mathcal{L}_{\text{mass}}^{\nu} = -\frac{1}{2} \left[ (\bar{\nu}_L^c, \bar{\nu}_R) \begin{pmatrix} 0 & m_D^T \\ m_D & M \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_R^c \end{pmatrix} \right] + h.c.$$

In the **see-saw limit** ( $M \gg m_D$ ) the spectrum of mass eigenstates splits in 2 sets:

- 3 light neutrinos  $\nu_1, \nu_2, \nu_3$  with masses

$$\text{diag}(m_1, m_2, m_3) = -U^\dagger m_D \frac{1}{M} m_D^T U^*$$

- 3 new heavy RH neutrinos  $N_1, N_2, N_3$  with masses  $M_3 > M_2 > M_1 \gg m_D$

**Total CP  
asymmetries**

$$\varepsilon_i \equiv -\frac{\Gamma_i - \bar{\Gamma}_i}{\Gamma_i + \bar{\Gamma}_i}$$

- Thermal production of the RH neutrinos  $\Rightarrow T \gtrsim M_i/5$

# The importance of leptogenesis for testing the seesaw

Reconstructing  $M$  and  $m_D$  would provide a unique information on the new model embedding the seesaw addressing fundamental questions like  
 majorana mass  $M$  ? flavour ? How neutrino and quark Yukawa's are related ? why three families ?

But:

$$m_\nu = -m_D \frac{1}{M} m_D^T \Leftrightarrow \boxed{\Omega^T \Omega = I} \quad (\text{Casas, Ibarra '01})$$

$$\boxed{m_D} = \boxed{U \begin{pmatrix} \sqrt{m_1} & 0 & 0 \\ 0 & \sqrt{m_2} & 0 \\ 0 & 0 & \sqrt{m_3} \end{pmatrix} \Omega \begin{pmatrix} \sqrt{M_1} & 0 & 0 \\ 0 & \sqrt{M_2} & 0 \\ 0 & 0 & \sqrt{M_3} \end{pmatrix}} \quad \left( \begin{array}{lcl} U^\dagger U & = & I \\ U^\dagger m_\nu U^* & = & -D_m \end{array} \right)$$

• parameter counting:  $6 + 3 + 6 + 3 = 18$

Low energy neutrino experiments give information only on the 9 parameters in  $m_\nu = -U D_m U^T$ . The 6 parameters in the orthogonal matrix  $\Omega$  (encodes the 3 life times and the 3 total CP asymmetries of the RH neutrinos and it is an invariant (King '07)) + the 3 masses  $M_i$  escape the conventional investigation !!

**Leptogenesis complements low energy neutrino experiments  
 constraining heavy neutrinos properties**

# Vanilla leptogenesis

## 1) Flavor composition of final leptons is neglected

$$N_i \xrightarrow{\Gamma} l_i H^\dagger$$

$$N_i \xrightarrow{\bar{\Gamma}} \bar{l}_i H$$

**Total CP  
asymmetries**

$$\varepsilon_i \equiv -\frac{\Gamma_i - \bar{\Gamma}_i}{\Gamma_i + \bar{\Gamma}_i}$$

If  $\varepsilon_i \neq 0$  a **lepton asymmetry** is generated from  $N_i$  decays and partly converted into a **baryon asymmetry** by **sphaleron processes** if  $T_{\text{reh}} \gtrsim 100 \text{ GeV}$  ! (Kuzmin, Rubakov, Shaposhnikov, '85)

$$N_{B-L}^{\text{fin}} = \sum_i \varepsilon_i \kappa_i^{\text{fin}} \Rightarrow \eta_B = a_{\text{sph}} \frac{N_{B-L}^{\text{fin}}}{N_{\gamma}^{\text{rec}}}$$

baryon-to-  
photon  
number  
ratio

**efficiency factors  $\simeq$  # of  $N_i$  decaying out-of-equilibrium**

Successful leptogenesis :  $\eta_B = \eta_B^{\text{CMB}} = (6.2 \pm 0.15) \times 10^{-10}$

# Neutrino mass bounds in vanilla leptog.

(Davidson, Ibarra '02; Buchmüller, PDB, Plümacher '02, '03, '04; Giudice et al. '04)

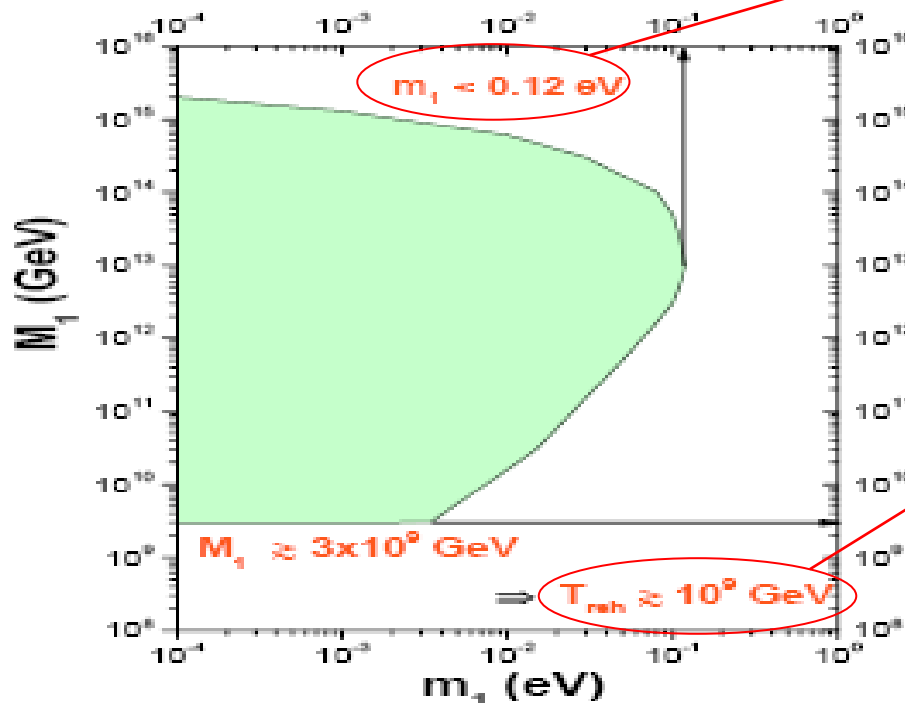
## 2) $N_1$ - dominated scenario

$$\Rightarrow N_{B-L}^{\text{fin}} = \sum_i \varepsilon_i \kappa_i^{\text{fin}} \simeq \varepsilon_1 \kappa_1^{\text{fin}}$$

Imposing:

$$\eta_B^{\text{max}}(m_1, M_1) \geq \eta_B^{\text{CMB}}$$

No  
dependence  
on the  
leptonic  
mixing  
matrix  $U$ !



Vanilla  
leptogenesis is not  
compatible with  
quasi-deg. neutrinos

These large  
temperatures  
in gravity mediated  
SUSY models  
suffer from the  
gravitino problem

# SO(10)-inspired leptogenesis

( Branco et al. '02; Nezri, Orloff '02; Akhmedov, Frigerio, Smirnov '03)

$$D_{m_D} = \text{diag}\{\lambda_{D1}, \lambda_{D2}, \lambda_{D3}\}$$

$$m_D = V_L^\dagger D_{m_D} U_R \quad (\text{bi-unitary parametrization})$$

assuming: 1)  $\lambda_{D1} = \alpha_1 m_u, \lambda_{D2} = \alpha_2 m_c, \lambda_{D3} = \alpha_3 m_t, (\alpha_i = \mathcal{O}(1))$

2)  $V_L \simeq V_{CKM} \simeq I$

One typically has (there are some fine-tuned exceptions):

$$M_1 \sim \alpha_1 10^5 \text{ GeV}, M_2 \sim \alpha_2 10^{10} \text{ GeV}, M_3 \sim \alpha_3 10^{15} \text{ GeV}$$

since  $M_1 \ll 10^9 \text{ GeV} \Rightarrow \eta_B(N_1) \ll \eta_B^{\text{CMB}} !$

$\Rightarrow$  failure of the  $N_1$ -dominated scenario !



# Independence of the initial conditions

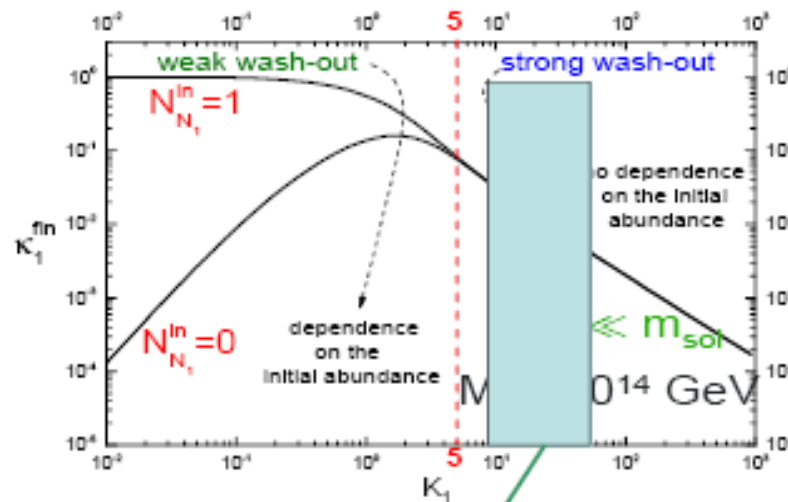
## The early Universe „knows“ neutrino masses ...

(Buchmüller, PDB, Plümacher '04)

$$\eta_B \simeq 0.01 \varepsilon_1(m_1, M_1, \Omega) \kappa_1^{\text{fin}}(K_1)$$

decay parameter

$$K_1 \equiv \frac{\Gamma_{N_1}}{H(T = M_1)} \sim \frac{m_{\text{sol, atm}}}{m_\star \sim 10^{-3} \text{ eV}} \sim 10 \div 50$$



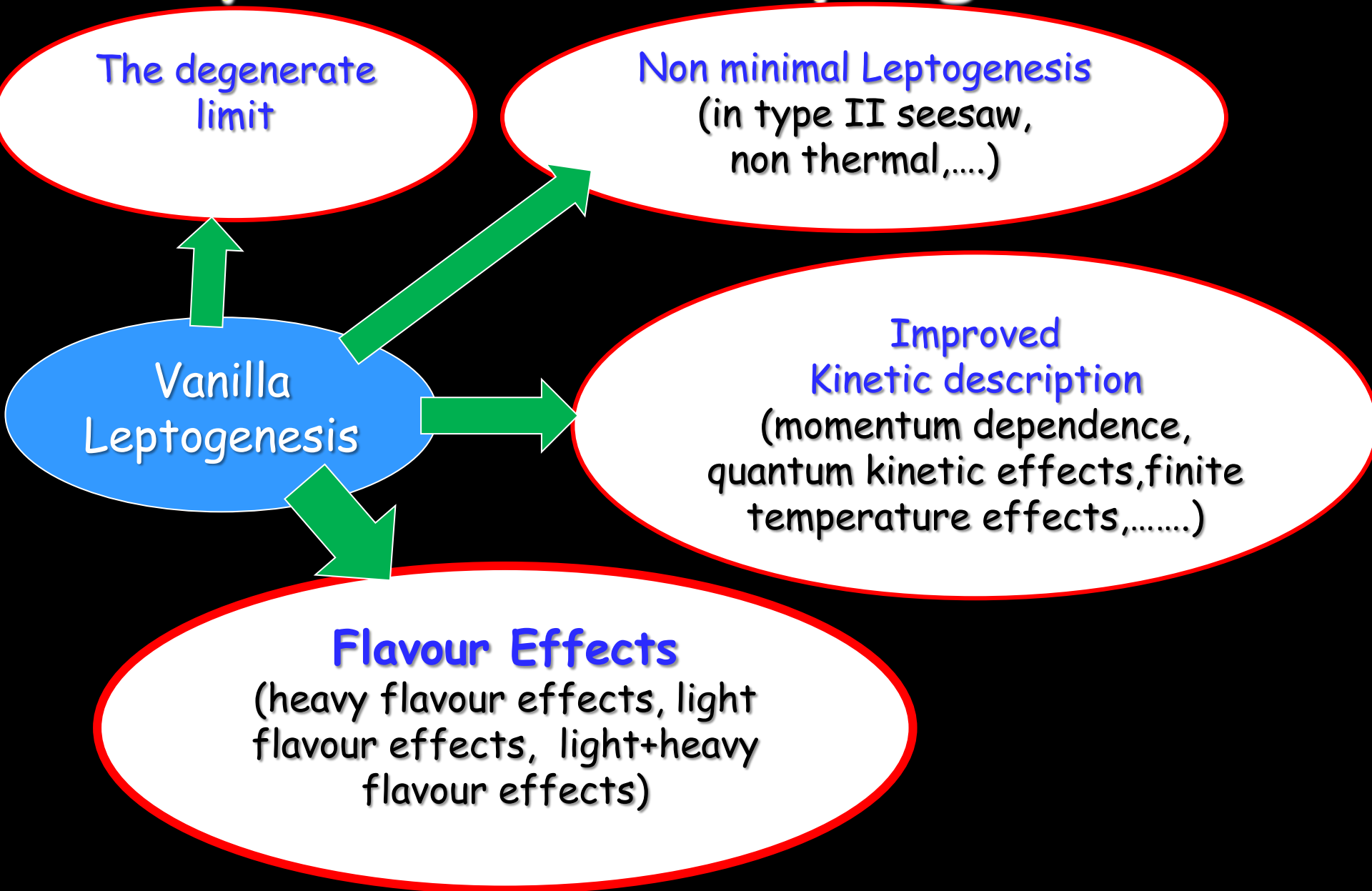
$$K_{\text{sol}} \simeq 9 \lesssim K_1 \lesssim 50 \simeq K_{\text{atm}}$$

wash-out of  
a pre-existing  
asymmetry

$$N_{B-L}^{\text{p, final}} = N_{B-L}^{\text{p, initial}} e^{-\frac{3\pi}{8} K_1} \ll N_{B-L}^{\text{f, } N_1}$$



