Channel Prediction Aided Coded Modulation Assisted Eigen-Beamforming

S. X. Ng, W. Liu, L.-L. Yang and L. Hanzo School of ECS, University of Southampton, SO17 1BJ, United Kingdom. Tel: +44-23-8059 3125, Fax: +44-23-8059 4508 Email: {sxn,wl03r,lly,lh}@ecs.soton.ac.uk, http://www-mobile.ecs.soton.ac.uk

Abstract—Eigen-beamforming is capable of providing attractive performance gains in the context of Multiple-Input Multiple-Output (MIMO) systems, provided that accurate Channel State Information (CSI) is available. However, when a realistic pilot-based channel predictor is used for acquiring the CSI, a significant performance degradation may be imposed by the phase-ambiguity inherent in the estimated eigen-vectors. In this contribution, both sophisticated Coded Modulation (CM) schemes and differentially encoded CM schemes are employed for assisting the operation of the eigen-beamformer, when employing a minimum mean square error based pilot-assisted channel predictor. It is shown that differentially encoded CM schemes are capable of assisting the eigen-beamformer in attaining a coding gain of about 6.5 dBs, when communicating over correlated Rayleigh fading channels.

I. INTRODUCTION

Wireless systems employing multiple transmitters and receivers are capable of providing high data rates by exploiting the high capacity potential of Multiple-Input Multiple-Output (MIMO) channels [1], [2]. Within the broad family of MIMO systems, Space-Time Coding schemes [3], [4] are capable of attaining attractive spatial diversity gains without requiring any Channel State Information (CSI) at the transmitter. By contrast, transmit beamforming [5], [6] requires accurate CSI at the transmitter for guaranteeing low-SNR operation.

For the sake of efficiently exploiting the available radio spectrum, joint coding and modulation termed as Coded Modulation (CM) was proposed by Ungerböck in 1982 [7] for non-dispersive Gaussian channels. The benefit of TCM is that it is capable of achieving a coding gain in comparison to uncoded transmissions by expanding the modulation phasor constellation, hence absorbing the parity bits without bandwidth expansion. Ungerböck's contribution motivated intensive research on TCM, especially after the conception of turbo codes by Berrou et al. [8], leading to the concept of Turbo TCM (TTCM), which was invented by Robertson and Wörz [9]. Further advances were made in the context of specifically designed CM schemes for wireless Rayleigh fading channels by Zehavi [10] as well as by Caire, Taricco and Biglieri [11] in the context of Bit-Interleaved Coded Modulation (BICM). Li and Ritcey [12] then proposed the concept of iteratively decoded BICM (BICMID). Hence, in this treatise we will employ TCM, BICM, TTCM and BICMID schemes for the sake of achieving a high bandwidth efficiency in the context of an eigen-beamforming aided system.

The employment of a reliable channel predictor is imperative for achieving low-SNR, high-throughput transmit beamforming operation. In this contribution, we will employ a low-complexity Minimum Mean Square Error (MMSE) based pilot-symbol aided MIMO channel predictor [13]–[15]. However, the CSI provided by a practical channel predictor for the eigen-beamformer is prone to the phaseambiguity inherent in the estimated eigen-vectors. In order to mitigate the phase-ambiguity problem, differentially encoded modulation [16] will also be invoked. Against this background, the novel contribution of this paper is that we demonstrate the benefits of intrinsically amalgamating pilotbased MMSE channel prediction with eigen-beamforming and sophisticated coded modulation schemes. We also quantify the E_b/N_0 performance degradation imposed by the phase-ambiguity of eigenbeamforming and demonstrate that differential-encoding as well as decoding removes the phase-ambiguity while remarkably resulting in a lower E_b/N_0 degradation than the presence of phase-ambiguity does in coherently detected schemes. This remarkable observation is the justification for the seemingly flawed system-design philosophy of combining pilot-based channel estimation/prediction with differential encoding/decoding, since the latter is typically used for the sake of completely dispensing with pilot-based channel estimation.

The outline of the paper is as follows. Section II provides the overview of the system and Section III describes the MIMO channel prediction scheme. Our conclusions are offered in Section IV.

