Soft-Decision Star-QAM Aided BICM-ID

Dandan Liang, Student Member, IEEE, Soon Xin Ng, Senior Member, IEEE, and Lajos Hanzo, Fellow, IEEE

Abstract—Differentially detected noncoherent Star Quadrature Amplitude Modulation (Star-QAM) is ideal for low-complexity wireless communications, since it dispenses with high-complexity channel estimation. We conceive soft-decision based demodulation for 16-level Star-QAM (16-StQAM), which is then invoked for iterative detection aided Iteratively-Detected Bit-Interleaved Coded Modulation (BICM-ID). It is shown that the proposed 16-StQAM based BICM-ID scheme achieves a coding gain of approximately 14 dBs in comparison to the 16-level identical-throughput Differential Phase-Shift Keying (16DPSK) assisted BICM scheme at a bit error ratio of 10^{-6} .

Index Terms—BICM-ID, correlated Rayleigh fading channel, iterative detection, soft-decision, star QAM.

I. INTRODUCTION

C OHERENT detection aided Quadrature Amplitude Modulation (QAM) requires accurate Channel State Information (CSI) in order to avoid false-phase locking, especially when communicating over Rayleigh fading channels [1]–[4]. As a remedy, differentially detected noncoherent Star-QAM was proposed in [5] in order to dispense with high-complexity CSI estimation. More specifically, 16-level Star-QAM (16-StQAM) is based on two concentric 8-level Phase-Shift Keying (8PSK) constellations having two different amplitudes. Differential detection has also been investigated recently in wireless relay networks [6]–[8]. The significance of this low-complexity detection method may be expected to increase in the cooperative communications era, since it might be unrealistic to expect from a relay station constituted by a cooperating mobile phone to estimate the channel of the link it is relaying [7], [8].

Star-QAM schemes having more than two PSK constellations are also referred to as Differential Amplitude and Phase-Shift Keying (DAPSK) schemes [9], [10]. The authors of [9], [10] have further improved the performance of DAPSK/Star-QAM schemes [9], [10]. However, despite its attractive performance versus complexity characteristics, soft-decision based demodulation has not been conceived for these Star-QAM and DAPSK schemes. This also implies that without soft-decision based demodulation, the potential power of sophisticated channel coding or coded modulation schemes cannot be fully exploited. Hence,

The authors are with the Communications Research Group, University of Southampton, Southampton SO17 1BJ, U.K. (e-mail: dl4e08@ecs.soton.ac.uk; sxn@ecs.soton.ac.uk; lh@ecs.soton.ac.uk).

Digital Object Identifier 10.1109/LSP.2011.2104951

when channel coding is incorporated into Star-QAM as in [5], its performance is far from the channel capacity due to the employment of hard-decision based demodulation. More specifically, powerful channel coding, such as Bit-Interleaved Coded Modulation (BICM) [11], [12] and Iteratively-Detected BICM (BICM-ID) [13], [14] heavily relies on the exploitation of softdecision based demodulation.

Our novel contribution is that we will first derive the soft-decision demodulation formula for 16-StQAM. Secondly, the performance benefits of using this new formula will be demonstrated in the context of BICM and BICM-ID schemes invoked for communications over correlated Rayleigh fading channels. Note, however, that the proposed soft-decision based 16-StQAM demodulation principles may be readily extended to DAPSK schemes having more than two concentric PSK constellations. This letter is organized as follows. In Section II, the soft-decision demodulation of 16-StQAM will be presented. Our results will be discussed in Section III and our conclusions are offered in Section IV.