# Beyond vanilla Leptogenesis



# Light flavour effects

(Nardi, Nir, Roulet, Racker '06; Abada, Davidson, Losada, Josse-Michaux, Riotto '06; Blanchet, PDB, Raffelt '06; Riotto, De Simone '06)

## Flavor composition of lepton quantum states:

$$|l_1\rangle = \sum_{\alpha} \langle l_{\alpha} | l_1 \rangle |l_{\alpha}\rangle \quad (\alpha = e, \mu, \tau) \quad P_{1\alpha} \equiv |\langle l_{\alpha} | l_1 \rangle|^2$$

$$|\bar{l}'_1\rangle = \sum_{\alpha} \langle \bar{l}_{\alpha} | \bar{l}'_1 \rangle |\bar{l}_{\alpha}\rangle \quad \bar{P}_{1\alpha} \equiv |\langle \bar{l}_{\alpha} | \bar{l}'_1 \rangle|^2$$

It does not play any role for

$$M_1 \gtrsim \mathcal{O}(10^{12} \text{ GeV})$$

But for  $M_1 \lesssim 10^{12} \text{ GeV} \Rightarrow \tau$ -Yukawa interactions ( $\bar{l}_{L\tau} \phi f_{\tau\tau} e_{R\tau}$ ) are fast enough to break the coherent evolution of  $|l_1\rangle$  and  $|\bar{l}'_1\rangle \Rightarrow$  become an incoherent mixture of a  $\tau$  and of  $\mu+e$

If  $M_1 \lesssim 10^9 \text{ GeV}$  then also  $\mu$ -Yukawas in equilibrium  $\Rightarrow$  3-flavor regime

$$\Rightarrow N_{B-L}^{\text{fin}} = \sum_{i,\alpha} \varepsilon_{i\alpha} \kappa_{i\alpha}^{\text{fin}} \quad (\alpha = e, \mu, \tau)$$

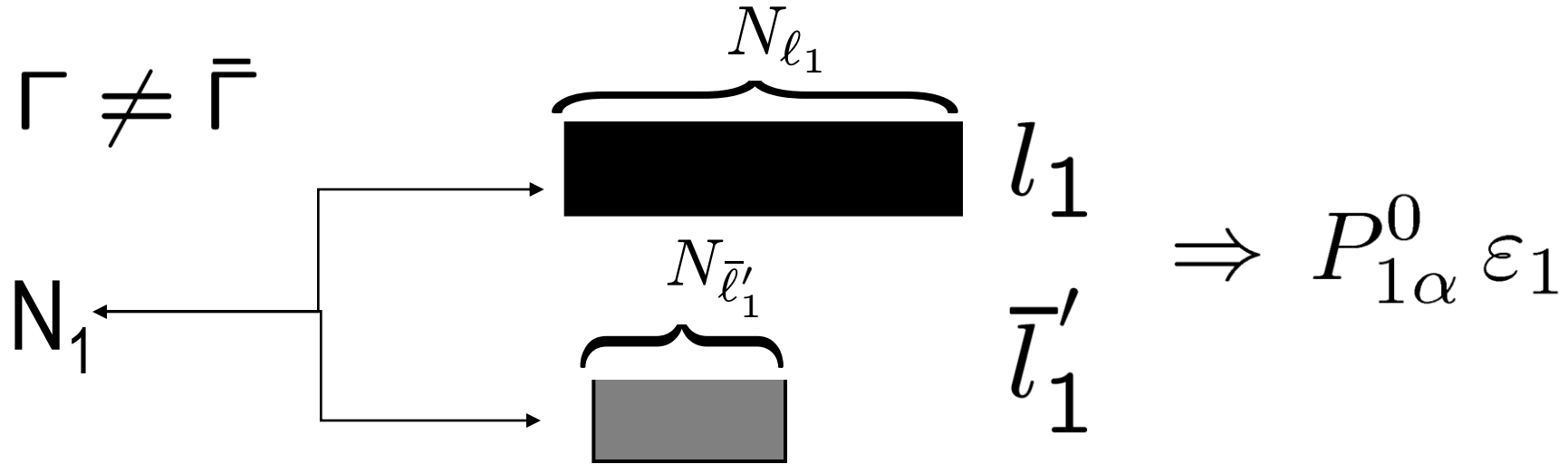
heavy neutrino  
flavor index lepton flavor index

# The additional contribution to CP violation:

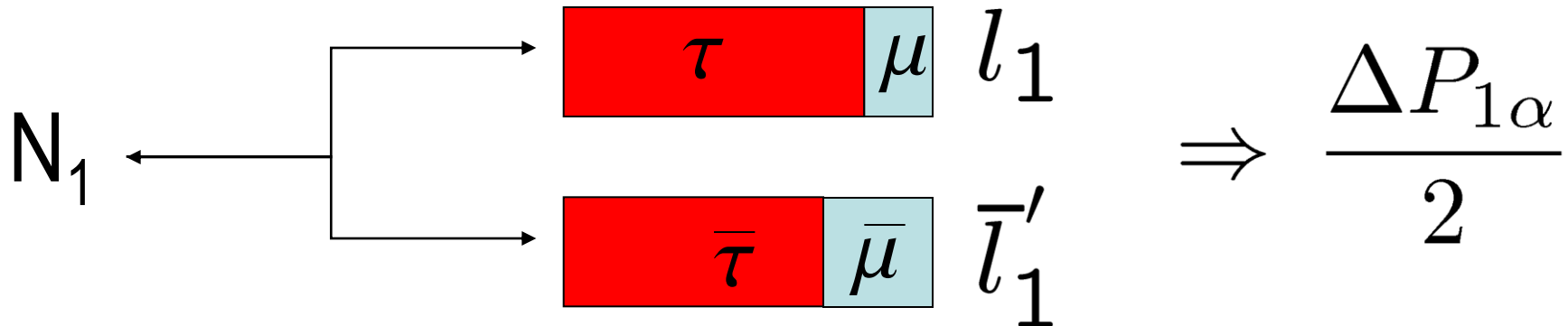
$$\varepsilon_{1\alpha} = P_{1\alpha}^0 \varepsilon_1 + \frac{\Delta P_{1\alpha}}{2}$$

depends on U !

1)  $\Gamma \neq \bar{\Gamma}$



2)  $|\bar{l}'_1\rangle \neq CP|l_1\rangle$

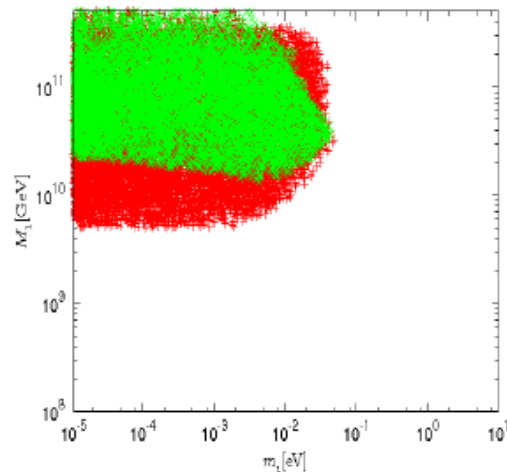


# Low energy phases as the only source of CP violation

(Nardi et al; Blanchet, PDB, '06; Pascoli, Petcov, Riotto; Anisimov, Blanchet, PDB '08)

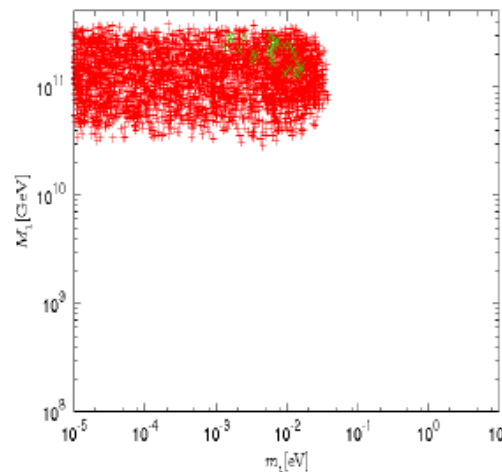
The whole CP violation can stem just from low energy phases (Dirac, Majorana phases) and still it is possible to have successful leptogenesis!

initial thermal  $N_1$  abundance



(Blanchet, PDB '09)

independent of initial  $N_1$  abundance



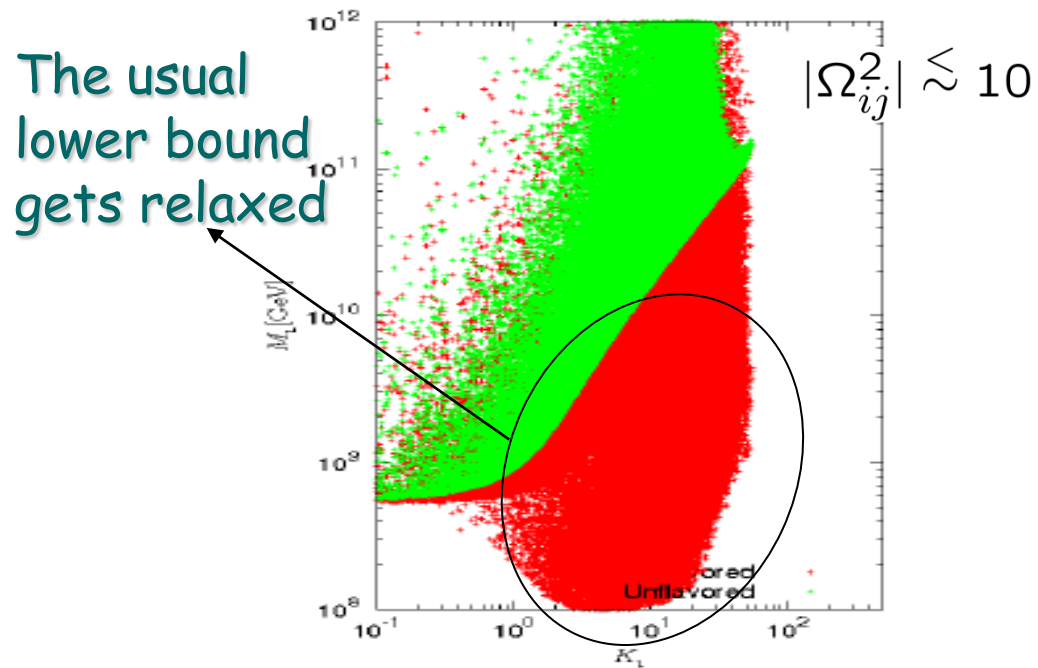
Green points:  
only Dirac phase  
with  $\sin \theta_{13} = 0.2$

Red points:  
only Majorana  
phases

However, in general, we cannot constraint the low energy phases with leptogenesis and viceversa we cannot test leptogenesis just measuring CP violation at low energies: we need to add some further condition!

