

# Cooperative Medium Access Control Based on Spectrum Leasing

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**Abstract**—Based on cooperative spectrum leasing, a distributed “win–win” (WW) cooperative framework is designed to encourage the licensed source node (SN) to lease some part of its spectral resources to the unlicensed relay node (RN) for the sake of simultaneously improving the SN’s achievable rate and for reducing the energy consumption (EC). The potential candidate RNs carry out autonomous decisions concerning whether to contend for a cooperative transmission opportunity, which could dissipate some of their battery power, while conveying their traffic in light of their individual service requirements. Furthermore, a WW cooperative medium-access-control (MAC) protocol is designed to implement the proposed distributed WW cooperative framework. Simulation results demonstrate that our WW cooperative MAC protocol is capable of providing both substantial rate improvements and considerable energy savings for the cooperative spectrum leasing system.

**Index Terms**—Author, please supply index terms/keywords for your paper. To download the IEEE Taxonomy go to [http://www.ieee.org/documents/taxonomy\\_v101.pdf](http://www.ieee.org/documents/taxonomy_v101.pdf).

## I. INTRODUCTION

COOPERATIVE communications techniques have recently attracted substantial research attention [1] as a benefit of their significant throughput improvements, energy savings, and coverage enhancements. However, these benefits may be eroded by the conventional higher layer protocols, which were designed for classic noncooperative systems. Hence, it is important to design appropriate medium-access-control (MAC) protocols to support cooperative physical layer techniques.

In contrast with the legacy wireless MAC protocols, cooperative MAC protocols aim to cooperatively schedule the medium access of all nodes while allowing the relay nodes (RNs) to buffer and forward the others’ data frames using the broadcast nature of the wireless network, instead of ignoring these data frames. There are numerous contributions in the literature on designing cooperative MAC protocols, most of which aim to

maximize the throughput [2]–[6], including the widely recognized CoopMAC of [7]. However, a potential impediment of the CoopMAC is that its energy efficiency was traded off against the throughput benefits claimed. Therefore, [8]–[12] aimed to minimize the energy consumption (EC) by developing energy-efficient cooperative MAC protocols. To jointly consider these conflicting design objectives, Luo *et al.* [13] and Zhou *et al.* [14] designed meritorious algorithms to improve the achievable throughput and to simultaneously enhance the energy efficiency achieved.

However, the aforementioned cooperative MAC protocols, such as CoopMAC, were developed based on the common assumption that the relays agree to altruistically forward the data of the source node (SN). This unconditional altruistic behavior is unrealistic to expect from mobile stations. In fact, a greedy RN behavior is likely to be the norm in spectrum leasing [15], where the licensed SN intends to lease some part of its spectral resources to the unlicensed RN in exchange for appropriate “remuneration.” In this spectrum leasing system, the unlicensed RNs also have an incentive to support the SN to achieve its quality-of-service (QoS) target in exchange for a transmission opportunity. This cooperation allows both the SN and the RN to satisfy its individual requirement. Based on this cooperative spectrum leasing system, some early theoretical studies have been conducted in [16]–[21]. Bearing in mind the greedy behavior of the mobile RNs, meritorious game-theoretic frameworks were proposed in [16]–[19] to maximize the SN’s transmit rate while simultaneously satisfying the requirements of the RNs. Based on game theory, Hafeez and Elmighani [20] and Jayaweera *et al.* [21] aimed to minimize the EC of cooperative spectrum leasing systems by designing beneficial game-aided strategies. However, the joint optimization of the transmit rate and of the EC has not been considered in these existing works. Furthermore, the design of an appropriate cooperative MAC protocol for practically implementing the theoretical framework was not discussed in [16]–[21].

Against this backdrop, the contributions of this paper are as follows.

- 1) We first formulate a distributed “win–win” (WW) cooperative framework (DWWCF) to encourage the SN to lease part of its spectral resources to the unlicensed RN for the sake of improving the SN’s transmit rate and for simultaneously reducing the SN’s EC while ensuring that the unlicensed RNs are capable of securing a transmission opportunity for *their own traffic* and for satisfying their QoS. Furthermore, the proposed DWWCF selects the

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85 best RN for the sake of *minimizing the system's transmit*  
 86 *power*.  
 87 2) Second, a WW cooperative MAC protocol is developed  
 88 to *practically implement* our DWWCF in a cooperative  
 89 spectrum leasing system (CSLS) by designing the re-  
 90 quired *signaling procedures* to implement the negotia-  
 91 tion between the SN and the greedy RN. Similarly, the  
 92 *frame structure* of both the data and control messages  
 93 is also conceived to convey all the required information.  
 94 Hence, the proposed WW cooperative MAC protocol is  
 95 a throughput- and energy-oriented protocol rather than  
 96 a single-objective cooperative MAC protocol, such as  
 97 CoopMAC [7], which is a throughput-oriented proto-  
 98 col. Furthermore, the proposed WW cooperative MAC  
 99 protocol is designed for more realistic scenario having  
 100 rewarded RNs rather than altruistic RNs, which was  
 101 considered in most existing cooperative MAC protocol,  
 102 such as the CoopMAC [7]. To simplify the signaling  
 103 procedures at the MAC layer, the proposed WW coop-  
 104 erative MAC protocol relies on a *distributed RN selection*  
 105 *scheme*, rather than either centralized or table-based RN  
 106 selection scheme, which was exploited by many coopera-  
 107 tive MAC protocols, such as CoopMAC [7], allowing the  
 108 SN to select the best RN relying on the global information  
 109 in the SN's CoopTable.  
 110 3) Additionally, in contrast with the RN's time/frequency  
 111 slot reservation strategy of [17], superposition coding  
 112 (SPC) is invoked at the RN for jointly encoding both  
 113 the SN's and RN's data based on a cooperative spectrum  
 114 leasing system. Fortunately, the resultant interference  
 115 can be eliminated at the destination node (DN) using  
 116 successive interference cancelation (SIC) to separate the  
 117 SN's and RN's data while beneficially amalgamating  
 118 both the direct and relayed components using frame  
 119 combining.

120 The remainder of this paper is organized as follows. The  
 121 network's architecture and our DWWCF are introduced in  
 122 Section II. Section III describes the proposed WW cooperative  
 123 MAC protocol, whereas in Section IV, the attainable perfor-  
 124 mance of our scheme is quantified. Finally, we conclude in  
 125 Section V.

## 126 II. SYSTEM MODEL AND DISTRIBUTED WIN-WIN 127 COOPERATIVE FRAMEWORK

### 128 A. System Model

129 Before embarking on outlining our DWWCF, we introduce  
 130 our network topology and outline our assumptions.

131 As shown in Fig. 1, we consider a cooperative network  
 132 having a single SN  $\mathcal{S}$  and a total of  $N$  RNs in the set  $\mathcal{R} =$   
 133  $\{\mathcal{R}_1, \dots, \mathcal{R}_N\}$ , as well as a common DN  $\mathcal{D}$ , where  $\mathcal{D}$  may be  
 134 a base station (BS) or an ad hoc cluster head. Both  $\mathcal{S}$  and  $\mathcal{D}$  are  
 135 granted access to the licensed spectrum, whereas the  $N$  RNs  
 136 are not licensees. To simplify our investigations, we made the  
 137 following assumptions. All the channels involved are assumed  
 138 to undergo quasi-static Rayleigh fading; hence, the complex-  
 139 valued fading envelope remains constant during a transmission

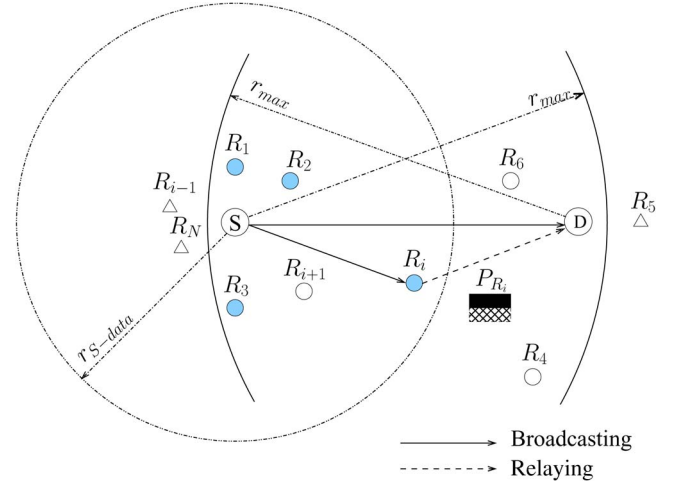


Fig. 1. Cooperative topology consists of one SN  $\mathcal{S}$ , one DN  $\mathcal{D}$ , and a total of  $N$  RNs  $\mathcal{R} = \{\mathcal{R}_1, \dots, \mathcal{R}_N\}$ .

burst,<sup>1</sup> whereas it is faded independently between the consec- 140  
 141 utive transmission bursts. Within a given transmission burst, the  
 142 duplex bidirectional channels between a pair of actively  
 143 communicating nodes are assumed to be identical, whereas  
 144 the channels of any of the remaining links are independent.  
 145 We assume perfect channel estimation for all nodes concerning  
 146 their own channels,<sup>2</sup> but no knowledge of the remaining links  
 147 is assumed. Additionally, the nodes' own position information  
 148 is perfectly known at each node. We consider the effects of  
 149 free-space path loss that is modeled by  $\rho = \lambda^2/16\pi^2d^\eta$ , where  
 150  $\lambda$  represents the wavelength,  $d$  is the transmitter-to-receiver  
 151 distance and  $\eta$  denotes the path-loss exponent, which is 2. All  
 152 nodes are assumed to be limited by the same maximum transmit  
 153 power  $P_{\max}$ .

### 154 B. Distributed WW Cooperative Framework

155 1) *SN's Behavior*: Rather than relying on monetary remu-  
 156 nation,  $\mathcal{S}$  in our DWWCF intends to lease part of its spec-  
 157 trum to the RNs in exchange for cooperatively supporting  
 158 the source's transmission. Based on the RN's assistance,  $\mathcal{S}$   
 159 is capable of successfully conveying its data at a *reduced*  
 160 transmit power of  $P_{S\text{-data}}$  and an *increased* transmit rate  
 161 of  $\alpha C_{S,D}^{\max}$  ( $\alpha \geq 1$ ), which is the SN's target transmit rate.  
 162 In greater detail,  $\alpha$  is the ratio of the desired and afford-  
 163 able throughput termed as the SN's "factor of greediness,"  
 164 whereas  $C_{S,D}^{\max}$  is the maximum achievable rate of the source-  
 165 to-destination (SD) link, which can be formulated as  $C_{S,D}^{\max} =$   
 166  $\log_2(1 + (\rho_{S,D}|h_{S,D}|^2P_{\max}/P_N))$ , where  $P_N$  is the power of  
 167 the additive white Gaussian noise, whereas  $|h_{S,D}|$  denotes the  
 168 magnitude of the flat Rayleigh channel between  $\mathcal{S}$  and  
 169  $\mathcal{D}$ . If  $\mathcal{S}$  cannot acquire any cooperative transmission assistance,  
 170 it directly transmits its data to  $\mathcal{D}$  at a higher transmit power 171

<sup>1</sup>We define a transmission burst as a single transmission attempt, excluding any subsequent retransmission attempts.

<sup>2</sup>The effect of realistic imperfect channel estimation is evaluated in Section IV-F.

172  $P_S^{nc}$  and lower transmit rate  $R_S^{nc}$ . Hence,  $\mathcal{S}$  has two Objective  
 173 Functions (OF) in our DWWCF, which may be formulated as

$$\text{OF}_{S1} = \max \{ \xi_S \cdot R_S^{req} + (1 - \xi_S) \cdot R_S^{nc} \} \quad (1)$$

$$\text{OF}_{S2} = \min \{ \xi_S \cdot P_{S\text{-data}} + (1 - \xi_S) \cdot P_S^{nc} \} \quad (2)$$

174 subject to  $R_S^{req} = \alpha C_{S,D}^{\max} > R_S^{nc}$  and  $\alpha \geq 1$ , as well as  
 175  $P_{S\text{-data}} < P_S^{nc}$ , where  $\xi_S$  denotes the cooperative probability  
 176 of SN.

177 2) *RN's Behavior*: According to our DWWCF, the RN has  
 178 an incentive to forward data for  $\mathcal{S}$  for the sake of accessing  
 179 the SN's spectrum to convey its own traffic. The selfish RN  $\mathcal{R}_i$   
 180 reserves a certain fraction of  $\beta C_{\mathcal{R}_i,D}^{\max}$  ( $0 < \beta < 1$ ) of the Relay-  
 181 to-Destination (RD) channel's capacity for conveying its own  
 182 traffic, where  $\beta$  is the RN's "factor of greediness" and  $C_{\mathcal{R}_i,D}^{\max}$  is  
 183 given by:  $C_{\mathcal{R}_i,D}^{\max} = \log_2(1 + (\rho_{\mathcal{R}_i,D} |h_{\mathcal{R}_i,D}|^2 P_{\max}/P_N))$ , while  
 184  $|h_{\mathcal{R}_i,D}|$  denotes the magnitude of the flat Rayleigh channel  
 185 between  $\mathcal{R}_i$  as well as  $\mathcal{D}$ , and  $\rho_{\mathcal{R}_i,D}$  is the free-space path-  
 186 loss gain between  $\mathcal{R}_i$  and  $\mathcal{D}$ . Based on our DWWCF, each  
 187 RN  $\mathcal{R}_i$  carries out autonomous decisions concerning its own  
 188 cooperative strategy by optimizing its own OF, which may be  
 189 formulated as

$$\text{OF}_{RN1} = \max \{ \xi_{\mathcal{R}_i} \cdot \beta C_{\mathcal{R}_i,D}^{\max} \} \quad (3)$$

190 subject to  $0 < \beta < 1$ , where  $\xi_{\mathcal{R}_i}$  denotes the probability that  
 191 RN  $\mathcal{R}_i$  is granted the transmission opportunity.

192 When the RNs provide cooperative transmission assis-  
 193 tance, extra energy is dissipated when relaying data for  $\mathcal{S}$ .  
 194 Hence, another OF is designed in our DWWCF to select the  
 195 best RN, which may be formulated as

$$\text{OF}_{RN2} = \min \sum_{i=1}^N \{ \xi_{\mathcal{R}_i} \cdot P_{\mathcal{R}_i} \} \quad (4)$$

196 subject to  $\sum_{i=1}^N \xi_{\mathcal{R}_i} \leq 1$ , and  $P_{\mathcal{R}_i} \leq P_{\max}$ , where  $P_{\mathcal{R}_i}$  is the  
 197 RN's transmit power required for successfully forwarding the  
 198 SN's data and for simultaneously conveying its own data. Based  
 199 on the above OFs, it is quite a challenge to mathematically  
 200 solve these optimization problems in our DWWCF. Hence, we  
 201 designed a WW cooperative MAC protocol to implement our  
 202 DWWCF.

### 203 III. WIN-WIN COOPERATIVE MEDIUM ACCESS CONTROL 204 PROTOCOL DESCRIPTION

205 Based on the request-to-send/clear-to-send (RTS/CTS) sig-  
 206 naling of the legacy IEEE 802.11 protocol, a WW cooperative  
 207 MAC protocol is developed to implement our DWWCF, which  
 208 is formulated in Section II-B. The proposed signaling procedure  
 209 is detailed in Fig. 2, which includes three phases, as detailed in  
 210 the following.

#### 211 A. Phase I: Initialization

212 Before  $\mathcal{S}$  transmits any data frame, it issues an RTS message  
 213 to  $\mathcal{D}$  at the maximum transmission power  $P_{\max}$  to reserve the  
 214 shared channel, as shown in Fig. 2. When  $\mathcal{D}$  correctly receives  
 215 the RTS message, it replies with a CTS message, employing the

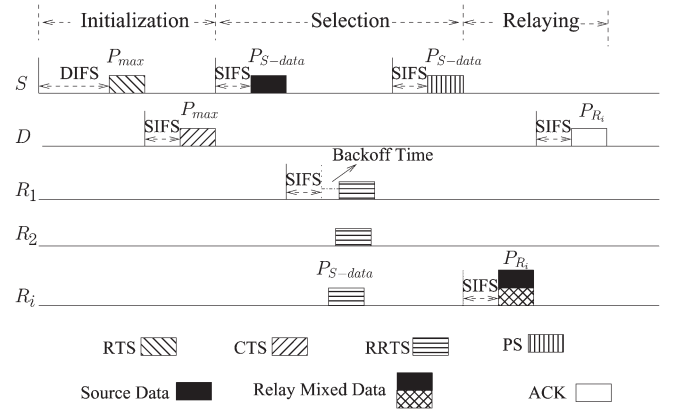


Fig. 2. Overall signaling procedure. RTS: Request-to-send. CTS: Clear-to-send. RRTS: Relay-request-to-send. PS: Please-send. ACK: Acknowledgement. DIFS: Distributed interframe space. SIFS: Short interframe space.