II. SYSTEM OVERVIEW

Consider a system employing M_T transmit and M_R receive antennas for communicating over flat Rayleigh fading channels. The M_R -dimensional vector of received symbols y can be expressed as

$$\mathbf{y} = \mathbf{H}\mathbf{x} + \mathbf{n} , \qquad (1)$$

where x is the M_T -dimensional vector of transmitted symbols and H is an $(M_R \times M_T)$ -dimensional complex-valued channel matrix, which is given by

$$\mathbf{H} = \begin{bmatrix} h_{11} & h_{12} & \cdots & h_{1M} \\ h_{21} & h_{22} & \cdots & h_{2M} \\ \vdots & \vdots & \ddots & \vdots \\ h_{M_R1} & h_{M_R2} & \cdots & h_{M_RM_T} \end{bmatrix}, \quad (2)$$

where h_{ij} is the fading channel's coefficient between the *i*th receive and *j*th transmit antenna. Furthermore, in (1) **n** is the M_R -dimensional AWGN vector having a zero-mean and a variance of $E(\mathbf{nn}^H) = \sigma_n^2 \mathbf{I}_{M_R}$. The $M_r \times M_t$ -dimensional channel matrix **H** may be decomposed with the aid of the Singular Value Decomposition (SVD) [17] as:

$$\mathbf{H} = \mathbf{U}\mathbf{D}\mathbf{V}^{H}, \qquad (3)$$

where **D** is an $(M_r \times M_t)$ non-negative diagonal matrix, while **U** and **V** are $(M_r \times M_r)$ and $(M_t \times M_t)$ unitary matrices, respectively. Furthermore, the entries of the diagonal matrix **D** are the eigenvalues of the matrix **H**.

Figure 1 shows the simplified block diagram of the differentially encoded CM assisted eigen-beamforming scheme. A sequence of information symbols $\{u_k\}$, where the subscript k denotes the time index, are first CM-encoded to generate the sequence $\{x'_k\}$, before entering the differential encoder, where the sequence $\{v_k\}$ is produced. At the transmit beamforming block of Figure 1, v_k is multiplied by the beamforming weight vector $\mathbf{v}_{(1)k}$ in order to produce \mathbf{x}_k , where $\mathbf{v}_{(1)k}$ is the first column vector of the unitary matrix \mathbf{V} of (3) computed using the SVD. At the receiver, receive

The financial support of the European Union under the auspices of the Newcom and Phoenix projects, as well as that of the EPSRC UK is gratefully acknowledged.



Fig. 1. The simplified block diagram of differentially-encoded CM assisted eigen beamforming system.

beamforming is carried out with the aid of the beamforming weight vector of $\mathbf{u}_{(1)k}^{H}$, which is the conjugate transpose of the first column vector of the unitary matrix U in (3). Then differential decoding is carried out, followed by CM decoding as seen in Figure 1. By ignoring the time index k, the signal input to the differential decoder can be simplified as:

$$r = \mathbf{u}_{(1)}^{H} \mathbf{y} ,$$

= $\mathbf{u}_{(1)}^{H} \mathbf{H} \mathbf{v}_{(1)} v + \mathbf{u}_{(1)}^{H} n ,$
= $\lambda_{(1)} v + \breve{n} ,$ (4)

where we have $\mathbf{u}_{(1)}^{H}\mathbf{H}\mathbf{v}_{(1)} = \mathbf{u}_{(1)}^{H}\mathbf{U}\mathbf{D}\mathbf{V}^{H}\mathbf{v}_{(1)} = \lambda_{(1)}$ and $\check{n} = \mathbf{u}_{(1)k}^{H}n$. Note that $\lambda_{(1)}$ is the first diagonal value of the matrix **D** in (3), which is also the first and the largest eigenvalue of the matrix **H**. Hence, the combined transmit and receive beamforming scheme has converted a MIMO channel into a Single-Input Single-Output (SISO) channel having a single channel coefficient given by $\lambda_{(1)}$. The variance of the Additive White Gaussian Noise (AWGN) \check{n} is the same as that of the original AWGN n, which is given by N_0 .