# The lower bounds on $M_1$ and on $T_{reh}$ get relaxed:

(Blanchet, PDB '08)



# Heavy flavour effects: $N_2$ -dominated scenario

( PDB '05; Vives '05; Blanchet, PDB '06; Blanchet, PDB '08)

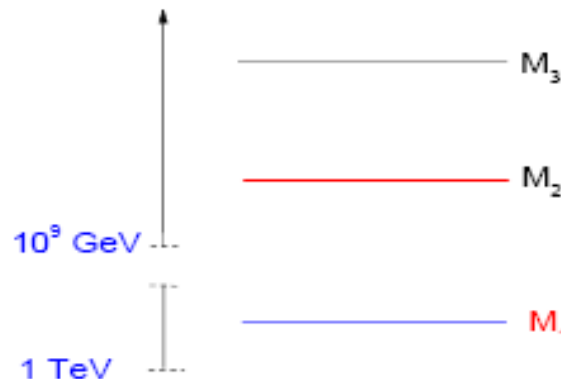
If light flavour effects are neglected the asymmetry from the next-to-lightest ( $N_2$ ) RH neutrinos is typically negligible:

$$N_{B-L}^{f, N_2} = \varepsilon_2 \cdot (K_2) e^{-\frac{3\pi}{8} K_1} \ll N_{B-L}^{f, N_1} = \varepsilon_1 \cdot (K_1)$$

...except for a special choice of  $\Omega=R_{23}$  when  $K_1 = m_1/m_* \ll 1$  and  $\varepsilon_1=0$ :

$$\Rightarrow N_{B-L}^{\text{fin}} = \sum_i \varepsilon_i \kappa_i^{\text{fin}} \simeq \varepsilon_2 \kappa_2^{\text{fin}}$$

The lower bound on  $M_1$  disappears and is replaced by a lower bound on  $M_2$  ...  
that however still implies a lower bound on  $T_{\text{reh}}$ !



# $N_2$ -flavored leptogenesis

(Vives '05; Blanchet, PDB '06; Blanchet, PDB '08)

If light and heavy flavour effects are combined together:

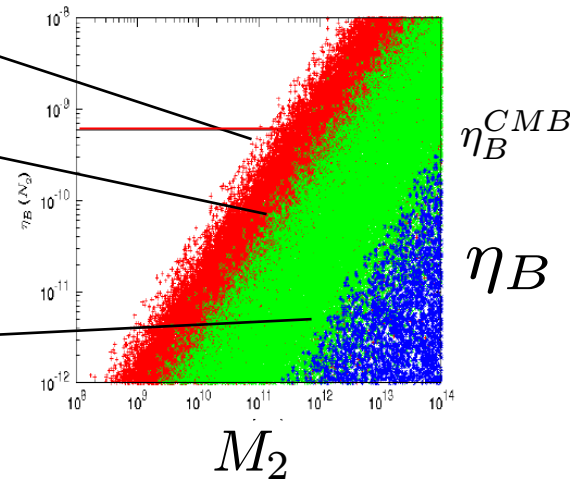
$$N_{B-L}^f(N_2) = P_{2e}^0 \varepsilon_2 \cdot (K_2) e^{-\frac{3\pi}{8} K_{1e}} + P_{2\mu}^0 \varepsilon_2 \cdot (K_2) e^{-\frac{3\pi}{8} K_{1\mu}} + P_{2\tau}^0 \varepsilon_2 \cdot (K_2) e^{-\frac{3\pi}{8} K_{1\tau}}$$

Notice that  $K_1 = K_{1e} + K_{1\mu} + K_{1\tau}$

Wash-out is neglected

Wash-out and flavor effects  
are both taken into account

Unflavored case

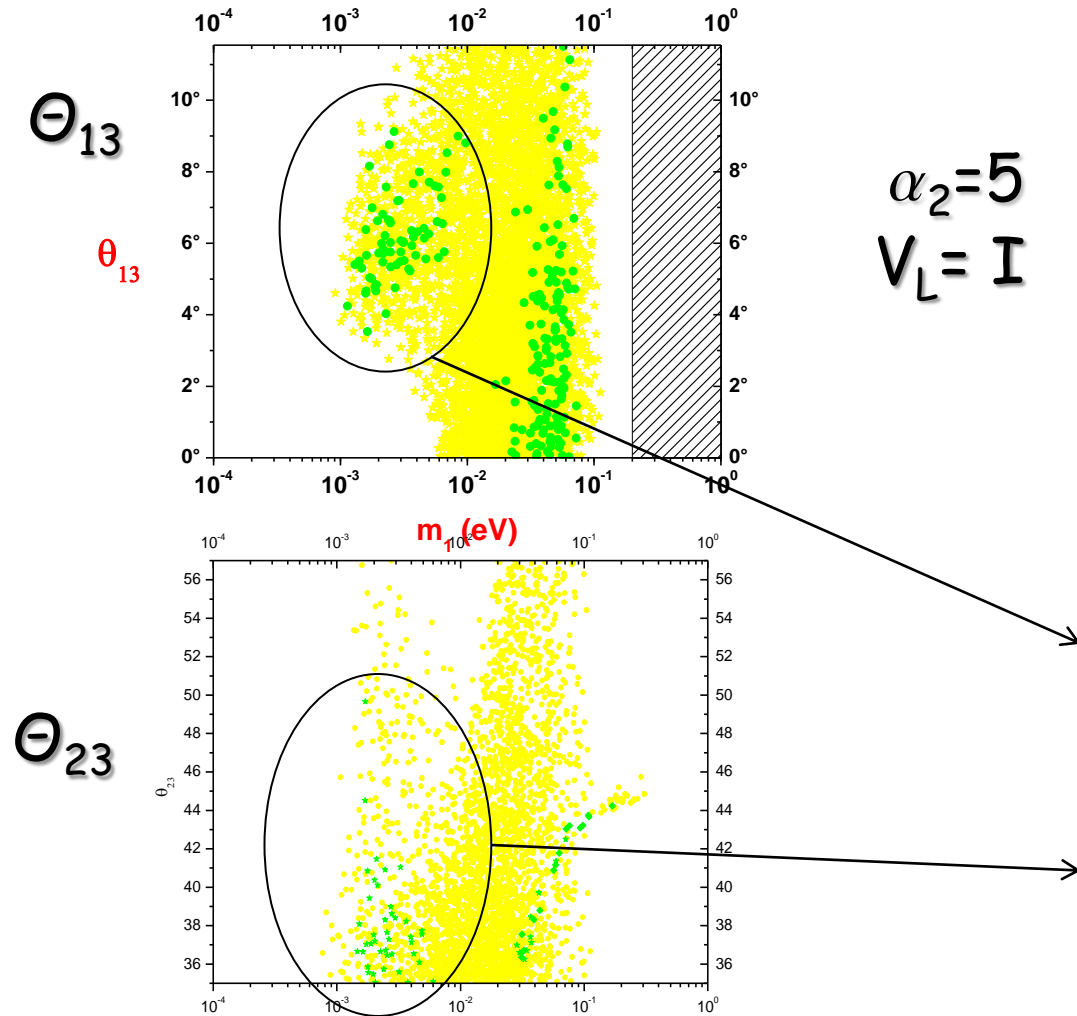


Thanks to flavor effects the domain of applicability extends much beyond the particular choice  $\Omega = \mathbf{R}_{23}$  !

# The $N_2$ -dominated scenario rescues SO(10) inspired models ! (PDB, Riotto '08)

$$N_{B-L}^f \simeq \varepsilon_{2e} \kappa(K_{2e+\mu}) e^{-\frac{3\pi}{8} K_{1e}} + \varepsilon_{2\mu} \kappa(K_{2e+\mu}) e^{-\frac{3\pi}{8} K_{1\mu}} + \varepsilon_{2\tau} \kappa(K_{2\tau}) e^{-\frac{3\pi}{8} K_{1\tau}}.$$

The **green points** correspond to  $\eta_B > \eta_B^{CMB}$  at  $2\sigma$ :

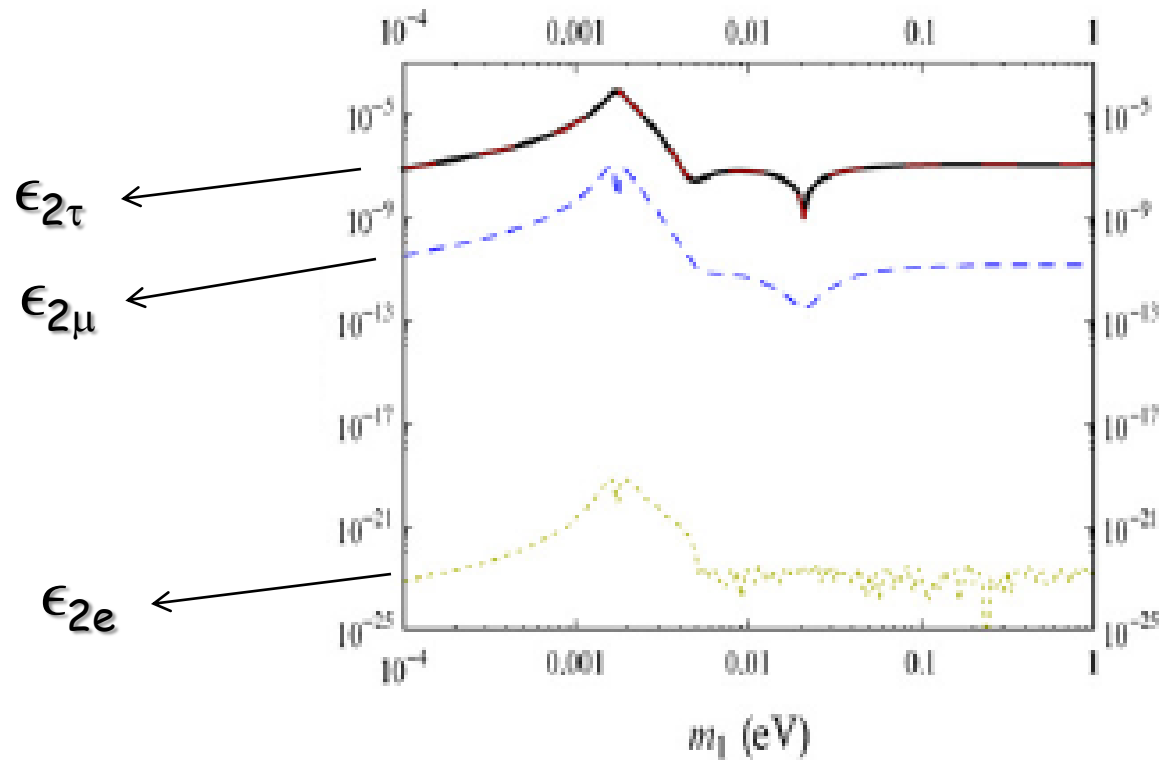


A lower bound on  $\Theta_{13}$  ?