216 same transmission power  $P_{\max}$ . The instantaneous transmission  
 217 ranges of the sources are shown in Fig. 1. To elaborate a little  
 218 further, we include the transmitter's position information into  
 219 the RTS and CTS signaling frame; thus, any RNs in the set  $\mathcal{R}$ ,  
 220 which can overhear both the RTS and CTS messages, will be  
 221 aware of the imminently forthcoming transmission and of the  
 222 position information on  $\mathcal{S}$  and  $\mathcal{D}$ . Based on the knowledge of  
 223 their own position and on the position of the SN and the DN,  
 224 these RNs are capable of calculating the distances from both the  
 225 SN and the DN to themselves. These RNs, which are denoted  
 226 by filled or hollow circles in Fig. 1, form a potential cooperative  
 227 RN set  $\mathcal{R}_c \subset \mathcal{R}$ .

#### 228 B. Phase II: Relay Selection

229 Following the initialization phase, the RN selection proce-  
 230 dure is constituted by a data transmission and two beacon  
 231 message exchanges, as detailed in the following.

232 1) *Step I—Invitation for Cooperation*: If  $\mathcal{S}$  does not receive  
 233 a CTS message from  $\mathcal{D}$ , it would retransmit the RTS message as  
 234 specified in the legacy IEEE 802.11 protocol [22]. In contrast, if  
 235  $\mathcal{S}$  receives a CTS message from  $\mathcal{D}$ , it broadcasts its data frame  
 236 after a short interframe space (SIFS) interval at *reduced* power  
 237 of  $P_{S\text{-data}}$  and *its target transmit rate* of  $\alpha C_{S,D}^{\max}$  ( $\alpha \geq 1$ ), as  
 238 shown in Fig. 2. As a result, both  $\mathcal{D}$  and the RNs in the set  
 239  $\mathcal{R}_c$  will hear this broadcast. When  $\alpha$  is higher than unity, the  
 240 SN's data cannot be successfully transmitted to  $\mathcal{D}$  in its entirety.  
 241 However,  $\mathcal{D}$  will store this data frame and exploits the classic  
 242 Chase combining scheme [23] to combine it with the duplicated  
 243 data frame independently transmitted by the potential candidate  
 244 relays, for the sake of achieving rate improvements. Therefore,  
 245 the SN's aggregated rate achieved by using Chase combining  
 246 may be expressed as [24]

$$\alpha C_{S,D}^{\max} = \log_2 \left( 1 + \gamma_{S,D}^{(1)} + \gamma_{\mathcal{R}_i}^S \right) \quad (5)$$

247 subject to  $\alpha \geq 1$ , where  $\gamma_{S,D}^{(1)}$  denotes the receiver's signal-  
 248 to-interference-plus-noise ratio (SINR) related to the direct  
 249 transmission during the broadcast phase. Furthermore,  $\gamma_{\mathcal{R}_i}^S$   
 250 represents the receive SINR of the SN's data frame, which is  
 251 transmitted during the relaying phase to be introduced. Based

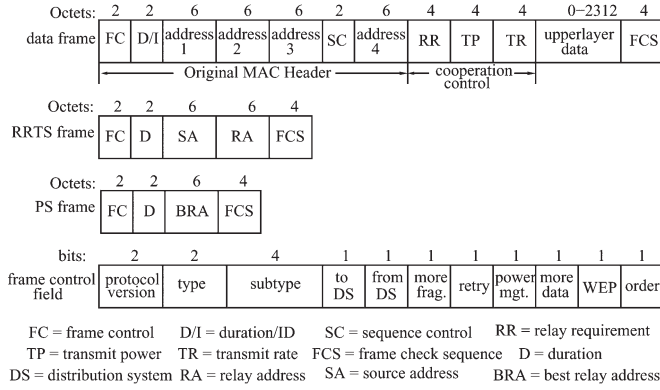


Fig. 3. Formats of the data frames, the RRTS message, and the PS message.

252 on the estimated channel state information (CSI) of the SD  
 253 link,  $\mathcal{S}$  first calculates the receive SINR of  $\gamma_{\mathcal{S},\mathcal{D}}^{(1)}$  achieved by  
 254 the direct transmission during the broadcast phase. Then, based  
 255 on  $\gamma_{\mathcal{S},\mathcal{D}}^{(1)}$  and (5),  $\mathcal{S}$  calculates the receive SINR of  $\gamma_{\mathcal{R}_i}^{\mathcal{S}}$ , which  
 256 must be guaranteed by the best RN and includes the value of  
 257  $\gamma_{\mathcal{R}_i}^{\mathcal{S}}$  into the relay requirement (RR) field of its data frame for  
 258 implicitly informing the RNs of the SN's transmit requirement  
 259  $\alpha C_{\mathcal{S},\mathcal{D}}^{\max}$ . The RNs in the vicinity, which correctly receive the  
 260 SN's data frame, are capable of inferring the value of  $\gamma_{\mathcal{R}_i}^{\mathcal{S}}$  by  
 261 reading the RR field of the appropriately designed cooperative  
 262 MAC data frame, as shown in Fig. 3.<sup>3</sup>

263 2) *Step II—Contend for Cooperation:* For clarity, we break  
 264 the discussion of this step into several subtopics, namely, the  
 265 cooperative decision, the backoff algorithm, and contention  
 266 message derivation.

267 *Cooperation decision:* If a particular RN  $\mathcal{R}_i \in \mathcal{R}_c$  erroneously  
 268 receives the data frame from  $\mathcal{S}$ ,  $\mathcal{R}_i$  would drop this data  
 269 frame and would keep on sensing the channel, as shown  
 270 in Table I. On the other hand, if cooperative RN  $\mathcal{R}_i \in$   
 271  $\mathcal{R}_c$  correctly receives a data frame from  $\mathcal{S}$ , it calculates  
 272 the transmit power  $P_{\mathcal{R}_i}^{\mathcal{S}}$  necessitated to satisfy the SN-  
 273 rate requirement and the transmit power  $P_{\mathcal{R}_i}^{\mathcal{R}}$  required to  
 274 guarantee a throughput of  $\beta C_{\mathcal{R}_i,\mathcal{D}}^{\max}$ . If the sum of transmit  
 275 power  $P_{\mathcal{R}_i} = P_{\mathcal{R}_i}^{\mathcal{S}} + P_{\mathcal{R}_i}^{\mathcal{R}}$  is higher than  $P_{\max}$ ,  $\mathcal{R}_i$  has to  
 276 give up contending for the cooperative opportunity and  
 277 drop this SN's data frame, as shown in Table I. On the  
 278 other hand, if  $P_{\mathcal{R}_i}$  does not exceed  $P_{\max}$ ,  $\mathcal{R}_i$  would send  
 279 a relay-request-to-send (RRTS) message to  $\mathcal{S}$  after waiting  
 280 for a SIFS interval and its backoff time, which is calculated  
 281 based on the proposed backoff algorithm for the sake of  
 282 contending for a transmission opportunity, as shown in  
 283 Table I. The RRTS message in Fig. 2 informs  $\mathcal{S}$  about  
 284 the RN's correct reception and its intention to cooperate.  
 285 Hence, the specific RNs, which decide to contend for the  
 286 transmission opportunity form a smaller contending set of  
 287  $\mathcal{R}_{cc} \in \mathcal{R}_c$ . These RNs are represented by the filled circles  
 288 in Fig. 1. It is noted that the value of  $P_{\mathcal{R}_i}$  is not included  
 289 in the RRTS message in Fig. 3 since the proposed backoff

<sup>3</sup>Apart from the cooperative control fields of the data frame, as shown in Fig. 3, the remaining fields are the same as those of the data frame specified in the IEEE 802.11 standards [22].

TABLE I  
 PROCEDURE OF THE RN SUBMISSION COOPERATIVE DECISION

0:	<b>if</b> erroneously receive data frame $Data_i$ from $\mathcal{S}$
1:	drop data frame $Data_i$
2:	<b>else</b>
3:	read the RN requirement $\gamma_{\mathcal{R}_i}^{\mathcal{S}}$
4:	calculate the values of $P_{\mathcal{R}_i}^{\mathcal{S}}$ , $P_{\mathcal{R}_i}^{\mathcal{R}}$ and $P_{\mathcal{R}_i}$
5:	<b>if</b> $P_{\mathcal{R}_i} \leq P_{\max}$
6:	calculate its backoff time $T_{\mathcal{R}_i,bo}$
7:	backoff for $T_{\mathcal{R}_i,bo}$ interval
8:	<b>if</b> $T_{\mathcal{R}_i,bo}$ timeout
9:	send RRTS to $\mathcal{S}$
10:	wait for PS message
11:	<b>else</b>
12:	keep backoff
13:	<b>else</b>
14:	drop data frame $Data_i$

algorithm can identify the different values of  $P_{\mathcal{R}_i}$  promised  
 by the contending RNs.

*Backoff algorithm:* To minimize the total transmit power of  
 the RNs, which is formulated by (4), we design a backoff  
 algorithm to select the best RN. As shown in Fig. 2, before  
 issuing the RRTS message, the RN  $\mathcal{R}_i \in \mathcal{R}_{cc}$  has to wait  
 for a SIFS interval and for subsequent backoff duration  
 of  $T_{\mathcal{R}_i,bo}$ , which is defined as  $T_{\mathcal{R}_i,bo} = \varphi_{\mathcal{R}_i} T_w$ , where  
 $T_w = CW_{\min} \cdot SlotTime$  is the contention window (CW)  
 length,<sup>4</sup> with  $CW_{\min}$  being the minimum CW duration  
 specified in the IEEE802.11 standards [22]. The coefficient  
 $\varphi_{\mathcal{R}_i}$  is defined as  $\varphi_{\mathcal{R}_i} = P_{\mathcal{R}_i,\min} / P_{\max}$ . Hence, the specific  
 candidate RN, which promises the lowest transmit power,  
 may first transmit its RRTS message as a benefit of its  
 shortest backoff time. In each RN selection phase,  $\mathcal{S}$  has  
 to wait for a fixed period of  $(T_w + SlotTime)$  to collect the  
 responses of the potential candidate RNs. If  $\mathcal{S}$  correctly re-  
 ceives the RRTS message before its fixed waiting duration  
 times out, it selects the transmitter of that specific RRTS,  
 which was the first one to be correctly received as the  
 best RN, without considering the RRTS messages arriving  
 later and without comparing the specific transmit power  
 promised by the individual candidate RNs. Hence, the best  
 RN is selected in a distributed manner both without a cen-  
 tralized controller and without any information exchange  
 between the candidate RNs. Since the value of  $P_{\mathcal{R}_i,\min}$   
 promised by the candidate RN  $\mathcal{R}_i$  is always lower than  
 $P_{\max}$ , the backoff time allocated to  $\mathcal{R}_i$  will not exceed the  
 SN's fixed waiting duration of  $(T_w + SlotTime)$ . Hence, all  
 the candidate RNs may issue their RRTS messages before  
 $\mathcal{S}$  stops waiting for the responses.

*Contention message derivation:* According to our backoff al-  
 gorithm, the specific RN promising the lowest power may  
 be granted the transmission opportunity to minimize the  
 total transmit power of RNs. Hence, the greedy RN has  
 to minimize its transmit power by *only* satisfying its rate  
 requirement of  $\beta C_{\mathcal{R}_i,\mathcal{D}}^{\max}$  to wait for a shorter backoff time,

<sup>4</sup>In the IEEE 802.11 standard, a SlotTime consists of the time required to physically sense the medium and to declare the channel as "clear," as well as the MAC processing delay, the propagation delay, and the "receiver/transmitter turn-around time," which is the time required for the physical layer to change from receiving to transmitting at the start of the first bit [22].

327 which is calculated based on the proposed backoff algo-  
328 rithm. Therefore, we have

$$P_{\mathcal{R}_i^{\min}} \left( P_{\mathcal{R}_i^{\min}}^S, P_{\mathcal{R}_i^{\min}}^R \mid \alpha, \beta \right) = P_{\mathcal{R}_i^{\min}}^S + P_{\mathcal{R}_i^{\min}}^R \quad (6)$$

329 subject to the condition of  $C_{\mathcal{R}_i^{\min}}^R = \beta C_{\mathcal{R}_i^{\min}, \mathcal{D}}^{\max}$  and  $\alpha > 1$ , as  
330 well as  $0 < \beta < 1$ .

331 Let us now consider how to find  $P_{\mathcal{R}_i^{\min}}^S$  and  $P_{\mathcal{R}_i^{\min}}^R$  of (6). In  
332 our design, the RN employs SPC for jointly encoding both the  
333 SN's and its own data.  $\mathcal{D}$  then extracts the SN's data from  
334 the relayed composite signal with the aid of SIC. Finally, the  
335 extracted relayed component and the direct component are  
336 combined. Assuming that  $\mathcal{D}$  treats the RN's data frame as  
337 interference, the receive SINR  $\gamma_{\mathcal{R}_i^{\min}}^S$  of the SN's data frame re-  
338 layed by the RN is given by  $\gamma_{\mathcal{R}_i^{\min}}^S = (\rho_{\mathcal{R}_i^{\min}, \mathcal{D}} |h_{\mathcal{R}_i^{\min}, \mathcal{D}}|^2 P_{\mathcal{R}_i^{\min}}^S) / (P_N +$   
339  $\rho_{\mathcal{R}_i^{\min}, \mathcal{D}} |h_{\mathcal{R}_i^{\min}, \mathcal{D}}|^2 P_{\mathcal{R}_i^{\min}}^R)$ . After successfully retrieving the SN's data  
340 frame,  $\mathcal{D}$  becomes capable of decoding the RN's data frame by  
341 removing the SN's interference with the aid of a SIC scheme  
342 [25]. Hence, the achievable rate of the RN may be formulated as  
343  $C_{\mathcal{R}_i^{\min}}^R = \log_2(1 + (\rho_{\mathcal{R}_i^{\min}, \mathcal{D}} |h_{\mathcal{R}_i^{\min}, \mathcal{D}}|^2 P_{\mathcal{R}_i^{\min}}^R / P_N))$ . According to the  
344 relaying strategy employed, the RN calculates the minimum  
345 power required for the rate  $C_{\mathcal{R}_i^{\min}}^R$  to reach  $\beta C_{\mathcal{R}_i^{\min}, \mathcal{D}}^{\max}$ . Thus, the  
346 value of  $P_{\mathcal{R}_i^{\min}}^R$  is explicitly given as  $P_{\mathcal{R}_i^{\min}}^R = ((2^{\beta C_{\mathcal{R}_i^{\min}, \mathcal{D}}^{\max}} -$   
347  $1)P_N) / (\rho_{\mathcal{R}_i^{\min}, \mathcal{D}} |h_{\mathcal{R}_i^{\min}, \mathcal{D}}|^2)$ , which is subjected to  $0 < \beta < 1$ .  
348 Likewise, based on the metrics of  $\gamma_{\mathcal{R}_i^{\min}}^S$  and  $P_{\mathcal{R}_i^{\min}}^R$ , the RN  
349 is capable of calculating the transmit power  $P_{\mathcal{R}_i^{\min}}^S$  required for  
350 successfully delivering the SN's data at a throughput of  $\alpha C_{\mathcal{R}_i^{\min}, \mathcal{D}}^{\max}$ ,  
351 which is given by  $P_{\mathcal{R}_i^{\min}}^S = \gamma_{\mathcal{R}_i^{\min}}^S ((P_N / \rho_{\mathcal{R}_i^{\min}, \mathcal{D}} |h_{\mathcal{R}_i^{\min}, \mathcal{D}}|^2) + P_{\mathcal{R}_i^{\min}}^R)$ ,  
352 where  $\gamma_{\mathcal{R}_i^{\min}}^S$  has been given in Step I. Based on the given  
353 derivation,  $\mathcal{R}_i$  calculates the value of  $P_{\mathcal{R}_i^{\min}}^{\min}$  as the sum of  $P_{\mathcal{R}_i^{\min}}^S$   
354 and  $P_{\mathcal{R}_i^{\min}}^R$ .

355 3) *Step III—Accept for Cooperation*: After waiting for the  
356 fixed duration of  $(T_w + \text{SlotTime})$  specified by the proposed  
357 backoff algorithm and for a subsequent SIFS interval,  $\mathcal{S}$  replies  
358 to the best RN  $\mathcal{R}_i$  associated with the first RRTS message that  
359 was correctly received by sending a please-send (PS) message if  
360  $\mathcal{S}$  correctly received the RRTS message during its fixed waiting  
361 period of  $(T_w + \text{SlotTime})$ , as shown in Fig. 2 and Table II. The  
362 format of the PS frame is characterized in Fig. 3. Since the SN  
363 sends its data frame and PS message at the same transmission  
364 power of  $P_{S\text{-data}}$ , all the RNs, which have correctly received  
365 the data frame from the SN will overhear the PS message. This  
366 guarantees that only the best RN forwards its data frame to  $\mathcal{D}$   
367 during the data-forwarding phase.

### 368 C. Phase III: Cooperative Transmission

369 In this phase, the best RN  $\mathcal{R}_i$  forwards the superimposed SR  
370 data to  $\mathcal{D}$  if  $\mathcal{S}$  successfully selects the best RN. Otherwise,  $\mathcal{S}$   
371 retransmits its data frame to  $\mathcal{D}$ , as shown in Fig. 2 and Table II.