Note however that, when the predicted channel $\hat{\mathbf{H}}$ is insufficiently accurate, the signal subspaces defined by the unitary matrices $\hat{\mathbf{U}}$ and $\hat{\mathbf{V}}$ of $\hat{\mathbf{H}} = \hat{\mathbf{U}}\hat{\mathbf{D}}\hat{\mathbf{V}}^H$ are no longer unique [18]. More specifically, we have [19]:

$$\hat{\mathbf{u}}_{(1)} = \tilde{\mathbf{u}}_{(1)} e^{j\theta_1}; \ \hat{\mathbf{v}}_{(1)} = \tilde{\mathbf{v}}_{(1)} e^{j\theta_2}$$
(5)

where $\tilde{\mathbf{u}}_{(1)} = |\hat{\mathbf{u}}_{(1)}|$ and $\tilde{\mathbf{v}}_{(1)} = |\hat{\mathbf{v}}_{(1)}|$. The phase-ambiguity values incurred in the estimated eigen-vectors of $\hat{\mathbf{u}}_{(1)}$ and $\hat{\mathbf{v}}_{(1)}$ were θ_1 and θ_2 , respectively. Let us now demonstrate how this phase-ambiguity may be resolved with the aid of differential encoding [20].

The differential encoder is shown between the CM encoder and the transmit beamformer in Figure 1. As seen in Figure 1, the differentially encoded symbol v_k transmitted at time instant k is obtained from:

$$v_k = x'_k v_{k-1} av{6}$$

where x'_k is a CM encoded symbol and v_{k-1} is the symbol transmitted at time instant (k-1) [17]. Let us employ PSK-based CM schemes, where we have $|x'_k|^2 = |v_k|^2 = 1$ and assume that the channel coefficient $\lambda_{(1)}$ in (4) is constant across the time instants k and (k-1). Upon introducing the time index k in (4), with the aid of (6) we have:

$$y'_{k} = r_{k}r^{*}_{k-1},$$

$$= |\lambda_{(1)k}|^{2}v_{k}v^{*}_{k-1} + \lambda_{(1)k}v_{k}\breve{n}^{*}_{k-1} + \lambda^{*}_{(1)k-1}v^{*}_{k-1}\breve{n}_{k} + \breve{n}_{k}\breve{n}^{*}_{k-1},$$

$$y'_{k} = |\lambda_{(1)k}|^{2}x'_{k} + n'_{k},$$
(7)

where the superscript * denotes the complex conjugate operation. Hence, an additional differential encoding and decoding pair in an eigen-beamforming scheme is another SISO channel having a channel coefficient of $|\lambda_{(1)k}|^2$ and an AWGN of $n'_k = \lambda_{(1)k}v_k\breve{n}^*_{k-1} + \lambda^*_{(1)k-1}v^*_{k-1}\breve{n}_k + \breve{n}_k\breve{n}^*_{k-1}$. The variance of the AWGN n'_k is given by $2|\lambda_{(1)k}|^2N_0$. Note in (7) that the equivalent channel coefficient of a differentially encoded eigen-beamformer is $|\lambda_{(1)k}|^2$. Hence, when the predicted MIMO channel is inaccurate, we have:

$$\begin{aligned} \hat{\lambda}_{(1)}|^{2} &= \left| \hat{\mathbf{u}}_{(1)}^{H} \mathbf{H} \hat{\mathbf{v}}_{(1)} \right|^{2} , \qquad (8) \\ &= \left| (\tilde{\mathbf{u}}_{(1)}^{H} e^{-j\theta_{1}}) \mathbf{H} (\tilde{\mathbf{v}}_{(1)} e^{j\theta_{2}}) \right|^{2} , \\ &= \left| \tilde{\mathbf{u}}_{(1)}^{H} \mathbf{H} \tilde{\mathbf{v}}_{(1)} \right|^{2} \cdot |e^{j(\theta_{2} - \theta_{1})}|^{2} , \\ &= \left| \tilde{\mathbf{u}}_{(1)}^{H} \mathbf{H} \tilde{\mathbf{v}}_{(1)} \right|^{2} , \qquad (9) \end{aligned}$$