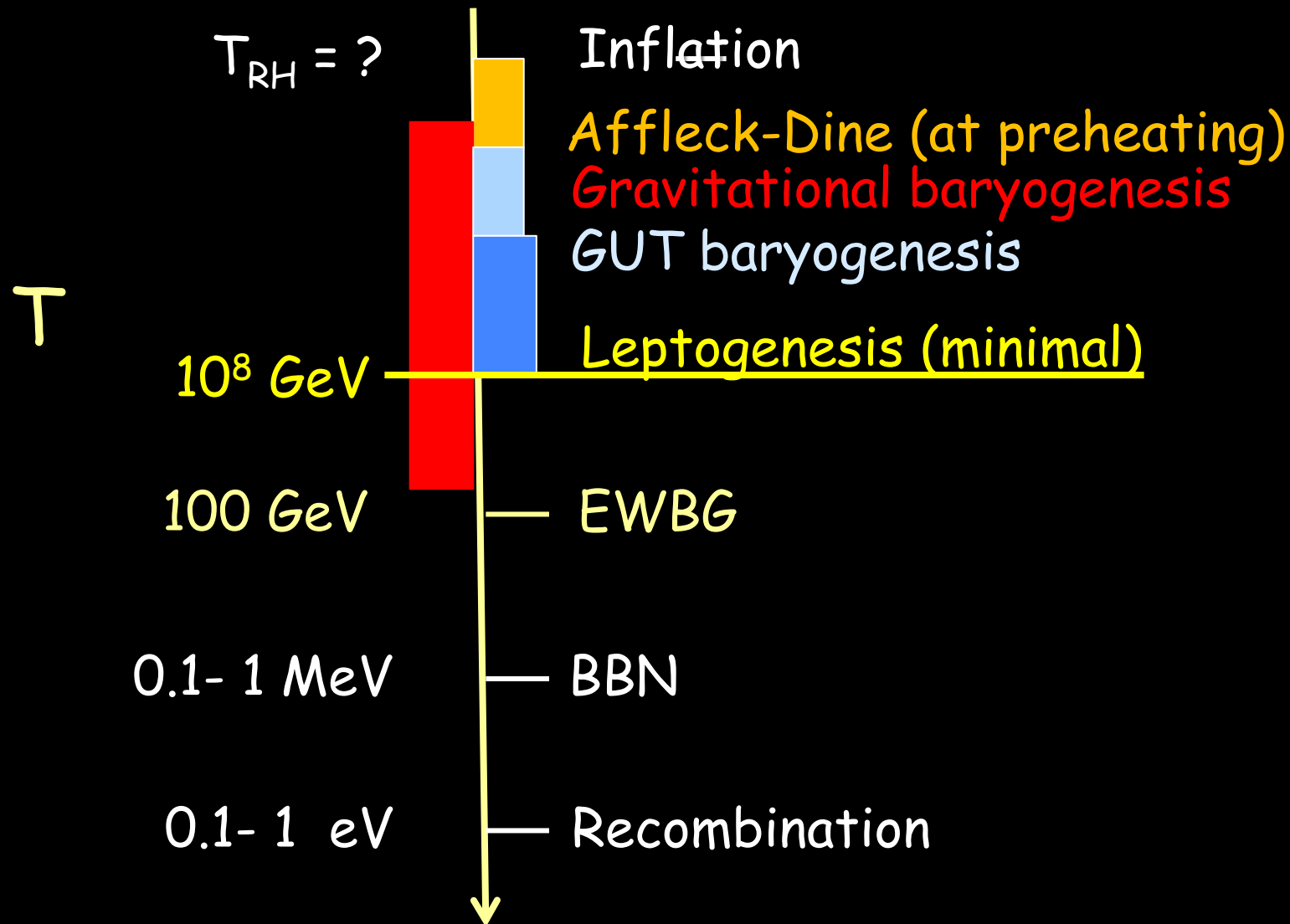
An upper bound on  $\Theta_{23}$  ?



For the solution with  $m_1 \sim 3 \times 10^{-3} \text{ eV}$  the asymmetry is dominantly produced in the tauon flavour since  $\epsilon_{2\tau,\mu,e} \propto (m_{t,c,u})^2$



# Baryogenesis and the early Universe history



# The problem of the initial conditions in flavoured leptogenesis

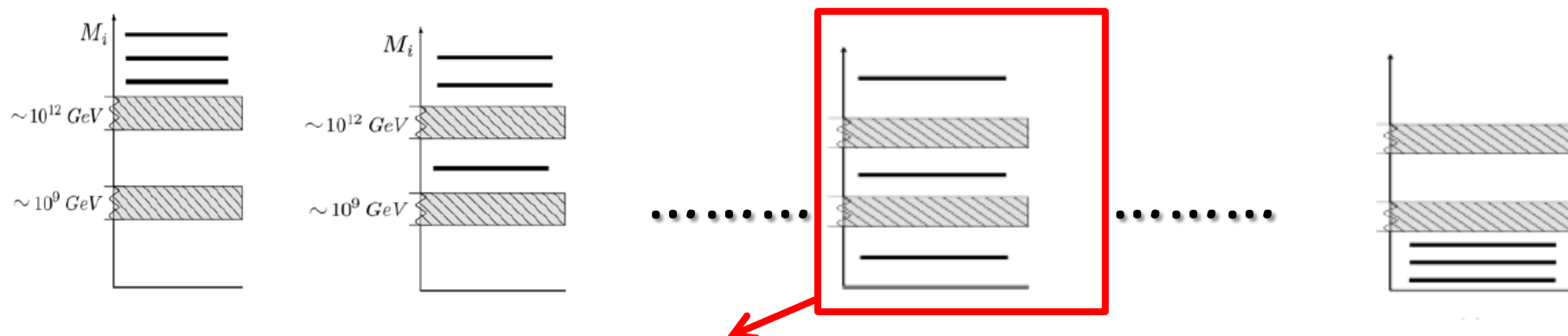
(Bertuzzo,PDB,Marzola '10)

Residual "pre-existing" asymmetry possibly generated by some external mechanism

$$N_{B-L}^f = N_{B-L}^{p,f} + N_{B-L}^{lep,f}$$

Asymmetry generated from leptogenesis

One has to distinguish 10 different RH neutrino mass patterns:



The wash-out of a pre-existing asymmetry is guaranteed only in a tauon  $N_2$ -dominated scenario!

Important loophole: in supersymmetric models (Antusch, King, Riotto '06) also in  $N_1$  dominated scenarios with  $\tan^2 \beta \gtrsim 20$

# Flavour coupling

(Buchmuller, Plumacher '01; Barbieri et al.'01; Nardi et al.'06;Blanchet, PDB '08)

Taking into accounts that an Higgs boson asymmetry is also produced in the decays of the RH neutrinos and that the lepton asymmetries are redistributed by gauge interactions into quarks and charged leptons as well, the set of kinetic equations becomes:

$$\frac{dN_{N_i}}{dz} = -D_i(N_{N_i} - N_{N_i}^{\text{eq}}) \quad (i = 1, 2, 3),$$

$$\frac{dN_{\Delta_\alpha}}{dz} = \sum_i \varepsilon_{i\alpha} D_i(N_{N_i} - N_{N_i}^{\text{eq}}) - \sum_{i,\beta} P_{i\alpha}^0 (C_{\alpha\beta}^\ell + C_\beta^H) W_i^{\text{ID}} N_{\Delta_\beta},$$

$$\Delta_\alpha \equiv B/3 - L_\alpha \quad (\alpha = e, \mu, \tau)$$

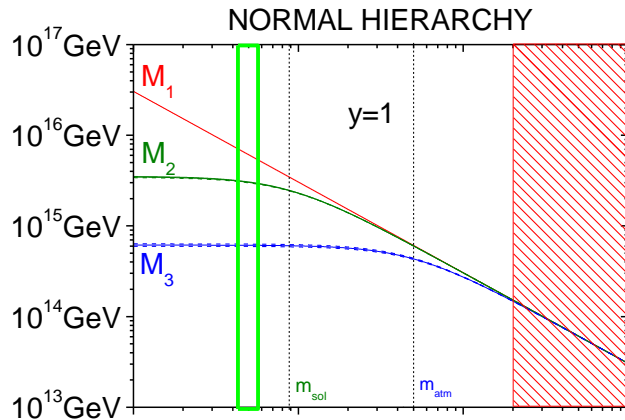
The flavored asymmetries dynamics couple !

Flavor coupling does not relevantly affect the final asymmetry In  $N_1$ -leptogenesis (Abada, Josse-Michaux '07) but a strong enhancement is possible in  $N_2$ -leptogenesis !

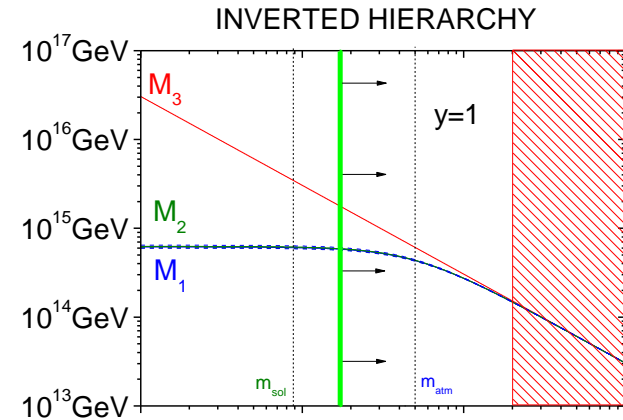
(Antusch, PDB, Jones, King '10)

# Leptogenesis and discrete flavour symmetries: A4

(Ma '04; Altarelli, Feruglio '05; Bertuzzo, Di Bari, Feruglio, Nardi '09; Hagedorn, Molinaro, Petcov '09)

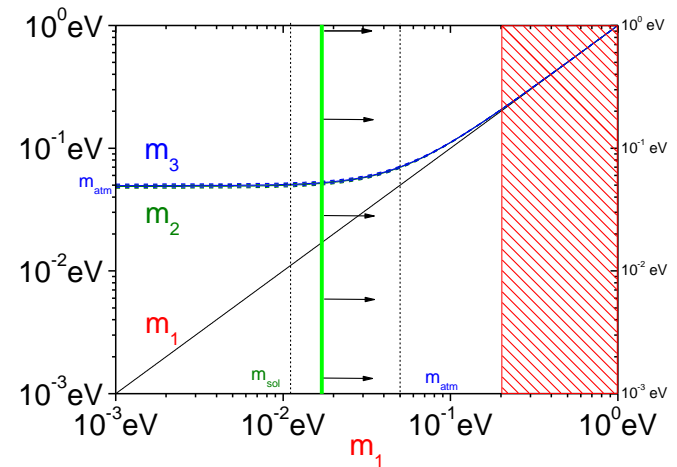
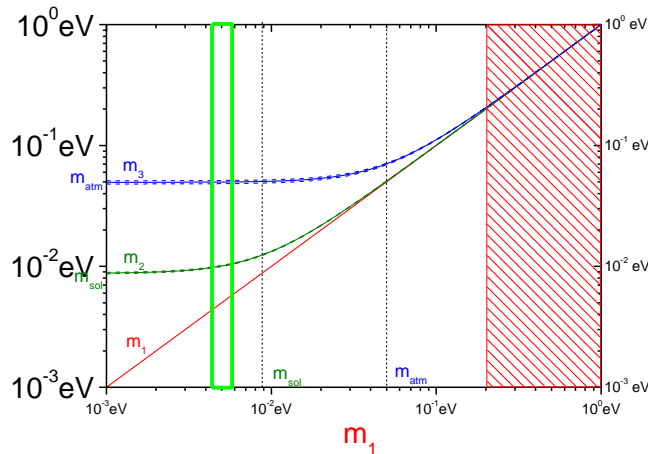


$$m_i = \frac{y^2 v_u^2}{M_j}$$



$$m_1 \simeq 5 \times 10^{-3} \text{ eV}$$

$$m_1 \gtrsim 0.017 \text{ eV}$$



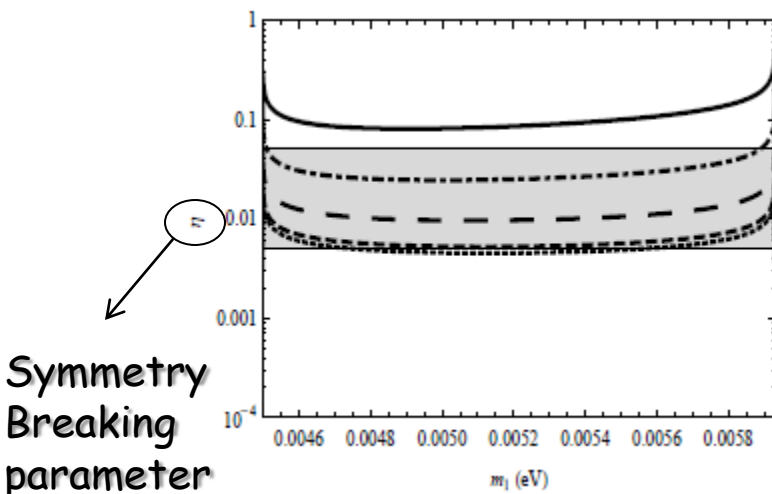
The situation is less attractive than in  $SO(10)$  inspired models because the RH neutrino mass spectrum first requires very high temperatures, second it does not allow a wash-out of a pre-existing asymmetry

# Leptogenesis in A4 models

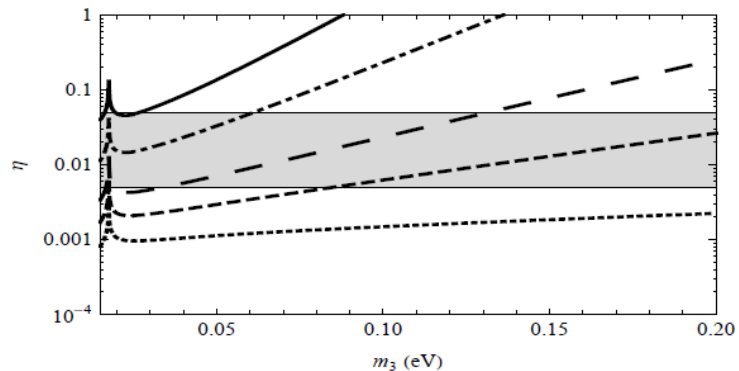
(Ma '04; Altarelli, Feruglio '05; Bertuzzo, Di Bari, Feruglio, Nardi '09)

However **successful leptogenesis** seems to be possible (better in the normal hierarchical case) just for the best values of the symmetry breaking parameters

Normal ordering



Inverted ordering



The different lines correspond to values of  $\gamma$  between 0.3 and 3



# Conclusions

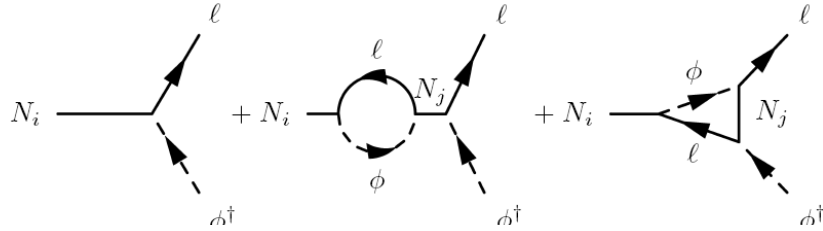
Baryogenesis is not only a missing stage in the early Universe history but also an important tool for BSM investigation. A very long list of proposed models, some of which even able to produce much larger asymmetries than the observed one.