372 1) *Data Forwarding and Relay Retransmission*: If RN  $\mathcal{R}_i \in$   
373  $\mathcal{R}_{cc}$  finds that the receiver of the received PS message is not  
374 itself, it would drop the SN's data and would keep on sensing  
375 the medium. On the other hand, if the RN  $\mathcal{R}_i \in \mathcal{R}_{cc}$  received  
376 a PS message that is destined for itself, it will encode both the  
377 SN's and its data with the aid of SPC and will forward the super-

TABLE II  
PROCEDURE OF SN

0:	$\mathcal{S}$ broadcasts data $Data_i$ at power $P_{S\text{-data}}$
1:	$\mathcal{S}$ waits for the fixed duration of $(T_w + \text{SlotTime})$
2:	if $\mathcal{S}$ receives RRTS message
3:	sends PS message to the best RN
4:	<b>else</b>
5:	compute power $P_{S\text{-data}}^{(2)}$
6:	<b>if</b> $P_{S\text{-data}}^{(2)} \leq P_{max}$
7:	send data to $\mathcal{D}$ at power $P_{S\text{-data}}^{(2)}$
8:	<b>else</b>
9:	send data to $\mathcal{D}$ at power $P_{max}$
10:	wait for ACK message
11:	<b>if</b> ACK timeout
12:	$\mathcal{S}$ broadcast data $Data_i$ again
13:	<b>else</b>
14:	$\mathcal{S}$ send RTS message for transmitting a new data

imposed SR data frame to  $\mathcal{D}$  at its precalculated transmission 378  
power of  $P_{\mathcal{R}_i^{\min}}^R$  after an SIFS period, acting as the best RN, 379  
as shown in Fig. 2. Finally, at the DN, the classic automatic 380  
repeat request procedure will be initiated, when receiving the 381  
forwarded data and successfully decoding and combing it with 382  
the most recent direct transmission during Step I of Phase II. 383

2) *Source Retransmission*: If none of the RNs competes for 384  
a transmission opportunity or multiple RRTS messages collided 385  
at the SN,  $\mathcal{S}$  directly sends its data to  $\mathcal{D}$  as a replica without 386  
relaying. This transmission takes place either at the specific 387  
transmit power of  $P_{S\text{-data}}^{(2)}$ , which is capable of guaranteeing 388  
the expected rate of  $\alpha C_{\mathcal{R}_i^{\min}, \mathcal{D}}^{\max}$ , or failing that, it resorts to using 389  
the maximum affordable transmit power of  $P_{max}$ , as shown 390  
in Table II. If  $\mathcal{D}$  receives this data frame, it replies with an 391  
acknowledgment (ACK) message to  $\mathcal{S}$  after successfully de- 392  
coding and combining the frame with the most recent erroneous 393  
data frame broadcast by  $\mathcal{S}$ . If  $\mathcal{S}$  does not receive any response 394  
from  $\mathcal{D}$  before the timer set for waiting for an ACK message 395  
is expired, it will broadcast its data again at power of  $P_{S\text{-data}}$  396  
to seek cooperation, and the RN selection procedure described 397  
earlier is repeated, as shown in Table II. 398

## IV. SIMULATION RESULTS

To evaluate the achievable performance of the proposed 400  
scheme, we present our simulation results based on Omnet++. 401  
Based on the network model introduced in Section II-A, we 402  
consider two scenarios to investigate both the achievable rate 403  
and EC improvement, and to analyze the RN's behavior. 404  
In the first scenario, all the RNs are randomly distributed across 405  
the entire network area, whereas  $\mathcal{S}$  and  $\mathcal{D}$  have fixed positions. 406  
The network size considered ranges from  $u = 5$  nodes to  $u = 407$   
30 nodes for the sake of evaluating the influence of the size 408  
of the networks on the achievable rate and EC. In the other sce- 409  
nario, we consider a small network supporting  $u = 5$  nodes, i.e., 410  
 $\mathcal{S}$ ,  $\mathcal{D}$ , and three RNs, where all the nodes have fixed positions. 411  
One of the three RNs is located at the position of  $d = 1/4$  along 412  
the SD link. Another RN is in the middle of the SD link at 413  
 $d = 1/2$ , whereas the third RN is at the point  $d = 3/4$  of the SD 414  
link. In the given two scenarios, the values of  $P_{max}$  and  $P_{S\text{-data}}$  415  
are 2 and 1 mW, respectively. The size of CWmin is 7, whereas 416  
SlotTime is set to 20  $\mu\text{s}$ . Furthermore, the length of SIFS is 417

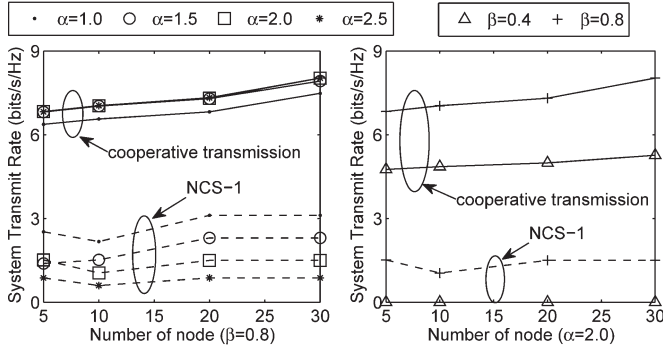


Fig. 4. System's total achievable rate improvement.

418  $10 \mu\text{s}$ . The length of the data frame generated at the application  
 419 layer is 1024 B. The length of the RRTS and PS messages is  
 420 20 B and 14 B, respectively, whereas that of the RTS and  
 421 CTS is 24 and 18 B. The greedy factor  $\alpha$  ranges from 1 to 3,  
 422 whereas the value of  $\beta$  ranges from 0 to 0.8. Both  $\alpha$  and  $\beta$  are  
 423 predetermined for each simulation.

424 Two noncooperative systems are introduced as the bench-  
 425 markers of our comparisons. We compare the system's achiev-  
 426 able total transmit rate (TTR) constituted by the sum of the  
 427 SN's and RN's transmit rate to that of the noncooperative  
 428 system 1 (NCS-1), which consumes the same total transmission  
 429 energy as our CSLS (WW-CSLS). Additionally, we compare  
 430 the total transmission EC to that of the noncooperative system 2  
 431 (NCS-2), which is capable of achieving the same TTR as our  
 432 WW-CSLS. Since the SN's data is transmitted twice by itself  
 433 and additionally by the best RN, if the cooperative transmission  
 434 is successful, two direct transmission phases are exploited in  
 435 both NCS-1 and NCS-2. When aiming for investigating the  
 436 effect of our relay selection scheme, we compare the achievable  
 437 performance of our WW-CSLS to that of a random CSLS  
 438 (Ran-CSLS), where the best RN is randomly selected with-  
 439 out considering the transmit power required for providing a  
 440 successful cooperative transmission. To evaluate their perfor-  
 441 mance, we adopt the idealized simplifying assumption that the  
 442 control messages are received without errors in both NCS-1  
 443 and NCS-2, as well as in WW-CSLS. In Sections IV-E and F,  
 444 we investigated a more practical network.

#### 445 A. Effect of Cooperative Transmission

446 Let us now investigate the effects of cooperative transmission  
 447 on the TTR and EC by comparing the performance achieved in  
 448 the first scenario and NCS-1 and in NCS-2.

449 1) *Achievable Transmit Rate:* Fig. 4 compares the system's  
 450 TTR, namely, the sum of both the SN's rate and the RN's rate  
 451 achieved by the WW-CSLS relying on our WW cooperative  
 452 MAC protocol to that of NCS-1. It is observed in Fig. 4 that,  
 453 as expected, the system's achievable TTR relying on our WW-  
 454 CSLS is higher than 6 bit/s/Hz, even for  $\alpha = 1$  and  $\beta = 0.8$ ,  
 455 which is more than twice as high as that achieved by NCS-1,  
 456 which consumes the same total transmission energy, given the  
 457 same values of  $\alpha$  and  $\beta$ . Additionally, for  $\beta = 0.4$  and  $\alpha = 2$ ,  
 458 the system's TTR achieved by our WW-CSLS is in excess of  
 459 4 bit/s/Hz, while in fact, no successful transmissions may be

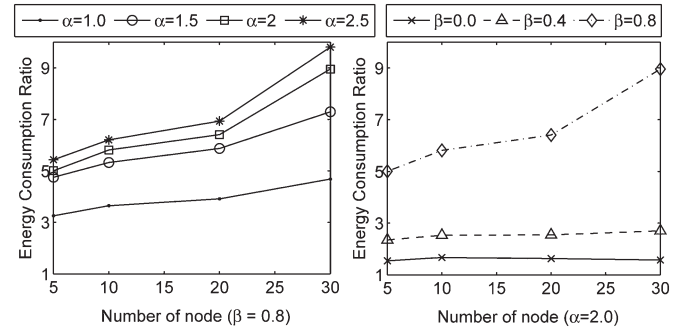


Fig. 5. Energy consumption ratio of  $E_{\text{noncoop}}/E_{\text{coop}}$ .

supported in NCS-1 for the same values of  $\alpha$  and  $\beta$  due to  
 the system's low EC. Hence, the proposed WW cooperative  
 MAC protocol is capable of providing a considerable TTR im-  
 provement, despite consuming low energy. As shown in Fig. 4,  
 the system's TTR achieved by our WW-CSLS is increased,  
 when  $S$  becomes greedier due to the SN's increased transmit  
 rate requirement. Additionally, when  $\beta$  is increased, the best  
 RN will be rewarded by a considerably higher rate for its own  
 traffic, provided that the cooperation is successful. Hence, the  
 system's TTR is increased, when the RN becomes greedier,  
 as shown in Fig. 4. Moreover, the achievable TTR of our  
 WW-CSLS is gradually increased, when the network becomes  
 larger. The above investigations imply that the proposed WW  
 cooperative MAC protocol is capable of providing significant  
 TTR improvements.

2) *Energy Consumption:* Fig. 5 shows the achievable EC  
 ratio (ECR) of  $E_{\text{noncoop}}/E_{\text{coop}}$ , where  $E_{\text{coop}}$  denotes the sys-  
 tem's total transmission EC<sup>5</sup> for our cooperative MAC protocol  
 and  $E_{\text{noncoop}}$  represents that of NCS-2, which is capable of  
 achieving the same system's TTR as our WW-CSLS. As shown  
 in Fig. 5, compared with NCS-2, two third of the system's  
 total energy may be saved by exploiting the proposed WW  
 cooperative MAC protocol, given  $\beta = 0.8$ . The EC  $E_{\text{coop}}$  of  
 our WW-CSLS is reduced when  $S$  becomes greedier, which  
 can be also characterized by the TTR of NCS-1 in Fig. 4.  
 By contrast, the EC  $E_{\text{noncoop}}$  of NCS-2 is slightly increased,  
 when  $S$  becomes greedier due to the slightly increased system  
 rate of WW-CSLS. Hence, the ECR is increased, when  $S$   
 becomes greedier, as shown in Fig. 5. As  $\beta$  is increased, the  
 system's ECR is increased from 1.5 to 5 for  $\alpha = 2$  and  $u = 5$ ,  
 as shown in Fig. 5. When the RNs become greedier, fewer  
 RNs can afford the increased power required for successfully  
 forwarding the SPC data. However, the transmit rate achieved  
 by the best RN is considerably increased. Hence, an increased  
 total energy is required by NCS-2 for the sake of achieving the  
 same system rate as our WW-CSLS. Therefore, the system's  
 ECR of  $E_{\text{noncoop}}/E_{\text{coop}}$  is increased when the RN becomes  
 greedier. Based on the given discussions, the proposed WW co-  
 operative MAC protocol is capable of achieving a considerable  
 system rate improvement while offering a satisfactory energy  
 efficiency.

<sup>5</sup>It is reasonable to focus on the transmission EC and ignore the circuit processing EC in a large network where the transmission EC is dominant in the total EC [26].

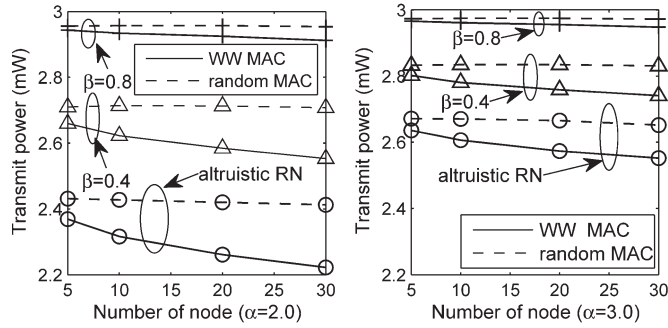


Fig. 6. System data transmit power consumed by our WW-CSLS and Ran-CSLS.

### 501 B. Effect of Relay Selection

502 Let us now investigate the effect of the proposed RN selec-  
503 tion scheme by evaluating the achievable performance of our  
504 WW-CSLS and Ran-CSLS, where the best RN is randomly  
505 selected.

506 1) *Transmit Power*: According to the proposed WW co-  
507 operative MAC protocol, the specific RN that promises the  
508 lowest transmit power  $P_{\mathcal{R}_i}$  required for successfully conveying  
509 superposition-coded data is selected as the best RN. However,  
510 the best RN is randomly selected in Ran-CSLS without consid-  
511 ering any system parameters, such as the transmit power  $P_{\mathcal{R}_i}$ .  
512 Hence, the RN's transmit power  $P_{\mathcal{R}_i}$  is the crucial parameter for  
513 investigating the effect of the proposed RN selection scheme.  
514 Fig. 6 quantifies the system's total data transmit power (TDTP)  
515 for our WW-CSLS and that is consumed in Ran-CSLS. The  
516 system's TDTP is defined as the sum of the SN's transmit power  
517 required for conveying its data plus the RN's transmit power  
518 necessitated for delivering the superposition-coded data.

519 Based on the proposed backoff algorithm, the system's TDTP  
520 consumed in the WW-CSLS is lower than that of the Ran-  
521 CSLS, as shown in Fig. 6. When the SN or RN becomes greed-  
522 ier, less RNs can afford the increased transmit power required  
523 to provide successful cooperative transmission assistance. This  
524 phenomenon increases the probability that the same RN is  
525 selected as the best RN in both WW-CSLS and Ran-CSLS.  
526 Hence, the difference between the TDTP of our WW-CSLS and  
527 that of Ran-CSLS is reduced when either  $\alpha$  or  $\beta$  is increased,  
528 as shown in Fig. 6. Moreover, the TDTP of both WW-CSLS  
529 and of the Ran-CSLS is reduced when the network hosts more  
530 RNs due to the increased probability of having RNs, which  
531 promise to reduce the transmit power in comparison with a  
532 smaller network. However, the probability of the event that a  
533 low-quality RN, namely, one which requires a higher transmit  
534 power than other RNs, is selected as the best RN in the Ran-  
535 CSLS is increased, when the network becomes larger. Hence,  
536 compared with Ran-CSLS, an increased TDTP is saved by our  
537 WW-CSLS when the network's size is increased.

538 2) *Achievable Transmit Rate*: Fig. 7 compares the system's  
539 TTR, namely, the sum of both the SN's rate and the RN's rate  
540 achieved by our WW-CSLS to that achieved by Ran-CSLS.  
541 As shown in Fig. 7, the system's achievable TTR relying on  
542 WW-CSLS is 8 bit/s/Hz for  $\beta = 0.8$  and  $u = 30$ , whereas a  
543 lower TTR of 6.5 bit/s/Hz is achieved by Ran-CSLS, given  $\beta$   
544 and the network size. Compared with Ran-CSLS, the system's

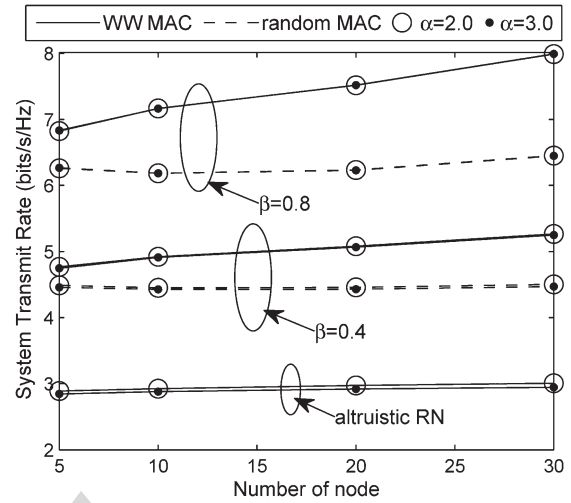


Fig. 7. System's total achievable rate improvement of our WW-CSLS and Ran-CSLS.

TTR can be improved by our WW-CSLS, even for lower  $\beta$  545  
546 values and for smaller networks, e.g., for  $\beta = 0.4$  and  $u = 5$ ,  
547 as shown in Fig. 7. Based on WW-CSLS, the specific RN that  
548 promises lower transmit power of  $P_{\mathcal{R}_i}$  may achieve a higher  
549 transmit rate of  $\beta C_{\mathcal{R}_i, D}^{\max}$  due to having an improved RD link.  
550 Hence, compared with Ran-CSLS, a higher TTR is achieved  
551 by our WW-CSLS relying on selecting the specific RN, which  
552 promises the lowest transmit power  $P_{\mathcal{R}_i}$ .