where the phase-ambiguity inherent in the eigen-vectors is now removed. Since we do not know **H** in (8), we may obtain an estimate of $|\hat{\lambda}_{(1)}|^2$ in (8) using (4) as follows:

$$\begin{aligned} \hat{\lambda}_{(1)}|^2 &= rr^* ,\\ &= (\lambda_{(1)}v + \breve{n})(\lambda_{(1)}v + \breve{n})^* ,\\ &= |\lambda_{(1)}|^2 + \lambda_{(1)}v\breve{n}^* + \lambda_{(1)}^*v^*\breve{n} + \breve{n}\breve{n}^* . \end{aligned} (10)$$

Note that we can also acquire $|\hat{\lambda}_{(1)}|^2$ by substituting $\hat{\mathbf{H}}$ into (8). However, the method in (10) has a lower complexity and we found that the scheme using (10) performs identically to that employing (8). The logarithmic-domain channel soft-metric can be computed at the soft demodulator within the CM decoder as:

$$Pr(y'_k|x'_k = x'^{(m)}) = -\frac{\left|y'_k - |\hat{\lambda}_{(1)k}|^2 x'^{(m)}\right|^2}{2|\hat{\lambda}_{(1)k}|^2 N_0}, \quad (11)$$

where $x'^{(m)}$, for $m \in \{0, 1, ..., M - 1\}$, is the *m*th phasor in an *M*-ary PSK modulation constellation.

III. MIMO CHANNEL PREDICTION

The block diagram of the channel prediction aided differentially encoded CM assisted eigen-beamforming scheme is shown in Figure 2, where (.) denotes the predicted value of (.). Transmit and receive buffers are used for buffering a CM-encoded frame, which is partitioned into shorter subframes and M_t number of pilot symbols are attached to each subframe at its beginning as seen in Figure 2. A shorter subframe length is expected to increase the accuracy of the channel predictor, but naturally, it also imposes a higher pilot symbol overhead. During the transmission of the pilot symbols only one transmit antenna is activated for the corresponding symbol period, while the remaining transmit antennas are deactivated [13], [14], as seen in Figure 3.

Let us denote the index of the subframe by the subscript l and the time index by m_t for reasons of brevity in this section. For the lth subframe transmitted at time instant m_t $(1 \le m_t \le M_T)$, the signal $y_{m_r}(l, m_t)$ received by the m_r -th $(1 \le m_r \le M_R)$ receiver antenna is given by:

$$y_{m_r}(l, m_t) = h_{m_r m_t}(l, m_t) x_p + n_{m_r}(l, m_t) , \quad (12)$$

where p is the predictor order, $h_{m_rm_t}(l, m_t)$ represents the fading channel coefficient between the m_t -th transmit antenna and the m_r th receive antenna for the *l*th subframe at time instant m_t , while x_p represents the pilot symbol, which is assumed to be the same for all transmit antennas and for all subframes. Furthermore, $n_{m_r}(l, m_t)$



0 D

÷ D

Fig. 2. The block diagram of the channel prediction aided differential CM assisted eigen-beamforming system. 0

÷

0 D

Ρ

0

D

D

D

D Ρ 0

D 0

D

D 0 . .

:

Number of transmit antennas

Subframe length

Frame length (a frame of two subframes)

D Р 0

D 0

D

÷

0

. .

. .

D . . D Р • ÷

. .

Fig. 3. The MIMO transmission format, where the notations P, 0 and D denote the pilot symbol, zero-energy symbol and data symbol, respectively. The first data symbol column in each subframe is the beamforming transmission vector corresponding to the reference symbol of the differential encoder.

Р •

> • 0 D

0 Р

is the AWGN contribution at the m_r th receiver antenna for the *l*th subframe at time instant m_t .