II. SYSTEM MODEL AND ANALYSIS

Fig. 1 shows the simplified schematic of the proposed 16-StQAM aided BICM-ID scheme. A sequence of 3-bit information symbols is encoded by a rate-3/4 BICM encoder for yielding a sequence of 4-bit coded symbols. The Most Significant Bit (MSB) of the 4-bit encoded symbol will be used for selecting the amplitude of the Phase-Shift-Keying (PSK) ring, while the remaining 3 bits will be used for selecting the phase of the complex-valued 16-StQAM symbol x_k , where the subscript k denotes the symbol index. The BICM-encoded 16-StQAM symbol is corrupted by both the Rayleigh fading channel h_k and the Additive White Gaussian Noise (AWGN) n_k , when it is transmitted to the receiver, as shown in Fig. 1. Iterative detection is then carried out by exchanging extrinsic information between the 16-StQAM soft demapper and BICM decoder based on the received sequence $\{y_k\}$ without the any need for CSI.

A. Star-QAM Mapper

As seen in Fig. 1, the 16-StQAM mapper consists of three components, namely the amplitude selector, the 8PSK mapper and a differential encoder. The 8PSK mapper and the differential encoder jointly form a conventional 8-level DPSK (8DPSK) mapper. The MSB of the BICM-encoded symbol, namely b_3 , is used for selecting one of the two possible amplitudes. The remaining 3 bits, namely $b_2 b_1 b_0$, are used by the 8DPSK mapper. Note that similar to any DPSK scheme, we insert a reference symbol at the beginning of each frame before the 16-StQAM mapper.

1) Amplitude Selection: The MSB, b_3 , is used for selecting the amplitude of the PSK ring, a_k . The two possible amplitude

Manuscript received October 08, 2010; revised December 20, 2010; accepted December 25, 2010. Date of publication January 10, 2011; date of current version January 27, 2011. This work was supported by the European Union's Seventh Framework Programme (FP7/2007-2013) under Grant 214625, the EPSRC UK in the framework of the IU-ATC and by the China-UK project in 4G wireless communications. The associate editor coordinating the review of this manuscript and approving it for publication was Dr. Arumugam Nallanathan.



Fig. 1. Schematic of the 16-StQAM aided BICM-ID scheme, where the parallel bit interleavers between the encoder/decoder and mapper/demapper are not shown for avoiding obfuscating details.



Fig. 2. The PDF of the received signal amplitude ratios of 16StQAM $|y_k|/|y_{k-1}|$ based on (6), when communicating over correlated Rayleigh fading channels having an E_b/N_0 of 25 dB.

values are denoted as $a^{(1)}$ and $a^{(2)}$, respectively. When the MSB of the *k*th BICM-encoded symbol is given by $b_3 = 0$, the amplitude of the PSK ring will remain the same as that of the previous value $a_k = a_{k-1}$. The amplitude of the PSK ring will be switched to another value, if $b_3 = 1$. This amplitude selection mechanism may be referred to as 2-level Differential Amplitude Shift Keying (2DASK). After normalisation for maintaining a symbol energy of unity, we have $a^{(1)} = 1/\sqrt{2.5}$ and $a^{(2)} = 2/\sqrt{2.5}$. The amplitude value of the reference symbol is given by $a_0 = a^{(1)}$.

2) *Phase Selection:* The *k*th differentially encoded symbol v_k can be expressed as

$$v_k = v_{k-1} w_k,\tag{1}$$

where $x_k = \mu(b_2 \ b_1 \ b_0)$ is the kth 8PSK symbol based on the 8PSK mapping function of $\mu(.)$, while v_{k-1} is the (k-1)st 8DPSK symbol and $|v_k|^2 = 1$. The reference symbol for the 8DPSK part is given by $v_0 = \mu(0 \ 0 \ 0)$.