553 Observe in Fig. 7 that the proposed WW cooperative MAC  
554 protocol is capable of providing a higher TTR improvement  
555 than Ran-CSLS, when  $\beta$  is increased. When an RN be-  
556 comes greedier, its target transmit rate is increased. This phe-  
557 nomenon increases the difference between the RN's transmit  
558 rate achieved by WW-CSLS and that achieved by Ran-CSLS  
559 when the RN that suffers from a low-quality RD link is selected  
560 by Ran-CSLS. Hence, the difference between the TTR of WW-  
561 CSLS and that of Ran-CSLS is increased when the RN becomes  
562 greedier. Considering the CSLS, where the RN altruistically  
563 forwards data for  $\mathcal{S}$ , the system's TTR is equal to the SN's rate.  
564 Hence, the system's TTR remains the same, regardless of which  
565 particular candidate RN is selected as the best RN when the  
566 RNs are altruistic, as shown in Fig. 7.

567 As shown in Fig. 7, the system's TTR achieved by our WW-  
568 CSLS is increased, when the network becomes larger. However,  
569 the effect of the network's size on the TTR achieved by Ran-  
570 CSLS is not as obvious as that on our WW-CSLS. When the  
571 network hosts more RNs, the number of candidate RNs may  
572 be increased. This phenomenon increases the probability that  
573 a low-quality RN having a lower transmit rate is selected as  
574 the best RN in Ran-CSLS. However, these low-quality RNs  
575 cannot win the cooperative transmission opportunity in our  
576 WW-CSLS if the specific RN promising a reduced transmit  
577 power also contends for the transmission opportunity. Hence,  
578 a higher TTR improvement is provided by the proposed WW  
579 cooperative MAC protocol, as the network becomes larger,  
580 as shown in Fig. 7. The given investigations imply that the  
581 proposed WW cooperative MAC protocol is capable of saving  
582 a substantial amount of transmit power while simultaneously

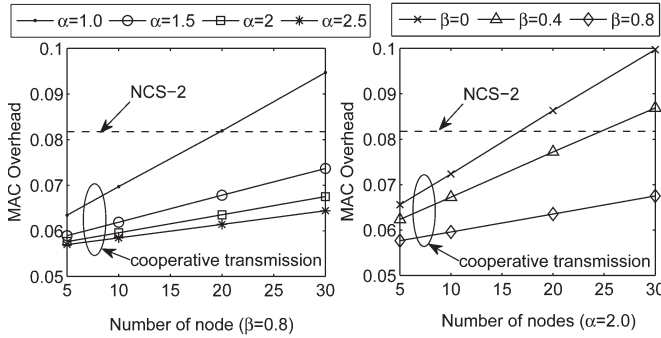


Fig. 8. MAC overhead for  $\beta = 0.8$  or  $\alpha = 2$ .

583 providing significant TTR improvements compared with  
584 Ran-CSLS.

### 585 C. MAC Overhead

586 Fig. 8 compares the MAC overhead of the proposed coop-  
587 erative MAC protocol with that of NCS-2, which is based on  
588 the RTS/CTS signaling regime of the IEEE 802.11 standards  
589 [22]. The MAC overhead is defined as the ratio of  $(\mathcal{N}_{\text{mac-c}} +$   
590  $\mathcal{N}_{\text{mac-h}} + \mathcal{N}_{\text{mac-t}})/\mathcal{N}_{\text{mac-d}}$ , where  $\mathcal{N}_{\text{mac-c}}$  denotes the num-  
591 ber of bits of all MAC control messages, and  $\mathcal{N}_{\text{mac-h}}$  and  
592  $\mathcal{N}_{\text{mac-t}}$  represent the number of header and tailing bits of the  
593 MAC data frame, respectively. Furthermore,  $\mathcal{N}_{\text{mac-d}}$  denotes  
594 the number of bits in the payload data packet, including the  
595 headers introduced by the higher layers. Observe in Fig. 8 that  
596 the MAC overhead of the proposed WW cooperative MAC  
597 protocol decreases, when either  $\alpha$  or  $\beta$  increases, because the  
598 number of candidate RNs is reduced, whereas the SN or the  
599 RN becomes greedier. Compared with the traditional RTS/CTS  
600 scheme specified in the IEEE 802.11 standards [22], the RRTS  
601 message and the PS message are introduced into our WW-CSLS  
602 to assist with RN selection if cooperation can be exploited.  
603 However, compared with NCS-2, the RN's data can be also  
604 transmitted with the aid of cooperation in WW-CSLS. Since  
605 the length of the RN's data frames is higher than that of the  
606 extra control messages, the MAC overhead introduced by our  
607 WW protocol is lower than that of the NCS-2 when the network  
608 size is smaller than  $u = 20$ . Although the overhead of our  
609 WW-CSLS becomes higher than that of NCS-2 when the  
610 network hosts more than  $u = 20$  nodes, the MAC overhead  
611 introduced by our WW protocol always remains lower than  
612 0.1 for  $\beta = 0.8$  or  $\alpha = 2$ .

### 613 D. Relay Behavior

614 To investigate the behavior of the relays, we analyze both the  
615 transmission probability and the achievable rate improvement  
616 of each RN for the configuration of  $\alpha = 2$  in the network  
617 hosting  $u = 5$  nodes, as shown in Fig. 9(a) and (b). Upon  
618 increasing  $\beta$ , the transmission probability of the RNs at " $d =$   
619  $1/4$ " and " $d = 1/2$ " decreases, whereas that of the RN at  
620 " $d = 3/4$ " increases, as shown in Fig. 9(a). The RN at " $d =$   
621  $3/4$ " always benefits from the highest transmission probability,  
622 whereas the RN at " $d = 1/4$ " has the lowest probability of  
623 cooperative opportunities. As a benefit of its highest transmis-  
624 sion probability, the RN at " $d = 3/4$ " maintains the highest

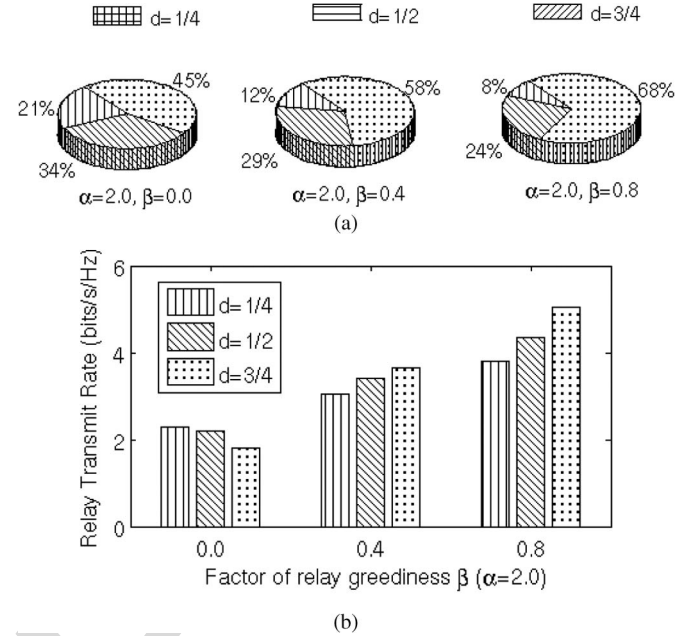


Fig. 9. RN transmission probability and the achievable rate improvement  
in a network hosting  $u = 5$  nodes, namely,  $S$ ,  $\mathcal{D}$ , and three RNs. (a) Relay  
transmission probability. (b) Relay achievable rate.

achievable rate improvement, which is above 5 bits/s/Hz for  
 $\beta = 0.8$  and  $\alpha = 2$ . The achievable RN-rate improvement at  
" $d = 1/4$ " is lower than that of the RN at " $d = 1/2$ ," as shown in  
Fig. 9(b). However, when the three RNs altruistically dedicate  
themselves solely to forwarding data frames for  $S$  ( $\beta = 0$ ), the  
achievable RN-rate improvement at " $d = 1/4$ " is higher than  
that of the other relays. Naturally, if the RNs become selfish,  
their improved transmission probability leads to an increased  
total throughput.

### E. Effect of Erroneous RTS Message

The contention caused by hidden SNs or RNs may corrupt  
the transmission of data and control messages. Apart from the  
effects of corrupted RTS messages, the erroneous transmission  
of both other control messages and of data have been considered  
in our WW cooperative MAC protocol. Hence, the effect of  
corrupted RTS messages on the system's transmit rate and on  
the ECR of  $E_{\text{rts-error}}/E_{\text{error-free}}$  that are achieved by our  
WW-CSLS are evaluated, as shown in Fig. 10(a) and (b). The  
variable  $E_{\text{rts-error}}$  denotes the system's total EC for WW-  
CSLS, where the RTS message may be corrupted. Furthermore,  
 $E_{\text{error-free}}$  is the system's total EC for WW-CSLS, where  
error-free control messages are assumed. It is observed in  
Fig. 10(a) and (b) that, when the RTS error probability is  
increased, the system's TTR is decreased, and an increased  
total system energy is dissipated by our WW-CSLS because  
having more potentially erroneous RTS transmissions reduces  
the probability of successful transmission, and the extra RTS  
message retransmissions consume extra energy.

### F. Effect of Imperfect Channel Estimation

To evaluate the overall system performance of our WW  
cooperative protocol in a more practical scenario, we now



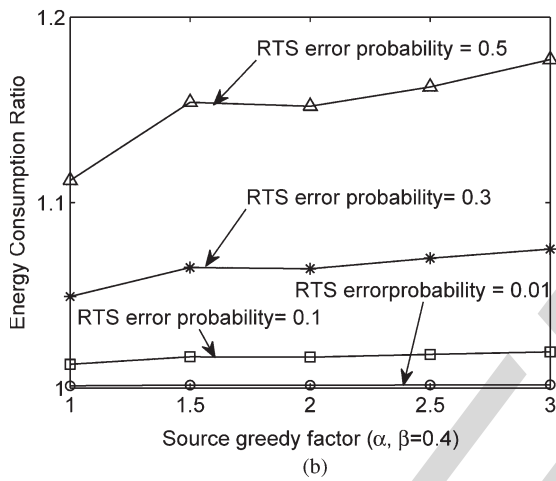
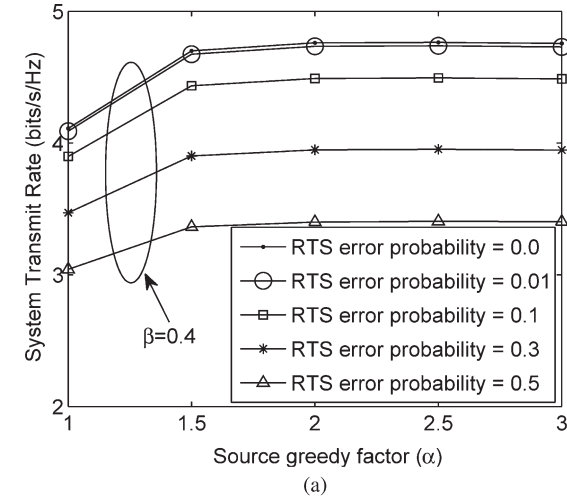


Fig. 10. System's total achievable transmit rate and system's ECR of  $E_{r_{ts}-error}/E_{error-free}$  versus the SN's greedy factor parameterized with different RTS message error probabilities. (a) System's TTR. (b) System's ECR of  $E_{r_{ts}-error}/E_{error-free}$ .

656 introduce Gaussian-distributed CSI estimation errors into our  
 657 WW-CSLS, instead of relying on the idealized simplifying  
 658 assumption of perfect CSI. The normalized mean square error  
 659 (NMSE) of the Gaussian channel estimation errors was defined  
 660 as  $10 \log(E\{\|h - \hat{h}\|^2\}/E\{\|h\|^2\})$  in decibels [27]. Compared  
 661 with the performance achieved by assuming perfect CSI, the  
 662 realistic imperfect channel estimation reduces the system's  
 663 attainable transmit rate and dramatically increases the system's  
 664 ECR of  $E_{error}/E_{perfect}$ , as shown in Fig. 11(a) and (b), respec-  
 665 tively. Variable  $E_{error}$  denotes the system's energy consumed  
 666 by the CSLS relying on realistic imperfect channel estimation,  
 667 whereas  $E_{perfect}$  denotes when perfect CSI is assumed. Based  
 668 on the given discussions, it is necessary to develop a more  
 669 robust cooperative MAC protocol to reduce the impact of  
 670 realistic imperfect channel estimation.

#### 671 G. Effect of Either Superposition Coding or Frame Combining

672 To evaluate the achievable TTR improvement jointly attained  
 673 by SPC and SIC, we compare the system's TTR achieved by  
 674 our WW-CSLS with that of the cooperative system operating  
 675 without exploiting these techniques, as shown in Fig. 12. Since  
 676 there are two data frames jointly conveyed by the RN to

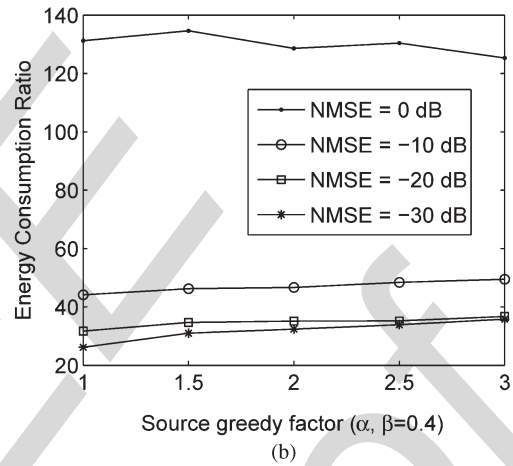
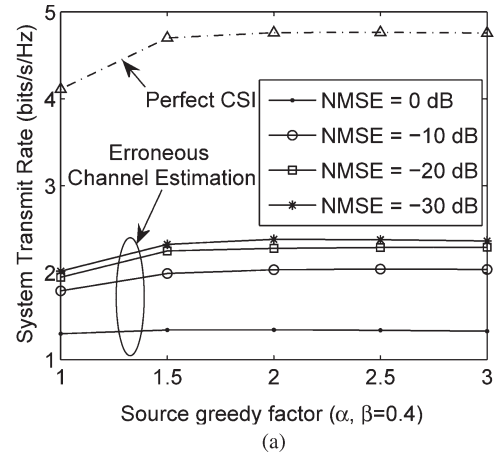


Fig. 11. System's total achievable transmit rate and system's ECR of  $E_{r_{ts}-error}/E_{error-free}$  versus the SN's greedy factor parameterized with different channel estimation NMSEs when  $\beta = 0.4$ . (a) System's TTR. (b) System's ECR of  $E_{r_{ts}-error}/E_{error-free}$ .

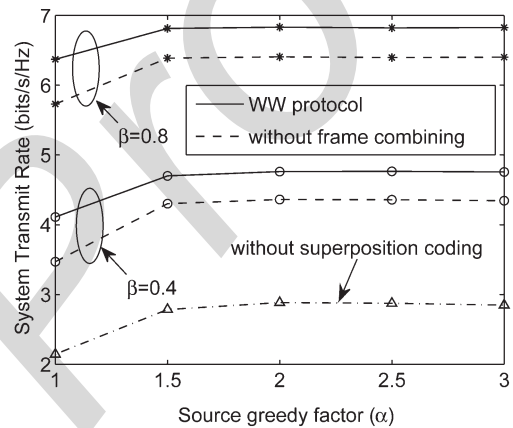


Fig. 12. System's total achievable transmit rate versus the SN's greedy factor both with and without SPC and SIC and frame combining.

$D$  in our WW-CSLS, the best RN, which does not exploit 677  
 SPC, is assumed to forward only the SN's data instead of the 678  
 SPC data. As shown in Fig. 12, the system's TTR may be 679  
 increased from 2.9 to 6.9 bits/s/Hz for  $\alpha = 2$  and  $\beta = 0.8$  by 680  
 jointly exploiting the SPC and SIC. Hence, these techniques are 681  
 capable of significantly improving the system's transmit rate. 682  
 To improve the SN's transmit rate,  $D$  invokes frame combining 683

684 for amalgamating both the direct and relayed SN data after  
 685 successfully separating the SN's and RN's data. Fig. 12 shows  
 686 the system's TTR improvement achieved by exploiting frame  
 687 combining.

688

## V. CONCLUSION

689 In this paper, we have formulated a distributed WW cooper-  
 690 ative framework for striking a tradeoff between the achievable  
 691 system rate improvement and EC and for granting transmission  
 692 opportunities for the unlicensed RNs. Furthermore, a WW  
 693 cooperative MAC layer protocol was proposed for implement-  
 694 ing our DWCF. When compared with the corresponding  
 695 noncooperative system, the proposed scheme is capable of  
 696 providing a considerable transmit rate and transmission EC  
 697 improvements. This was achieved with the aid of joint SPC at  
 698 the RN for both the SN's and RN's data and by combining the  
 699 SD and RD signals at the DN. Our future work will consider  
 700 similar interference-limited scenarios relying on a more robust  
 701 cooperative MAC design.

702

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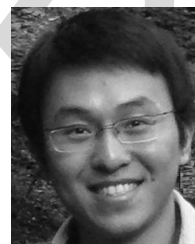
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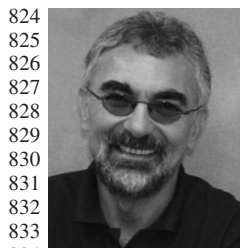


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AQ3 = What is the first initial of author Asaduzzaman?