Ρ 0

0

:

0

Р

With the aid of the M_t -by- M_t pilot symbol matrix seen in Figure 3, the $(M_r \times M_t)$ -dimensional MIMO channel prediction problem is decomposed into a SISO channel prediction scenario, where any classic SISO channel prediction algorithm can be applied directly. In this contribution, MMSE based narrowband channel prediction is invoked [16]. Specifically, we construct the following *p*-dimensional vector:

$$\mathbf{y}_{m_r}(l, m_t) = [y_{m_r}(l - p + 1, m_t) \cdots y_{m_r}(l, m_t)]^T .$$
(13)

If we assign the value of "+1" to each pilot symbol, then the channel coefficient corresponding to the m_t -th pilot symbol of the (l+1)-th subframe is given by the corresponding received pilot symbol $y_{m_r}(l+$ $(1, m_t) = h_{m_t}(l+1, m_t) \cdot (+1)$ in the absence of noise. Hence, the predicted channel coefficient corresponding to the m_t -th pilot symbol for the (l + 1)-th subframe, namely $h_{m_rm_t}(l + 1, m_t)$, can be estimated based on the received pilot symbol vector of the *l*-th subframe as [15]:

$$h_{m_r m_t}(l+1, m_t) = \hat{y}_{m_r}(l+1, m_t) = \mathbf{d}_0 \mathbf{y}_{m_r}(l, m_t) , \qquad (14)$$

where d_0 is formulated as [15]:

$$\mathbf{d}_{0} = \mathbf{R}_{\mathbf{y}_{m_{r}}(l,m_{t})}^{-1} \mathbf{r}_{\mathbf{y}_{m_{r}}(l,m_{t})y_{m_{r}}(l+1,m_{t})}, \qquad (15)$$

where $\mathbf{R}_{\mathbf{y}_{m_r}(l,m_t)}$ is the $(p \times p)$ -dimensional autocorrelation matrix of $\mathbf{y}_{m_r}(l, m_t)$, which is given by [15]:

$$\mathbf{R}_{\mathbf{y}_{m_r}(l,m_t)} = E[\mathbf{y}_{m_r}(l,m_t)\mathbf{y}_{m_r}^H(l,m_t)] .$$
(16)

Furthermore, $\mathbf{r}_{\mathbf{y}_{m_r}(l,m_t)y_{m_r}(l+1,m_t)}$ is the *p*-dimensional crosscorrelation vector recorded for $\mathbf{y}_{m_r}(l, m_t)$ and $y_{m_r}(l+1, m_t)$, which is given by [15]:

$$\mathbf{r}_{\mathbf{y}_{m_r}(l,m_t)y_{m_r}(l+1,m_t)} = E[\mathbf{y}_{m_r}^*(l,m_t)y_{m_r}(l+1,m_t)] .$$
(17)

Finally, the predicted channel coefficients corresponding to the data symbol can be obtained with the aid of linear interpolation [21].

IV. SIMULATION RESULTS

Let us consider transmissions over correlated Rayleigh fading channels having a normalised Doppler frequency of 10^{-3} and a subframe length of $L_s = 100$ CM-encoded symbols as well as a frame length of $L_f = 1000$ CM-encoded symbols, unless stated otherwise. The predictor order is fixed to p = 10. Coherently detected CM or Differential-encoded CM (D-CM) schemes will be employed. The number of transmit antennas is fixed to $M_t = 2$ and the number of receive antennas is fixed to $M_r = 2$. The block diagram of a coherently detected CM assisted eigen-beamforming system is the same as that seen in Figure 1, albeit without the differential encoder and decoder blocks. The pilot overhead is given by $M_t/(M_t + L_s)$, which is 1.96%, when using $M_t = 2$ and $L_s = 100$.