EWB seems at the moment in "stand by" but it could become again a viable solution if e.g. supersymmetry is discovered.

In Leptogenesis on the other hand the necessary BSM condition has been already found (neutrino masses) and we are seeking a way to (dis)prove it ! In minimal leptogenesis (type I + thermal), flavour effects make the  $N_2$  dominated scenario as quite an attractive option: it rescues  $SO(10)$ -inspired scenarios providing a well motivated framework testable (to some extent) at future low energy neutrino experiments. It is quite intriguing that  $SO(10)$ -inspired leptogenesis fulfil those quite specific requirements necessary for a complete independence of the initial conditions! Constraints on low energy neutrino parameters become then more robust

Leptogenesis is an interesting guidance for the identification of the theory responsible for neutrino masses and mixing underlying the seesaw mechanism (GUT's ? Flavor symmetries ? Either ? Neither ?)

The total CP asymmetries can be calculated from :



(Flanz, Paschos, Sarkar'95;  
Covi, Roulet, Vissani'96;  
Buchmüller, Plümacher'98)

$$\varepsilon_i \simeq \frac{1}{8\pi v^2 (m_D^\dagger m_D)_{ii}} \sum_{j \neq i} \text{Im} \left[ (m_D^\dagger m_D)_{ij}^2 \right] \times \left[ f_V \left( \frac{M_j^2}{M_i^2} \right) + f_S \left( \frac{M_j^2}{M_i^2} \right) \right]$$

It does not depend on U !

It holds if:

Hierarchical RH neutrino spectrum

$$M_2 \gtrsim 100 M_1$$

$N_3$  does not interfere with  $N_2$ -decays:

$$(m_D^\dagger m_D)_{23} = 0 \quad (\text{PDB '05})$$

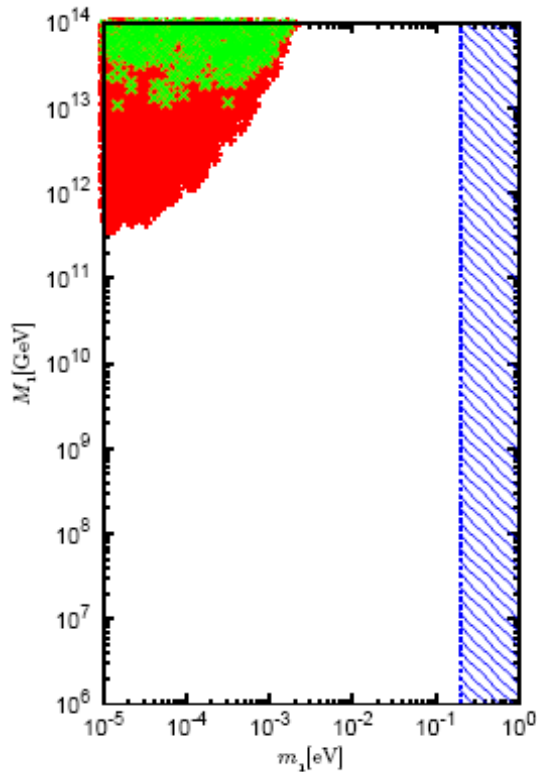
under these two conditions

$$\Rightarrow |\varepsilon_{2,3}|^{\text{max}} \ll |\varepsilon_1|^{\text{max}}$$

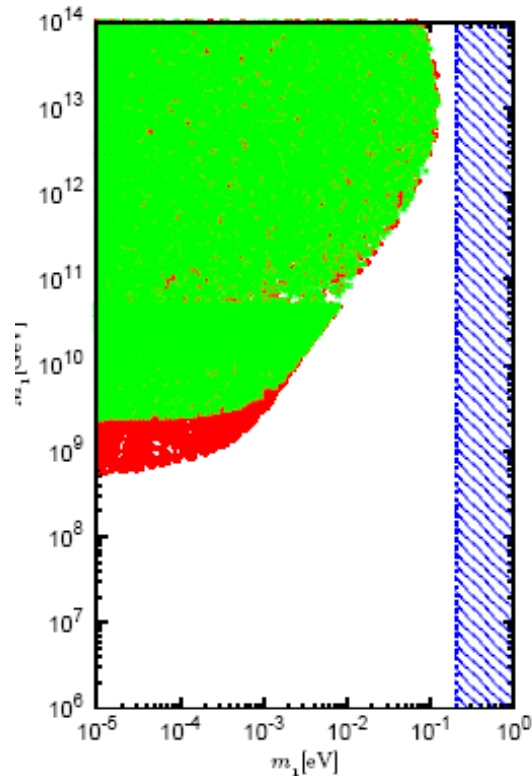


# Leptogenesis "conspiracy" (2)

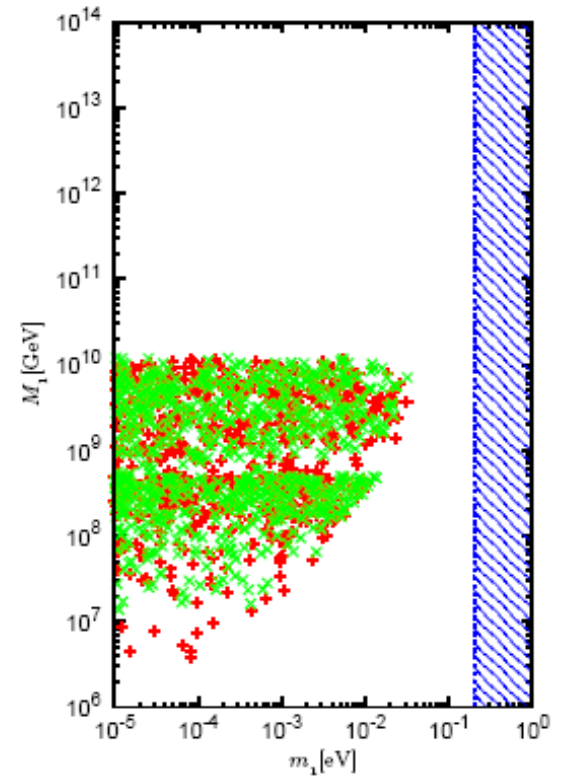
$$m_{atm} = 10^{-5} \text{ eV}$$



$$m_{atm} = 0.05 \text{ eV}$$



$$m_{atm} = 10 \text{ eV}$$



Green points: Unflavored

Red points: Flavored

# Flavoured Boltzmann equations

- 

$$P_{1\alpha} \equiv |\langle l_\alpha | l_1 \rangle|^2 = P_{1\alpha}^0 + \Delta P_{1\alpha} / 2 \quad \left( \sum_\alpha P_{1\alpha}^0 = 1 \right)$$

$$\bar{P}_{1\alpha} \equiv |\langle \bar{l}_\alpha | \bar{l}_1 \rangle|^2 = P_{1\alpha}^0 - \Delta P_{1\alpha} / 2 \quad \left( \sum_\alpha \Delta P_{1\alpha} = 0 \right)$$

These 2 terms correspond respectively to 2 different flavor effects:

1) wash-out is in general reduced:  $K_1 \rightarrow K_{1\alpha} \equiv K_1 P_{1\alpha}^0$

2) additional  $CP$  violating contribution ( $|\bar{l}'_1\rangle \neq CP|l_1\rangle$ )

$$\Rightarrow \varepsilon_{1\alpha} \equiv -\frac{P_{1\alpha} \Gamma_1 - \bar{P}_{1\alpha} \bar{\Gamma}_1}{\Gamma_1 + \bar{\Gamma}_1} = P_{1\alpha}^0 \varepsilon_1 + \Delta P_{1\alpha}(\Omega, U) / 2$$

- Classic Kinetic Equations (in their simplest form)

$$\frac{dN_{N_1}}{dz} = -D_1 (N_{N_1} - N_{N_1}^{\text{eq}})$$

$$\frac{dN_{\Delta_\alpha}}{dz} = -\varepsilon_{1\alpha} \frac{dN_{N_1}}{dz} - P_{1\alpha}^0 W_1 N_{\Delta_\alpha}$$

$$\Rightarrow N_{B-L} = \sum_\alpha N_{\Delta_\alpha} \quad (\Delta_\alpha \equiv B/3 - L_\alpha)$$

# The double side of Leptogenesis

## Cosmology (early Universe)

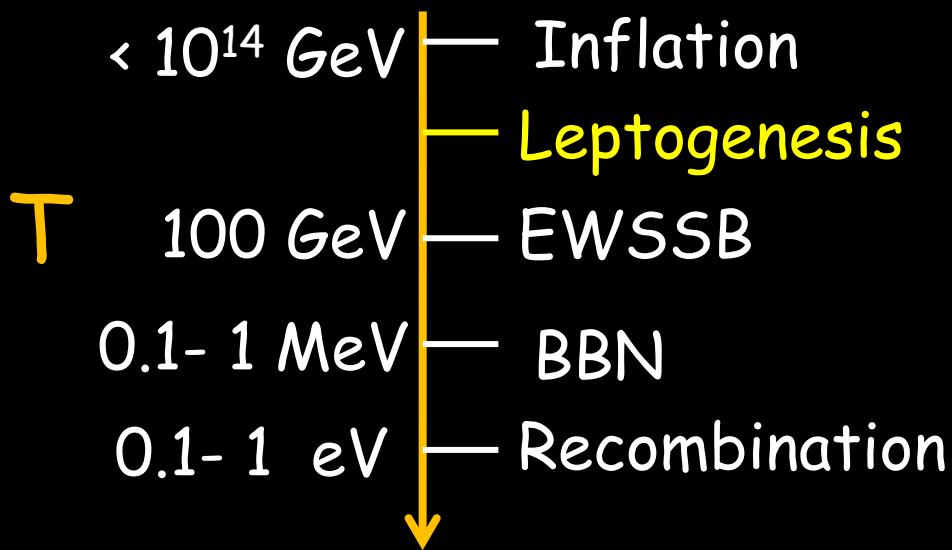


## Neutrino Physics, New Physics

### • Cosmological Puzzles :

1. Dark matter
2. Matter - antimatter asymmetry
3. Inflation
4. Accelerating Universe

### • New stage in early Universe history :



Leptogenesis complements  
low energy neutrino experiments  
testing the  
high energy parameters  
of the seesaw mechanism

⇒ It provides a  
precious guidance  
to try to understand what  
kind of new physics is  
responsible for the neutrino  
masses and mixing

# Beyond the type I seesaw

It is motivated typically by two reasons:

- Again avoid the reheating temperature lower bound
- In order to get new phenomenological tests....the most typical motivation in this respect is quite obviously whether we can test the seesaw and leptogenesis at the LHC

Typically lowering the RH neutrino scale at TeV , the RH neutrinos decouple and they cannot be efficiently produced in colliders