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# Cooperative Medium Access Control Based on Spectrum Leasing

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**Abstract**—Based on cooperative spectrum leasing, a distributed “win–win” (WW) cooperative framework is designed to encourage the licensed source node (SN) to lease some part of its spectral resources to the unlicensed relay node (RN) for the sake of simultaneously improving the SN’s achievable rate and for reducing the energy consumption (EC). The potential candidate RNs carry out autonomous decisions concerning whether to contend for a cooperative transmission opportunity, which could dissipate some of their battery power, while conveying their traffic in light of their individual service requirements. Furthermore, a WW cooperative medium-access-control (MAC) protocol is designed to implement the proposed distributed WW cooperative framework. Simulation results demonstrate that our WW cooperative MAC protocol is capable of providing both substantial rate improvements and considerable energy savings for the cooperative spectrum leasing system.

**Index Terms**—Author, please supply index terms/keywords for your paper. To download the IEEE Taxonomy go to [http://www.ieee.org/documents/taxonomy\\_v101.pdf](http://www.ieee.org/documents/taxonomy_v101.pdf).

## I. INTRODUCTION

COOPERATIVE communications techniques have recently attracted substantial research attention [1] as a benefit of their significant throughput improvements, energy savings, and coverage enhancements. However, these benefits may be eroded by the conventional higher layer protocols, which were designed for classic noncooperative systems. Hence, it is important to design appropriate medium-access-control (MAC) protocols to support cooperative physical layer techniques.

In contrast with the legacy wireless MAC protocols, cooperative MAC protocols aim to cooperatively schedule the medium access of all nodes while allowing the relay nodes (RNs) to buffer and forward the others’ data frames using the broadcast nature of the wireless network, instead of ignoring these data frames. There are numerous contributions in the literature on designing cooperative MAC protocols, most of which aim to

maximize the throughput [2]–[6], including the widely recognized CoopMAC of [7]. However, a potential impediment of the CoopMAC is that its energy efficiency was traded off against the throughput benefits claimed. Therefore, [8]–[12] aimed to minimize the energy consumption (EC) by developing energy-efficient cooperative MAC protocols. To jointly consider these conflicting design objectives, Luo *et al.* [13] and Zhou *et al.* [14] designed meritorious algorithms to improve the achievable throughput and to simultaneously enhance the energy efficiency achieved.

However, the aforementioned cooperative MAC protocols, such as CoopMAC, were developed based on the common assumption that the relays agree to altruistically forward the data of the source node (SN). This unconditional altruistic behavior is unrealistic to expect from mobile stations. In fact, a greedy RN behavior is likely to be the norm in spectrum leasing [15], where the licensed SN intends to lease some part of its spectral resources to the unlicensed RN in exchange for appropriate “remuneration.” In this spectrum leasing system, the unlicensed RNs also have an incentive to support the SN to achieve its quality-of-service (QoS) target in exchange for a transmission opportunity. This cooperation allows both the SN and the RN to satisfy its individual requirement. Based on this cooperative spectrum leasing system, some early theoretical studies have been conducted in [16]–[21]. Bearing in mind the greedy behavior of the mobile RNs, meritorious game-theoretic frameworks were proposed in [16]–[19] to maximize the SN’s transmit rate while simultaneously satisfying the requirements of the RNs. Based on game theory, Hafeez and Elmirghani [20] and Jayaweera *et al.* [21] aimed to minimize the EC of cooperative spectrum leasing systems by designing beneficial game-aided strategies. However, the joint optimization of the transmit rate and of the EC has not been considered in these existing works. Furthermore, the design of an appropriate cooperative MAC protocol for practically implementing the theoretical framework was not discussed in [16]–[21].

Against this backdrop, the contributions of this paper are as follows.

- 1) We first formulate a distributed “win–win” (WW) cooperative framework (DWWCF) to encourage the SN to lease part of its spectral resources to the unlicensed RN for the sake of improving the SN’s transmit rate and for simultaneously reducing the SN’s EC while ensuring that the unlicensed RNs are capable of securing a transmission opportunity for *their own traffic* and for satisfying their QoS. Furthermore, the proposed DWWCF selects the

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85 best RN for the sake of *minimizing the system's transmit*  
 86 *power*.  
 87 2) Second, a WW cooperative MAC protocol is developed  
 88 to *practically implement* our DWWCF in a cooperative  
 89 spectrum leasing system (CSLS) by designing the re-  
 90 quired *signaling procedures* to implement the negotia-  
 91 tion between the SN and the greedy RN. Similarly, the  
 92 *frame structure* of both the data and control messages  
 93 is also conceived to convey all the required information.  
 94 Hence, the proposed WW cooperative MAC protocol is  
 95 a throughput- and energy-oriented protocol rather than  
 96 a single-objective cooperative MAC protocol, such as  
 97 CoopMAC [7], which is a throughput-oriented proto-  
 98 col. Furthermore, the proposed WW cooperative MAC  
 99 protocol is designed for more realistic scenario having  
 100 rewarded RNs rather than altruistic RNs, which was  
 101 considered in most existing cooperative MAC protocol,  
 102 such as the CoopMAC [7]. To simplify the signaling  
 103 procedures at the MAC layer, the proposed WW coop-  
 104 erative MAC protocol relies on a *distributed RN selection*  
 105 *scheme*, rather than either centralized or table-based RN  
 106 selection scheme, which was exploited by many coopera-  
 107 tive MAC protocols, such as CoopMAC [7], allowing the  
 108 SN to select the best RN relying on the global information  
 109 in the SN's CoopTable.  
 110 3) Additionally, in contrast with the RN's time/frequency  
 111 slot reservation strategy of [17], superposition coding  
 112 (SPC) is invoked at the RN for jointly encoding both  
 113 the SN's and RN's data based on a cooperative spectrum  
 114 leasing system. Fortunately, the resultant interference  
 115 can be eliminated at the destination node (DN) using  
 116 successive interference cancelation (SIC) to separate the  
 117 SN's and RN's data while beneficially amalgamating  
 118 both the direct and relayed components using frame  
 119 combining.

120 The remainder of this paper is organized as follows. The  
 121 network's architecture and our DWWCF are introduced in  
 122 Section II. Section III describes the proposed WW cooperative  
 123 MAC protocol, whereas in Section IV, the attainable perfor-  
 124 mance of our scheme is quantified. Finally, we conclude in  
 125 Section V.

## 126 II. SYSTEM MODEL AND DISTRIBUTED WIN-WIN 127 COOPERATIVE FRAMEWORK

### 128 A. System Model

129 Before embarking on outlining our DWWCF, we introduce  
 130 our network topology and outline our assumptions.

131 As shown in Fig. 1, we consider a cooperative network  
 132 having a single SN  $\mathcal{S}$  and a total of  $N$  RNs in the set  $\mathcal{R} =$   
 133  $\{\mathcal{R}_1, \dots, \mathcal{R}_N\}$ , as well as a common DN  $\mathcal{D}$ , where  $\mathcal{D}$  may be  
 134 a base station (BS) or an ad hoc cluster head. Both  $\mathcal{S}$  and  $\mathcal{D}$  are  
 135 granted access to the licensed spectrum, whereas the  $N$  RNs  
 136 are not licensees. To simplify our investigations, we made the  
 137 following assumptions. All the channels involved are assumed  
 138 to undergo quasi-static Rayleigh fading; hence, the complex-  
 139 valued fading envelope remains constant during a transmission

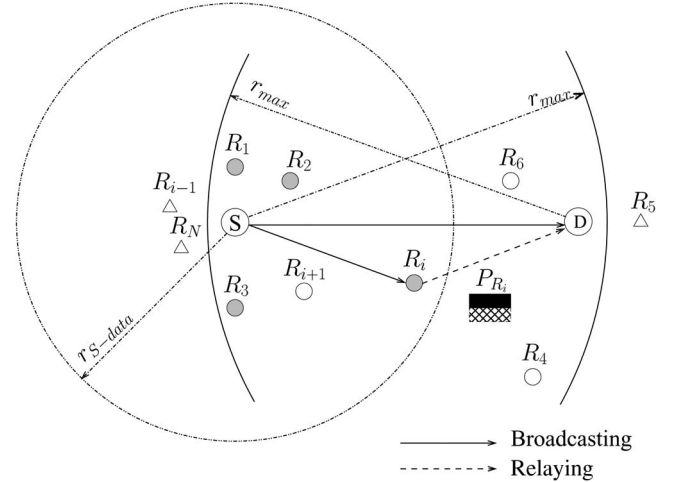


Fig. 1. Cooperative topology consists of one SN  $\mathcal{S}$ , one DN  $\mathcal{D}$ , and a total of  $N$  RNs  $\mathcal{R} = \{\mathcal{R}_1, \dots, \mathcal{R}_N\}$ .

burst,<sup>1</sup> whereas it is faded independently between the consec- 140  
 141 utive transmission bursts. Within a given transmission burst, the  
 142 duplex bidirectional channels between a pair of actively  
 143 communicating nodes are assumed to be identical, whereas  
 144 the channels of any of the remaining links are independent.  
 145 We assume perfect channel estimation for all nodes concerning  
 146 their own channels,<sup>2</sup> but no knowledge of the remaining links  
 147 is assumed. Additionally, the nodes' own position information  
 148 is perfectly known at each node. We consider the effects of  
 149 free-space path loss that is modeled by  $\rho = \lambda^2/16\pi^2d^\eta$ , where  
 150  $\lambda$  represents the wavelength,  $d$  is the transmitter-to-receiver  
 151 distance and  $\eta$  denotes the path-loss exponent, which is 2. All  
 152 nodes are assumed to be limited by the same maximum transmit  
 153 power  $P_{\max}$ .

### 154 B. Distributed WW Cooperative Framework

155 1) *SN's Behavior*: Rather than relying on monetary remu-  
 156 nation,  $\mathcal{S}$  in our DWWCF intends to lease part of its spec-  
 157 trum to the RNs in exchange for cooperatively supporting  
 158 the source's transmission. Based on the RN's assistance,  $\mathcal{S}$   
 159 is capable of successfully conveying its data at a *reduced*  
 160 transmit power of  $P_{S\text{-data}}$  and an *increased* transmit rate  
 161 of  $\alpha C_{S,D}^{\max}$  ( $\alpha \geq 1$ ), which is the SN's target transmit rate.  
 162 In greater detail,  $\alpha$  is the ratio of the desired and afford-  
 163 able throughput termed as the SN's "factor of greediness,"  
 164 whereas  $C_{S,D}^{\max}$  is the maximum achievable rate of the source-  
 165 to-destination (SD) link, which can be formulated as  $C_{S,D}^{\max} =$   
 166  $\log_2(1 + (\rho_{S,D}|h_{S,D}|^2P_{\max}/P_N))$ , where  $P_N$  is the power of  
 167 the additive white Gaussian noise, whereas  $|h_{S,D}|$  denotes the  
 168 magnitude of the flat Rayleigh channel between  $\mathcal{S}$  and  
 169  $\mathcal{D}$ . If  $\mathcal{S}$  cannot acquire any cooperative transmission assistance,  
 170 it directly transmits its data to  $\mathcal{D}$  at a higher transmit power 171

<sup>1</sup>We define a transmission burst as a single transmission attempt, excluding any subsequent retransmission attempts.

<sup>2</sup>The effect of realistic imperfect channel estimation is evaluated in Section IV-F.

172  $P_S^{nc}$  and lower transmit rate  $R_S^{nc}$ . Hence,  $\mathcal{S}$  has two Objective  
173 Functions (OF) in our DWWCF, which may be formulated as

$$\text{OF}_{S1} = \max \{ \xi_S \cdot R_S^{req} + (1 - \xi_S) \cdot R_S^{nc} \} \quad (1)$$

$$\text{OF}_{S2} = \min \{ \xi_S \cdot P_{S\text{-data}} + (1 - \xi_S) \cdot P_S^{nc} \} \quad (2)$$

174 subject to  $R_S^{req} = \alpha C_{S,D}^{\max} > R_S^{nc}$  and  $\alpha \geq 1$ , as well as  
175  $P_{S\text{-data}} < P_S^{nc}$ , where  $\xi_S$  denotes the cooperative probability  
176 of SN.

177 2) *RN's Behavior*: According to our DWWCF, the RN has  
178 an incentive to forward data for  $\mathcal{S}$  for the sake of accessing  
179 the SN's spectrum to convey its own traffic. The selfish RN  $\mathcal{R}_i$   
180 reserves a certain fraction of  $\beta C_{\mathcal{R}_i,D}^{\max}$  ( $0 < \beta < 1$ ) of the Relay-  
181 to-Destination (RD) channel's capacity for conveying its own  
182 traffic, where  $\beta$  is the RN's "factor of greediness" and  $C_{\mathcal{R}_i,D}^{\max}$  is  
183 given by:  $C_{\mathcal{R}_i,D}^{\max} = \log_2(1 + (\rho_{\mathcal{R}_i,D} |h_{\mathcal{R}_i,D}|^2 P_{\max}/P_N))$ , while  
184  $|h_{\mathcal{R}_i,D}|$  denotes the magnitude of the flat Rayleigh channel  
185 between  $\mathcal{R}_i$  as well as  $\mathcal{D}$ , and  $\rho_{\mathcal{R}_i,D}$  is the free-space path-  
186 loss gain between  $\mathcal{R}_i$  and  $\mathcal{D}$ . Based on our DWWCF, each  
187 RN  $\mathcal{R}_i$  carries out autonomous decisions concerning its own  
188 cooperative strategy by optimizing its own OF, which may be  
189 formulated as

$$\text{OF}_{RN1} = \max \{ \xi_{\mathcal{R}_i} \cdot \beta C_{\mathcal{R}_i,D}^{\max} \} \quad (3)$$

190 subject to  $0 < \beta < 1$ , where  $\xi_{\mathcal{R}_i}$  denotes the probability that  
191 RN  $\mathcal{R}_i$  is granted the transmission opportunity.

192 When the RNs provide cooperative transmission assis-  
193 tance, extra energy is dissipated when relaying data for  $\mathcal{S}$ .  
194 Hence, another OF is designed in our DWWCF to select the  
195 best RN, which may be formulated as

$$\text{OF}_{RN2} = \min \sum_{i=1}^N \{ \xi_{\mathcal{R}_i} \cdot P_{\mathcal{R}_i} \} \quad (4)$$

196 subject to  $\sum_{i=1}^N \xi_{\mathcal{R}_i} \leq 1$ , and  $P_{\mathcal{R}_i} \leq P_{\max}$ , where  $P_{\mathcal{R}_i}$  is the  
197 RN's transmit power required for successfully forwarding the  
198 SN's data and for simultaneously conveying its own data. Based  
199 on the above OFs, it is quite a challenge to mathematically  
200 solve these optimization problems in our DWWCF. Hence, we  
201 designed a WW cooperative MAC protocol to implement our  
202 DWWCF.

### 203 III. WIN-WIN COOPERATIVE MEDIUM ACCESS CONTROL 204 PROTOCOL DESCRIPTION

205 Based on the request-to-send/clear-to-send (RTS/CTS) sig-  
206 naling of the legacy IEEE 802.11 protocol, a WW cooperative  
207 MAC protocol is developed to implement our DWWCF, which  
208 is formulated in Section II-B. The proposed signaling procedure  
209 is detailed in Fig. 2, which includes three phases, as detailed in  
210 the following.

#### 211 A. Phase I: Initialization

212 Before  $\mathcal{S}$  transmits any data frame, it issues an RTS message  
213 to  $\mathcal{D}$  at the maximum transmission power  $P_{\max}$  to reserve the  
214 shared channel, as shown in Fig. 2. When  $\mathcal{D}$  correctly receives  
215 the RTS message, it replies with a CTS message, employing the

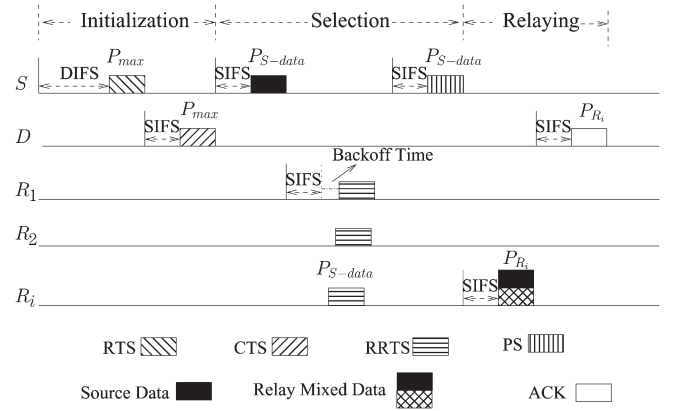


Fig. 2. Overall signaling procedure. RTS: Request-to-send. CTS: Clear-to-send. RRTS: Relay-request-to-send. PS: Please-send. ACK: Acknowledgement. DIFS: Distributed interframe space. SIFS: Short interframe space.

same transmission power  $P_{\max}$ . The instantaneous transmission  
216 ranges of the sources are shown in Fig. 1. To elaborate a little  
217 further, we include the transmitter's position information into  
218 the RTS and CTS signaling frame; thus, any RNs in the set  $\mathcal{R}$ ,  
219 which can overhear both the RTS and CTS messages, will be  
220 aware of the imminently forthcoming transmission and of the  
221 position information on  $\mathcal{S}$  and  $\mathcal{D}$ . Based on the knowledge of  
222 their own position and on the position of the SN and the DN,  
223 these RNs are capable of calculating the distances from both the  
224 SN and the DN to themselves. These RNs, which are denoted  
225 by filled or hollow circles in Fig. 1, form a potential cooperative  
226 RN set  $\mathcal{R}_c \subset \mathcal{R}$ .  
227

#### 228 B. Phase II: Relay Selection

229 Following the initialization phase, the RN selection proce-  
230 dure is constituted by a data transmission and two beacon  
231 message exchanges, as detailed in the following.