The *k*th 16-StQAM symbol is then given by

$$x_k = a_k v_k,\tag{2}$$

where $a_k \in \{a^{(1)}, a^{(2)}\}.$

B. Star-QAM Soft Demapper

1

The soft-decision based 16-StQAM block is placed in front of the BICM decoder of Fig. 1. The kth received symbol may then be written as

$$y_k = h_k x_k + n_k = h_k a_k v_k + n_k \tag{3}$$

where h_k is the Rayleigh fading channel's coefficient, while n_k represents the AWGN having a variance of $N_0/2$ per dimension. Assuming a slow Rayleigh fading channel, where $h_k \approx h_{k-1}$, we can rewrite (3) using (1) as

$$y_{k} = h_{k-1}a_{k}v_{k-1}w_{k} + n_{k},$$

= $\frac{a_{k}}{a_{k-1}}(y_{k-1} - n_{k-1})w_{k} + n_{k},$
= $p_{k}y_{k-1}w_{k} + \tilde{n}_{k}$ (4)

where $p_k = a_k/a_{k-1}$ is the ratio of the kth and (k-1) st amplitudes, while $\tilde{n}_k = -(a_k/a_{k-1})n_{k-1}w_k + n_k$ is the effective noise.

1) Amplitude Detection: Three amplitude ratios can be derived from the two PSK ring amplitudes of 16-StQAM as follows:

$$p_{k} = \begin{cases} R_{0} = \frac{a^{(1)}}{a^{(1)}} \text{ or } \frac{a^{(2)}}{a^{(2)}} = 1\\ R_{1} = \frac{a^{(1)}}{a^{(2)}}\\ R_{2} = \frac{a^{(2)}}{a^{(1)}}. \end{cases}$$
(5)

When the noise power is low, the amplitude ratio p_k may be approximated as

$$\frac{|y_k|}{|y_{k-1}|} = \frac{|h_k a_k v_k + n_k|}{|h_{k-1} a_{k-1} v_{k-1} + n_{k-1}|},$$

$$\approx \frac{|a_k|}{|a_{k-1}|},$$

$$\approx p_k.$$
(6)

Fig. 2 shows the Probability Density Function (PDF) of the received signal amplitude ratios $|y_k|/|y_{k-1}|$. It becomes plausible from Fig. 2 that the PDF peak, which is characteristic of each amplitude ratio experiences a different noise variance, although all the 16-StQAM symbols experience the same AWGN at the same E_b/N_0 value of 25 dB.

Probability Computation: The effective noise variance of \tilde{n}_k in (4) depends on the amplitude ratio used at time instant k, which can be computed as

$$\widetilde{N}_0 = N_0 + |p_k|^2 |w_k|^2 N_0 = N_0 \left(1 + |p_k|^2\right)$$
(8)

where $\widetilde{N}_0 = 2N_0 = N_0^{(0)}$ if $b_3 = 0$, while $\widetilde{N}_0 = (1+R_1^2)N_0 = N_0^{(1)}$ or $\widetilde{N}_0 = (1+R_2^2)N_0 = N_0^{(2)}$ for $b_3 = 1$. Based on (4) we can express the probability of receiving y_k conditioned on the transmission of b_0 , b_1 , b_2 and b_3 as follows:

$$P(y_k|w^{(m)}, b_3 = 0) = \frac{1}{\pi N_0^{(0)}} e^{\frac{-|y_k - y_{k-1}R_0w^{(m)}|^2}{N_0^{(0)}}}, \quad (9)$$

TABLE I SIMULATION PARAMETERS. NOTE THAT WE DECLARE "AN ITERATION" BEING COMPLETED WHEN BOTH THE DEMAPPER AND DECODER WERE ACTIVATED ONCE

Coded	BICM	BICM-ID
Modulation		
Modulation Scheme	16-StQAM, 16PSK	16-StQAM
	16QAM, 16DPSK	
Mapper type	Gray-labelled	Set-Partitioned
Number of	1	1,2,4
iterations		
Code Rate	3/4	
Code Memory	3	
Code Polynomial (octal)	$G = \begin{bmatrix} 4 \ 4 \ 4 \ 4 \ ; \ 0 \ 6 \ 2 \ 4 \ ; \ 0 \ 2 \ 5 \ 6 \ \end{bmatrix}$	
Decoder type	Approximate Log-MAP	
Symbols per frame	1,200	
Number of frames	20,000	
Channel	Correlated Rayleigh channel	
Normalised Doppler	0.01	
Frequency (f_d)		