**Many different proposals to circumvent the problem:**

- additional gauged  $U(1)_{B-L}$  (King,Yanagida '04)
- leptogenesis with Higgs triplet (type II seesaw mechanism)  
(Ma,Sarkar '00 ; Hambye,Senjanovic '03; Rodejohann'04; Hambye,Strumia '05; Antusch '07)
- leptogenesis with three body decays (Hambye '01)
- see-saw with vector fields (Losada,Nardi '07)
- inverse seesaw mechanism and leptogenesis  
(talk by R. Mohapatra)

# Efficiency factor

decay  
parameter

$$K_1 \equiv \frac{\Gamma(N_1 \rightarrow l \Phi^\dagger)|_{T \rightarrow 0}}{H(T=M_1)}$$

$$z \equiv \frac{M_1}{T}$$

decays

$$\frac{dN_{N_1}}{dz} = -D_1 (N_{N_1} - N_{N_1}^{\text{eq}})$$

inverse decays

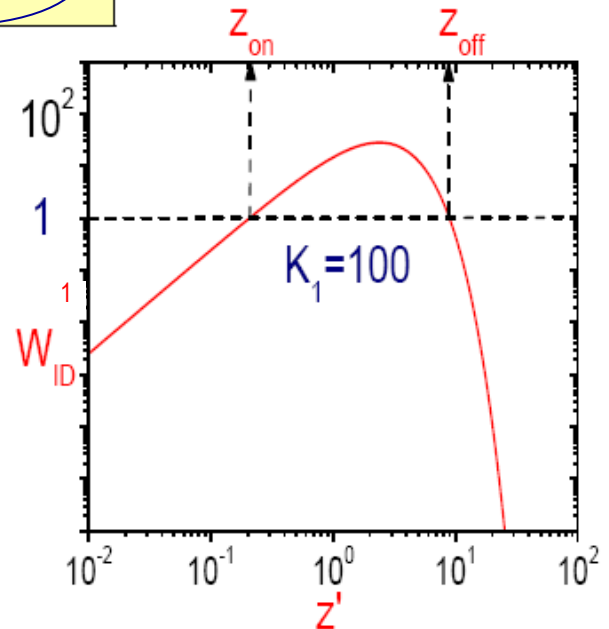
$$\frac{dN_{B-L}}{dz} = -\varepsilon_1 \frac{dN_{N_1}}{dz} - W_1 N_{B-L}$$

wash-out

$$D_1 = \frac{\Gamma_{D,1}}{H z} = K_1 z \left\langle \frac{1}{\gamma} \right\rangle, \quad W_1 \propto D_1 \propto K_1$$

$$N_{B-L}(z; K_1, z_{\text{in}}) = N_{B-L}^{\text{in}} e^{-\int_{z_{\text{in}}}^z dz' W_1(z')} + \varepsilon_1 \kappa_1(z)$$

$$\kappa_1(z; K_1, z_{\text{in}}) = - \int_{z_{\text{in}}}^z dz' \left[ \frac{dN_{N_1}}{dz'} \right] e^{-\int_{z'}^z dz'' W_1(z'')}$$



- Weak wash-out regime for  $K_1 \lesssim 1$  (out-of-equilibrium picture recovered for  $K_1 \rightarrow 0$ )
- Strong wash-out regime for  $K_1 \gtrsim 1$

# Non minimal leptogenesis

## Non thermal leptogenesis

The RH neutrino production is non-thermal and typically associated to inflation. They are often motivated in order to obtain successful leptogenesis with low reheating temperature.

- RH neutrino production from inflaton decays (Shafi, Lazarides '91)
- Leptogenesis from RH sneutrinos decays (Murayama, Yanagida '93)
- RH neutrinos can also be produced at the end of inflation during the pre-heating stage (Giudice, Peloso, Riotto, Tkachev99)
- The connections with low energy neutrino experiments become even looser in these scenarios, while they can be made with properties of CMBR anisotropies (Asaka, Hamaguchi, Yanagida '99)

# Improved kinetic description

- Momentum dependence in Boltzmann equations

(Hannestad '06; Hahn-Woernle, M. Plümacher, Y. Wong '09; Pastor, Vives'09)

- Kadanoff-Baym equations

(Buchmüller, Fredenhagen '01; De Simone, Riotto '07; Garny, Hohenegger, Kartavtsev, Lindner '09; Anisimov, Buchmüller, Drewes, Mendizibal '09; Beneke, Garbrecht, Herranen, Schwaller '10)

The asymmetry is directly calculated in terms of Green functions instead than in terms of number densities and they account for off-shell, memory and medium effects in a systematic way

At the moment all these analyses confirm what also happens for other effects (e.g. inclusion of scatterings) and that is expected: large theoretical uncertainties in the weak wash-out regime, limited corrections ( $\mathcal{O}(1)$ ) in the strong wash-out regime where the asymmetry is produced in a narrow range of temperatures for  $T \ll M_i$  (Buchmüller, PDB, Plümacher



# The degenerate limit

(Covi, Roulet, Vissani '96; Pilaftsis '97; Blanchet, PDB '06)

Different possibilities, for example: :

$$M_3 \gtrsim 3 M_2$$

- partial hierarchy:  $M_3 \gg M_2, M_1$

$$\Rightarrow |\varepsilon_3| \ll |\varepsilon_2|, |\varepsilon_1| \quad \text{and} \quad \kappa_3^{\text{fin}} \ll \kappa_2^{\text{fin}}, \kappa_1^{\text{fin}}$$

CP asymmetries get enhanced  $\propto 1/\delta_2$

$$\delta_2 \equiv \frac{M_2 - M_1}{M_1}$$

$$\Rightarrow N_{\text{B-L}}^{\text{fin}} \nearrow$$

For  $\delta_2 \lesssim 0.01$  (degenerate limit):

$$(M_1^{\text{min}})_{\text{DL}} \simeq 4 \times 10^9 \text{ GeV} \left( \frac{\delta_2}{0.01} \right) \quad \text{and} \quad (T_{\text{reh}}^{\text{min}})_{\text{DL}} \simeq 5 \times 10^8 \text{ GeV} \left( \frac{\delta_2}{0.01} \right)$$

The reheating temperature lower bound is relaxed

The required tiny value of  $\delta_2$  can be obtained e.g.

in *radiative leptogenesis* (Branco, Gonzalez, Joaquim, Nobre '04, '05)



# Flavor effects do not spoil the conspiracy

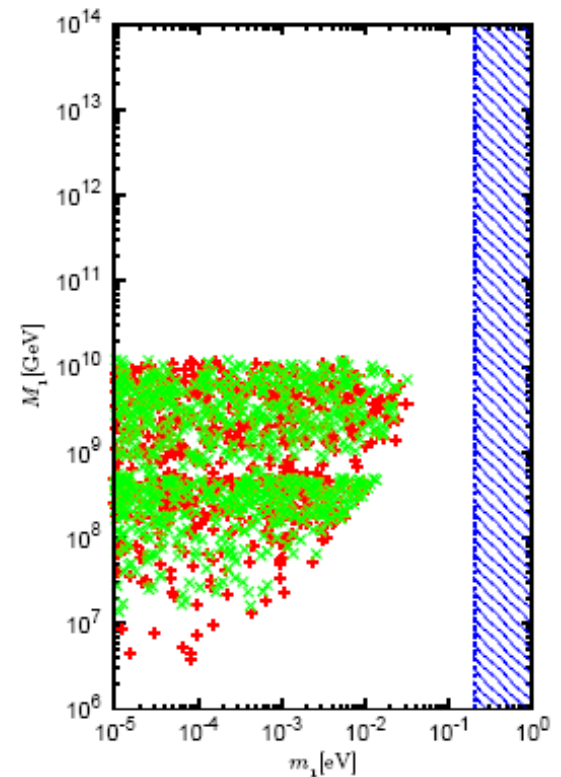
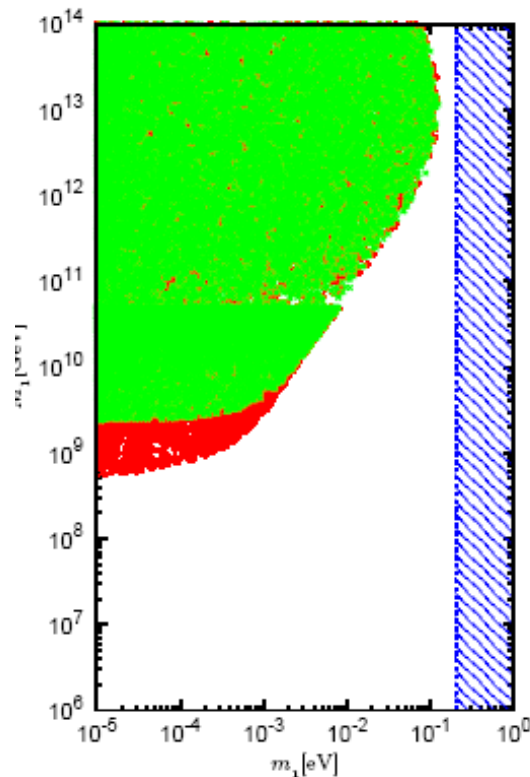
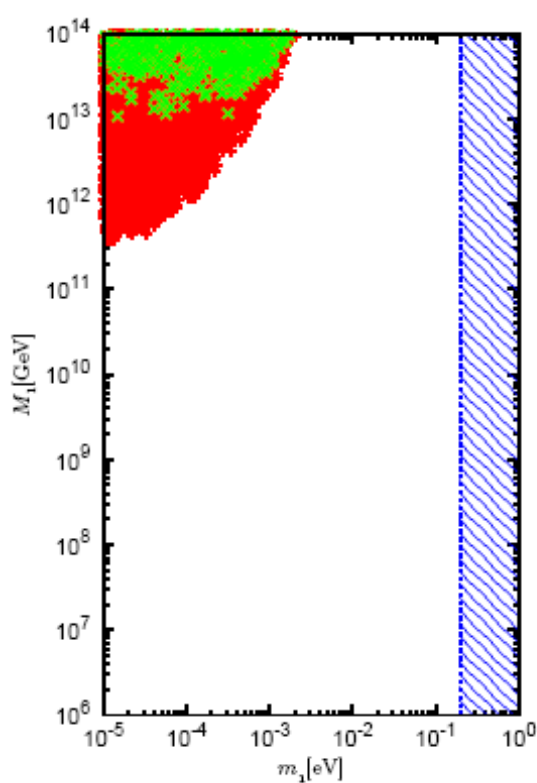
Green points: Unflavored