232 1) *Step I—Invitation for Cooperation*: If  $\mathcal{S}$  does not receive  
233 a CTS message from  $\mathcal{D}$ , it would retransmit the RTS message as  
234 specified in the legacy IEEE 802.11 protocol [22]. In contrast, if  
235  $\mathcal{S}$  receives a CTS message from  $\mathcal{D}$ , it broadcasts its data frame  
236 after a short interframe space (SIFS) interval at *reduced* power  
237 of  $P_{S\text{-data}}$  and *its target transmit rate* of  $\alpha C_{S,D}^{\max}$  ( $\alpha \geq 1$ ), as  
238 shown in Fig. 2. As a result, both  $\mathcal{D}$  and the RNs in the set  
239  $\mathcal{R}_c$  will hear this broadcast. When  $\alpha$  is higher than unity, the  
240 SN's data cannot be successfully transmitted to  $\mathcal{D}$  in its entirety.  
241 However,  $\mathcal{D}$  will store this data frame and exploits the classic  
242 Chase combining scheme [23] to combine it with the duplicated  
243 data frame independently transmitted by the potential candidate  
244 relays, for the sake of achieving rate improvements. Therefore,  
245 the SN's aggregated rate achieved by using Chase combining  
246 may be expressed as [24]

$$\alpha C_{S,D}^{\max} = \log_2 \left( 1 + \gamma_{S,D}^{(1)} + \gamma_{\mathcal{R}_i}^S \right) \quad (5)$$

247 subject to  $\alpha \geq 1$ , where  $\gamma_{S,D}^{(1)}$  denotes the receiver's signal-  
248 to-interference-plus-noise ratio (SINR) related to the direct  
249 transmission during the broadcast phase. Furthermore,  $\gamma_{\mathcal{R}_i}^S$   
250 represents the receive SINR of the SN's data frame, which is  
251 transmitted during the relaying phase to be introduced. Based

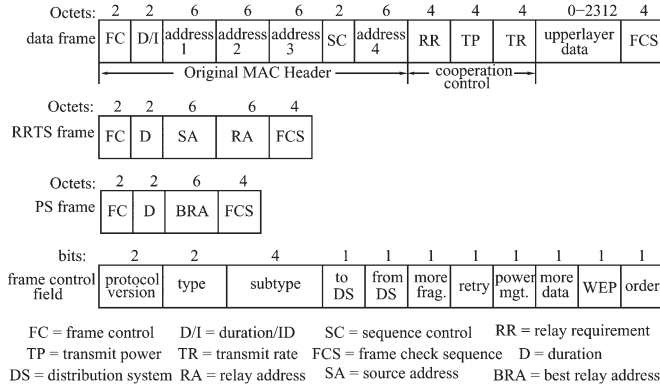


Fig. 3. Formats of the data frames, the RRTS message, and the PS message.

252 on the estimated channel state information (CSI) of the SD  
 253 link,  $\mathcal{S}$  first calculates the receive SINR of  $\gamma_{\mathcal{S},\mathcal{D}}^{(1)}$  achieved by  
 254 the direct transmission during the broadcast phase. Then, based  
 255 on  $\gamma_{\mathcal{S},\mathcal{D}}^{(1)}$  and (5),  $\mathcal{S}$  calculates the receive SINR of  $\gamma_{\mathcal{R}_i}^{\mathcal{S}}$ , which  
 256 must be guaranteed by the best RN and includes the value of  
 257  $\gamma_{\mathcal{R}_i}^{\mathcal{S}}$  into the relay requirement (RR) field of its data frame for  
 258 implicitly informing the RNs of the SN's transmit requirement  
 259  $\alpha C_{\mathcal{S},\mathcal{D}}^{\max}$ . The RNs in the vicinity, which correctly receive the  
 260 SN's data frame, are capable of inferring the value of  $\gamma_{\mathcal{R}_i}^{\mathcal{S}}$  by  
 261 reading the RR field of the appropriately designed cooperative  
 262 MAC data frame, as shown in Fig. 3.<sup>3</sup>

263 2) *Step II—Contend for Cooperation:* For clarity, we break  
 264 the discussion of this step into several subtopics, namely, the  
 265 cooperative decision, the backoff algorithm, and contention  
 266 message derivation.

267 *Cooperation decision:* If a particular RN  $\mathcal{R}_i \in \mathcal{R}_c$  erroneously  
 268 receives the data frame from  $\mathcal{S}$ ,  $\mathcal{R}_i$  would drop this data  
 269 frame and would keep on sensing the channel, as shown  
 270 in Table I. On the other hand, if cooperative RN  $\mathcal{R}_i \in$   
 271  $\mathcal{R}_c$  correctly receives a data frame from  $\mathcal{S}$ , it calculates  
 272 the transmit power  $P_{\mathcal{R}_i}^{\mathcal{S}}$  necessitated to satisfy the SN-  
 273 rate requirement and the transmit power  $P_{\mathcal{R}_i}^{\mathcal{R}}$  required to  
 274 guarantee a throughput of  $\beta C_{\mathcal{R}_i,\mathcal{D}}^{\max}$ . If the sum of transmit  
 275 power  $P_{\mathcal{R}_i} = P_{\mathcal{R}_i}^{\mathcal{S}} + P_{\mathcal{R}_i}^{\mathcal{R}}$  is higher than  $P_{\max}$ ,  $\mathcal{R}_i$  has to  
 276 give up contending for the cooperative opportunity and  
 277 drop this SN's data frame, as shown in Table I. On the  
 278 other hand, if  $P_{\mathcal{R}_i}$  does not exceed  $P_{\max}$ ,  $\mathcal{R}_i$  would send  
 279 a relay-request-to-send (RRTS) message to  $\mathcal{S}$  after waiting  
 280 for a SIFS interval and its backoff time, which is calculated  
 281 based on the proposed backoff algorithm for the sake of  
 282 contending for a transmission opportunity, as shown in  
 283 Table I. The RRTS message in Fig. 2 informs  $\mathcal{S}$  about  
 284 the RN's correct reception and its intention to cooperate.  
 285 Hence, the specific RNs, which decide to contend for the  
 286 transmission opportunity form a smaller contending set of  
 287  $\mathcal{R}_{cc} \in \mathcal{R}_c$ . These RNs are represented by the filled circles  
 288 in Fig. 1. It is noted that the value of  $P_{\mathcal{R}_i}$  is not included  
 289 in the RRTS message in Fig. 3 since the proposed backoff

<sup>3</sup>Apart from the cooperative control fields of the data frame, as shown in Fig. 3, the remaining fields are the same as those of the data frame specified in the IEEE 802.11 standards [22].

TABLE I  
 PROCEDURE OF THE RN SUBMISSION COOPERATIVE DECISION

0:	<b>if</b> erroneously receive data frame $Data_i$ from $\mathcal{S}$
1:	drop data frame $Data_i$
2:	<b>else</b>
3:	read the RN requirement $\gamma_{\mathcal{R}_i}^{\mathcal{S}}$
4:	calculate the values of $P_{\mathcal{R}_i}^{\mathcal{S}}$ , $P_{\mathcal{R}_i}^{\mathcal{R}}$ and $P_{\mathcal{R}_i}$
5:	<b>if</b> $P_{\mathcal{R}_i} \leq P_{\max}$
6:	calculate its backoff time $T_{\mathcal{R}_i,bo}$
7:	backoff for $T_{\mathcal{R}_i,bo}$ interval
8:	<b>if</b> $T_{\mathcal{R}_i,bo}$ timeout
9:	send RRTS to $\mathcal{S}$
10:	wait for PS message
11:	<b>else</b>
12:	keep backoff
13:	<b>else</b>
14:	drop data frame $Data_i$

algorithm can identify the different values of  $P_{\mathcal{R}_i}$  promised 290  
 by the contending RNs. 291

*Backoff algorithm:* To minimize the total transmit power of 292  
 the RNs, which is formulated by (4), we design a backoff 293  
 algorithm to select the best RN. As shown in Fig. 2, before 294  
 issuing the RRTS message, the RN  $\mathcal{R}_i \in \mathcal{R}_{cc}$  has to wait 295  
 for a SIFS interval and for subsequent backoff duration 296  
 of  $T_{\mathcal{R}_i,bo}$ , which is defined as  $T_{\mathcal{R}_i,bo} = \varphi_{\mathcal{R}_i} T_w$ , where 297  
 $T_w = CW_{\min} \cdot SlotTime$  is the contention window (CW) 298  
 length,<sup>4</sup> with  $CW_{\min}$  being the minimum CW duration 299  
 specified in the IEEE802.11 standards [22]. The coefficient 300  
 $\varphi_{\mathcal{R}_i}$  is defined as  $\varphi_{\mathcal{R}_i} = P_{\mathcal{R}_i^{\min}}/P_{\max}$ . Hence, the specific 301  
 candidate RN, which promises the lowest transmit power, 302  
 may first transmit its RRTS message as a benefit of its 303  
 shortest backoff time. In each RN selection phase,  $\mathcal{S}$  has 304  
 to wait for a fixed period of  $(T_w + SlotTime)$  to collect the 305  
 responses of the potential candidate RNs. If  $\mathcal{S}$  correctly re- 306  
 ceives the RRTS message before its fixed waiting duration 307  
 times out, it selects the transmitter of that specific RRTS, 308  
 which was the first one to be correctly received as the 309  
 best RN, without considering the RRTS messages arriving 310  
 later and without comparing the specific transmit power 311  
 promised by the individual candidate RNs. Hence, the best 312  
 RN is selected in a distributed manner both without a cen- 313  
 tralized controller and without any information exchange 314  
 between the candidate RNs. Since the value of  $P_{\mathcal{R}_i^{\min}}$  315  
 promised by the candidate RN  $\mathcal{R}_i$  is always lower than 316  
 $P_{\max}$ , the backoff time allocated to  $\mathcal{R}_i$  will not exceed the 317  
 SN's fixed waiting duration of  $(T_w + SlotTime)$ . Hence, all 318  
 the candidate RNs may issue their RRTS messages before 319  
 $\mathcal{S}$  stops waiting for the responses. 320

*Contention message derivation:* According to our backoff al- 321  
 gorithm, the specific RN promising the lowest power may 322  
 be granted the transmission opportunity to minimize the 323  
 total transmit power of RNs. Hence, the greedy RN has 324  
 to minimize its transmit power by *only* satisfying its rate 325  
 requirement of  $\beta C_{\mathcal{R}_i,\mathcal{D}}^{\max}$  to wait for a shorter backoff time, 326

<sup>4</sup>In the IEEE 802.11 standard, a SlotTime consists of the time required to physically sense the medium and to declare the channel as "clear," as well as the MAC processing delay, the propagation delay, and the "receiver/transmitter turn-around time," which is the time required for the physical layer to change from receiving to transmitting at the start of the first bit [22].



327 which is calculated based on the proposed backoff algo-  
328 rithm. Therefore, we have

$$P_{\mathcal{R}_i^{\min}} \left( P_{\mathcal{R}_i^{\min}}^S, P_{\mathcal{R}_i^{\min}}^R \mid \alpha, \beta \right) = P_{\mathcal{R}_i^{\min}}^S + P_{\mathcal{R}_i^{\min}}^R \quad (6)$$

329 subject to the condition of  $C_{\mathcal{R}_i^{\min}}^R = \beta C_{\mathcal{R}_i^{\min}, \mathcal{D}}^{\max}$  and  $\alpha > 1$ , as  
330 well as  $0 < \beta < 1$ .

331 Let us now consider how to find  $P_{\mathcal{R}_i^{\min}}^S$  and  $P_{\mathcal{R}_i^{\min}}^R$  of (6). In  
332 our design, the RN employs SPC for jointly encoding both the  
333 SN's and its own data.  $\mathcal{D}$  then extracts the SN's data from  
334 the relayed composite signal with the aid of SIC. Finally, the  
335 extracted relayed component and the direct component are  
336 combined. Assuming that  $\mathcal{D}$  treats the RN's data frame as  
337 interference, the receive SINR  $\gamma_{\mathcal{R}_i^{\min}}^S$  of the SN's data frame re-  
338 layed by the RN is given by  $\gamma_{\mathcal{R}_i^{\min}}^S = (\rho_{\mathcal{R}_i^{\min}, \mathcal{D}} |h_{\mathcal{R}_i^{\min}, \mathcal{D}}|^2 P_{\mathcal{R}_i^{\min}}^S) / (P_N +$   
339  $\rho_{\mathcal{R}_i^{\min}, \mathcal{D}} |h_{\mathcal{R}_i^{\min}, \mathcal{D}}|^2 P_{\mathcal{R}_i^{\min}}^R)$ . After successfully retrieving the SN's data  
340 frame,  $\mathcal{D}$  becomes capable of decoding the RN's data frame by  
341 removing the SN's interference with the aid of a SIC scheme  
342 [25]. Hence, the achievable rate of the RN may be formulated as  
343  $C_{\mathcal{R}_i^{\min}}^R = \log_2(1 + (\rho_{\mathcal{R}_i^{\min}, \mathcal{D}} |h_{\mathcal{R}_i^{\min}, \mathcal{D}}|^2 P_{\mathcal{R}_i^{\min}}^R / P_N))$ . According to the  
344 relaying strategy employed, the RN calculates the minimum  
345 power required for the rate  $C_{\mathcal{R}_i^{\min}}^R$  to reach  $\beta C_{\mathcal{R}_i^{\min}, \mathcal{D}}^{\max}$ . Thus, the  
346 value of  $P_{\mathcal{R}_i^{\min}}^R$  is explicitly given as  $P_{\mathcal{R}_i^{\min}}^R = ((2^{\beta C_{\mathcal{R}_i^{\min}, \mathcal{D}}^{\max}} -$   
347  $1)P_N) / (\rho_{\mathcal{R}_i^{\min}, \mathcal{D}} |h_{\mathcal{R}_i^{\min}, \mathcal{D}}|^2)$ , which is subjected to  $0 < \beta < 1$ .  
348 Likewise, based on the metrics of  $\gamma_{\mathcal{R}_i^{\min}}^S$  and  $P_{\mathcal{R}_i^{\min}}^R$ , the RN  
349 is capable of calculating the transmit power  $P_{\mathcal{R}_i^{\min}}^S$  required for  
350 successfully delivering the SN's data at a throughput of  $\alpha C_{\mathcal{R}_i^{\min}, \mathcal{D}}^{\max}$ ,  
351 which is given by  $P_{\mathcal{R}_i^{\min}}^S = \gamma_{\mathcal{R}_i^{\min}}^S ((P_N / \rho_{\mathcal{R}_i^{\min}, \mathcal{D}} |h_{\mathcal{R}_i^{\min}, \mathcal{D}}|^2) + P_{\mathcal{R}_i^{\min}}^R)$ ,  
352 where  $\gamma_{\mathcal{R}_i^{\min}}^S$  has been given in Step I. Based on the given  
353 derivation,  $\mathcal{R}_i$  calculates the value of  $P_{\mathcal{R}_i^{\min}}^{\min}$  as the sum of  $P_{\mathcal{R}_i^{\min}}^S$   
354 and  $P_{\mathcal{R}_i^{\min}}^R$ .

355 3) *Step III—Accept for Cooperation*: After waiting for the  
356 fixed duration of  $(T_w + \text{SlotTime})$  specified by the proposed  
357 backoff algorithm and for a subsequent SIFS interval,  $\mathcal{S}$  replies  
358 to the best RN  $\mathcal{R}_i$  associated with the first RRTS message that  
359 was correctly received by sending a please-send (PS) message if  
360  $\mathcal{S}$  correctly received the RRTS message during its fixed waiting  
361 period of  $(T_w + \text{SlotTime})$ , as shown in Fig. 2 and Table II. The  
362 format of the PS frame is characterized in Fig. 3. Since the SN  
363 sends its data frame and PS message at the same transmission  
364 power of  $P_{S\text{-data}}$ , all the RNs, which have correctly received  
365 the data frame from the SN will overhear the PS message. This  
366 guarantees that only the best RN forwards its data frame to  $\mathcal{D}$   
367 during the data-forwarding phase.