0 D

0

0 Р D

D

D

D

D

D

D

For the sake of a fair comparison, both of the CM schemes employed were configured to have a similar decoding complexity quantified in terms of the total number of trellis decoding states. More quantitatively, for the non-iterative TCM or BICM code of memory ν , the corresponding complexity is proportional to the number of decoding states $S = 2^{\nu}$. Since TTCM schemes invoke two TCM component codes, a TTCM code employing t iterations and using an S-state component code exhibits a complexity proportional to 2.t.Sor $t.2^{\nu+1}$ states. As for BICMID schemes, only one decoder is used, but the demodulator is invoked in each decoding iteration. However, the complexity of the demodulator is assumed to be insignificant compared to that of the trellis decoder. Hence, a BICMID code employing t iterations and using an S-state code exhibits a complexity proportional to t.S or $t.2^{\nu}$. For these reasons, we opted for S = 64for the TCM and BICM schemes while S = 8 and t = 4 for the TTCM scheme, as well as S = 8 and t = 8 for the BICMID scheme. The code polynomials used for the CM schemes can be found on pages 775, 792 and 798 in [16].

Figure 4 shows the Bit Error Ratio (BER) versus Signal-to-Noise Ratio (SNR) per bit, namely E_b/N_0 , performance of the 4PSK and CM-8PSK assisted eigen-beamforming schemes, when employing either perfect or predicted CSI without differential encoding. Hence, as we can see from Figure 4, the performance of the coherently detected scheme suffers from a significant degradation, when the CSI is imperfect. For example, at BER= 10^{-5} an approximately 5 dB and 6.1 dB performance loss incurred, when employing the predicted CSI compared to the scheme benefiting from perfect CSI for the 4PSK and TTCM-8PSK assisted schemes, respectively. This is due to the phase-ambiguity problem discussed in Section II. Furthermore, the TCM-8PSK assisted scheme was unable to outperform the uncoded



Fig. 4. BER versus E_b/N_0 performance of the 4PSK ($L_f = L_s = 100$) and CM-8PSK ($L_f = 1000, L_s = 100$) beamforming schemes, when communicating over correlated Rayleigh fading channels having a normalised Doppler frequency of 10^{-3} . The pilot overhead is 1.96%.

4PSK assisted scheme, when the CSI was imperfect, although they have the same effective throughput of 2 bit/symbol. Interestingly, in the context of the eigen-beamforming system, the BICMID-8PSK assisted scheme outperformed the other three CM-8PSK assisted schemes, although when communicating over SISO Rayleigh fading channels without beamforming, BICMID-8PSK is outperformed by the TTCM-8PSK scheme [16].



Fig. 5. BER versus E_b/N_0 performance of the D-4PSK ($L_f = L_s = 100$) and D-CM-8PSK ($L_f = 1000, L_s = 100$) beamforming schemes, when communicating over correlated Rayleigh fading channels having a normalised Doppler frequency of 10^{-3} . The pilot overhead is 1.96%.

Figure 5 shows the performance of the D-4PSK and D-CM-8PSK assisted eigen-beamforming schemes, when employing either perfect or predicted CSI. As we can see from Figure 5, the E_b/N_0 performance loss due to employing imperfect CSI is only about 2 dB at BER= 10^{-5} for all CM schemes. This is because the differential coding is effective in circumventing the phase-ambiguity problem. However, the employment of differential coding comes at the penalty of increasing the noise variance from N_0 to $2|\lambda_{(1)k}|^2 N_0$, as discussed in Section II. As a result, when the CSI is perfect, the performance of the D-CM-8PSK schemes characterised in Figure 5 is about 3 dB inferior to that of the CM-8PSK schemes featuring in Figure 4. However, as we can see from Figure 6, when the CSI is imperfect, the D-CM-8PSK scheme performs better than the CM-8PSK arrangement, despite having a higher noise variance. For example at BER= 10^{-5} , the D-BICMID-8PSK and D-TCM-8PSK schemes perform about 1 dB and 3 dB better than their coherently

detected non-differential counterparts. Furthermore, the uncoded D-4PSK scheme has a similar performance to the uncoded 4PSK scheme, as evidenced in Figure 6, when the CSI was imperfect. These findings underline the importance of using error correction schemes in practical eigen-beamforming schemes. More specifically, observe in Figures 4 and 5 that at a BER of 10^{-5} , the D-BICMID-8PSK scheme attained a coding gain of about 6.5 dB over both the 4PSK and D-4PSK schemes, when using predicted CSI. Note that the CM schemes employed were suboptimum, since they were originally designed for coherently detected non-differential modulation, nonetheless, their concatenation with differential coding yielded attractive performance gains in the context of realistically predicted CSI. Hence, our future work will consider the design of differentially encoded modulation based CM schemes for the sake of achieving a higher coding gain.