Red points: Flavored

$$m_{atm} = 10^{-5} \text{ eV}$$

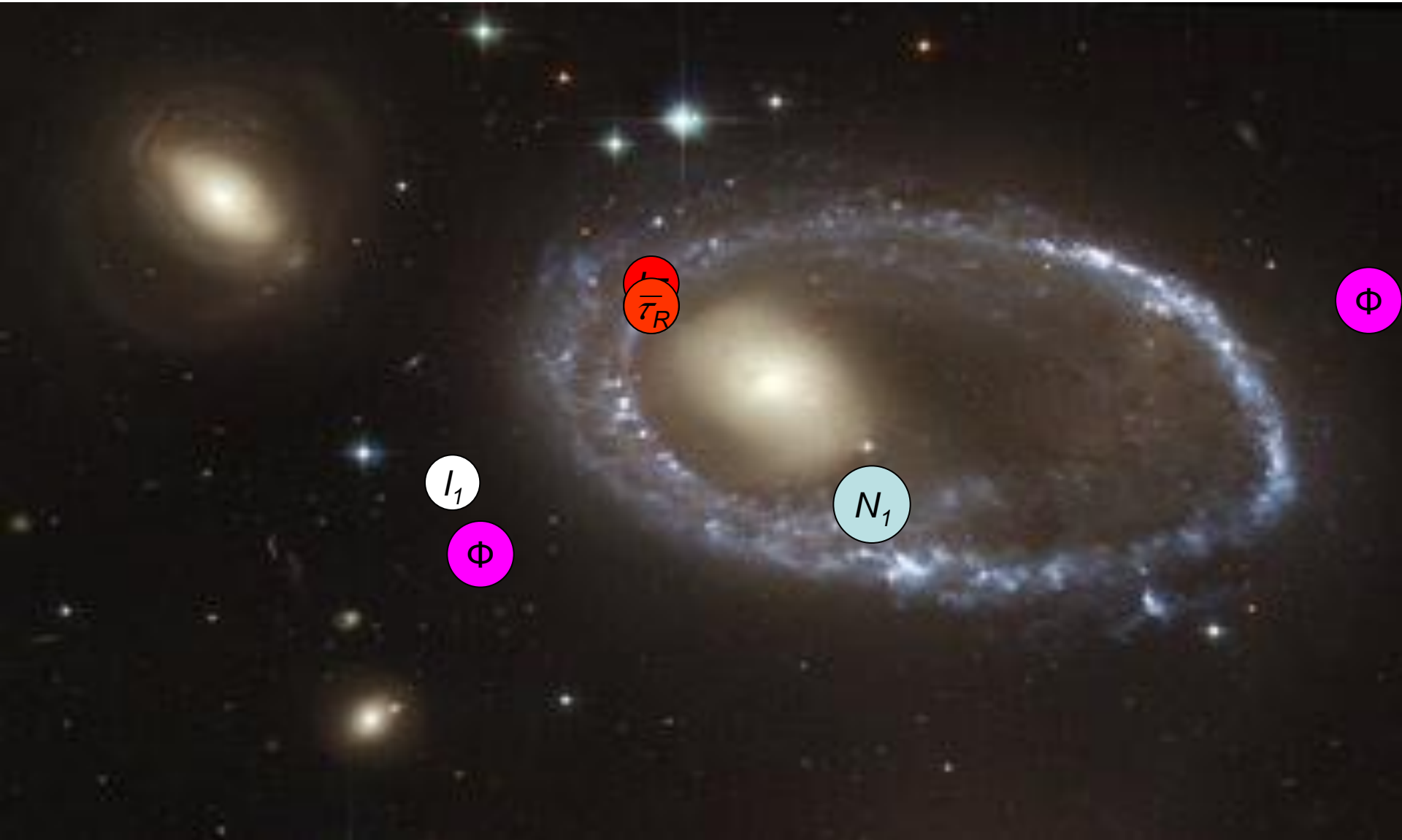
$$m_{atm} = 0.05 \text{ eV}$$

$$m_{atm} = 10 \text{ eV}$$



....but they yield two interesting results:

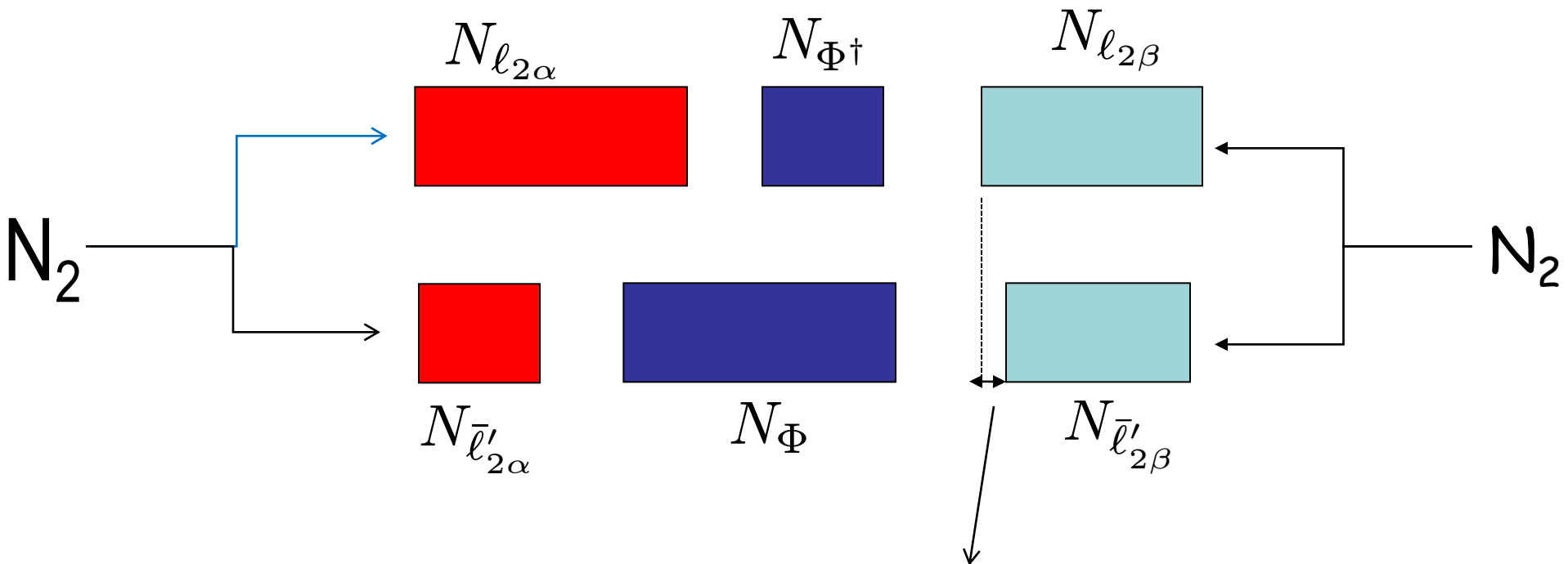
# FULLY TWO-FLAVORED REGIME



# A pictorial representation

Let us give a **pictorial description** focusing on the dominant Higgs asymmetry and disregarding the asymmetries in quarks and charged lepton singlets

Assume  $K_{2\alpha} \lesssim 1$  while  $K_{2\beta} \gg 1$



This  $\beta$ -asymmetry is induced by the "thermal contact" with the  $\alpha$ -leptons via the Higgs

# Production stage

We have to solve :

$$\begin{aligned}\frac{dN_{N_2}}{dz_2} &= -D_2 (N_{N_2} - N_{N_2}^{\text{eq}}), \\ \frac{dN_{\Delta_\gamma}}{dz_2} &= \varepsilon_{2\gamma} D_2 (N_{N_2} - N_{N_2}^{\text{eq}}) - P_{2\gamma}^0 W_2 \sum_{\alpha=\gamma,\tau} C_{\gamma\alpha}^{(2)} N_{\Delta_\alpha}, \\ \frac{dN_{\Delta_\tau}}{dz_2} &= \varepsilon_{2\tau} \Delta_2 (N_{N_2} - N_{N_2}^{\text{eq}}) - P_{2\tau}^0 W_2 \sum_{\alpha=\gamma,\tau} C_{\tau\alpha}^{(2)} N_{\Delta_\alpha}.\end{aligned}$$

Defining  $U$  as the matrix that diagonalizes:

$$P_2^0 \equiv \begin{pmatrix} P_{2\gamma}^0 C_{\gamma\gamma}^{(2)} & P_{2\gamma}^0 C_{\gamma\tau}^{(2)} \\ P_{2\tau}^0 C_{\tau\gamma}^{(2)} & P_{2\tau}^0 C_{\tau\tau}^{(2)} \end{pmatrix}$$

$$U P_2^0 U^{-1} = \text{diag}(P_{2\gamma'}^0, P_{2\tau'}^0)$$

The asymmetry at  $T \sim M_2$  is then given by :

$$\begin{aligned}N_{\Delta_\gamma}^{T \sim M_2} &= U_{\gamma\gamma'}^{-1} [U_{\gamma'\gamma} \varepsilon_{2\gamma} + U_{\gamma'\tau} \varepsilon_{2\tau}] \cdot (K_{2\gamma}) + U_{\gamma\tau'}^{-1} [U_{\tau'\gamma} \varepsilon_{2\gamma} + U_{\tau'\tau} \varepsilon_{2\tau}] \cdot (K_{2\tau}), \\ N_{\Delta_\tau}^{T \sim M_2} &= U_{\tau\gamma'}^{-1} [U_{\gamma'\gamma} \varepsilon_{2\gamma} + U_{\gamma'\tau} \varepsilon_{2\tau}] \cdot (K_{2\gamma}) + U_{\tau\tau'}^{-1} [U_{\tau'\gamma} \varepsilon_{2\gamma} + U_{\tau'\tau} \varepsilon_{2\tau}] \cdot (K_{2\tau}), \\ N_{B-L}^{T \sim M_2} &= N_{\Delta_\gamma}^{T \sim M_2} + N_{\Delta_\tau}^{T \sim M_2}.\end{aligned}$$

# Flavour coupling in the $N_2$ -dom.scenario

(Antusch, PDB, Jones, King '10)

Flavor coupling does not relevantly affect the final asymmetry  
In  $N_1$ -leptogenesis (Abada, Josse-Michaux '07) but a strong  
enhancement is possible in  $N_2$ -leptogenesis because here now  
there are three stages to be taken into account:

**1) Production at  $10^{12} \text{ GeV} \gg T \sim M_2 \gtrsim 10^9 \text{ GeV}$  (2-flavour regime):**

$$\frac{dN_{N_2}}{dz_2} = -D_2 (N_{N_2} - N_{N_2}^{\text{eq}}),$$

$$\frac{dN_{\Delta_\gamma}}{dz_2} = \varepsilon_{2\gamma} D_2 (N_{N_2} - N_{N_2}^{\text{eq}}) - P_{2\gamma}^0 W_2 \sum_{\alpha=\gamma,\tau} C_{\gamma\alpha}^{(2)} N_{\Delta_\alpha}, (\gamma \equiv e + \mu)$$

$$\frac{dN_{\Delta_\tau}}{dz_2} = \varepsilon_{2\tau} D_2 (N_{N_2} - N_{N_2}^{\text{eq}}) - P_{2\tau}^0 W_2 \sum_{\alpha=\gamma,\tau} C_{\tau\alpha}^{(2)} N_{\Delta_\alpha}.$$

**2) Decoherence at  $T \sim 10^9 \text{ GeV}$ :**  $N_{\Delta_\gamma}^{T \sim M_2}$  splits into  $N_{\Delta_\mu}^{T \sim M_2}$  and  $N_{\Delta_e}^{T \sim M_2}$

**3) Lightest RH neutrino wash-out at  $T \sim M_1 \ll 10^9 \text{ GeV}$  (3-fl. regime):**

$$\frac{dN_{\Delta_\alpha}}{dz_1} = -P_{1\alpha}^0 \sum_{\beta} C_{\alpha\beta}^{(3)} W_1 N_{\Delta_\beta}, \quad (\alpha, \beta = e, \mu, \tau)$$

# Lightest RH neutrino wash-out

We have to solve

$$\frac{dN_{\Delta\alpha}}{dz_1} = -P_{1\alpha}^0 \sum_{\beta} C_{\alpha\beta}^{(3)} W_1 N_{\Delta\beta}, \quad (\alpha, \beta = e, \mu, \tau)$$

using as initial conditions  $N_{\Delta\beta}^{\text{in}} = N_{\Delta\beta}^{T \sim M_2}$

If we first neglect the flavour coupling using the approximation  $C^{(3)} = \mathbf{I}$ , then

$$\frac{dN_{\Delta\alpha}}{dz_1} = -P_{1\alpha}^0 W_1 N_{\Delta\alpha}, \quad (\alpha, \beta = e, \mu, \tau)$$

This can be straightforwardly solved finding:

$$N_{B-L}^f = N_{\Delta_e}^{T \sim M_2} e^{-\frac{3\pi}{8} K_{1e}} + N_{\Delta_\mu}^{T \sim M_2} e^{-\frac{3\pi}{8} K_{1\mu}} + N_{\Delta_\tau}^{T \sim M_2} e^{-\frac{3\pi}{8} K_{1\tau}}$$

# Flavor swap scenario

(Antusch, PDB, Jones, King '10)

Suppose that at the production the  $e+\mu$  ( $\gamma$ ) flavour component of the asymmetry is **weakly washed-out** while the  $\tau$  component is **strongly washed-out**. Then the latter can be considerably enhanced by flavor coupling:

$$N_{\Delta_\tau}^{T \sim M_2} \simeq \varepsilon_{2\tau} \cdot (K_{2\tau}) - C_{\tau\gamma}^{(2)} \varepsilon_{2\gamma} \cdot (K_{2\gamma}) \simeq C_{\tau\gamma}^{(2)} \varepsilon_{2\gamma} \cdot (K_{2\gamma}),$$

$$N_{\Delta_\gamma}^{T \sim M_2} \simeq \varepsilon_{2\gamma} \cdot (K_{2\gamma}),$$