### 368 C. Phase III: Cooperative Transmission

369 In this phase, the best RN  $\mathcal{R}_i$  forwards the superimposed SR  
370 data to  $\mathcal{D}$  if  $\mathcal{S}$  successfully selects the best RN. Otherwise,  $\mathcal{S}$   
371 retransmits its data frame to  $\mathcal{D}$ , as shown in Fig. 2 and Table II.  
372 1) *Data Forwarding and Relay Retransmission*: If RN  $\mathcal{R}_i \in$   
373  $\mathcal{R}_{cc}$  finds that the receiver of the received PS message is not  
374 itself, it would drop the SN's data and would keep on sensing  
375 the medium. On the other hand, if the RN  $\mathcal{R}_i \in \mathcal{R}_{cc}$  received  
376 a PS message that is destined for itself, it will encode both the  
377 SN's and its data with the aid of SPC and will forward the super-

TABLE II  
PROCEDURE OF SN

0:	$\mathcal{S}$ broadcasts data $Data_i$ at power $P_{S\text{-data}}$
1:	$\mathcal{S}$ waits for the fixed duration of $(T_w + \text{SlotTime})$
2:	if $\mathcal{S}$ receives RRTS message
3:	sends PS message to the best RN
4:	<b>else</b>
5:	compute power $P_{S\text{-data}}^{(2)}$
6:	<b>if</b> $P_{S\text{-data}}^{(2)} \leq P_{max}$
7:	send data to $\mathcal{D}$ at power $P_{S\text{-data}}^{(2)}$
8:	<b>else</b>
9:	send data to $\mathcal{D}$ at power $P_{max}$
10:	wait for ACK message
11:	<b>if</b> ACK timeout
12:	$\mathcal{S}$ broadcast data $Data_i$ again
13:	<b>else</b>
14:	$\mathcal{S}$ send RTS message for transmitting a new data

imposed SR data frame to  $\mathcal{D}$  at its precalculated transmission 378  
power of  $P_{\mathcal{R}_i^{\min}}^R$  after an SIFS period, acting as the best RN, 379  
as shown in Fig. 2. Finally, at the DN, the classic automatic 380  
repeat request procedure will be initiated, when receiving the 381  
forwarded data and successfully decoding and combing it with 382  
the most recent direct transmission during Step I of Phase II. 383

2) *Source Retransmission*: If none of the RNs competes for 384  
a transmission opportunity or multiple RRTS messages collided 385  
at the SN,  $\mathcal{S}$  directly sends its data to  $\mathcal{D}$  as a replica without 386  
relaying. This transmission takes place either at the specific 387  
transmit power of  $P_{S\text{-data}}^{(2)}$ , which is capable of guaranteeing 388  
the expected rate of  $\alpha C_{\mathcal{R}_i^{\min}, \mathcal{D}}^{\max}$ , or failing that, it resorts to using 389  
the maximum affordable transmit power of  $P_{max}$ , as shown 390  
in Table II. If  $\mathcal{D}$  receives this data frame, it replies with an 391  
acknowledgment (ACK) message to  $\mathcal{S}$  after successfully de- 392  
coding and combining the frame with the most recent erroneous 393  
data frame broadcast by  $\mathcal{S}$ . If  $\mathcal{S}$  does not receive any response 394  
from  $\mathcal{D}$  before the timer set for waiting for an ACK message 395  
is expired, it will broadcast its data again at power of  $P_{S\text{-data}}$  396  
to seek cooperation, and the RN selection procedure described 397  
earlier is repeated, as shown in Table II. 398

## IV. SIMULATION RESULTS

To evaluate the achievable performance of the proposed 400  
scheme, we present our simulation results based on Omnet++. 401  
Based on the network model introduced in Section II-A, we 402  
consider two scenarios to investigate both the achievable rate 403  
and EC improvement, and to analyze the RN's behavior. 404  
In the first scenario, all the RNs are randomly distributed across 405  
the entire network area, whereas  $\mathcal{S}$  and  $\mathcal{D}$  have fixed positions. 406  
The network size considered ranges from  $u = 5$  nodes to  $u = 407$   
30 nodes for the sake of evaluating the influence of the size 408  
of the networks on the achievable rate and EC. In the other sce- 409  
nario, we consider a small network supporting  $u = 5$  nodes, i.e., 410  
 $\mathcal{S}$ ,  $\mathcal{D}$ , and three RNs, where all the nodes have fixed positions. 411  
One of the three RNs is located at the position of  $d = 1/4$  along 412  
the SD link. Another RN is in the middle of the SD link at 413  
 $d = 1/2$ , whereas the third RN is at the point  $d = 3/4$  of the SD 414  
link. In the given two scenarios, the values of  $P_{max}$  and  $P_{S\text{-data}}$  415  
are 2 and 1 mW, respectively. The size of CWmin is 7, whereas 416  
SlotTime is set to 20  $\mu\text{s}$ . Furthermore, the length of SIFS is 417

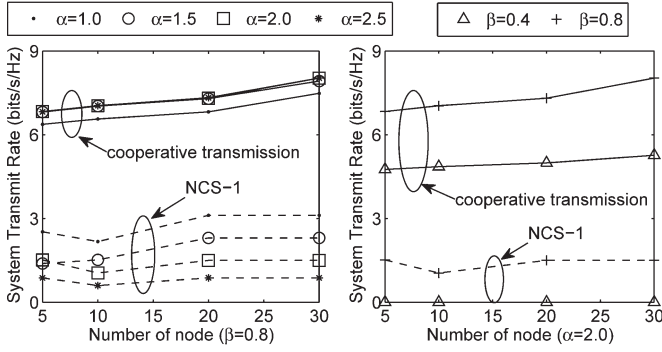


Fig. 4. System's total achievable rate improvement.

418  $10 \mu\text{s}$ . The length of the data frame generated at the application  
 419 layer is 1024 B. The length of the RRTS and PS messages is  
 420 20 B and 14 B, respectively, whereas that of the RTS and  
 421 CTS is 24 and 18 B. The greedy factor  $\alpha$  ranges from 1 to 3,  
 422 whereas the value of  $\beta$  ranges from 0 to 0.8. Both  $\alpha$  and  $\beta$  are  
 423 predetermined for each simulation.

424 Two noncooperative systems are introduced as the bench-  
 425 markers of our comparisons. We compare the system's achiev-  
 426 able total transmit rate (TTR) constituted by the sum of the  
 427 SN's and RN's transmit rate to that of the noncooperative  
 428 system 1 (NCS-1), which consumes the same total transmission  
 429 energy as our CSLS (WW-CSLS). Additionally, we compare  
 430 the total transmission EC to that of the noncooperative system 2  
 431 (NCS-2), which is capable of achieving the same TTR as our  
 432 WW-CSLS. Since the SN's data is transmitted twice by itself  
 433 and additionally by the best RN, if the cooperative transmission  
 434 is successful, two direct transmission phases are exploited in  
 435 both NCS-1 and NCS-2. When aiming for investigating the  
 436 effect of our relay selection scheme, we compare the achievable  
 437 performance of our WW-CSLS to that of a random CSLS  
 438 (Ran-CSLS), where the best RN is randomly selected with-  
 439 out considering the transmit power required for providing a  
 440 successful cooperative transmission. To evaluate their perfor-  
 441 mance, we adopt the idealized simplifying assumption that the  
 442 control messages are received without errors in both NCS-1  
 443 and NCS-2, as well as in WW-CSLS. In Sections IV-E and F,  
 444 we investigated a more practical network.

#### 445 A. Effect of Cooperative Transmission

446 Let us now investigate the effects of cooperative transmission  
 447 on the TTR and EC by comparing the performance achieved in  
 448 the first scenario and NCS-1 and in NCS-2.

449 1) *Achievable Transmit Rate:* Fig. 4 compares the system's  
 450 TTR, namely, the sum of both the SN's rate and the RN's rate  
 451 achieved by the WW-CSLS relying on our WW cooperative  
 452 MAC protocol to that of NCS-1. It is observed in Fig. 4 that,  
 453 as expected, the system's achievable TTR relying on our WW-  
 454 CSLS is higher than 6 bit/s/Hz, even for  $\alpha = 1$  and  $\beta = 0.8$ ,  
 455 which is more than twice as high as that achieved by NCS-1,  
 456 which consumes the same total transmission energy, given the  
 457 same values of  $\alpha$  and  $\beta$ . Additionally, for  $\beta = 0.4$  and  $\alpha = 2$ ,  
 458 the system's TTR achieved by our WW-CSLS is in excess of  
 459 4 bit/s/Hz, while in fact, no successful transmissions may be

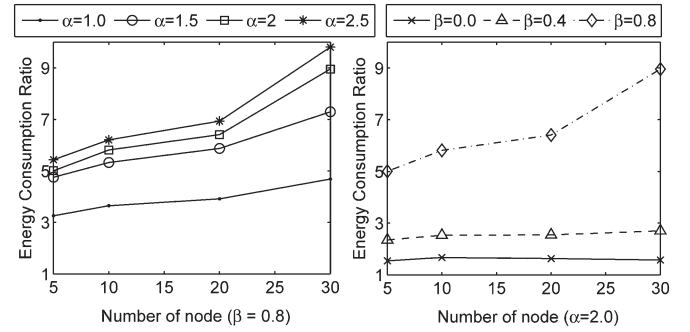


Fig. 5. Energy consumption ratio of  $E_{\text{noncoop}}/E_{\text{coop}}$ .

460 supported in NCS-1 for the same values of  $\alpha$  and  $\beta$  due to  
 461 the system's low EC. Hence, the proposed WW cooperative  
 462 MAC protocol is capable of providing a considerable TTR im-  
 463 provement, despite consuming low energy. As shown in Fig. 4,  
 464 the system's TTR achieved by our WW-CSLS is increased,  
 465 when  $S$  becomes greedier due to the SN's increased transmit  
 466 rate requirement. Additionally, when  $\beta$  is increased, the best  
 467 RN will be rewarded by a considerably higher rate for its own  
 468 traffic, provided that the cooperation is successful. Hence, the  
 469 system's TTR is increased, when the RN becomes greedier,  
 470 as shown in Fig. 4. Moreover, the achievable TTR of our  
 471 WW-CSLS is gradually increased, when the network becomes  
 472 larger. The above investigations imply that the proposed WW  
 473 cooperative MAC protocol is capable of providing significant  
 474 TTR improvements.

475 2) *Energy Consumption:* Fig. 5 shows the achievable EC  
 476 ratio (ECR) of  $E_{\text{noncoop}}/E_{\text{coop}}$ , where  $E_{\text{coop}}$  denotes the sys-  
 477 tem's total transmission EC<sup>5</sup> for our cooperative MAC protocol  
 478 and  $E_{\text{noncoop}}$  represents that of NCS-2, which is capable of  
 479 achieving the same system's TTR as our WW-CSLS. As shown  
 480 in Fig. 5, compared with NCS-2, two third of the system's  
 481 total energy may be saved by exploiting the proposed WW  
 482 cooperative MAC protocol, given  $\beta = 0.8$ . The EC  $E_{\text{coop}}$  of  
 483 our WW-CSLS is reduced when  $S$  becomes greedier, which  
 484 can be also characterized by the TTR of NCS-1 in Fig. 4.  
 485 By contrast, the EC  $E_{\text{noncoop}}$  of NCS-2 is slightly increased,  
 486 when  $S$  becomes greedier due to the slightly increased system  
 487 rate of WW-CSLS. Hence, the ECR is increased, when  $S$   
 488 becomes greedier, as shown in Fig. 5. As  $\beta$  is increased, the  
 489 system's ECR is increased from 1.5 to 5 for  $\alpha = 2$  and  $u = 5$ ,  
 490 as shown in Fig. 5. When the RNs become greedier, fewer  
 491 RNs can afford the increased power required for successfully  
 492 forwarding the SPC data. However, the transmit rate achieved  
 493 by the best RN is considerably increased. Hence, an increased  
 494 total energy is required by NCS-2 for the sake of achieving the  
 495 same system rate as our WW-CSLS. Therefore, the system's  
 496 ECR of  $E_{\text{noncoop}}/E_{\text{coop}}$  is increased when the RN becomes  
 497 greedier. Based on the given discussions, the proposed WW co-  
 498 operative MAC protocol is capable of achieving a considerable  
 499 system rate improvement while offering a satisfactory energy  
 500 efficiency.

<sup>5</sup>It is reasonable to focus on the transmission EC and ignore the circuit processing EC in a large network where the transmission EC is dominant in the total EC [26].

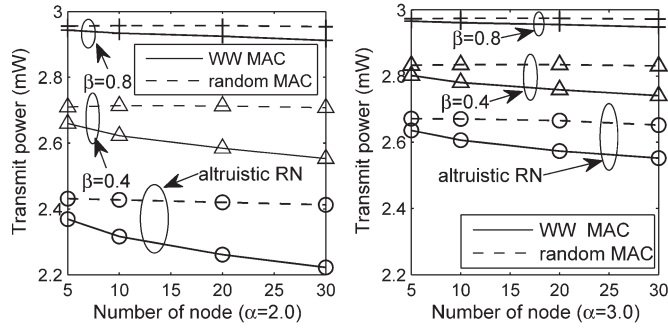


Fig. 6. System data transmit power consumed by our WW-CSLS and Ran-CSLS.

### 501 B. Effect of Relay Selection

502 Let us now investigate the effect of the proposed RN selec-  
503 tion scheme by evaluating the achievable performance of our  
504 WW-CSLS and Ran-CSLS, where the best RN is randomly  
505 selected.

506 1) *Transmit Power*: According to the proposed WW co-  
507 operative MAC protocol, the specific RN that promises the  
508 lowest transmit power  $P_{R_i}$  required for successfully conveying  
509 superposition-coded data is selected as the best RN. However,  
510 the best RN is randomly selected in Ran-CSLS without consid-  
511 ering any system parameters, such as the transmit power  $P_{R_i}$ .  
512 Hence, the RN's transmit power  $P_{R_i}$  is the crucial parameter for  
513 investigating the effect of the proposed RN selection scheme.  
514 Fig. 6 quantifies the system's total data transmit power (TDTP)  
515 for our WW-CSLS and that is consumed in Ran-CSLS. The  
516 system's TDTP is defined as the sum of the SN's transmit power  
517 required for conveying its data plus the RN's transmit power  
518 necessitated for delivering the superposition-coded data.

519 Based on the proposed backoff algorithm, the system's TDTP  
520 consumed in the WW-CSLS is lower than that of the Ran-  
521 CSLS, as shown in Fig. 6. When the SN or RN becomes greed-  
522 ier, less RNs can afford the increased transmit power required  
523 to provide successful cooperative transmission assistance. This  
524 phenomenon increases the probability that the same RN is  
525 selected as the best RN in both WW-CSLS and Ran-CSLS.  
526 Hence, the difference between the TDTP of our WW-CSLS and  
527 that of Ran-CSLS is reduced when either  $\alpha$  or  $\beta$  is increased,  
528 as shown in Fig. 6. Moreover, the TDTP of both WW-CSLS  
529 and of the Ran-CSLS is reduced when the network hosts more  
530 RNs due to the increased probability of having RNs, which  
531 promise to reduce the transmit power in comparison with a  
532 smaller network. However, the probability of the event that a  
533 low-quality RN, namely, one which requires a higher transmit  
534 power than other RNs, is selected as the best RN in the Ran-  
535 CSLS is increased, when the network becomes larger. Hence,  
536 compared with Ran-CSLS, an increased TDTP is saved by our  
537 WW-CSLS when the network's size is increased.

538 2) *Achievable Transmit Rate*: Fig. 7 compares the system's  
539 TTR, namely, the sum of both the SN's rate and the RN's rate  
540 achieved by our WW-CSLS to that achieved by Ran-CSLS.  
541 As shown in Fig. 7, the system's achievable TTR relying on  
542 WW-CSLS is 8 bit/s/Hz for  $\beta = 0.8$  and  $u = 30$ , whereas a  
543 lower TTR of 6.5 bit/s/Hz is achieved by Ran-CSLS, given  $\beta$   
544 and the network size. Compared with Ran-CSLS, the system's

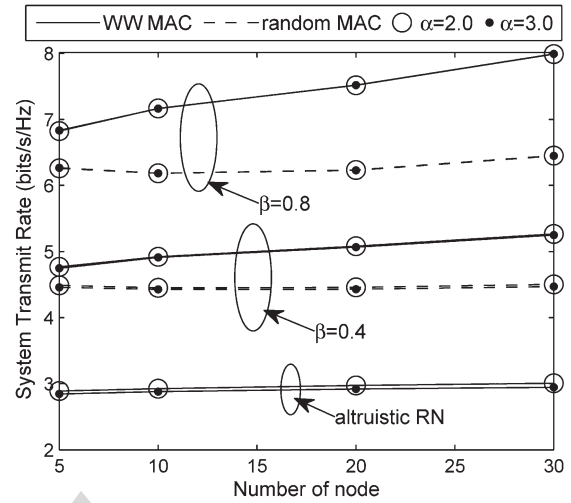


Fig. 7. System's total achievable rate improvement of our WW-CSLS and Ran-CSLS.

TTR can be improved by our WW-CSLS, even for lower  $\beta$  545  
546 values and for smaller networks, e.g., for  $\beta = 0.4$  and  $u = 5$ ,  
547 as shown in Fig. 7. Based on WW-CSLS, the specific RN that  
548 promises lower transmit power of  $P_{R_i}$  may achieve a higher  
549 transmit rate of  $\beta C_{R_i,D}^{\max}$  due to having an improved RD link.  
550 Hence, compared with Ran-CSLS, a higher TTR is achieved  
551 by our WW-CSLS relying on selecting the specific RN, which  
552 promises the lowest transmit power  $P_{R_i}$ .