Fig. 6. BER versus E_b/N_0 performance of the 4PSK ($L_f = L_s = 100$) and CM-8PSK ($L_f = 1000, L_s = 100$) beamforming schemes with and without differential coding, when communicating over correlated Rayleigh fading channels having a normalised Doppler frequency of 10^{-3} with the aid of an MMSE channel predictor. The pilot overhead is 1.96%.

Let us now study the effect of different channel fading rates in the context of Figures 7 and 8, where the normalised Doppler frequencies of the channels were 10^{-3} and 10^{-4} , respectively. As seen from Figures 7 and 8, when the normalised Doppler frequency of the channel was reduced by a factor of 10, it becomes possible to increase the subframe/frame length by a factor of 10, while maintaining a similar performance.



Fig. 7. BER versus E_b/N_0 performance of the D-4PSK and D-TTCM-4PSK Beamforming schemes, when communicating over correlated Rayleigh fading channels having a normalised Doppler frequency of 10^{-3} . The pilot overhead is 1.96%.



Fig. 8. BER versus E_b/N_0 performance of the D-4PSK and D-TTCM-4PSK Beamforming schemes, when communicating over correlated Rayleigh fading channels having a normalised Doppler frequency of 10^{-4} . The pilot overhead is 0.2%.



BER versus E_b/N_0 performance of the D-4PSK and D-TTCM-Fig. 9. 4PSK Beamforming schemes, when communicating over correlated Rayleigh fading channels having a normalised Doppler frequency of 10^{-3} . The pilot overheads are 1.0%, 1.96% and 9.1% when employing $L_s = 200$, 100 and 20, respectively.

Next, let us study the effect of varying the subframe length at a given total frame length of $L_f = 10'000$ symbols for the D-CM-4PSK assisted eigen-beamformer in Figure 9. As we can see from Figure 9, when the subframe length is reduced the accuracy of the channel predictor improves, which resulted in a better performance at the cost of a higher pilot symbol overhead. As an example, there are $L_f/L_s = 500$ subframes of length $L_s = 20$ data symbols in the $L_f = 10'000$ D-CM-4PSK symbol frame, resulting in a pilot symbol overhead of 9%. By contrast, when L_f is fixed to 10'000, the pilot symbol overheads imposed by having $L_s = 200$ and $L_s = 100$ are about 1% and 2%, respectively. We infer from Figure 9 that using $L_s = 100$ constitutes a good compromise in terms of the BER performance versus pilot symbol overhead trade-offs.

V. CONCLUSIONS

In this contribution, we have studied a channel prediction aided coded modulation assisted eigen-beamforming scheme communicating over correlated Rayleigh fading channels. It was shown in Figure 6 that both differential coding and coded modulation yielded benefits in terms of assisting an eigen-beamformer employing a pilotbased channel predictor. An open research problem is the design of new rotationally invariant coded modulation schemes for further

improving the performance of realistic eigen-beamformers using pilot-based predicted channel state information.