$$P(y_k|w^{(m)}, b_3 = 1) = \frac{1}{\pi N_0^{(1)}} e^{\frac{-|y_k - y_{k-1}R_1w^{(m)}|^2}{N_0^{(1)}}} + \frac{1}{\pi N_0^{(2)}} e^{\frac{-|y_k - y_{k-1}R_2w^{(m)}|^2}{N_0^{(2)}}}$$
(10)

where $w^{(m)} = \mu(b_2 \ b_1 \ b_0)$ and μ is the conventional 8PSK mapping function. However, when the *a priori* bit probabilities $P^a(b_i)$ become available from the BICM decoder, the extrinsic bit probability that can be gleaned from the 16-StQAM demapper becomes

$$P^{e}(b_{i}=b) = \sum_{w^{(m)} \in \chi(i,b)} \left(P\left(y_{k}|w^{(m)}, b_{3}=0\right) + P\left(y_{k}|w^{(m)}, b_{3}=1\right) \right) \prod_{\substack{j=0\\ j \neq i}}^{3} P^{a}(b_{j}),$$
for $i \in \{0,1,2\}, b \in \{0,1\}$ (11)

where b_i denotes the *i*th coded bit of the symbol and $\chi(i, b)$ is the set of constellation points having the *i*th bit set to *b*. The extrinsic bit probability of the MSB may be formulated as

$$P^{e}(b_{3}=b) = \sum_{w^{(m)}}^{\text{all}} P\left(y_{k}|w^{(m)}, b_{3}=b\right) \prod_{j=0}^{2} P^{a}(b_{j}) \quad (12)$$

where the summation term considers all possible 8PSK constellation points, because the MSB b_3 influences only the amplitude selection. The extrinsic bit probabilities can then be employed for generating the Log-Likelihood Ratios (LLRs) [15] of all BICM-coded bits, which are then fed back to the BICM decoder.

III. SIMULATION RESULTS

Monte-Carlo simulations have been performed for characterising the proposed soft-decision based 16-StQAM demodulation technique in the context of BICM and BICM-ID coding schemes. The simulation parameters are shown in Table I.



Fig. 3. BER versus E_b/N_0 performance of the 16DPSK-BICM, 16-StQAM-BICM, 16-StQAM-BICM-ID, 16PSK-BICM, and 16QAM-BICM schemes. The simulation parameters are shown in Table I.

Fig. 3 portrays the E_b/N_0 performance of the 16DPSK aided BICM, 16-StQAM assisted BICM, 16PSK aided BICM, 16QAM BICM, and 16-StQAM based BICM-ID schemes, when communicating over correlated Rayleigh fading channels. Solid lines are used for illustrating the performance of Gray-labelled BICM, while the dotted lines represent the Set-Partitioning (SP) based 16-StQAM BICM-ID. As seen from Fig. 3, the 16DPSK-BICM scheme suffers from a high BER floor, since the minimum Euclidean distance of a 16-point constellation ring is lower than that of the classic square 16QAM or 16-StQAM schemes. The 16-StQAM-BICM scheme outperforms the 16DPSK-BICM scheme by approximately 12 dBs at a BER of 10^{-6} . The coherently detected 16QAM-BICM and 16PSK-BICM are considered here as our benchmark schemes, while assuming perfect CSI. During the first iteration, the SP-based 16-StQAM-BICM-ID scheme performs worse than the Gray-labelled 16-StQAM-BICM, since the SP-based mapper has a lower minimum Euclidean distance compared to that of the Gray-label-based mapper. Note that both the 16-StQAM-BICM-ID and 16-StQAM-BICM schemes use the bit-probabilities of (9) and (10) during the first iteration. However, after the second iteration the 16-StQAM-BICM-ID outperforms the noniterative 16-StQAM-BICM by approximately 2 dB with the aid the extrinsic bit-probabilities of (11) and (12).