553 Observe in Fig. 7 that the proposed WW cooperative MAC  
554 protocol is capable of providing a higher TTR improvement  
555 than Ran-CSLS, when  $\beta$  is increased. When an RN be-  
556 comes greedier, its target transmit rate is increased. This phe-  
557 nomenon increases the difference between the RN's transmit  
558 rate achieved by WW-CSLS and that achieved by Ran-CSLS  
559 when the RN that suffers from a low-quality RD link is selected  
560 by Ran-CSLS. Hence, the difference between the TTR of WW-  
561 CSLS and that of Ran-CSLS is increased when the RN becomes  
562 greedier. Considering the CSLS, where the RN altruistically  
563 forwards data for  $\mathcal{S}$ , the system's TTR is equal to the SN's rate.  
564 Hence, the system's TTR remains the same, regardless of which  
565 particular candidate RN is selected as the best RN when the  
566 RNs are altruistic, as shown in Fig. 7.

567 As shown in Fig. 7, the system's TTR achieved by our WW-  
568 CSLS is increased, when the network becomes larger. However,  
569 the effect of the network's size on the TTR achieved by Ran-  
570 CSLS is not as obvious as that on our WW-CSLS. When the  
571 network hosts more RNs, the number of candidate RNs may  
572 be increased. This phenomenon increases the probability that  
573 a low-quality RN having a lower transmit rate is selected as  
574 the best RN in Ran-CSLS. However, these low-quality RNs  
575 cannot win the cooperative transmission opportunity in our  
576 WW-CSLS if the specific RN promising a reduced transmit  
577 power also contends for the transmission opportunity. Hence,  
578 a higher TTR improvement is provided by the proposed WW  
579 cooperative MAC protocol, as the network becomes larger,  
580 as shown in Fig. 7. The given investigations imply that the  
581 proposed WW cooperative MAC protocol is capable of saving  
582 a substantial amount of transmit power while simultaneously

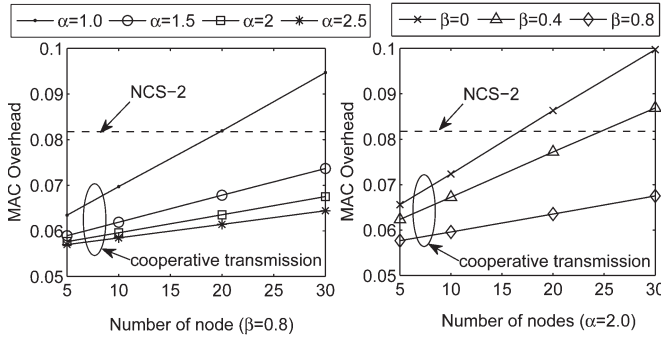


Fig. 8. MAC overhead for  $\beta = 0.8$  or  $\alpha = 2$ .

583 providing significant TTR improvements compared with  
584 Ran-CSLS.

### 585 C. MAC Overhead

586 Fig. 8 compares the MAC overhead of the proposed coop-  
587 erative MAC protocol with that of NCS-2, which is based on  
588 the RTS/CTS signaling regime of the IEEE 802.11 standards  
589 [22]. The MAC overhead is defined as the ratio of  $(\mathcal{N}_{\text{mac-c}} +$   
590  $\mathcal{N}_{\text{mac-h}} + \mathcal{N}_{\text{mac-t}})/\mathcal{N}_{\text{mac-d}}$ , where  $\mathcal{N}_{\text{mac-c}}$  denotes the num-  
591 ber of bits of all MAC control messages, and  $\mathcal{N}_{\text{mac-h}}$  and  
592  $\mathcal{N}_{\text{mac-t}}$  represent the number of header and tailing bits of the  
593 MAC data frame, respectively. Furthermore,  $\mathcal{N}_{\text{mac-d}}$  denotes  
594 the number of bits in the payload data packet, including the  
595 headers introduced by the higher layers. Observe in Fig. 8 that  
596 the MAC overhead of the proposed WW cooperative MAC  
597 protocol decreases, when either  $\alpha$  or  $\beta$  increases, because the  
598 number of candidate RNs is reduced, whereas the SN or the  
599 RN becomes greedier. Compared with the traditional RTS/CTS  
600 scheme specified in the IEEE 802.11 standards [22], the RRTS  
601 message and the PS message are introduced into our WW-CSLS  
602 to assist with RN selection if cooperation can be exploited.  
603 However, compared with NCS-2, the RN's data can be also  
604 transmitted with the aid of cooperation in WW-CSLS. Since  
605 the length of the RN's data frames is higher than that of the  
606 extra control messages, the MAC overhead introduced by our  
607 WW protocol is lower than that of the NCS-2 when the network  
608 size is smaller than  $u = 20$ . Although the overhead of our  
609 WW-CSLS becomes higher than that of NCS-2 when the  
610 network hosts more than  $u = 20$  nodes, the MAC overhead  
611 introduced by our WW protocol always remains lower than  
612 0.1 for  $\beta = 0.8$  or  $\alpha = 2$ .

### 613 D. Relay Behavior

614 To investigate the behavior of the relays, we analyze both the  
615 transmission probability and the achievable rate improvement  
616 of each RN for the configuration of  $\alpha = 2$  in the network  
617 hosting  $u = 5$  nodes, as shown in Fig. 9(a) and (b). Upon  
618 increasing  $\beta$ , the transmission probability of the RNs at " $d =$   
619  $1/4$ " and " $d = 1/2$ " decreases, whereas that of the RN at  
620 " $d = 3/4$ " increases, as shown in Fig. 9(a). The RN at " $d =$   
621  $3/4$ " always benefits from the highest transmission probability,  
622 whereas the RN at " $d = 1/4$ " has the lowest probability of  
623 cooperative opportunities. As a benefit of its highest transmis-  
624 sion probability, the RN at " $d = 3/4$ " maintains the highest

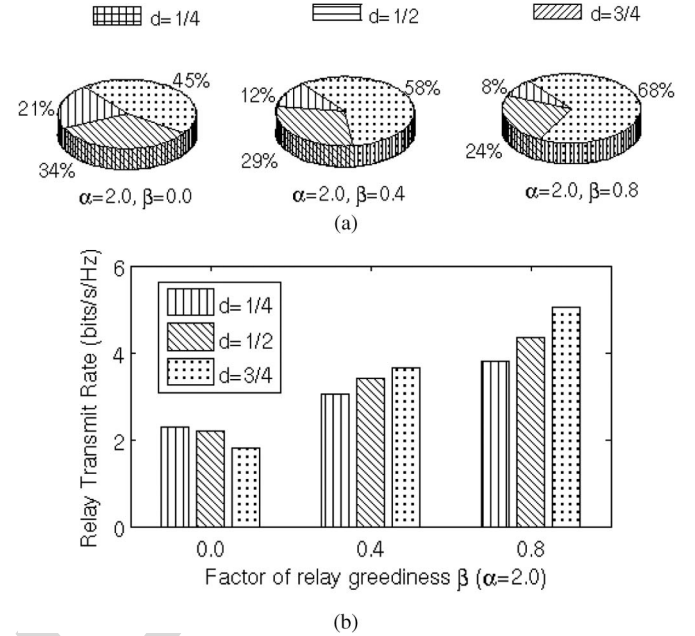


Fig. 9. RN transmission probability and the achievable rate improvement in a network hosting  $u = 5$  nodes, namely,  $S$ ,  $D$ , and three RNs. (a) Relay transmission probability. (b) Relay achievable rate.

achievable rate improvement, which is above 5 bits/s/Hz for  
625  $\beta = 0.8$  and  $\alpha = 2$ . The achievable RN-rate improvement at  
626 " $d = 1/4$ " is lower than that of the RN at " $d = 1/2$ ," as shown in  
627 Fig. 9(b). However, when the three RNs altruistically dedicate  
628 themselves solely to forwarding data frames for  $S$  ( $\beta = 0$ ), the  
629 achievable RN-rate improvement at " $d = 1/4$ " is higher than  
630 that of the other relays. Naturally, if the RNs become selfish,  
631 their improved transmission probability leads to an increased  
632 total throughput. 633

### E. Effect of Erroneous RTS Message

634 The contention caused by hidden SNs or RNs may corrupt  
635 the transmission of data and control messages. Apart from the  
636 effects of corrupted RTS messages, the erroneous transmission  
637 of both other control messages and of data have been considered  
638 in our WW cooperative MAC protocol. Hence, the effect of  
639 corrupted RTS messages on the system's transmit rate and on  
640 the ECR of  $E_{\text{rts-error}}/E_{\text{error-free}}$  that are achieved by our  
641 WW-CSLS are evaluated, as shown in Fig. 10(a) and (b). The  
642 variable  $E_{\text{rts-error}}$  denotes the system's total EC for WW-  
643 CSLS, where the RTS message may be corrupted. Furthermore,  
644  $E_{\text{error-free}}$  is the system's total EC for WW-CSLS, where  
645 error-free control messages are assumed. It is observed in  
646 Fig. 10(a) and (b) that, when the RTS error probability is  
647 increased, the system's TTR is decreased, and an increased  
648 total system energy is dissipated by our WW-CSLS because  
649 having more potentially erroneous RTS transmissions reduces  
650 the probability of successful transmission, and the extra RTS  
651 message retransmissions consume extra energy. 652

### F. Effect of Imperfect Channel Estimation

653 To evaluate the overall system performance of our WW  
654 cooperative protocol in a more practical scenario, we now 655

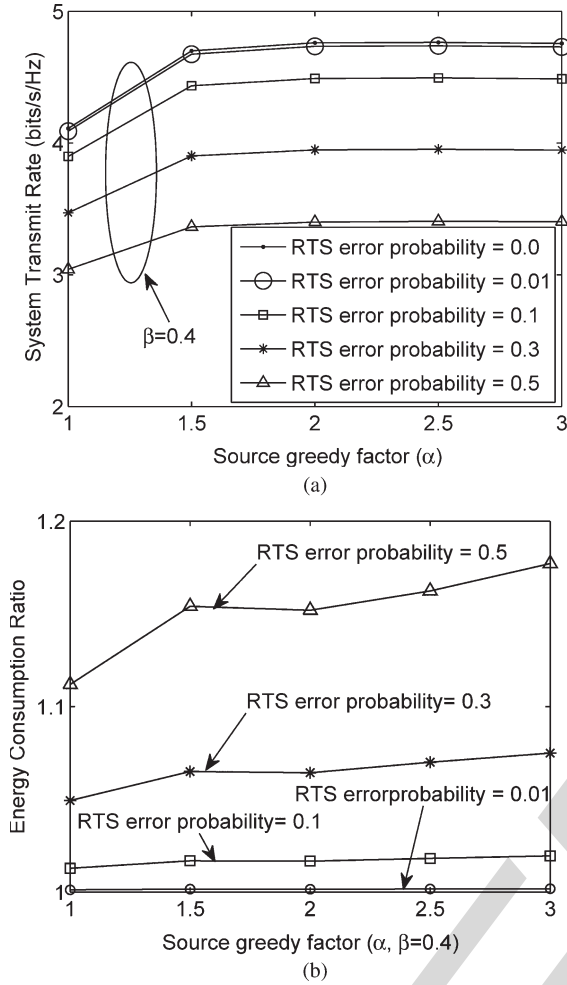


Fig. 10. System's total achievable transmit rate and system's ECR of  $E_{r_{ts}-error}/E_{error-free}$  versus the SN's greedy factor parameterized with different RTS message error probabilities. (a) System's TTR. (b) System's ECR of  $E_{r_{ts}-error}/E_{error-free}$ .

656 introduce Gaussian-distributed CSI estimation errors into our  
 657 WW-CSLS, instead of relying on the idealized simplifying  
 658 assumption of perfect CSI. The normalized mean square error  
 659 (NMSE) of the Gaussian channel estimation errors was defined  
 660 as  $10 \log(E\{\|h - \hat{h}\|^2\}/E\{\|h\|^2\})$  in decibels [27]. Compared  
 661 with the performance achieved by assuming perfect CSI, the  
 662 realistic imperfect channel estimation reduces the system's  
 663 attainable transmit rate and dramatically increases the system's  
 664 ECR of  $E_{error}/E_{perfect}$ , as shown in Fig. 11(a) and (b), respec-  
 665 tively. Variable  $E_{error}$  denotes the system's energy consumed  
 666 by the CSLS relying on realistic imperfect channel estimation,  
 667 whereas  $E_{perfect}$  denotes when perfect CSI is assumed. Based  
 668 on the given discussions, it is necessary to develop a more  
 669 robust cooperative MAC protocol to reduce the impact of  
 670 realistic imperfect channel estimation.

#### 671 G. Effect of Either Superposition Coding or Frame Combining

672 To evaluate the achievable TTR improvement jointly attained  
 673 by SPC and SIC, we compare the system's TTR achieved by  
 674 our WW-CSLS with that of the cooperative system operating  
 675 without exploiting these techniques, as shown in Fig. 12. Since  
 676 there are two data frames jointly conveyed by the RN to

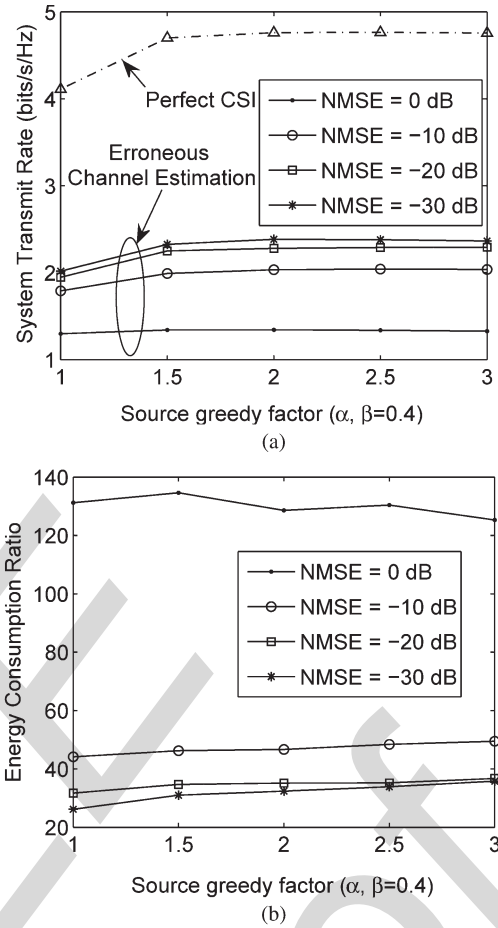


Fig. 11. System's total achievable transmit rate and system's ECR of  $E_{r_{ts}-error}/E_{error-free}$  versus the SN's greedy factor parameterized with different channel estimation NMSEs when  $\beta = 0.4$ . (a) System's TTR. (b) System's ECR of  $E_{r_{ts}-error}/E_{error-free}$ .

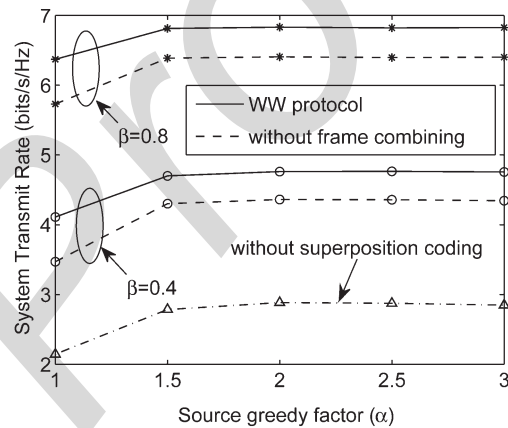


Fig. 12. System's total achievable transmit rate versus the SN's greedy factor both with and without SPC and SIC and frame combining.

$D$  in our WW-CSLS, the best RN, which does not exploit 677  
 SPC, is assumed to forward only the SN's data instead of the 678  
 SPC data. As shown in Fig. 12, the system's TTR may be 679  
 increased from 2.9 to 6.9 bits/s/Hz for  $\alpha = 2$  and  $\beta = 0.8$  by 680  
 jointly exploiting the SPC and SIC. Hence, these techniques are 681  
 capable of significantly improving the system's transmit rate. 682  
 To improve the SN's transmit rate,  $D$  invokes frame combining 683

684 for amalgamating both the direct and relayed SN data after  
 685 successfully separating the SN's and RN's data. Fig. 12 shows  
 686 the system's TTR improvement achieved by exploiting frame  
 687 combining.

688

## V. CONCLUSION

689 In this paper, we have formulated a distributed WW cooper-  
 690 ative framework for striking a tradeoff between the achievable  
 691 system rate improvement and EC and for granting transmission  
 692 opportunities for the unlicensed RNs. Furthermore, a WW  
 693 cooperative MAC layer protocol was proposed for implement-  
 694 ing our DWCF. When compared with the corresponding  
 695 noncooperative system, the proposed scheme is capable of  
 696 providing a considerable transmit rate and transmission EC  
 697 improvements. This was achieved with the aid of joint SPC at  
 698 the RN for both the SN's and RN's data and by combining the  
 699 SD and RD signals at the DN. Our future work will consider  
 700 similar interference-limited scenarios relying on a more robust  
 701 cooperative MAC design.

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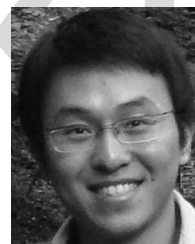
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From 2003 to 2006, he was a Postdoctoral Research Fellow working on collaborative European research projects known as SCOUT, NEWCOM, and PHOENIX. Since August 2006, he has been a member of the academic staff with Electronics and Computer Science, University of Southampton. He

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Dr. Ng is a Chartered Engineer and a Fellow of the Higher Education Academy in the U.K.

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AQ3 = What is the first initial of author Asaduzzaman?

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