REFERENCES

- [1] G. Foschini Jr. and M. Gans, "On limits of wireless communication in a fading environment when using multiple antennas," Wireless Personal Communications, vol. 6, pp. 311-335, March 1998
- [2] E. Telatar, "Capacity of multi-antenna Gaussian channels," European Transactions on Telecommunication, vol. 10, pp. 585-595, Nov-Dec 1999
- V. Tarokh, N. Seshadri and A. R. Calderbank, "Space-time codes for high [3] rate wireless communication: Performance analysis and code construction," IEEE Transactions on Information Theory, vol. 44, pp. 744-765, March 1998.
- S. M. Alamouti, "A Simple Transmitter Diversity Scheme for Wireless [4] Communications." IEEE Journal on Selected Areas in Communications. vol. 16, pp. 1451-1458, October 1998.
- [5] F. Rashid-Farrokhi, K. Liu, and L. Tassiulas, "Transmit beamforming and power control for cellular wireless systems," IEEE Journal on Selected Areas in Communications, vol. 16, pp. 1437-1450, October 1998.
- [6] A. Abdel-Samad, T. N. Davidson and A. B. Gershman, "Robust transmit eigen beamforming based on imperfect channel state information," IEEE Transactions on Signal Processing, vol. 54, pp. 1596-1609, May 2006.
- G. Ungerböck, "Channel coding with multilevel/phase signals," IEEE
- Transactions on Information Theory, vol. 28, pp. 55–67, January 1982. C. Berrou, A. Glavieux and P. Thitimajshima, "Near Shannon Limit [8] Error-Correcting Coding and Decoding : Turbo Codes," in Proceedings, IEEE International Conference on Communications, pp. 1064-1070, 1993.
- [9] P. Robertson, T. Wörz, "Bandwidth-efficient Turbo Trellis-coded Modulation Using Punctured Component Codes," *IEEE Journal on Selected Areas in Communications*, vol. 16, pp. 206–218, February 1998.
- [10] E. Zehavi, "8-PSK trellis codes for a Rayleigh fading channel," IEEE Transactions on Communications, vol. 40, pp. 873-883, May 1992.
- [11] G. Caire, G. Taricco and E. Biglieri, "Bit-Interleaved Coded Modulation," IEEE Transactions on Information Theory, vol. IT-44, pp. 927-946. May 1998.
- [12] X. Li, J. A. Ritcey, "Trellis-Coded Modulation with Bit Interleaving and Iterative Decoding," IEEE Journal on Selected Areas in Communications, vol. 17, pp. 715–724, April 1999.
- [13] Z. Luo, H. Gao, Y. Liu, and J. Gao, "Robust pilot-symbol-aided MIMO channel estimation and prediction," in IEEE Global Telecommunications Conference, (Dallas, Texas, USA), pp. 3646 - 3650, 29 November - 3 December 2004.
- [14] D. V. Duong, B. Holter, and G. E. Oien, "Optimal pilot spacing and power in rate-adaptive MIMO diversity systems with imperfect transmitter CSI," in IEEE 6th Workshop on Signal Processing Advances in Wireless Communications, (New York, USA), pp. 47 - 51, 5 - 8 June 2005.
- [15] A. Duel-Hallen, S. Hu, and H. Hallen, "Long range prediction of fading signals; enabling adaptive transmission for mobile radio channels," IEEE Signal Processing Magzine, vol. 17, pp. 62-75, May 2000.
- L. Hanzo, S. X. Ng, W. Webb and T.Keller, Quadrature Amplitude [16] Modulation: From Basics to Adaptive Trellis-Coded, Turbo-Equalised and Space-Time Coded OFDM, CDMA and MC-CDMA Systems, Second Edition. New York, USA : John Wiley and Sons, 2004.
- [17] B. Vucetic and J. Yuan, Space-Time Coding. New York: John Wiley-IEEE Press, May 2003.
- [18] H. T. Nguyen, G. Leus, and N. Khaled, "Prediction of the eigenvectors for spatial multiplexing MIMO systems in time-varying channels," in Proceedings of the Fifth IEEE International Symposium on Signal Processing and Information Technology, (Athens, Greece), pp. 119-124, 18 - 21 December 2005.
- [19] J. Liu and A. Host-Madsen, "Novel communication schemes with blind channel estimation in TDD MIMO system," in Proceedings of the International Conference on Acoustics Speech and Signal Processing, no. 2, pp. II-33 - II-36, 2004.
- T. Dahl, N. Christophersen, and D. Gesbert, "Blind MIMO eigenmode [20] transmission based on the algebraic power method," IEEE Transactions on Signal Processing, vol. 52, pp. 2424-2431, September 2004.
- [21] S. Coleri, M. Ergen, A. Puri, and A. Bahai, "Channel estimation techniques based on pilot arrangement in OFDM systems," IEEE Transactions on Broadcasting, vol. 48, pp. 223 - 229, September 2002.