IV. CONCLUSIONS

In this letter, soft-decision based demodulation was conceived for 16-StQAM in order to enable the employment of power-efficient channel codes and coded modulation. The performance of soft-decision 16-StQAM assisted BICM and BICM-ID schemes was investigated, when communicating over correlated Rayleigh fading channels. The proposed soft-decision aided 16-StQAM demodulation techniques can be extended for assisting DAPSK schemes having more than two PSK constellations.

REFERENCES

 E. Issman and W. Webb, "Carrier recovery for 16-level QAM in mobile radio," in *IEE Collog. Multi-Level Modulation*, Mar. 1990, pp. 9/1–9/8.

- [2] L. Hanzo, S. X. Ng, T. Keller, and W. Webb, Quadrature Amplitude Modulation: From Basics to Adaptive Trellis-Coded, Turbo-Equalised and Space-Time Coded OFDM, CDMA and MC-CDMA Systems Digital Communications, 2nd ed. Hoboken, NJ: Wiley-IEEE Press, 2004.
- [3] L. Chen, H. Kusaka, and M. Kominami, "Blind phase recovery in QAM communication systems using higher order statistics," *IEEE Signal Process. Lett.*, vol. 3, no. 5, pp. 147–149, May 1996.
- [4] Y. Wang and E. Serpedin, "A class of blind phase recovery techniques for higher order QAM modulations: Estimators and bounds," *IEEE Signal Process. Lett.*, vol. 9, no. 10, pp. 301–304, Oct. 2002.
- [5] W. Webb, L. Hanzo, and R. Stele, "Bandwidth-efficient QAM schemes for Rayleigh-fading channels," *Proc. Inst. Elect. Eng.*, vol. 138, no. 3, pp. 169–175, June 1991.
- [6] Y. Jing and H. Jafarkhani, "Distributed differential space-time coding for wireless relay networks," *IEEE Trans. Commun.*, vol. 56, no. 7, pp. 1092–1100, Jul. 2008.
- [7] L. Wang and L. Hanzo, "The amplify-and-forward cooperative uplink using multiple-symbol differential sphere-detection," *IEEE Signal Pro*cessing Letters, vol. 16, no. 10, pp. 913–916, 2009.
- [8] L. Wang and L. Hanzo, "The resource-optimized differentially modulated hybrid af/df cooperative cellular uplink using multiple-symbol differential sphere detection," *IEEE Signal Process. Lett.*, vol. 16, no. 11, pp. 965–968, Nov. 2009.

- [9] C.-D. Chung, "Differentially amplitude and phase-encoded QAM for the correlated Rayleigh-fading channel with diversity reception," *IEEE Trans. Commun.*, vol. 45, no. 3, pp. 309–321, Mar. 1997.
- [10] Y. Ma, Q. T. Zhang, R. Schober, and S. Pasupathy, "Diversity reception of DAPSK over generalized fading channels," *IEEE Trans. Wireless Commun.*, vol. 4, no. 4, pp. 1834–1846, Jul. 2005.
- [11] E. Zehavi, "8-PSK trellis codes for a Rayleigh fading channel," *IEEE Trans. Commun.*, vol. 40, pp. 873–883, May 1992.
- [12] G. Caire, G. Taricco, and E. Biglieri, "Bit-interleaved coded modulation," *IEEE Trans. Inf. Theory*, vol. 44, no. 3, pp. 927–946, May 1998.
- [13] X. Li and J. A. Ritcey, "Bit-interleaved coded modulation with iterative decoding using soft feedback," *Electron. Lett.*, vol. 34, pp. 942–943, May 1998.
- [14] N. Tran, H. Nguyen, and T. Le-Ngoc, "Multidimensional subcarrier mapping for bit-interleaved coded ofdm with iterative decoding," *IEEE Trans. Signal Process.*, vol. 55, no. 12, pp. 5772–5781, Dec. 2007.
- [15] L. Hanzo, T. H. Liew, and B. L. Yeap, Turbo Coding, Turbo Equalisation and Space-Time Coding for Transmission Over Fading Channels. Hoboken, NJ: Wiley-IEEE Press, 2002.