At the production the total asymmetry does not relevantly change (Abada, Josse-Michaux '07) but... a "flavor-swap" can be induced at the  $N_1$  wash-out if  $K_{1e}, K_{1\mu} \gg 1, K_{1\tau} \ll 1$

$$\Rightarrow N_{B-L}^f = N_{\Delta_e}^{T \sim M_2} e^{-\frac{3\pi}{8} K_{1e}} + N_{\Delta_\mu}^{T \sim M_2} e^{-\frac{3\pi}{8} K_{1\mu}} + N_{\Delta_\tau}^{T \sim M_2} e^{-\frac{3\pi}{8} K_{1\tau}} \simeq N_{\Delta_\tau}^{T \sim M_2} \simeq C_{\tau\gamma}^{(2)} \varepsilon_{2\gamma} \kappa(K_{2\gamma})$$

In this way the strong enhancement of the  $\tau$ -asymmetry at the production translates into a strong enhancement of the final asymmetry

# Flavour coupling at the $N_1$ wash-out

Let us now take into account flavour coupling at the  $N_1$ -wash-out as well:

$$\frac{dN_{\Delta\alpha}}{dz_1} = -P_{1\alpha}^0 \sum_{\beta} C_{\alpha\beta}^{(3)} W_1 N_{\Delta\beta}, \quad (\alpha, \beta = e, \mu, \tau)$$

using as initial conditions  $N_{\Delta\beta}^{\text{in}} = N_{\Delta\beta}^{T \sim M_2}$

We can repeat the same trick as before, i.e. introducing a matrix  $V$  that diagonalizes:

$$P_1^0 \equiv \begin{pmatrix} P_{1e}^0 C_{ee}^{(3)} & P_{1e}^0 C_{e\mu}^{(3)} & P_{1e}^0 C_{e\tau}^{(3)} \\ P_{1\mu}^0 C_{\mu e}^{(3)} & P_{1\mu}^0 C_{\mu\mu}^{(3)} & P_{1\mu}^0 C_{\mu\tau}^{(3)} \\ P_{1\tau}^0 C_{\tau e}^{(3)} & P_{1\tau}^0 C_{\tau\mu}^{(3)} & P_{1\tau}^0 C_{\tau\tau}^{(3)} \end{pmatrix}$$

One finally finds the general solution :

$$N_{\Delta\alpha}^f = \sum_{\alpha''} V_{\alpha\alpha''}^{-1} e^{-\frac{3\pi}{8} K_{1\alpha''}} \left[ \sum_{\beta} V_{\alpha''\beta} N_{\Delta\beta}^{T \sim M_2} \right], \quad N_{B-L}^f = \sum_{\alpha} N_{\Delta\alpha}^f$$

with  $K_{1\alpha''} \simeq K_{1\alpha}$



# Circumventing the $N_1$ wash-out

Because of flavour coupling at the  $N_1$  wash-out there is another interesting effect. Let us “unpack” the previous general expression for example for the  $\tau$ -asymmetry:

$$\begin{aligned} N_{\Delta\tau}^f &\simeq V_{\tau e''}^{-1} \left[ \sum_{\beta} V_{e''\beta} N_{\Delta\beta}^{T\sim M_2} \right] e^{-\frac{3\pi}{8} K_{1e}} \\ &+ V_{\tau\mu''}^{-1} \left[ \sum_{\beta} V_{\mu''\beta} N_{\Delta\beta}^{T\sim M_2} \right] e^{-\frac{3\pi}{8} K_{1\mu}} \\ &+ V_{\tau\tau''}^{-1} \left[ \sum_{\beta} V_{\tau''\beta} N_{\Delta\beta}^{T\sim M_2} \right] e^{-\frac{3\pi}{8} K_{1\tau}} \end{aligned}$$

Now even though one has  $K_{1\tau} \gg 1$ , there is still a final  $\tau$  asymmetry that manages to escape the  $N_1$  wash-out. Why? Again because of the Higgs asymmetry present in the thermal bath that is not exactly that one needed for a complete wash-out of the  $\tau$  asymmetry

$\Rightarrow$  the lightest RH neutrino wash-out becomes less efficient !

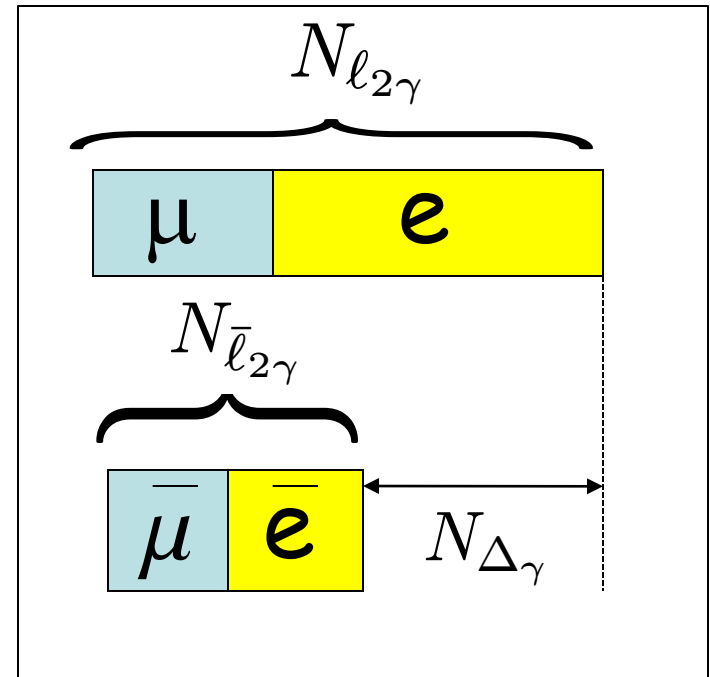
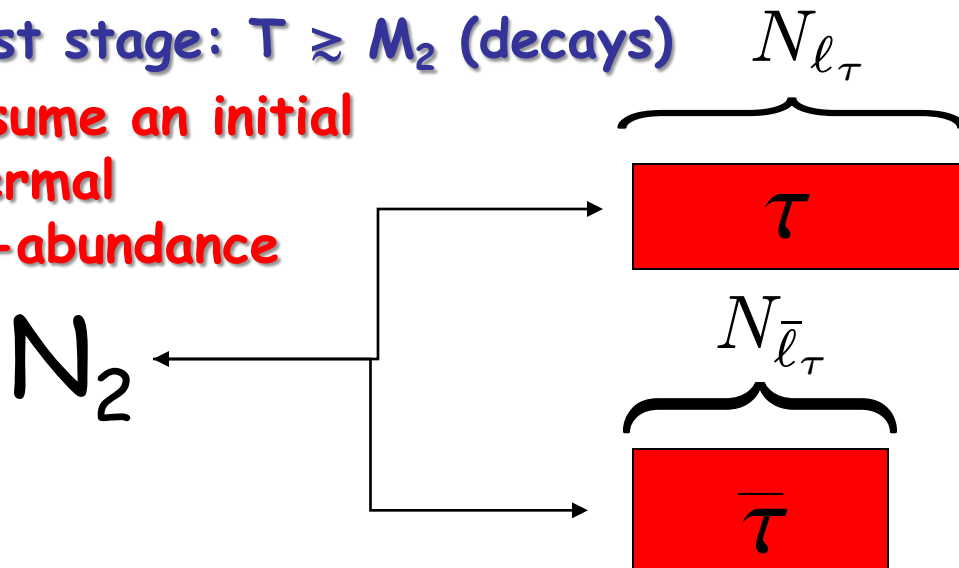
# Phantom terms

We have now to answer: how at the decoherence, at  $T \sim 10^9$  GeV,

$N_{\Delta_\gamma}^{T \sim M_2}$  splits into  $N_{\Delta_\mu}^{T \sim M_2}$  and  $N_{\Delta_e}^{T \sim M_2}$ ?

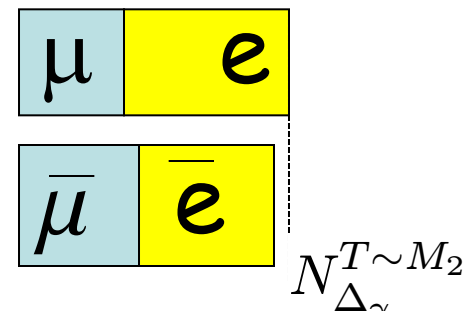
First stage:  $T \gtrsim M_2$  (decays)

Assume an initial  
thermal  
 $N_2$ -abundance



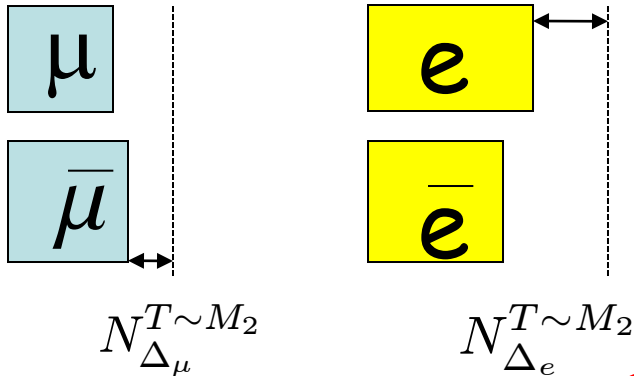
Second stage:  $T \sim M_2$  ( $N_2$  - washout)

The  $N_2$  wash-out can only suppress the  
 $\gamma$ -asymmetry but it cannot change the  
flavour compositions of  $\ell_{2\gamma}$  and  $\bar{\ell}'_{2\gamma}$



# Phantom terms

Third stage:  $10^9 \text{ GeV} \gtrsim T' \gg M_1$  (3-flavour regime)



$$f_{2\alpha} \equiv \frac{|\langle \ell_\alpha | \ell_{2\gamma} \rangle|^2 + |\langle \bar{\ell}_\alpha | \bar{\ell}'_{2\gamma} \rangle|^2}{2}$$

$$N_{\Delta_e}(T') = p_e + \frac{f_{2e}}{f_{2e} + f_{2\mu}} N_{\Delta_\gamma}^{T \sim M_2}, \quad N_{\Delta_\mu}(T') = p_\mu + \frac{f_{2\mu}}{f_{2e} + f_{2\mu}} N_{\Delta_\gamma}^{T \sim M_2}$$

$\simeq \cdot (K_{2\gamma}) \varepsilon_{2\gamma}$  (neglecting flavour coupling !)

Phantom terms

$$p_e = \varepsilon_{2e} - \frac{f_{2e}}{f_{2e} + f_{2\mu}} \varepsilon_2$$

$$p_\mu = \varepsilon_{2\mu} - \frac{f_{2\mu}}{f_{2e} + f_{2\mu}} \varepsilon_2 = -p_e$$

Notice that phantom terms are not suppressed by  $N_2$  wash-out !

# Phantom Leptogenesis

We can have then a situation where  $K_{2\gamma}, K_{2\tau} \gg 1$  so that at the End of the  $N_2$  washout the total asymmetry is negligible:



$$N_{B-L}^{T \sim M_2} = N_{\Delta\tau}^{T \sim M_2} + N_{\Delta\gamma}^{T \sim M_2} \simeq 0 !$$

$$10^9 \text{ GeV} \gtrsim T \gg M_1$$

$$N_{B-L}^{T \sim M_2} = N_{\Delta\tau}^{T \sim M_2} + N_{\Delta e}^{T \sim M_2} + N_{\Delta\mu}^{T \sim M_2} \simeq 0 !$$

$$T \simeq M_1$$

Assume  $K_{1e} \lesssim 1$  and  $K_{1\mu} \gg 1$

$$N_{B-L}^f = N_{\Delta e}^{T \sim M_2} \simeq p_e !$$

The  $N_1$  wash-out un-reveal the phantom term and effectively it create a  $N_{B-L}$  asymmetry ! There is nothing esoteric but there is a...

# Drawback of phantom Leptogenesis

We assumed an initial  $N_2$  thermal abundance but if we were assuming An initial vanishing  $N_2$  abundance the phantom terms were just zero !

Therefore, more generally :

$$p_e = \left( \varepsilon_{2e} - \frac{f_{2e}}{f_{2e} + f_{2\mu}} \varepsilon_2 \right) N_{N_2}^{\text{in}}$$

The reason is that phantom terms with opposite sign would be created during the  $N_2$  production by **inverse decays** and exactly cancelling with the contribution generated from decays ! More generally

**In conclusion ....phantom leptogenesis is more a problem for the  $N_2$  dominated scenario since it introduces a strong dependence on the initial conditions !!**